



Legal Profession and Legal Aid (Scotland) Act 2007

2007 asp 5

[^{F1}PART 2A

SPECIAL PROVISION FOR LICENSED PROVIDERS

[^{F1}57B Regulatory complaints

- (1) There is an additional type of complaint which applies only in relation to licensed providers (a “regulatory complaint”).
- (2) A regulatory complaint is where any person suggests that a licensed provider is failing (or has failed) to—
 - (a) have regard to the regulatory objectives,
 - (b) adhere to the professional principles,
 - (c) comply with—
 - (i) its approved regulator's regulatory scheme,
 - (ii) the terms and conditions of its licence.
- (3) In relation to a regulatory complaint about a licensed provider, its approved regulator is to be regarded as the relevant professional organisation.
- (4) The procedure in respect of a regulatory complaint is (by virtue of section 57A(4)(b)) the same as it would be for a conduct complaint about a licensed provider, subject to such modification as to that procedure as is made under section 57A(2)(b).
- (5) The Commission and the approved regulator have (by virtue of section 57A(4)(b)) the same functions in relation to a regulatory complaint as they would have in relation to a conduct complaint about a licensed provider, subject to such modification as to those functions as is made under section 57A(2)(b).]

Changes to legislation: *Legal Profession and Legal Aid (Scotland) Act 2007, Section 57B is up to date with all changes known to be in force on or before 18 May 2023. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

Textual Amendments

- F1** Pt. 2A inserted (1.4.2011 for specified purposes, 2.7.2012 in so far as not already in force) by [Legal Services \(Scotland\) Act 2010 \(asp 16\)](#), **ss. 81**, 150(2) (with [ss. 30\(3\)](#), [60\(3\)](#), [89](#), [121\(5\)](#), [124\(3\)](#)); [S.S.I. 2011/180](#), art. 3, Sch.; [S.S.I. 2012/152](#), art. 2, Sch. (with art. 3)

Changes to legislation:

Legal Profession and Legal Aid (Scotland) Act 2007, Section 57B is up to date with all changes known to be in force on or before 18 May 2023. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- Sch. 1 para. 2(6)(ba) inserted by [2010 asp 16 s. 118\(4\)](#)