
*Changes to legislation: There are currently no known outstanding effects for the
Legal Services (Scotland) Act 2010, Paragraph 1. (See end of Document for details)*

SCHEDULE 4 FINANCIAL PENALTIES

Application

- 1 This schedule applies where the Scottish Ministers are satisfied that an approved regulator has failed to—
- (a) adhere to its internal governance arrangements (including, in particular, those relating to the independent and effective exercise of its regulatory functions), or
 - (b) comply with a direction given in accordance with schedule 2.

Commencement Information

- I1** Sch. 4 para. 1 in force at 1.4.2011 for specified purposes by S.S.I. 2011/180, art. 3, **Sch.**
I2 Sch. 4 para. 1 in force at 2.7.2012 in so far as not already in force by S.S.I. 2012/152, art. 2, **Sch.**

Changes to legislation:

There are currently no known outstanding effects for the Legal Services (Scotland) Act 2010, Paragraph 1.