
*Changes to legislation: There are currently no known outstanding effects for the
Legal Services (Scotland) Act 2010, Paragraph 4. (See end of Document for details)*

SCHEDULE 4 FINANCIAL PENALTIES

Notice of intention

- 4 (1) Before imposing a financial penalty, the Scottish Ministers must give the approved regulator a notice (a “notice of intention”) of their intention to do so.
- (2) The notice of intention must—
- (a) state—
 - (i) that the Scottish Ministers intend to impose a financial penalty,
 - (ii) the amount of the proposed penalty,
 - (b) by reference to the failure concerned and any other relevant facts, explain why the Scottish Ministers consider that—
 - (i) it is appropriate to impose a penalty,
 - (ii) the amount of the proposed penalty is appropriate.

Commencement Information

- I1** Sch. 4 para. 4 in force at 1.4.2011 for specified purposes by S.S.I. 2011/180, art. 3, Sch.
- I2** Sch. 4 para. 4 in force at 2.7.2012 in so far as not already in force by S.S.I. 2012/152, art. 2, Sch.

Changes to legislation:

There are currently no known outstanding effects for the Legal Services (Scotland) Act 2010, Paragraph 4.