



Legal Services (Scotland) Act 2010

2010 asp 16

PART 2

REGULATION OF LICENSED LEGAL SERVICES

CHAPTER 3

FURTHER PROVISION

Registers and lists

84 Lists of disqualified persons

- (1) An approved regulator must keep a list of the persons whom it has disqualified under section 56 (that is, from holding a certain position in a licensed legal services provider).
- (2) The list kept under subsection (1) must include the following information in relation to each person concerned—
 - (a) the person's name,
 - (b) the—
 - (i) name of any relevant licensed provider,
 - (ii) any relevant position held by the person as at the date of the disqualification,
 - (c) each position from which the person is disqualified,
 - (d) the date of disqualification and its duration (unlimited or the fixed period),
 - (e) the reasons for the disqualification.
- (3) An approved regulator must keep a list of the persons whom it has—
 - (a) determined as unfit under section 62 (that is, for being a non-solicitor investor in a licensed provider), or
 - (b) disqualified under section 65(1) (that is, from having an interest in a licensed provider).
- (4) The list kept under subsection (3) must include the following information in relation to each person concerned—

Status: This is the original version (as it was originally enacted).

- (a) the person's name,
 - (b) the name of any relevant licensed provider,
 - (c) the date of the determination or (as the case may be) disqualification,
 - (d) the grounds for the determination or (as the case may be) disqualification.
- (5) A list kept under this section must not include information relating to a person in respect of whom the determination or (as the case may be) disqualification—
- (a) has been reversed on appeal, or
 - (b) otherwise, no longer applies.
- (6) The approved regulator must—
- (a) publish the lists kept by it under this section, and
 - (b) notify the Scottish Ministers of any material alterations made to either of them.
- (7) The Scottish Ministers may by regulations—
- (a) make further provision about the information to be contained in the lists kept under this section,
 - (b) prescribe the manner in which those lists are to be kept and published.