

# Water Industry (Scotland) Act 2002

#### PART 3

#### SCOTTISH WATER

### Codes of practice

#### 26 Customer standards code

- (1) In relation to services provided in the exercise of its core functions, Scottish Water must prepare and submit to the Commissioner a code of practice (a "customer standards code") making provision—
  - (a) as to its standards of performance in providing services to its customers,
  - (b) for procedures for dealing with complaints by its customers or its potential or former customers,
  - (c) as respects the circumstances in which it will pay compensation if or in so far as those standards are not attained,
  - (d) as respects such matters as are incidental to the provision made under paragraphs (a) to (c), and such supplemental matters (if any) as it thinks fit.
- (2) The customer standards code must be submitted to the Commissioner no later than the date on which Scottish Water first sends a charges scheme to the Commissioner under section 32(1).
- (3) Subject to subsection (1), Scottish Water may from time to time—
  - (a) vary, or
  - (b) revoke and replace,

its customer standards code, and must submit the varied or new code to the Commissioner.

- (4) A customer standards code submitted to the Commissioner under subsection (1) or (3) comes into force only when it is approved in accordance with section 27.
- (5) Scottish Water must endeavour to comply with its approved customer standards code; but contravention of that code does not of itself give rise to any criminal or civil liability.

- (6) Scottish Water must publish the approved customer standards code and provide a copy of the code to any person who requests it.
- (7) The Commissioner—
  - (a) must monitor the compliance by Scottish Water with its approved customer standards code,
  - (b) may advise the Scottish Ministers on such compliance, and
  - (c) may require Scottish Water to review its customer standards code, or any provision of it, in such respects as the Commissioner may specify.

# 27 Approval of customer standards code

- (1) The Commissioner may, after consulting each Water Customer Consultation Panel, approve a customer standards code submitted under section 26(1) or (3)—
  - (a) without modifications, or
  - (b) with such modifications as are agreed by the Commissioner and Scottish Water.
- (2) Where—
  - (a) the Commissioner is not prepared to give approval under subsection (1)(a), and
  - (b) Scottish Water does not agree to some or all of the modifications proposed by the Commissioner under subsection (1)(b),

the Commissioner must send the code (with any modifications to it agreed by the Commissioner and Scottish Water) to the Scottish Ministers.

- (3) Where 3 months have elapsed since the Commissioner has received a customer standards code by virtue of section 26(1) or (3) and the Commissioner has neither—
  - (a) given approval under subsection (1) of this section, nor
  - (b) sent the scheme to the Scottish Ministers under subsection (2) of this section, Scottish Water may require the Commissioner to send it to the Scottish Ministers.
- (4) The Scottish Ministers may, after consulting each Water Customer Consultation Panel, approve a code sent to them under subsection (2) or (3)—
  - (a) without modifications or further modifications, or
  - (b) with such modifications as, after consulting Scottish Water and the Commissioner, they think fit.
- (5) Where the Commissioner approves a customer standards code under subsection (1), the Commissioner must send a copy of the code as so approved to the Scottish Ministers.
- (6) Where the Scottish Ministers approve a customer standards code under subsection (4), they must send a copy of the code as so approved to the Commissioner.

## 28 Consultation code

- (1) Scottish Water must prepare and submit to the Scottish Ministers a code of practice (a "consultation code") making provision as to the consultation which it will carry out in relation to—
  - (a) proposals for, and

Status: This is the original version (as it was originally enacted).

- (b) the undertaking of, any significant activity in the exercise of its core functions.
- (2) The consultation code must, in particular, make provision—
  - (a) as to consultation with persons who live, work or carry on business in any area significantly affected by such an activity, or who represent the interests of such persons,
  - (b) as to the manner of such consultation, the information to be provided to consultees and the time to be allowed for consultees to express views,
  - (c) for Scottish Water to have regard to the views of the persons consulted in pursuance of the code,

and may make different provision in relation to different cases or descriptions of case.

- (3) Before submitting the consultation code to the Scottish Ministers, Scottish Water—
  - (a) must consult each Water Customer Consultation Panel on the code and have regard to any comments on it made by any Panel, and
  - (b) may adjust the code in light of those comments.
- (4) The Scottish Ministers may approve the consultation code—
  - (a) without modifications, or
  - (b) with such modifications as, after consulting Scottish Water, they think fit.
- (5) A consultation code submitted to the Scottish Ministers under subsection (1) comes into force only when it is approved in accordance with subsection (4).
- (6) Scottish Water must publish the approved consultation code and provide a copy of the code to any person who requests it.
- (7) Scottish Water must endeavour to comply with the approved consultation code; but contravention of the code does not of itself give rise to any criminal or civil liability.
- (8) Subject to subsection (1), Scottish Water may from time to time—
  - (a) vary, or
  - (b) revoke or replace,

the consultation code; and this section applies to the varied or new code as it does to the original code.