
STATUTORY INSTRUMENTS

1997 No. 831

HEALTH AND SAFETY

The Lifts Regulations 1997

Made - - - - - *14th March 1997*
Laid before Parliament *17th March 1997*
Coming into force *1st July 1997*

THE LIFTS REGULATIONS 1997

PART I

PRELIMINARY

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5. Lifts and safety components placed on the market and put into service before 1st July 1997
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12. Exceptions to placing on the market or supply in respect of certain lifts and safety components
13. Conformity assessment procedures
14. Requirements fulfilled by the person who places a lift or safety component on the market
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18. Conditions for lifts and safety components being taken to conform with the provisions of the Lifts Directive

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SCHEDULE 1 — (Annex I to the Lifts Directive)

Preliminary Remarks

1. Obligations under essential health and safety requirements apply only where...
2. The essential health and safety requirements contained in the Directive...
3. The safety-component manufacturer and the installer of the lift are...
4. In accordance with Article 14, the essential requirements laid down...
 1. GENERAL
 2. HAZARDS TO PERSONS OUTSIDE THE CAR
 3. HAZARDS TO PERSONS IN THE CAR
 4. OTHER HAZARDS
 5. MARKING
 6. INSTRUCTIONS FOR USE

SCHEDULE 2 — (Annex II to the Lifts Directive)

- A Content of the EC declaration of conformity for safety components
- B Content of the EC declaration of conformity for installed lifts

SCHEDULE 3 — THE CE CONFORMITY MARKING AND OTHER INSCRIPTIONS

1. The CE conformity marking shall consist of the initials “CE”...
2. If the CE marking is reduced or enlarged, the proportions...
3. The various components of the CE marking must have substantially...
4. The CE marking shall be followed by the identification number...
5. The CE marking shall be affixed to every lift car...
6. Subject to paragraph 7 below, where a lift or safety...
7. Where one or more of the other Community directives referred...

8. The affixing on the lifts or safety components of markings...

SCHEDULE 4 — (Annex IV to the Lifts Directive)

1. Devices for locking landing doors.
2. Devices to prevent falls referred to in Section 3.2 of...
3. Overspeed limitation devices.
4. (a) Energy-accumulating buffers: either non-linear, or with damping of the...
5. Safety devices fitted to jacks of hydraulic power circuits where...
6. Electric safety devices in the form of safety switches containing...

SCHEDULE 5 — (Annex V to the Lifts Directive)

- A EC type-examination of safety components
- B EC type-examination of lifts

SCHEDULE 6 — (Annex VI to the Lifts Directive)

1. Final inspection is the procedure whereby the installer of the...
2. The installer of the lift shall take all steps necessary...
3. The installer of the lift shall keep a copy of...
4. A notified body chosen by the installer of the lift...
5. The notified body must receive the following documents: the plan...
6. If the lift satisfies the provisions of the Directive, the...
7. The final inspection certificate, dossiers and correspondence relating to the...

SCHEDULE 7 — (Annex VIII to the Lifts Directive)

1. Product quality assurance is the procedure whereby the manufacturer of...
2. The manufacturer must apply an approved quality assurance system for...
3. Quality assurance system
4. Surveillance under the responsibility of the notified body
5. The manufacturer must, for a period ending 10 years after...
6. Each notified body must forward to the other notified bodies...

SCHEDULE 8 — (Annex IX to the Lifts Directive)

1. Full quality assurance is the procedure whereby the manufacturer of...
2. The manufacturer must operate an approved quality assurance system for...
3. Quality assurance system
4. Surveillance under the responsibility of the notified body
5. The manufacturer of the safety components or his authorized representative...
6. Each notified body must forward to the other notified bodies...
7. The dossiers and correspondence relating to the full quality assurance...

SCHEDULE 9 — (Annex X to the Lifts Directive)

1. Unit verification is the procedure whereby the installer of a...
2. The lift installer shall apply to a notified body of...
3. The purpose of the technical dossier is to enable the...
4. The notified body must examine the technical dossier and the...
5. The certificate of conformity and the dossiers and correspondence relating...
6. The installer of the lift shall keep with the technical...

SCHEDULE 10 — (Annex XI to the Lifts Directive)

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1. Conformity to type is the procedure whereby the manufacturer of...
2. The manufacturer of the safety components must take all measures...
3. The manufacturer of the safety components or his authorised representative...
4. A notified body chosen by the manufacturer must carry out...
5. The dossiers and correspondence relating to the random checking procedures...

SCHEDULE 11 — (Annex XII to the Lifts Directive)

1. Product quality assurance is the procedure whereby the installer of...
2. The installer of a lift must apply an approved quality...
3. Quality assurance system
4. Surveillance under the responsibility of the notified body
5. The installer of a lift must, for a period of...
6. Each notified body must forward to the other notified bodies...

SCHEDULE 12 — (Annex XIII to the Lifts Directive)

1. Full quality assurance is the procedure whereby the installer of...
2. The installer of a lift must operate an approved quality...
3. Quality assurance system
4. Surveillance under the responsibility of the notified body
5. The installer of a lift must, for a period of...
6. Each notified body shall forward to the other notified bodies...
7. The dossiers and correspondence relating to the full quality assurance...

SCHEDULE 13 — (Annex XIV to the Lifts Directive)

1. Production quality assurance is the procedure whereby the installer of...
2. The installer of the lift must operate an approved quality...
3. Quality assurance system
4. Surveillance under the responsibility of the notified body
5. The installer must, for a period of 10 years after...
6. Each notified body must give the other notified bodies the...
7. Documentation and correspondence relating to the production quality assurance procedures...

SCHEDULE 14 — EXCLUDED LIFTS

SCHEDULE 15 — ENFORCEMENT

1. Enforcement in Great Britain
2. In Great Britain in relation to relevant products for private...
3. Enforcement in Northern Ireland in relation to relevant products
4. Forfeiture of relevant products for private use or consumption: England and Wales and Northern Ireland
5. Forfeiture of relevant products for private use or consumption: Scotland
6. Duty of enforcement authority to inform Secretary of State of action taken
7. Savings
8. Interpretation

Explanatory Note