

### SCHEDULE 3

#### The NIROC Register

9. Where—

- (a) a NIROC is issued under this Order, or
- (b) a substitute is recorded as the registered holder of a NIROC pursuant to paragraph 7,

the Authority must notify the registered holder, or as the case may be, the former and new registered holder of that fact in writing within 5 banking days of the issue or substitution having taken place.