
SCOTTISH STATUTORY INSTRUMENTS

2011 No. 226

The Waste (Scotland) Regulations 2011

Citation, commencement and extent

1.—(1) These Regulations may be cited as the Waste (Scotland) Regulations 2011 and come into force on 27th March 2011.

(2) These Regulations extend to Scotland only.

Amendment of the Environmental Protection Act 1990

2.—(1) The Environmental Protection Act 1990(1) is amended as follows.

(2) In section 29 (preliminary)—

(a) after subsection (5) insert—

“(5A) In relation to controlled waste—

(a) a reference to the management of such waste is a reference to the collection, transport, recovery and disposal of such waste and includes—

(i) the supervision of such operations;

(ii) the after-care of disposal sites; and

(iii) actions taken as a broker or dealer;

(b) “collection” means the gathering of such waste, including the preliminary sorting and preliminary storage of such waste for the purposes of transport to a waste treatment facility; and

(c) “recovery” refers to any of the operations listed in Part III of Schedule 4 to the Waste Management Licensing (Scotland) Regulations 2011, and any other operation the principal result of which is waste serving a useful purpose by replacing other materials which would otherwise have been used to fulfil a particular function, or waste being prepared to fulfil that function, in a plant or in the wider economy,

and cognate expressions shall be construed accordingly.”; and

(b) in subsection (6), for “includes its disposal by way of deposit in or on land” substitute “has the meaning given by regulation 2(1) of the Waste Management Licensing (Scotland) Regulations 2011”.

(3) In section 33(1)(c) (prohibition on unauthorised or harmful deposit etc. of waste), for “treat, keep or dispose of” substitute “keep or manage”.

(4) In section 34 (duty of care etc.)—

(a) in subsection (1)—

(1) 1990 c.43, as relevantly amended by the Deregulation and Contracting Out Act 1994 (c.40) section 33; the Environment Act 1995 (c.25) Schedule 22 paragraphs 64, 65, 66(2), 70(1) and (3), 72(1) and (2), 73, 74 and 76 and Schedule 24 paragraph 1; by S.I. 1999/1820 Schedule 2 paragraph 102(2)(b) and (c); and by S.S.I. 2000/323 Schedule 10 paragraph 3(3) and (4); 2005/22 regulation 3(3) and 2009/247 regulation 3.

- (i) after “broker” insert “or dealer”; and
- (ii) omit the “and” immediately following paragraph (b) and after that paragraph insert—
 - “(ba) on the transfer of any waste oil, to ensure that this is separately collected where technically feasible; and”;
- (b) after subsection (2) insert—
 - “(2A) It shall be the duty of any person who produces, keeps or manages controlled waste, or as a broker or dealer has control of such waste, to take all such measures available to that person as are reasonable in the circumstances to apply the waste hierarchy set out in Article 4(1) of the Waste Directive.
 - (2B) The duty in subsection (2A)—
 - (a) may be departed from where this is justified having regard to the overall impacts of the generation and management of such waste; and
 - (b) does not apply to an occupier of domestic property as respects the household waste produced on the property.
 - (2C) The Scottish Ministers may give guidance on the discharge of the duty in subsection (2A), including the circumstances in which that duty may be departed from under subsection (2B)(a).
 - (2D) A person seeking to discharge the duty in subsection (2A) must, in doing so, have regard to any guidance given under subsection (2C).”;
- (c) after subsection (4A) insert—
 - “(4B) In this section—
 - “separately collected” means collected separately from other types of waste so as to facilitate a specific treatment;
 - “waste oil” means any mineral or synthetic lubrication or industrial oil which has become unfit for the use for which it was originally intended, such as used combustion engine oil and gearbox oil, lubricating oil, oil for turbines and hydraulic oil.”; and
- (d) in subsection (6), for “duty imposed by subsection (1)” substitute “duties imposed by subsections (1) or (2A)”.
- (5) In section 35(3) (waste management licences: general) omit the “and” immediately following paragraph (a), and after paragraph (b) insert—
 - “(c) to the location of the boundaries of the specified land;”.
- (6) In section 37 (variation of licences)—
 - (a) in subsection (1), for “subsection (3)” substitute “subsections (2A) and (3)”; and
 - (b) after subsection (2) insert—
 - “(2A) The conditions of a site licence may not be modified under subsection (1) so as to remove any of the specified land referred to in section 35(1) from the licence.”.
- (7) In section 38 (revocation and suspension of licences)—
 - (a) after subsection (3) insert—
 - “(3A) In the case of a site licence, a revocation under subsection (3) may extend to the whole of the land to which the licence extends or to any part of it.”;
 - (b) in subsection (5), at the beginning insert “Subject to subsection (3A).”;
 - (c) after subsection (6) insert—

- “(6A) In the case of a site licence, the suspension may extend to the whole of the land to which the licence extends or to any part of it.”;
- (d) in subsection (8), at the beginning insert “Subject to subsection (6A),”; and
- (e) after subsection (12) insert—
- “(12A) If, in the case of a partial revocation referred to in subsection (3A), the authority is of the opinion that it is necessary to modify the conditions of the licence to take account of the revocation, it shall specify the necessary modifications in the notice served under subsection (12) and the modifications specified in the notice shall take effect on the date specified in the notice.”.
- (8) In section 39 (surrender of licences)—
- (a) after subsection (2) insert—
- “(2A) A surrender of a site licence may extend to the whole of the land to which the licence extends or to any part of it.”;
- (b) in subsection (4)(a), for “licence” substitute “application”;
- (c) in subsection (9), at the end insert “or, in the case of a partial surrender referred to in subsection (2A), the licence shall cease to have effect in relation to the land to which the surrender extends”; and
- (d) after subsection (9) insert—
- “(9A) If, in the case of a partial surrender referred to in subsection (2A), the authority is of the opinion that it is necessary to modify the conditions of the licence to take account of the surrender, it shall specify the necessary modifications in the notice of its determination issued under subsection (9) and the modifications specified in the notice shall take effect on the date specified in the notice.”.
- (9) In section 40 (transfer of licences)—
- (a) after subsection (1) insert—
- “(1A) In the case of a site licence, a transfer under subsection (1) may extend to the whole of the land to which the licence extends or to any part of it, and in this Part “transfer” and cognate expressions used in relation to a site licence include such a partial transfer.”;
- (b) in subsection (5), at the beginning insert “Subject to subsections (5A) to (5C),”; and
- (c) after subsection (5) insert—
- “(5A) The authority shall effect a partial transfer of a licence by—
- (a) issuing a new licence to the transferee as respects the land to which the transfer relates; and
- (b) returning the original licence to the holder endorsed to record that there has been a transfer, the particulars of the land transferred and the land to which the original licence now relates.
- (5B) In the case of a partial transfer, the conditions included in the new licence and original licence after the transfer shall be the same as the conditions included in the original licence immediately before the transfer in so far as they are relevant to the sites covered by the new licence and the original licence respectively, but subject to such modifications as, in the opinion of the authority, are necessary to take account of the transfer.
- (5C) A partial transfer shall take effect from such date as may be agreed with the applicants and specified in the endorsement and new licence.”.
- (10) After section 40 insert—

“40A Consolidated Licences

- (1) Subsection (2) applies where—
- (a) a licence has been varied, or affected by a partial revocation, surrender or transfer;
 - (b) there is more than one site licence held by the same person and applying to the same site; or
 - (c) there is more than one mobile plant licence held by the same person.
- (2) The authority may replace the licence or licences, as the case may be, with a consolidated licence.
- (3) A consolidated licence must not contain any new conditions in respect of any land, mobile plant or activity.”
- (11) In section 42 (supervision of licensed activities)—
- (a) after subsection (6) insert—

“(6ZA) In the case of a site licence, a revocation under subsection (6)(a) or a suspension under subsection (6)(c) may relate to the whole of the land to which the licence relates or to any part of it.”; and
 - (b) in subsection (7), for “subsections (5) and (12)” substitute “subsections (3A), (5), (12) and (12A)”.
- (12) In section 75 (meaning of “waste” etc.)
- (a) for subsection (2) substitute—

“(2) “Waste” means—

 - (a) anything that is waste within the meaning of Article 3(1) of the Waste Directive, as read with Articles 5 and 6, and which is not excluded from the scope of that Directive by Article 2(1), (2) or (3);
 - (b) radioactive waste within the meaning of section 1A of the Radioactive Substances Act 1993 which is exempt from the requirement for authorisation under section 13 or 14 of that Act by virtue of an order made, or having effect as if made, under section 15(2) of that Act;
 - (c) where land is undergoing on-site remediation of contamination, that land including unexcavated contaminated soil and any contaminated buildings permanently connected with that land.”;
 - (b) after subsection (2) insert—

“(2A) “Broker” means any undertaking arranging the recovery or disposal of waste on behalf of others, whether or not such arrangements involve the broker taking physical possession of the waste; and “dealer” means any undertaking which acts in the role of principal to purchase and subsequently sell waste, whether or not this involves the dealer taking physical possession of the waste.”;
 - (c) subsection (10) is repealed; and
 - (d) for subsections (11) and (12) substitute—

“(12) In this Part, “the Waste Directive” means Directive [2008/98/EC](#) of the European Parliament and of the Council on waste.”.

Amendment of the Environment Act 1995

3.—(1) The Environment Act 1995(2) is amended as follows.

(2) In section 56(1) (interpretation of Part I), in the definition of “environmental licence” as it applies for the purposes of Part 1 of that Act in relation to SEPA—

(a) at the end of paragraph (b) insert “or as a professional collector or transporter of waste under paragraph 12 of Schedule 4 to the Waste Management Licensing (Scotland) Regulations 2011”;

(b) for paragraph (h) substitute—

“(h) registration of a person as a broker of, or dealer in, controlled waste under the Waste Management Licensing (Scotland) Regulations 2011,”; and

(c) in paragraph (j), for “or 47 of Schedule 3” substitute “, 47 or 51 of Schedule 1”.

(3) In Schedule 20 (delegation of appellate functions), in paragraph 4(3)(d), for “22” substitute “29”.

Amendment of the Town and Country Planning (Scotland) Act 1997

4. In The Town and Country Planning (Scotland) Act 1997(3) section 277(1) (interpretation), after the definition of “Valuation Office” omit “and” and insert—

““waste” includes anything which is waste for the purposes of section 75(2) of the Environmental Protection Act 1990 (c. 43); and”.

Amendment of the National Waste Management Plan for Scotland Regulations 2007

5.—(1) The National Waste Management Plan for Scotland Regulations 2007(4) are amended as follows.

(2) In regulation 2—

(a) omit the definitions of “National Planning Policy Guideline 10” and “the National Waste Plan”;

(b) for the definition of “hazardous waste” substitute—

““hazardous waste” means any waste which is to be considered as hazardous in accordance with Articles 3(2) and 7 of the Waste Directive;”;

(c) after the definition of “hazardous waste” insert—

““the Landfill Capacity Report” means the document “Landfill Capacity Report for Scotland, 2008” published by SEPA in 2010(5);

“the National Capacity Report” means the document “National Waste Capacity Report for Scotland 2008” published by SEPA in November 2009(6);”;

(d) after the definition of “public consultees” insert—

““Scottish Planning Policy” means the statement of the Scottish Government’s policy on important land use planning matters published in February 2010(7);

(2) 1995 c.25, as relevantly amended by S.S.I. 2000/323 Schedule 10 paragraph 5(2) to (4); S.I. 2005/925 Schedule 6 paragraph 1(3); S.S.I. 2006/181 Schedule 1 paragraph 8(3) to (5); S.S.I. 2006/541 regulation 10(2); S.I. 2006/3289 regulation 4(2)(b) and S.S.I. 2009/247 regulation 5.

(3) 1997 c.8, to which there are amendments not relevant to these Regulations.

(4) S.S.I. 2007/251.

(5) http://www.sepa.org.uk/waste/waste_data/site_capacity_infrastructure/landfill_capacity_reports.aspx.

(6) http://www.sepa.org.uk/waste/waste_data/site_capacity_infrastructure/national_capacity_reports.aspx.

(7) ISBN 978 0 7559 9156 3. See also <http://www.scotland.gov.uk/Publications/2010/02/03132605/0>.

“Scotland” includes the Scottish marine area as defined in section 1 of the Marine (Scotland) Act 2010⁽⁸⁾”;

(e) after the definition of “SEPA” omit “and”; and

(f) for the definition of “the Waste Data Digest” substitute—

““ the Special-Hazardous Waste Data Summary” means the documents “Special waste consigned 2002-2006”; “Special waste recovered 2002-2006” and “Special waste disposed 2002-2006”, all published by SEPA in 2008 ⁽⁹⁾;

“the Waste Data Digest” means the document “Waste Data Digest 10: Key facts and trends” published by SEPA in 2010⁽¹⁰⁾;

“the Waste Statistics Regulation Scotland Report” means the document “EU Waste Statistics Regulation Scotland Report 2006” published by SEPA in November 2008⁽¹¹⁾; and

“Zero Waste Plan” means the document “Scotland’s zero waste plan” published by the Scottish Government in June 2010⁽¹²⁾.”.

(3) After regulation 2 insert—

“Interpretation: the Waste Directive

2A. In these Regulations—

(a) “the Waste Directive” means Directive 2008/98/EC of the European Parliament and of the Council on waste;

(b) other expressions used in the Waste Directive have the same meanings as in that Directive.”.

(4) In regulation 3—

(a) in paragraph (1), for “the recovery and disposal of waste” substitute “waste prevention, preparation for re-use and waste management”;

(b) in paragraph (2), for “consist of” substitute “include”;

(c) in paragraph (3), before sub-paragraph (a) insert—

“(aza) an analysis of the current waste management situation, including existing waste collection schemes and major disposal and recovery installations;

(azb) a description of measures to be taken to improve environmentally sound preparing for re-use, recycling, recovery and disposal of waste;

(azc) the matters listed in Article 28(3) of the Waste Directive;”;

(d) in paragraph (3), after sub-paragraph (a) insert—

“(aa) in pursuance of the objectives and provisions of the Waste Directive, an evaluation of how the plan will support the implementation of those objectives and provisions; and”;

(e) omit the “and” immediately following paragraph (3)(b);

(f) omit paragraph (3)(c);

⁽⁸⁾ asp 5.

⁽⁹⁾ http://www.sepa.org.uk/waste/waste_data/statutory_data_returns/special-hazardous_waste_data.aspx.

⁽¹⁰⁾ http://www.sepa.org.uk/waste/waste_data/waste_data_digest.aspx.

⁽¹¹⁾ http://www.sepa.org.uk/waste/waste_data/waste_data_reports/waste_statistics_regulations.aspx.

⁽¹²⁾ ISBN 978-0-7559-8306-3. See also <http://www.scotland.gov.uk/Publications/2010/06/08092645/0>.

- (g) in paragraph (4) after “Ministers” insert “must review the national waste management plan at least once every six years and”; and
- (h) for paragraph (6) substitute—
 - “(6) The following documents form part of the national waste management plan:—
 - (a) Zero Waste Plan;
 - (b) the waste management policies set out in Scottish Planning Policy, the National Planning Framework and Planning Advice Note 63;
 - (c) the Landfill Capacity Report;
 - (d) the National Capacity Report;
 - (e) the Special-Hazardous Waste Data Summary;
 - (f) the Waste Data Digest; and
 - (g) the Waste Statistics Regulation Scotland Report.”.
- (5) In paragraph 1 of the Schedule, for “recovered or disposed of” substitute “managed”.
- (6) In the Schedule, after paragraph 1 insert—
 - “**1A.** Applying the following waste hierarchy:
 - (a) prevention;
 - (b) preparing for re-use;
 - (c) recycling;
 - (d) other recovery, including energy recovery;
 - (e) disposalin a way which delivers the best overall environmental outcome. The hierarchy may be departed from for particular types of waste where justified in order to ensure this outcome and by reference to the overall impact of the generation and management of such types of waste.”.
- (7) For paragraph 2 of the Schedule substitute—
 - “**2.** Establishing an integrated and adequate network of waste disposal installations and of installations for the recovery of all mixed municipal waste which includes waste collected from private households, taking into account best available techniques.”.
- (8) In paragraph 3 of the Schedule—
 - (a) in sub-paragraph (a), after “disposal” insert “and in the recovery of the municipal waste referred to in paragraph 2,”; and
 - (b) in sub-paragraph (b), after “of” where first occurring insert “, and the municipal waste referred to in paragraph 2 to be recovered,”.
- (9) In paragraph 4 of the Schedule, after sub-paragraph (a) insert—
 - “(aa) reducing the quantity of waste produced through the re-use of products or the extension of their life spans;”.
- (10) In the Schedule, after paragraph 4 insert—
 - “**4A.** Promoting the re-use of products and the preparation of products for re-use, in particular and where appropriate by—
 - (a) encouraging the establishment of and supporting re-use and repair networks;
 - (b) use of economic incentives;
 - (c) use of procurement criteria; and

(d) use of quantitative objectives.

4B. Encouraging—

- (a) the separate collection of bio-waste with a view to its composting and digestion;
- (b) the treatment of bio-waste in a way that fulfils a high level of environmental protection; and
- (c) the use of environmentally safe materials produced from bio-waste.”.

Amendment of the Special Waste Regulations 1996

6.—(1) The Special Waste Regulations 1996(13) are amended as follows.

(2) In regulation 1(4)—

- (a) in the definition of “consignor”, at the end insert “,but does not include any occupier of domestic premises in respect of domestic waste removed from those premises”;
- (b) after the definition of “conveyance” insert—
 - ““domestic waste” means waste produced by a household;”;
- (c) for the definitions of “European Waste Catalogue”, “the Waste Directive” and “waste” substitute—

““European Waste Catalogue” means the list of wastes set out in Commission Decision [2000/532/EC](#) establishing a list of wastes, as that instrument may be amended from time to time;”;

““the Waste Directive” means Directive [2008/98/EC](#) of the European Parliament and of the Council on waste;”;

““waste” means—

- (a) anything that is waste within the meaning of Article 3(1) of the Waste Directive, as read with Articles 5 and 6, and which is not excluded from the scope of that Directive by Article 2(1), (2) or (3);
- (b) radioactive waste within the meaning of section 1A of the Radioactive Substances Act 1993 which is exempt from the requirement for authorisation under section 13 or 14 of that Act by virtue of an order made, or having effect as if made, under section 15(2) of that Act;
- (c) where land is undergoing on-site remediation of contamination, that land including unexcavated contaminated soil and any contaminated buildings permanently connected with that land;”;

(d) the definition of “the Hazardous Waste Directive” is omitted.

(3) For regulation 1(5) substitute—

“(5) Other expressions used in these Regulations which are used in the Waste Directive have the same meanings as in that Directive.”.

(4) For regulation 2 substitute—

“Meaning of special waste

2. “Special waste” means any waste which is to be considered as hazardous waste under Articles 3(2) and 7 of the Waste Directive.”.

(5) For regulation 2A substitute—

(13) [S.I. 1996/972](#), as relevantly amended by [S.I. 1996/2019](#) Schedule 1 paragraph 2(a) and 7; [S.S.I. 2000/323](#) Schedule 10 paragraph 13(1) and (2) and [S.S.I. 2004/112](#) regulation 2(2), (4), (9), (10) and (11).

“Application of Regulations

2A.—(1) Except as provided in regulation 2B (domestic asbestos waste), these Regulations do not apply to special waste which forms part of mixed domestic waste.

(2) In this regulation, “mixed domestic waste” does not include any separate fractions of special waste which have been produced by a household.”.

(6) In regulation 15(9), for “Article 13 of the Waste Directive and Article 5(2) of the Hazardous Waste Directive” substitute “Article 34 of the Waste Directive”.

(7) In regulation 15A(1), before “record” insert “chronological”.

(8) In regulation 17—

(a) in paragraph (1), after “Subject to paragraph (2)” insert “and to regulation 15(3) of the Waste Management Licensing (Scotland) Regulations 2011”;

(b) in paragraph (1)(b), after “waste” where last occurring insert “, or with other substances or materials”;

(c) omit the “or” immediately following paragraph (2)(a);

(d) for paragraph (2)(b) substitute—

“(b) complies with Article 13 of the Waste Directive, and any adverse impact of the waste management on human health and the environment is not increased; and

(c) conforms to best available techniques.”; and

(e) after paragraph (2) insert—

“(3) In this regulation and in regulation 17A, “mixing” includes dilution of special waste.”.

(9) In regulation 17A(1)(b), for “4” substitute “13”.

(10) Schedule 2 is omitted.

Amendment of the Pollution Prevention and Control (Scotland) Regulations 2000

7.—(1) The Pollution Prevention and Control (Scotland) Regulations 2000(**14**) are amended as follows.

(2) In regulation 2(1)—

(a) for the definition of “mobile plant” substitute—

““mobile plant” means plant which is—

(a) designed and intended to move or be moved regularly from place to place with a view to being used at each place, or if not so designed is readily capable of so moving or being so moved and is intended for that purpose; and

(b) used to carry out one or more activities listed in any section of Chapters 1 to 6 of Part 1 of Schedule 1;”;

(b) for the definitions of “the Waste Directive” and “waste” substitute—

““the Waste Directive” means Directive [2008/98/EC](#) of the European Parliament and of the Council on waste;”;

““waste” means, unless the context otherwise requires, anything that is waste within the meaning of section 75(2) of the Environmental Protection Act 1990;”.

(14) [S.S.I. 2000/323](#), as relevantly amended by [S.S.I. 2002/493](#) regulation 3; [2003/146](#) regulation 3(a)(ii) and (b) and 19(a) and (d); [2003/170](#) regulations 6 and 9; [2003/235](#) Schedule 6 paragraph 3(2); [2004/26](#) regulation 10; [2004/112](#) regulation 3(2); [2005/340](#) regulations 3 and 11(b); [2005/510](#) regulation 6(a) and [2009/247](#) regulation 6 and [2009/336](#) regulation 2(2).

(3) In regulation 8(3)(a), for “Council Directive 75/442/EEC on waste” substitute “the Waste Directive”.

(4) After regulation 9D insert—

“Conditions of permits: waste oils

9E.—(1) SEPA shall ensure that any permit granted or varied on or after 27th March 2011 authorising any activity relating to waste oils contains conditions ensuring that, so far as technically feasible and economically viable—

- (a) waste oils having different characteristics are not mixed; and
- (b) waste oils are not mixed with other kinds of waste or substances, if such mixing would impede their treatment.

(2) In this regulation, “waste oils” and “treatment” have the same meanings as in the Waste Directive.

Conditions of permits: incineration or co-incineration with energy recovery

9F.—(1) SEPA shall ensure that any permit granted or varied on or after 27th March 2011 authorising the incineration or co-incineration of waste with energy recovery contains conditions ensuring that the recovery of energy takes place with a high level of energy efficiency.

(2) In this regulation—

“co-incineration” has the same meaning as in Schedule 1 Part 1 Section 5.1;

“incineration” means the thermal treatment of wastes.”.

Minor repeals and amendments

8. The Schedule to these Regulations (which contains minor repeals and amendments) has effect.

St Andrew’s House,
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16th March 2011

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