#### DRAFT STATUTORY INSTRUMENTS

### 2011 No.

#### FINANCIAL SERVICES AND MARKETS

# The Open-Ended Investment Companies (Amendment) Regulations 2011

Made - - - - 2011

Coming into force in accordance with regulation I

## THE OPEN-ENDED INVESTMENT COMPANIES (AMENDMENT) REGULATIONS 2011

- 1. Citation and commencement
- 2. Interpretation
- 3. Amendment of the Principal Regulations
- 4. Transitional provisions
- 5. Further transitional provisions for micro-businesses
- 6. The compliance period for micro-businesses
- 7. Definition of a micro-business
- 8. Number of employees of an authorised corporate director
- 9. Employees of an authorised corporate director
- Voluntary compliance by micro-businesses Signature Explanatory Note