

Status: Point in time view as at 01/10/1991.

Changes to legislation: Financial Services Act 1986 (Repealed), Cross Heading: Supplementary is up to date with all changes known to be in force on or before 24 May 2023. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

SCHEDULES

SCHEDULE 4

REQUIREMENTS FOR RECOGNITION OF INVESTMENT EXCHANGE

Modifications etc. (not altering text)

C1 Sch. 4 modified (1.3.1994) by S.I. 1994/188, reg. 4(a)

[^{F1} Supplementary]

Textual Amendments

F1 Sch. 4 para. 6 inserted (*retrospectively*) by Companies Act 1989 (c. 40, SIF 27), s. 205(1)(2)

- 6 (1) The provisions of this Schedule relate to an exchange only so far as it provides facilities for the carrying on of investment business; and nothing in this Schedule shall be construed as requiring an exchange to limit dealings on the exchange to dealings in investments.
- (2) The references in this Schedule, and elsewhere in this Act, to ensuring the performance of transactions on an exchange are to providing satisfactory procedures (including default procedures) for the settlement of transactions on the exchange.

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