



# Welfare Reform and Pensions Act 1999

## 1999 CHAPTER 30

### PART V

#### WELFARE

#### CHAPTER I

##### SOCIAL SECURITY BENEFITS

##### *Additional pensions*

#### **52 Preservation of rights in respect of additional pensions.**

- (1) The Secretary of State may by regulations make such provision as is authorised by one or more of subsections (2) to (4).
- (2) The regulations may provide for any prescribed provision of Part II of the Contributions and Benefits Act (contributory benefits) which relates to additional pension for [<sup>F1</sup>widows, widowers or surviving civil partners] to have effect, in relation to persons of any prescribed description, with such modifications as may be prescribed for securing—
  - (a) that any such additional pension, or
  - (b) in the case of any provision of Schedule 5 to that Act (increase of pension [<sup>F2</sup>or payment of lump sum] where entitlement is deferred), that any constituent element of an increase [<sup>F3</sup>or of a lump sum] provided for by that Schedule, is increased by such percentage as may be prescribed (which may be 100 per cent.).
- [<sup>F4</sup>(3) The regulations may amend (or further amend) any prescribed provision set out in section 39(2) of the Child Support, Pensions and Social Security Act 2000 (which sets out provisions falling within subsection (2) of this section) so as to substitute a reference to a later date for—
  - (a) any reference in that provision to 5th October 2002 or 6th October 2002; or

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- (b) any reference to a date inserted in that provision by a substitution made by virtue of this subsection.]
- (4) The regulations may make provision for and in connection with—
- (a) the establishment, for a prescribed period, of a scheme for dealing with claims made by persons on the grounds that, in reliance on any incorrect or incomplete information provided by a government department with respect to the SERPS reduction (however that information came to their knowledge), they—
- (i) failed to take any, or any particular, relevant steps which they would have taken, or
- (ii) took any steps which they would not have taken,
- had they instead received correct and complete information with respect to that reduction; and
- (b) securing that, where persons have made successful claims under the scheme, surviving spouses of those persons (or, as the case may be, those persons themselves) will not be affected by the SERPS reduction.
- [<sup>F5</sup>(4A) The regulations may provide, for the purposes of any provision made by virtue of subsection (4), for a case in which a person who, as a consequence of receiving incorrect or incomplete information, did not give any consideration to—
- (a) the taking of a step which is a step he might have taken had he considered the matter on the basis of correct and complete information, or
- (b) refraining from taking a step which is a step he did take but might have refrained from taking had he considered the matter on that basis,
- to be treated as a case in which his failure to take the step, or his taking of the step he did take, was in reliance on the incorrect or incomplete information and as a case in which that step is one which he would have taken, or (as the case may be) would not have taken, had the information been correct and complete.]
- (5) In subsection (4) “relevant steps”, in relation to a person, means steps towards safeguarding the financial position of that person’s spouse in the event of the spouse becoming that person’s surviving spouse or (as the case may be) towards safeguarding that person’s own financial position in the event of that person becoming a surviving spouse (whether or not, in either case, that person was at any material time already married); and “the SERPS reduction” means—
- (a) (in the context of subsection (4)(a)) the operation of any of—
- (i) the provisions of section 19 of the <sup>M1</sup>Social Security Act 1986, or
- (ii) the provisions of Part II of the Contributions and Benefits Act reproducing the effect of those provisions;
- (b) (in the context of subsection (4)(b)) the operation of any of the provisions of the Contributions and Benefits Act mentioned in paragraph (a)(ii) above or of section 39C(4) or 48BB(7) of that Act.
- (6) Regulations under subsection (4) may, in particular, make provision—
- (a) with respect to the time within which, and the manner in which, claims under the scheme are to be made;
- (b) for requiring claimants—
- (i) to supply such information in connection with their claims as may be prescribed or reasonably requested by any person for the purpose of dealing with their claims,

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- (ii) to attend interviews at such time and place as may be reasonably specified by any person for that purpose;
  - (c) for a claim to be disallowed where the claimant fails to comply with a requirement imposed by virtue of paragraph (a) or (b) above and does not show within the prescribed period that he had good cause for that failure;
  - (d) prescribing—
    - (i) matters which are or are not to be taken into account in determining whether a person does or does not have good cause for any failure to comply with any such requirement, or
    - (ii) circumstances in which a person is or is not to be regarded as having or not having good cause for any such failure;
  - (e) prescribing the conditions which must be satisfied in relation to any claim in order for it to be a successful claim under the scheme;
  - [<sup>F6</sup>(ea) prescribing the matters that may be relied on, and the presumptions that may be made, in the determination of whether or not the prescribed conditions have been satisfied;]
  - (f) with respect to—
    - (i) the manner in which decisions under the scheme are to be made (which may include authorising decisions of any prescribed description to be made by a computer), and
    - (ii) the time within which, and the manner in which, such decisions are to be notified to claimants;
  - (g) for provisions of Chapter II of Part I of the <sup>M2</sup>Social Security Act 1998 (social security decisions and appeals) to apply in relation to decisions under the scheme with such modifications as may be prescribed;
  - (h) for provisions of Part II of the Contributions and Benefits Act to apply in relation to—
    - (i) surviving spouses of persons who have made successful claims under the scheme, or
    - (ii) persons who have themselves made such claims,
 with such modifications as may be prescribed.
- (7) If no regulations under this section are in force on 6th April 2000, then until such time as any such regulations come into force—
- (a) any provisions of Part II of the Contributions and Benefits Act which (whether alone or together with other provisions) would otherwise result in a reduction of one-half in the amount payable by way of additional pension in cases where a person's spouse dies after 5th April 2000 shall be taken—
    - (i) as not applying, or
    - (ii) as providing for the full amount to be payable by way of additional pension,
 as the case may require; and
  - (b) in Schedule 5 to that Act—
    - (i) any provision which is expressed to apply in relation to deaths occurring after that date shall not apply, and
    - (ii) any provision which (with or without any other limitation) is expressed to apply in relation to deaths occurring before 6th April 2000 shall be taken as applying also in relation to deaths occurring on or after that date.

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- (8) No regulations shall be made under this section unless a draft of the regulations has been laid before, and approved by a resolution of, each House of Parliament.
- (9) In this section “prescribed” means prescribed by regulations under subsection (2), (3) or (4), as the case may be.

#### Textual Amendments

- F1** Words in s. 52(2) substituted (26.7.2005 for specified purposes) by [Civil Partnership \(Pensions and Benefit Payments\) \(Consequential, etc. Provisions\) Order 2005 \(S.I. 2005/2053\)](#), art. 1(2)(c), (3), [Sch. para. 26](#)
- F2** Words in s. 52(2)(b) inserted (18.11.2004 for specified purposes, 6.4.2005 in so far as not already in force) by [Pensions Act 2004 \(c. 35\)](#), s. 322(3)(a), [Sch. 11 para. 25\(a\)](#)
- F3** Words in s. 52(2)(b) inserted (18.11.2004 for specified purposes, 6.4.2005 in so far as not already in force) by [Pensions Act 2004 \(c. 35\)](#), s. 322(3)(a), [Sch. 11 para. 25\(b\)](#)
- F4** S. 52(3) substituted (28.7.2000) by [2000 c. 19](#), [ss. 39\(3\)](#), 86(1)(b) (with s. 83(6))
- F5** S. 52(4A) inserted (28.7.2000) by [2000 c. 19](#), [ss. 39\(4\)](#), 86(1)(b) (with s. 83(6))
- F6** S. 52(6)(ea) inserted (28.7.2000) by [2000 c. 19](#), [ss. 39\(5\)](#), 86(1)(b) (with s. 83(6))

#### Marginal Citations

- M1** [1986 c. 50](#).
- M2** [1998 c. 14](#).

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