



# Communications Act 2003

## 2003 CHAPTER 21

### PART 2

#### NETWORKS, SERVICES AND THE RADIO SPECTRUM

### CHAPTER 1

#### ELECTRONIC COMMUNICATIONS NETWORKS AND SERVICES

#### *Preliminary*

### **32 Meaning of electronic communications networks and services**

- (1) In this Act “electronic communications network” means—
- (a) a transmission system for the conveyance, by the use of electrical, magnetic or electro-magnetic energy, of signals of any description; and
  - (b) such of the following as are used, by the person providing the system and in association with it, for the conveyance of the signals—
    - (i) apparatus comprised in the system;
    - (ii) apparatus used for the switching or routing of the signals; <sup>F1</sup>...
    - (iii) software and stored data<sup>F2</sup>; and
    - (iv) (except for the purposes of sections 125 to 127) other resources, including network elements which are not active.]
- (2) In this Act “electronic communications service” means a service consisting in, or having as its principal feature, the conveyance by means of an electronic communications network of signals, except in so far as it is a content service.
- <sup>F3</sup>(3) In this Act “associated facility” means a facility, element or service which is available for use, or has the potential to be used, in association with the use of an electronic communications network or electronic communications service (whether or not one

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provided by the person making the facility, element or service available) for the purpose of—

- (a) making the provision of that network or service possible;
- (b) making possible the provision of other services provided by means of that network or service; or
- (c) supporting the provision of such other services.]

(4) In this Act—

- (a) references to the provision of an electronic communications network include references to its establishment, maintenance or operation;
- (b) references, where one or more persons are employed or engaged to provide the network or service under the direction or control of another person, to the person by whom an electronic communications network or electronic communications service is provided are confined to references to that other person; and
- (c) references, where one or more persons are employed or engaged to make facilities available under the direction or control of another person, to the person by whom any associated facilities are made available are confined to references to that other person.

(5) Paragraphs (a) and (b) of subsection (4) apply in relation to references in subsection (1) to the provision of a transmission system as they apply in relation to references in this Act to the provision of an electronic communications network.

(6) The reference in subsection (1) to a transmission system includes a reference to a transmission system consisting of no more than a transmitter used for the conveyance of signals.

(7) In subsection (2) “a content service” means so much of any service as consists in one or both of the following—

- (a) the provision of material with a view to its being comprised in signals conveyed by means of an electronic communications network;
- (b) the exercise of editorial control over the contents of signals conveyed by means of a such a network.

(8) In this section references to the conveyance of signals include references to the transmission or routing of signals or of parts of signals and to the broadcasting of signals for general reception.

(9) For the purposes of this section the cases in which software and stored data are to be taken as being used for a particular purpose include cases in which they—

- (a) have been installed or stored in order to be used for that purpose; and
- (b) are available to be so used.

(10) In this section “signal” includes—

- (a) anything comprising speech, music, sounds, visual images or communications or data of any description; and
- (b) signals serving for the impartation of anything between persons, between a person and a thing or between things, or for the actuation or control of apparatus.

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#### Textual Amendments

- F1** Word in s. 32(1)(b)(ii) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 9(a)(i)** (with Sch. 3 para. 2)
- F2** S. 32(1)(b)(iv) and word inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 9(a)(ii)** (with Sch. 3 para. 2)
- F3** S. 32(3) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 9(b)** (with Sch. 3 para. 2)

#### Commencement Information

- I1** S. 32 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I2** S. 32 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with [art. 11](#))

### *Notification by providers*

## **33 Advance notification to OFCOM**

- (1) A person shall not—
- provide a designated electronic communications network,
  - provide a designated electronic communications service, or
  - make available a designated associated facility,
- unless, before beginning to provide it or to make it available, he has given a notification to OFCOM of his intention to provide that network or service, or to make that facility available.
- (2) An electronic communications network, electronic communications service or associated facility is designated for the purposes of this section if it is of a description of networks, services or facilities that is for the time being designated by OFCOM as a description of networks, services or facilities for which notification under this section is required.
- (3) A person who has given a notification for the purposes of subsection (1) must, before—
- providing or making available the notified network, service or facility with any significant differences, or
  - ceasing to provide it or to make it available,
- give a notification to OFCOM of the differences or (as the case may be) of his intention to cease to provide the network or service or to make the facility available.
- (4) A notification for the purposes of this section must—
- be sent to OFCOM in such manner as OFCOM may require; and
  - contain all such information as OFCOM may require.
- (5) The only information OFCOM may require a notification to contain is—
- a declaration of the relevant proposal of the person giving the notification;
  - the time when it is intended that effect should be given to the relevant proposal;
  - particulars identifying the person giving the notification;

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- (d) particulars identifying one or more persons with addresses in the United Kingdom who, for the purposes of matters relating to the notified network, service or facility, are authorised to accept service at an address in the United Kingdom on behalf of the person giving the notification;
  - (e) particulars identifying one or more persons who may be contacted if there is an emergency that is caused by or affects the provision of the notified network, service or facility;
  - (f) addresses and other particulars necessary for effecting service on or contacting each of the persons mentioned in paragraphs (c) to (e).
- (6) The declaration of the relevant proposal that may be required under subsection (5) is whichever of the following is appropriate in the case of the person giving the notification—
- (a) a declaration of his proposal to provide the network or service described in the notification or to make available the facility so described;
  - (b) a declaration of his proposal to make the modifications that are so described of the network, service or facility specified in the notification; or
  - (c) a declaration of his proposal to cease to provide the network or service so specified or to cease to make available the facility so specified.
- (7) Requirements imposed under subsection (4) are not to require a notification by a person to contain particulars falling within subsection (5)(d) in a case in which—
- (a) that person is resident in a member State or has a place of business in a member State;
  - (b) the notification contains a statement under subsection (8);
  - (c) the notification sets out an address in a member State at which service will be accepted by the person who, in accordance with that statement, is authorised to accept it; and
  - (d) OFCOM are satisfied that adequate arrangements exist for effecting service on that person at that address.
- (8) That statement is one which—
- (a) declares that the person authorised, for the purposes of matters relating to the notified network, service or facilities, to accept service on behalf of the person giving the notification is that person himself; or
  - (b) identifies another person who is resident in a member State, or has a place of business in such State, as the person so authorised.
- (9) The reference in subsection (3) to providing or making available a notified network, service or facility with significant differences is a reference to continuing to provide it, or to make it available, after a change in whatever falling within subsection (5)(a) to (f) was last notified to OFCOM under this section.
- (10) References in this section to accepting service at an address are references—
- (a) to accepting service of documents or process at that address; or
  - (b) otherwise to receiving notifications at that address;
- and the reference in subsection (7) to effecting service at an address is to be construed accordingly.
- (11) Where a description of electronic communications network, electronic communications service or associated facility is designated for the purposes of this

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section at a time when a network, service or facility of that description is already being provided or made available by a person—

- (a) that person's obligation under this section to give a notification before beginning to provide or make available that network, service or facility shall have effect as an obligation to give a notification within such period after the coming into force of the designation as may be specified in the notice in which the designation is contained; and
- (b) that notification is to be one stating that that person is already providing the network or service, or making the facility available (rather than that it is his intention to do so).

(12) Subsection (11) has effect subject to any transitional provision—

- (a) which is contained in the notification setting out the designation; and
- (b) treats a person as having given the notification required by that subsection.

#### Commencement Information

- I3** S. 33 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I4** S. 33 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

## 34 Designations and requirements for the purposes of s. 33

(1) Before—

- (a) making or withdrawing a designation for the purposes of section 33, or
- (b) imposing or modifying a requirement under subsection (4) of that section,

OFCOM must consult such of the persons who, in their opinion, are likely to be affected by it as they think fit.

(2) Before making or withdrawing a designation for the purposes of section 33 OFCOM must also consult the Secretary of State.

(3) The way in which a designation for the purposes of section 33 or a requirement under subsection (4) of that section—

- (a) is to be made or imposed, or
- (b) may be withdrawn or modified,

is by a notice published in such manner as OFCOM consider appropriate for bringing the designation, requirement, withdrawal or modification to the attention of the persons who, in their opinion, are likely to be affected by it.

(4) A designation for the purposes of section 33 may be framed by reference to any such description of networks, services or facilities, or such other factors, as OFCOM think fit.

(5) Requirements imposed under section 33(4) may make different provision for different cases.

#### Commencement Information

- I5** S. 34 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))

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**I6** S. 34 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with [art. 11](#))

### 35 Notification of contraventions of s. 33

(1) Where OFCOM determine that there are reasonable grounds for believing that a person has contravened section 33, they may give him a notification under this section.

(2) A notification under this section is one which—

(a) sets out the determination made by OFCOM; <sup>F4</sup> ...

[<sup>F5</sup>(b) specifies the contravention in respect of which the determination has been made;

(c) specifies the period during which the person notified has an opportunity to make representations;

(d) specifies information to be provided by the person to OFCOM; and

(e) specifies any penalty which OFCOM are minded to impose in accordance with section 35A.]

<sup>F6</sup>(3) .....

<sup>F6</sup>(4) .....

<sup>F6</sup>(5) .....

<sup>F6</sup>(6) .....

<sup>F6</sup>(7) .....

(8) A notification under this section—

(a) may be given in respect of more than one contravention of section 33; and

(b) if it is given in respect of a continuing contravention, may be given in respect of any period during which the contravention has continued.

(9) Where a notification under this section has been given to a person in respect of a contravention of section 33, OFCOM may give a further notification in respect of the same contravention if, and only if—

(a) the subsequent notification is in respect of so much of a period during which the contravention in question was continuing as falls after a period to which the earlier notification relates; or

(b) the earlier notification has been withdrawn without a penalty having been imposed by reference to the notified contravention.

<sup>F7</sup>(10) .....

#### Textual Amendments

**F4** Word in s. 35(2)(a) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 10(a)** (with Sch. 3 paras. 2, 3)

**F5** S. 35(2)(b)-(e) substituted for s. 35(2)(b) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 10(a)** (with Sch. 3 paras. 2, 3)

**F6** S. 35(3)-(7) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 10(b)** (with Sch. 3 paras. 2, 3)

**F7** S. 35(10) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 10(b)** (with Sch. 3 paras. 2, 3)

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#### Commencement Information

- I7** S. 35 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I8** S. 35 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

#### [<sup>F8</sup>35A. Penalties for contravention of section 33

- (1) This section applies where a person is given a notification under section 35 which specifies a proposed penalty.
- (2) Where the notification relates to more than one contravention, a separate penalty may be specified in respect of each contravention.
- (3) Where the notification relates to a continuing contravention, no more than one penalty may be specified in respect of the period of contravention specified in the notification.
- (4) But, in relation to a continuing contravention, a penalty may be specified in respect of each day on which the contravention continues after—
  - (a) the giving of a confirmation decision under section 36(4)(c) which requires immediate action; or
  - (b) the expiry of any period specified in the confirmation decision for complying with a requirement so specified.
- (5) The amount of a penalty under subsection (4) is to be such amount not exceeding £100 per day as OFCOM determine to be—
  - (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.
- (6) The amount of any other penalty specified in a notification under section 35 is to be such amount not exceeding £10,000 as OFCOM determine to be—
  - (a) appropriate, and
  - (b) proportionate to the contravention in respect of which it is imposed.
- (7) The Secretary of State may by order amend this section so as to substitute a different maximum penalty for the maximum penalty for the time being specified in subsection (6).
- (8) No order is to be made containing provision authorised by subsection (7) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.]

#### Textual Amendments

- F8** S. 35A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 11](#) (with [Sch. 3 paras. 2, 3](#))

#### 36 [<sup>F9</sup>Confirmation decision] for contravention of s. 33

- (1) This section applies where—
  - (a) a person (“the notified provider”) has been given a notification under section 35;

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- (b) OFCOM have allowed the notified provider an opportunity of making representations about the notified determination; and
- (c) the period allowed for the making of the representations has expired.

[<sup>F10</sup>(2) OFCOM may—

- (a) give the notified provider a decision (a “confirmation decision”) confirming the imposition of requirements in accordance with the notification under section 35; or
- (b) inform the notified provider that they are satisfied with the notified provider’s representations and that no further action will be taken.

- (3) OFCOM may not give a confirmation decision to the notified provider unless, after considering any representations, they are satisfied that the notified provider has, in one or more of the respects notified, been in contravention of section 33.]

[<sup>F11</sup>(4) A confirmation decision—

- (a) must be given to the person without delay;
- (b) must include reasons for the decision;
- (c) may require immediate action by the person to comply with requirements imposed by virtue of section 35(2)(d), or may specify a period within which the person must comply with those requirements; and
- (d) may require the person to pay—
  - (i) the penalty specified in the notification under section 35, or
  - (ii) such lesser penalty as OFCOM consider appropriate in the light of the person’s representations or steps taken by the person to comply with the condition or remedy the consequences of the contravention, and may specify the period within which the penalty is to be paid.]

- (5) It shall be the duty of a person to whom [<sup>F12</sup>a confirmation decision] has been given to comply with [<sup>F13</sup>any requirement imposed by it] .

(6) That duty shall be enforceable in civil proceedings by OFCOM—

- (a) for an injunction;
- (b) for specific performance of a statutory duty under section 45 of the Court of Session Act 1988 (c. 36); or
- (c) for any other appropriate remedy or relief.

[<sup>F14</sup>(7) A penalty imposed by a confirmation decision—

- (a) must be paid to OFCOM; and
- (b) if not paid within the period specified by them, is to be recoverable by them accordingly.]

#### Textual Amendments

- F9** Words in s. 36 heading substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 12(e)** (with Sch. 3 paras. 2, 3)
- F10** S. 36(2)(3) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 12(a)** (with Sch. 3 paras. 2, 3)
- F11** S. 36(4) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 12(b)** (with Sch. 3 paras. 2, 3)
- F12** Words in s. 36(5) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 12(c)(i)** (with Sch. 3 paras. 2, 3)



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- F13** Word in s. 36(5) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 12(c)(ii)** (with Sch. 3 paras. 2, 3)
- F14** S. 36(7) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 12(d)** (with Sch. 3 paras. 2, 3)

#### Commencement Information

- I9** S. 36 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I10** S. 36 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### **F15** 37 Penalties for contravention of s. 33

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#### Textual Amendments

- F15** S. 37 omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 13** (with Sch. 3 paras. 2, 3)

#### Commencement Information

- I11** S. 37 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I12** S. 37 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### *Administrative charges imposed on providers*

## **38 Fixing of charges**

- (1) A person who, at any time in a charging year, is a person to whom this section applies shall—
- in respect of the network, service or facility provided or made available by him,
  - in respect of the application to him of a universal service condition relating to matters mentioned in section 66(3),
  - in respect of the application to him of an SMP apparatus condition, or
  - in respect of the application of the electronic communications code in his case,
- pay to OFCOM the administrative charge (if any) that is fixed by them for the case that is applicable to him.
- (2) This section applies to a person at a time if, at that time, he is—
- providing an electronic communications network of a description which is, at that time, designated for the purposes of this section;
  - providing an electronic communications service of a description which is, at that time, so designated;
  - making available an associated facility of a description which is, at that time, so designated;
  - a person who without being a communications provider is designated in accordance with regulations under section 66;
  - a supplier of apparatus to whom an SMP apparatus condition applies; or

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- (f) a person in whose case the electronic communications code applies by virtue of a direction given under section 106 otherwise than for the purposes of the provision by him of an electronic communications network of a designated description.
- (3) OFCOM are not to fix the administrative charge for a charging year unless—
- (a) at the time the charge is fixed there is in force a statement by OFCOM of the principles that OFCOM are proposing to apply in fixing charges under this section for that year; and
  - (b) the charge is fixed in accordance with those charging principles.
- (4) Those principles must be such as appear to OFCOM to be likely to secure, on the basis of such estimates of the likely costs as it is practicable for them to make—
- (a) that, on a year by year basis, the aggregate amount of the charges payable to OFCOM is sufficient to meet, but does not exceed, the annual cost to OFCOM of carrying out the functions mentioned in subsection (5);
  - (b) that the cost of carrying out those functions is met by the imposition of charges that are objectively justifiable and proportionate to the matters in respect of which they are imposed;
  - (c) that the relationship between meeting the cost of carrying out those functions and the amounts of the charges is transparent;
  - (d) that the charges fixed for persons who are liable to charges by reason only of being persons to whom SMP apparatus conditions apply are referable only to things done in, or in connection with, the setting, modification or enforcement of SMP apparatus conditions or the carrying out of the functions mentioned in subsection (6)(l); and
  - (e) that the charges fixed for persons who are liable to charges by reason only of being persons falling within subsection (2)(f), are referable only to costs incurred in, or in connection with, the carrying out of the functions mentioned in subsection (6)(g) and (l).
- (5) Those functions are—
- (a) the relevant Chapter 1 functions;
  - (b) the carrying out for a Chapter 1 purpose of any research by OFCOM or the Consumer Panel into any of the matters mentioned in section 14(1)(c) to (f);
  - (c) the publication under section 26 of any information or advice that it appears to OFCOM to be appropriate to make available to the persons mentioned in subsection (2)(a) to (c) of that section; and
  - (d) the function of taking any steps that OFCOM consider it necessary to take—
    - (i) in preparation for the carrying out of any of the functions mentioned in paragraphs (a) to (c) of this subsection; or
    - (ii) for the purpose of facilitating the carrying out of those functions or otherwise in connection with carrying them out.
- (6) The relevant Chapter 1 functions are—
- (a) OFCOM’s functions under sections 33 to 37 and 44;
  - (b) the setting, modification and enforcement of conditions under section 45;
  - (c) the supervision, as respects the requirements of sections 33 to 37 and of any such conditions, of communications providers and of persons who make associated facilities available;

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- (d) the monitoring of compliance with those requirements and with any such conditions;
  - (e) the functions conferred on OFCOM by or under section 55;
  - <sup>F16</sup>(f) . . . . .
  - (g) their functions under sections 106 to 119;
  - (h) their functions under sections 185 to 191;
  - (i) securing international co-operation in relation to the regulation of electronic communications networks, electronic communications services and associated facilities;
  - (j) securing the harmonisation and standardisation of the regulation of electronic communications networks, electronic communications services and associated facilities;
  - (k) market analysis and any monitoring of the controls operating in the markets for electronic communications networks, electronic communications services and associated facilities;
  - (l) OFCOM's functions under this section and sections 39 to 43.
- (7) A purpose is a Chapter 1 purpose for the purposes of subsection (5)(b) if it is the purpose of ascertaining the effectiveness of one or more of the following—
- (a) the regulation of the provision of electronic communications networks or electronic communications services;
  - (b) the regulation of the making available of associated facilities;
  - (c) the mechanisms in place for the handling, by communications providers and by persons making such facilities available, of complaints made to them by consumers in markets for such services or facilities;
  - (d) the mechanisms in place for resolving disputes between such consumers and communications providers or persons who make such facilities available.
- (8) OFCOM's power to fix charges for a particular case includes—
- (a) power to provide that the charges in that case are to be equal to the amounts produced by a computation made in the manner, and by reference to the factors, specified by them;
  - (b) power to provide for different charges to be imposed in that case on different descriptions of persons; and
  - (c) power to provide for particular descriptions of persons falling within subsection (2)(d) to (f) to be excluded from the liability to pay charges in that case.
- (9) As soon as reasonably practicable after the end of each charging year, OFCOM must publish a statement setting out, in respect of that year—
- (a) the aggregate amounts of the administrative charges for that year that have been received by OFCOM;
  - (b) the aggregate amount of the administrative charges for that year that remain outstanding and are likely to be paid or recovered; and
  - (c) the cost to OFCOM of carrying out the functions mentioned in subsection (5).
- (10) Any deficit or surplus shown (after applying this subsection for all previous years) by a statement under subsection (9) shall be carried forward and taken into account in determining what is required to satisfy the requirement imposed by virtue of subsection (4)(a) in relation to the following year.

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

(11) Section 34 applies in relation to the making and withdrawal of a designation for the purposes of this section as it applies to the making and withdrawal of a designation for the purposes of section 33.

[<sup>F17</sup>(11A) For the purposes of this section, the cost to OFCOM of carrying out the functions mentioned in subsection (5) does not include the cost to OFCOM of doing anything for which they charge a fee under section 28A.]

(12) In this section “charging year” means—

- (a) the period beginning with the commencement of this section and ending with the next 31st March; or
- (b) any subsequent period of twelve months beginning with 1st April.

#### Textual Amendments

- F16** S. 38(6)(f) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 14** (with [Sch. 3 para. 2](#))
- F17** S. 38(11A) inserted (31.7.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 101(3)**, 118(6); [S.I. 2017/765](#), reg. 2(cc)

#### Commencement Information

- I13** S. 38 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I14** S. 38 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 39 Supplemental provision about fixing charges

- (1) OFCOM’s power to fix a charge under section 38—
- (a) is to be exercisable only by the publication or giving of such notification as they consider appropriate for bringing the charge to the attention of the persons who, in their opinion, are likely to be affected by it; and
  - (b) includes power, by setting it out in that notification, to fix the time at which the charge is to become due to OFCOM.
- (2) A charge fixed under section 38 for a charging year may be fixed in terms providing for a deduction from the charge on a proportionate basis to be made for a part of the year during which—
- (a) the network, service or facility in respect of which it is fixed is not provided or made available by the person otherwise liable to the charge;
  - (b) the universal service condition in respect of which it is fixed does not apply in that person’s case;
  - (c) the SMP apparatus condition in respect of which it is fixed does not apply in that person’s case; or
  - (d) the electronic communications code does not apply in that person’s case.
- (3) Such a charge may also be fixed (subject to subsection (4)) so that it is referable, in whole or in part—
- (a) to the provision or making available of a network, service or facility during a part of the year falling before the fixing of the charge;
  - (b) to the application of a universal service condition to a person for a part of the year so falling;

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- (c) to a person’s being a person to whom an SMP apparatus condition applies for a part of the year so falling; or
  - (d) to the application of the electronic communications code in a person’s case during a part of the year so falling.
- (4) A charge may be fixed so as to be referable to a time before it is fixed to the extent only that both—
- (a) the imposition of the charge, and
  - (b) the amount of the charge,
- are required by, and consistent with, the statement of charging principles in force at the beginning of the charging year.
- (5) Before making or revising a statement of charging principles, OFCOM must consult such of the persons who, in OFCOM’s opinion, are likely to be affected by those principles as they think fit.
- (6) The way in which a statement of charging principles must be made or may be revised is by the publication of the statement or revised statement in such manner as OFCOM consider appropriate for bringing it to the attention of the persons who, in their opinion, are likely to be affected by it.
- (7) References in this section to a statement of charging principles are references to a statement by OFCOM of the principles that they are proposing to apply in fixing charges under section 38 for a charging year.
- (8) In this section “charging year” has the same meaning as in section 38.

**Commencement Information**

- I15** S. 39 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I16** S. 39 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

**40 Notification of non-payment of charges**

- (1) OFCOM are not entitled to bring proceedings for the recovery from a person of an administrative charge fixed for any year under section 38 unless they have given that person a notification under this section with respect to the amount they are seeking to recover.
- (2) Where OFCOM determine that there are reasonable grounds for believing that a person is in contravention (whether in respect of the whole or a part of a charge) of a requirement to pay such an administrative charge, they may give him a notification under this section.
- (3) A notification under this section is one which—
  - (a) sets out the determination made by OFCOM; and
  - (b) specifies the period during which the person notified has an opportunity of <sup>F18</sup>making representations about the notified determination].

<sup>F19</sup>(4) .....

<sup>F19</sup>(5) .....

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- F19(6) .....
- F19(7) .....
- F19(8) .....
- (9) A notification under this section—
  - (a) may be given in respect of contraventions of more than one requirement to pay an administrative charge; and
  - (b) if it is given in respect of a continuing contravention, may be given in respect of any period during which the contravention has continued.
- (10) Where a notification under this section has been given to a person in respect of an amount outstanding, OFCOM may give a further notification in respect of the whole or a part of that amount if, and only if—
  - (a) the subsequent notification is in respect of so much of a period during which that amount was outstanding as falls after a period to which the earlier notification relates; or
  - (b) the earlier notification has been withdrawn without a penalty having been imposed in respect of the matters notified.
- F20(11) .....

**Textual Amendments**

**F18** Words in s. 40(3)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 15(a)** (with Sch. 3 paras. 2, 4)

**F19** S. 40(4)-(8) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 15(b)** (with Sch. 3 paras. 2, 4)

**F20** S. 40(11) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 15(b)** (with Sch. 3 paras. 2, 4)

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**Commencement Information**

**I17** S. 40 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I18** S. 40 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

**41 Penalties for non-payment of charges**

- (1) This section applies where—
  - (a) a person (“the notified charge payer”) has been given a notification under section 40;
  - (b) OFCOM have allowed the notified charge payer an opportunity of making representations about the notified determination; and
  - (c) the period allowed for the making of the representations has expired.
- (2) OFCOM may impose a penalty on the notified charge payer if he—
  - (a) has, in one or more of the respects notified, been in contravention of a requirement to pay an administrative charge fixed under section 38; <sup>F21</sup>...
  - F21(b) .....

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- (3) Where a notification under section 40 relates to more than one contravention, a separate penalty may be imposed in respect of each contravention.
- (4) Where such a notification relates to a continuing contravention, no more than one penalty may be imposed in respect of the period of contravention specified in the notification.
- (5) The amount of a penalty imposed under this section is to be such amount, not exceeding twice the amount of the charge fixed for the relevant year, as OFCOM determine to be—
  - (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.
- (6) In making that determination OFCOM must have regard to—
  - (a) any representations made to them by the notified charge payer; and
  - (b) any steps taken by him towards paying the amounts that he was notified under section 40 were outstanding.
- (7) Where OFCOM impose a penalty on a person under this section, they shall—
  - (a) <sup>[F22]</sup>without delay,] notify that person of that decision and of their reasons for that decision; and
  - (b) in that notification, fix a reasonable period after it is given as the period within which the penalty is to be paid.
- (8) A penalty imposed under this section—
  - (a) must be paid to OFCOM; and
  - (b) if not paid within the period fixed by them, is to be recoverable by them accordingly.
- (9) In this section “the relevant year”, in relation to a contravention of a requirement to pay the whole or a part of the administrative charge fixed for any year, means that year.
- (10) The provisions of this section do not affect OFCOM’s power, apart from those provisions, to bring proceedings (whether before or after the imposition of a penalty under this section) for the recovery of the whole or part of an amount due to them under section 38(1).

#### Textual Amendments

- F21** S. 41(2)(b) and preceding word omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 16(a)** (with Sch. 3 paras. 2, 4)
- F22** Words in s. 41(7)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 16(b)** (with Sch. 3 paras. 2, 4)

#### Commencement Information

- I19** S. 41 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I20** S. 41 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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## 42 Suspending service provision for non-payment

- (1) OFCOM may give a direction under this section to a person who is a communications provider or who makes associated facilities available (“the contravening provider”) if they are satisfied—
- (a) that he is or has been in [<sup>F23</sup>serious or repeated] contravention of requirements to pay administrative charges fixed under section 38 (whether in respect of the whole or a part of the charges);
  - (b) that the contraventions are not contraventions relating only to charges in respect of the application to the contravening provider of SMP apparatus conditions;
  - [<sup>F24</sup>(ba) that, in the case of a single serious contravention, a notification has been given to the contravening provider under section 40 and the period for making representations under that section has expired;]
  - (c) that [<sup>F25</sup>, in the case of a repeated contravention,] the bringing of proceedings for the recovery of the amounts outstanding has failed to secure complete compliance by the contravening provider with the requirements to pay the charges fixed in his case, or has no reasonable prospect of securing such compliance;
  - (d) that [<sup>F26</sup>, in the case of a repeated contravention,] an attempt, by the imposition of penalties under section 41, to secure such compliance has failed; and
  - [<sup>F27</sup>(e) that the giving of the direction is appropriate and proportionate to the contravention in respect of which it is given.]
- (2) A direction under this section is—
- (a) a direction that the entitlement of the contravening provider to provide electronic communications networks or electronic communications services, or to make associated facilities available, is suspended (either generally or in relation to particular networks, services or facilities); or
  - (b) a direction that that entitlement is restricted in the respects set out in the direction.
- (3) A direction under this section—
- (a) must specify the networks, services and facilities to which it relates; and
  - (b) except so far as it otherwise provides, takes effect for an indefinite period beginning with the time at which it is notified to the person to whom it is given.
- (4) A direction under this section—
- (a) in providing for the effect of a suspension or restriction to be postponed may provide for it to take effect only at a time determined by or in accordance with the terms of the direction; and
  - (b) in connection with the suspension or restriction contained in the direction or with the postponement of its effect, may impose such conditions on the contravening provider as appear to OFCOM to be appropriate for the purpose of protecting that provider’s customers.
- (5) Those conditions may include a condition requiring the making of payments—
- (a) by way of compensation for loss or damage suffered by the contravening provider’s customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.



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- (6) OFCOM are not to give a direction under this section unless they have—
- (a) notified the contravening provider of the proposed direction and of the conditions (if any) which they are proposing to impose by that direction;
  - (b) provided him with an opportunity of making representations about the proposals and of proposing steps for remedying the situation; and
  - (c) considered every representation and proposal made to them during the period allowed by them for the contravening provider to take advantage of that opportunity.

[<sup>F28</sup>(7) That period is such reasonable period as OFCOM may specify, beginning with the day of the giving of the notification.]

- (8) If OFCOM consider it appropriate to do so (whether or not in consequence of any representations or proposals made to them), they may revoke a direction under this section, or modify its conditions—
- (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such networks, services or facilities, or parts of a network, service or facility, as they may determine.

- (9) For the purposes of this section there are repeated contraventions by a person of requirements to pay administrative charges to the extent that—
- (a) in the case of a previous notification given to that person under section 40, OFCOM have determined for the purposes of section 41(2) that such a contravention did occur; and
  - (b) in the period of [<sup>F29</sup>24] months following the day of the making of that determination, one or more further notifications have been given to that person in respect of the same or different failures to pay administrative charges.

#### Textual Amendments

- F23** Words in s. 42(1)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 17(a)(i)** (with Sch. 3 paras. 2, 4)
- F24** S. 42(1)(ba) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 17(a)(ii)** (with Sch. 3 paras. 2, 4)
- F25** Words in s. 42(1)(c) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 17(a)(iii)** (with Sch. 3 paras. 2, 4)
- F26** Words in s. 42(1)(d) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 17(a)(iv)** (with Sch. 3 paras. 2, 4)
- F27** S. 42(1)(e) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 17(a)(v)** (with Sch. 3 paras. 2, 4)
- F28** S. 42(7) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 17(b)** (with Sch. 3 paras. 2, 4)
- F29** Word in s. 42(9)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 17(c)** (with Sch. 3 paras. 2, 4, 5)

#### Commencement Information

- I21** S. 42 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I22** S. 42 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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#### **43 Enforcement of directions under s. 42**

- (1) A person is guilty of an offence if he provides an electronic communications network or electronic communications service, or makes available any associated facility—
  - (a) while his entitlement to do so is suspended by a direction under section 42; or
  - (b) in contravention of a restriction contained in such a direction.
- (2) A person guilty of an offence under subsection (1) shall be liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.
- (3) The duty of a person to comply with a condition of a direction under section 42 shall be a duty owed to every person who may be affected by a contravention of the condition.
- (4) Where a duty is owed by virtue of subsection (3) to a person—
  - (a) a breach of the duty that causes that person to sustain loss or damage, and
  - (b) an act which—
    - (i) by inducing a breach of the duty or interfering with its performance, causes that person to sustain loss or damage, and
    - (ii) is done wholly or partly for achieving that result,
 shall be actionable at the suit or instance of that person.
- (5) In proceedings brought against a person by virtue of subsection (4)(a) it shall be a defence for that person to show that he took all reasonable steps and exercised all due diligence to avoid contravening the condition in question.
- (6) Sections [F30 96A] to 99 apply in relation to a contravention of conditions imposed by a direction under section 42 as they apply in relation to a contravention of conditions set under section 45.

##### **Textual Amendments**

- F30** Word in s. 43(6) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 18** (with Sch. 3 paras. 2, 4)

##### **Commencement Information**

- I23** S. 43 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I24** S. 43 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

#### *Register of providers required to notify or to pay charges*

#### **44 Duty of OFCOM to keep publicly accessible register**

- (1) It shall be the duty of OFCOM to establish and maintain a register for the purposes of section 33.
- (2) OFCOM must record in the register—
  - (a) every designation by them for the purposes of section 33 or 38;
  - (b) every withdrawal by them of such a designation;
  - (c) every notification given to them under section 33; and

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- (d) every notification treated as given to them under that section by a transitional provision made under subsection (12) of that section.
- (3) Information recorded in the register must be so recorded in such manner as OFCOM consider appropriate.
- (4) It shall be the duty of OFCOM to publish a notification setting out—
  - (a) the times at which the register is for the time being available for public inspection; and
  - (b) the fees that must be paid for, or in connection with, an inspection of the register.
- (5) The publication of a notification under subsection (4) must be in such manner as OFCOM consider appropriate for bringing it to the attention of the persons who, in their opinion, are likely to be affected by it.
- (6) OFCOM must make the register available for public inspection—
  - (a) during such hours, and
  - (b) on payment of such fees,as are set out in the notification for the time being in force under subsection (4).

#### Commencement Information

- I25** S. 44 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I26** S. 44 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

*Conditions of entitlement to provide network or service etc.*

#### 45 Power of OFCOM to set conditions

- (1) OFCOM shall have the power to set conditions under this section binding the persons to whom they are applied in accordance with section 46.
- (2) A condition set by OFCOM under this section must be either—
  - (a) a general condition; or
  - (b) a condition of one of the following descriptions—
    - (i) a universal service condition;
    - (ii) an access-related condition;
    - (iii) a privileged supplier condition;
    - (iv) a significant market power condition (an “SMP condition”).
- (3) A general condition is a condition which contains only provisions authorised or required by one or more of sections 51, 52, 57, 58 or 64.
- (4) A universal service condition is a condition which contains only provisions authorised or required by section 67.
- (5) An access-related condition is a condition which contains only provisions authorised by section 73.

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (6) A privileged supplier condition is a condition which contains only the provision required by section 77.
- (7) An SMP condition is either—
- (a) an SMP services condition; or
  - (b) an SMP apparatus condition.
- (8) An SMP services condition is a condition which contains only provisions which—
- (a) are authorised or required by one or more of sections 87 to [F3191] ; or
  - (b) in the case of a condition applying to a person falling within section 46(8)(b), correspond to provision authorised or required by one or more of sections 87 to [F3289A] .
- (9) An SMP apparatus condition is a condition containing only provisions authorised by section 93.
- (10) OFCOM’s power to set a condition under this section making provision authorised or required by this Chapter includes each of the following—
- (a) power to impose a requirement on the person or persons to whom the condition is applied to comply with such directions with respect to the matters to which the condition relates as may be given from time to time by OFCOM or by another person specified in the condition;
  - (b) power to impose an obligation with respect to those matters that is framed by reference to, or is conditional upon, the giving of a consent or of an approval, or on the making of a recommendation, by OFCOM or by another person so specified;
  - (c) power, for the purposes of provision made by virtue of either of the preceding paragraphs, to confer a discretion exercisable from time to time by OFCOM or by another person specified in the condition or determined in accordance with provision contained in it;
  - (d) power (subject to section 51(3)) to set different conditions for different cases (including different conditions in relation to different parts of the United Kingdom); and
  - (e) power to revoke or modify the conditions for the time being in force.
- (11) The directions that may be authorised by virtue of subsection (10) do not include directions withdrawing, suspending or restricting a person’s entitlement—
- (a) to provide, in whole or in part, any electronic communications network or electronic communications service; or
  - (b) to make available, in whole or in part, any associated facilities.

#### Textual Amendments

- F31** Word in s. 45(8)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 19(a)** (with Sch. 3 para. 2)
- F32** Word in s. 45(8)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 19(b)** (with Sch. 3 para. 2)

#### Commencement Information

- I27** S. 45 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

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*Status:* Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
*Changes to legislation:* Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

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**128** S. 45 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, **art. 3(2)** (with **art. 11**)

## **46 Persons to whom conditions may apply**

- (1) A condition set under section 45 is not to be applied to a person except in accordance with the following provisions of this section.
- (2) A general condition may be applied generally—
  - (a) to every person providing an electronic communications network or electronic communications service; or
  - (b) to every person providing such a network or service of a particular description specified in the condition.
- (3) A universal service condition, access-related condition, privileged supplier condition or SMP condition may be applied to a particular person specified in the condition.
- (4) A privileged supplier condition may also be applied generally—
  - (a) to every person to whom such a condition is required to apply under section 77; or
  - (b) to every such person who is of a particular description specified in the condition.
- (5) The particular person to whom a universal service condition is applied—
  - (a) except in the case of a condition relating to matters mentioned in subsection (3) of section 66, must be a communications provider designated in accordance with regulations under that section; and
  - (b) in that excepted case, must be a communications provider so designated or a person who is not such a provider but who is so designated for the purposes only of conditions relating to those matters.
- (6) The particular person to whom an access-related condition is applied—
  - (a) in the case of a condition falling within section 74(1), may be any person whatever; and
  - (b) in any other case, must be a person who provides an electronic communications network or makes associated facilities available.
- (7) The particular person to whom an SMP services condition is applied must—
  - (a) be a communications provider or a person who makes associated facilities available; and
  - (b) fall within subsection (8).
- (8) A person falls within this subsection if—
  - (a) he is a person whom OFCOM have determined to be a person having significant market power in a specific market for electronic communications networks, electronic communications services or associated facilities (a “services market”); or
  - (b) it appears to OFCOM that he is a person on whom it is necessary, for the purpose of securing compliance with an international obligation of the United Kingdom, to impose a condition containing provision that corresponds to provision which, in the case of a person falling within paragraph (a), must be made (or may be made) under any of sections 87 to <sup>F33</sup>89A .
- (9) The particular person to whom an SMP apparatus condition is applied must be—

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (a) a person who supplies electronic communications apparatus; and
- (b) a person whom OFCOM have determined to be a person having significant market power in a specific market for electronic communications apparatus (an “apparatus market”).

#### Textual Amendments

**F33** Word in s. 46(8)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 20** (with Sch. 3 para. 2)

#### Commencement Information

**I29** S. 46 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I30** S. 46 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 47 Test for setting or modifying conditions

- (1) OFCOM must not, in exercise or performance of any power or duty under this Chapter—
  - (a) set a condition under section 45, or
  - (b) modify such a condition,
 unless they are satisfied that the condition or (as the case may be) the modification satisfies the test in subsection (2).
- (2) That test is that the condition or modification is—
  - (a) objectively justifiable in relation to the networks, services, facilities, apparatus or directories to which it relates [<sup>F34</sup>(but this paragraph is subject to subsection (3))];
  - (b) not such as to discriminate unduly against particular persons or against a particular description of persons;
  - (c) proportionate to what the condition or modification is intended to achieve; and
  - (d) in relation to what it is intended to achieve, transparent.

[<sup>F35</sup>(3) Subsection (2)(a) does not apply in relation to the setting of a general condition.]

#### Textual Amendments

**F34** Words in s. 47(2)(a) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 21(a)** (with Sch. 3 para. 2)

**F35** S. 47(3) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 21(b)** (with Sch. 3 para. 2)

#### Commencement Information

**I31** S. 47 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I32** S. 47 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 48 Procedure for setting, modifying and revoking conditions

- (1) Subject to the following provisions of this Chapter—

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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- (a) the way in which conditions are to be set or modified under section 45 is by the publication of a notification setting out the conditions or modifications; and
  - (b) the way in which such a condition is to be revoked is by the publication of a notification stating that the condition is revoked.
- [<sup>F36</sup>(2) Where section 48A applies, OFCOM must comply with the applicable requirements of that section and section 48B before—
- (a) setting conditions under section 45; or
  - (b) modifying or revoking a condition so set.
- (2A) Where section 48A does not apply to the setting, modification or revocation of conditions because of subsection (2) of that section—
- (a) the conditions, or their modification or revocation, must be temporary; and
  - (b) the notification published under subsection (1) of this section must state the period for which the conditions, or their modification or revocation, are to have effect.
- (2B) Where OFCOM propose to extend or make permanent any such temporary conditions, modification or revocation—
- (a) sections 48A and 48B(1) do not apply in relation to the proposal; and
  - (b) subsections (2) to (9) of section 48B apply in relation to the proposal as if the words from the beginning of subsection (2) to “appropriate” were omitted.]
- (4) In the case of a [<sup>F37</sup>proposal by OFCOM] with respect to an SMP condition, the applicable requirements of sections 79 to 86 must also be complied with.
- <sup>F38</sup>(5) . . . . .
- (6) The publication of a notification under this section [<sup>F39</sup>or section 48A] must be in such manner as appears to OFCOM to be appropriate for bringing the contents of the notification—
- (a) in the case of a notification setting general conditions, to the attention of such persons as OFCOM consider appropriate; and
  - (b) in any other case, to the attention of the persons who, in OFCOM’s opinion, are likely to be affected by the contents of the notification.
- (7) Nothing in the following provisions of this Chapter imposing a duty on OFCOM to set or modify a condition shall be taken as dispensing with any of the requirements of this section [<sup>F40</sup>, section 48A or section 48B] .

**Textual Amendments**

- F36** S. 48(2)-(2B) substituted for s. 48(2)(3) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 22(a)** (with Sch. 3 paras. 2, 6)
- F37** Words in s. 48(4) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 22(b)** (with Sch. 3 paras. 2, 6)
- F38** S. 48(5) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 22(c)** (with Sch. 3 paras. 2, 6)
- F39** Words in s. 48(6) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 22(d)** (with Sch. 3 paras. 2, 6)
- F40** Words in s. 48(7) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 22(e)** (with Sch. 3 paras. 2, 6)

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
**Changes to legislation:** *Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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#### **Commencement Information**

- I33** S. 48 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I34** S. 48 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

#### **[<sup>F41</sup>48A. Domestic consultation for section 45 conditions**

- (1) This section applies where OFCOM propose to set, modify or revoke—
  - (a) SMP apparatus conditions; or
  - (b) any other conditions set under section 45 where what is proposed would, in OFCOM's opinion, have a significant impact on a market for any of the services, facilities, apparatus or directories in relation to which they have functions under this Chapter.
- (2) But this section does not apply where the proposal is of EU significance and in OFCOM's opinion—
  - (a) there are exceptional circumstances; and
  - (b) there is an urgent need to act in order to safeguard competition and to protect the interests of consumers.
- (3) OFCOM must publish a notification—
  - (a) stating that they are proposing to set, modify or revoke the conditions that are specified in the notification;
  - (b) setting out the effect of those conditions, modifications or revocations;
  - (c) giving their reasons for making the proposal; and
  - (d) specifying the period within which representations may be made to OFCOM about their proposal.
- (4) That period must end no less than one month after the day of the publication of the notification.
- (5) But where OFCOM are satisfied that there are exceptional circumstances justifying the use of a shorter period, the period specified as the period for making representations may be whatever shorter period OFCOM consider reasonable in those circumstances.
- (6) OFCOM must—
  - (a) consider every representation about the proposal made to them during the period specified in the notification; and
  - (b) have regard to every international obligation of the United Kingdom (if any) which has been notified to them for the purposes of this paragraph by the Secretary of State.
- (7) Where the proposal is not of EU significance, OFCOM may then give effect to it, with any modifications that appear to OFCOM to be appropriate.

#### **Textual Amendments**

- F41** Ss. 48A-48C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 23](#) (with [Sch. 3 paras. 2, 6](#))



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#### **48B. EU consultation for section 45 conditions**

- (1) This section applies where, after complying with section 48A(6) in relation to a proposal of EU significance, OFCOM wish to proceed with the proposal.
- (2) After making any modifications of the proposal that appear to OFCOM to be appropriate, OFCOM must send a copy of the proposal, and of a statement setting out the reasons for it, to—
  - (a) the European Commission;
  - (b) BEREC; and
  - (c) the regulatory authorities in every other member State.
- (3) If at the end of the period of one month referred to in Article 7(3) of the Framework Directive no notification has been given to OFCOM by the Commission under Article 7a(1) of that Directive, OFCOM may give effect to the proposal, with any modifications that appear to OFCOM to be appropriate.
- (4) Before giving effect to the proposal under subsection (3), OFCOM must consider any comments made by—
  - (a) the Commission;
  - (b) BEREC; and
  - (c) any regulatory authority in any other member State.
- (5) Subsections (6) to (9) apply where such a notification is given by the Commission to OFCOM during that period.
- (6) During the period of 3 months beginning with the notification, OFCOM must cooperate with the Commission and BEREC to identify the most appropriate and effective measure.
- (7) OFCOM may give effect to the proposal, with any modifications that appear to them to be appropriate, within one month (or such longer period as may be allowed under paragraph (6) of Article 7a of the Framework Directive) of the Commission—
  - (a) issuing a recommendation to amend or withdraw the proposal in accordance with paragraph (5)(a) of that Article; or
  - (b) taking a decision to lift its reservations in accordance with paragraph (5)(b) of that Article.
- (8) In a case in which OFCOM give effect to the proposal despite a recommendation of the Commission to amend or withdraw the proposal, OFCOM must send to the Commission a copy of a reasoned justification for their decision.
- (9) If at the end of the period of one month referred to in paragraph (5) of Article 7a of the Framework Directive the Commission has neither issued a recommendation nor lifted its reservations in accordance with that paragraph, OFCOM may give effect to the proposal, with any modifications that appear to them to be appropriate.

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#### **Textual Amendments**

**F41** Ss. 48A-48C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 23** (with Sch. 3 paras. 2, 6)

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
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#### 48C. Delivery of copies of notifications etc. in respect of section 45 conditions

- (1) OFCOM must send to the Secretary of State a copy of every notification published under section 48(1) or 48A(3).
- (2) OFCOM must send to the European Commission a copy of every notification published under section 48(1) with respect to—
  - (a) a universal service condition;
  - (b) an access-related condition falling within section 73(2);
  - (c) an SMP services condition.
- (3) OFCOM must send to BEREC a copy of every notification published under section 48(1) with respect to a proposal of EU significance.
- (4) Where a notification published under section 48(1) relates to a proposal to which section 48A did not apply because of subsection (2) of that section, OFCOM must send a copy of a statement setting out the reasons for the proposal and for the urgent need to act to—
  - (a) the Commission;
  - (b) BEREC; and
  - (c) the regulatory authorities in every other member State.]

#### Textual Amendments

**F41** Ss. 48A-48C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 23** (with Sch. 3 paras. 2, 6)

#### 49 Directions and approvals for the purposes of a s. 45 condition

- (1) This section applies where—
  - (a) a condition set under section 45 has effect by reference to directions, approvals or consents given by a person (whether OFCOM themselves or another); and
  - (b) that person [<sup>F42</sup>(referred to in this section and sections 49A to 49C as “the responsible person”)] is proposing to give a direction, approval or consent that affects the operation of that condition or to modify or withdraw a direction, approval or consent so as to affect the condition’s operation.
- (2) [<sup>F43</sup>The responsible person] must not give, modify or withdraw the direction, approval or consent unless he is satisfied that to do so is—
  - (a) objectively justifiable in relation to the networks, services, facilities, apparatus or directories to which it relates [<sup>F44</sup>(but this paragraph is subject to subsection (2A))];
  - (b) not such as to discriminate unduly against particular persons or against a particular description of persons;
  - (c) proportionate to what it is intended to achieve; and
  - (d) in relation to what it is intended to achieve, transparent.

[<sup>F45</sup>(2A) Subsection (2)(a) does not apply in relation to a direction, approval or consent affecting a general condition.]

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (3) [<sup>F46</sup>Where the responsible person is a person other than OFCOM, that person shall in giving, modifying or withdrawing the direction] be under the same duty as OFCOM to act in accordance with the six Community requirements set out in section 4.
- [<sup>F47</sup>(4) Where section 49A applies, the applicable requirements of that section and section 49B must be complied with before the direction, approval or consent is given, modified or withdrawn.
- (4A) Where section 49A does not apply because of subsection (2) of that section—
- (a) the direction, approval or consent given, or its modification or withdrawal, must be temporary; and
  - (b) the instrument that gives, modifies or withdraws the direction, approval or consent must state the period for which it is to have effect.
- (4B) Where it is proposed to extend or make permanent any such temporary direction, approval or consent, or modification or withdrawal—
- (a) sections 49A and 49B(1) do not apply in relation to the proposal; and
  - (b) subsections (2) to (10) of section 49B apply in relation to the proposal as if for the words from the beginning of subsection (2) to “the person” were substituted “The responsible person”.
- (4C) Where the responsible person is a person other than OFCOM, that person must refer to OFCOM such of the following questions as are relevant in the case in question—
- (a) whether OFCOM is of the opinion mentioned in section 49A(1)(b);
  - (b) whether OFCOM is of the opinion mentioned in section 49A(2); and
  - (c) whether the proposal is of EU significance.
- (4D) OFCOM must immediately determine any question so referred to them.]

#### Textual Amendments

- F42** Words in s. 49(1)(b) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 24(a)** (with Sch. 3 paras. 2, 7)
- F43** Words in s. 49(2) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 24(b)(i)** (with Sch. 3 paras. 2, 7)
- F44** Words in s. 49(2)(a) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 24(b)(ii)** (with Sch. 3 paras. 2, 7)
- F45** S. 49(2A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 24(c)** (with Sch. 3 paras. 2, 7)
- F46** Words in s. 49(3) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 24(d)** (with Sch. 3 paras. 2, 7)
- F47** S. 49(4)-(4D) substituted for s. 49(4)-(10) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 24(e)** (with Sch. 3 paras. 2, 7)

#### Commencement Information

- I35** S. 49 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I36** S. 49 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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#### **[<sup>F48</sup>49A. Domestic consultation for directions, approvals and consents**

- (1) This section applies where the responsible person is proposing to give, modify or withdraw a direction, approval or consent for the purposes of—
  - (a) an SMP apparatus condition; or
  - (b) any other condition set under section 45 where what is proposed would, in OFCOM's opinion, have a significant impact on a market for any of the services, facilities, apparatus or directories in relation to which they have functions under this Chapter.
- (2) But this section does not apply where the proposal is of EU significance and in OFCOM's opinion—
  - (a) there are exceptional circumstances; and
  - (b) there is an urgent need to act in order to safeguard competition and to protect the interests of consumers.
- (3) The responsible person must publish a notification—
  - (a) stating that there is a proposal to give, modify or withdraw the direction, approval or consent;
  - (b) identifying the responsible person;
  - (c) setting out the direction, approval or consent to which the proposal relates;
  - (d) setting out the effect of the direction, approval or consent or of its proposed modification or withdrawal;
  - (e) giving reasons for the making of the proposal; and
  - (f) specifying the period within which representations may be made about the proposal to the responsible person.
- (4) That period must be one ending not less than one month after the day of the publication of the notification.
- (5) But where the responsible person is satisfied that there are exceptional circumstances justifying the use of a shorter period, the period specified as the period for making representations may be whatever shorter period that person considers reasonable in those circumstances.
- (6) The responsible person must—
  - (a) consider every representation about the proposal made to that person during the period specified in the notification; and
  - (b) have regard to every international obligation of the United Kingdom (if any) which has been notified to OFCOM for the purposes of this paragraph by the Secretary of State.
- (7) Where the proposal is not of EU significance, the responsible person may then give effect to the proposal, with any modifications that appear to that person to be appropriate.
- (8) The publication of a notification under this section must be in such manner as appears to the responsible person to be appropriate for bringing the contents of the notification to the attention of such persons as that person considers appropriate.

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#### Textual Amendments

**F48** Ss. 49A-49C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 25** (with [Sch. 3 paras. 2, 7](#))

### 49B. EU consultation for directions, approvals and consents

- (1) This section applies where, after complying with section 49A(6) in relation to a proposal of EU significance, the responsible person wishes to proceed with it.
- (2) After the responsible person has made any modifications of the proposal that appear to the person to be appropriate, the person must send a copy of the proposal, and of a statement setting out the reasons for it, to—
  - (a) the European Commission;
  - (b) BEREC; and
  - (c) the regulatory authorities in every other member State.
- (3) If at the end of the period of one month referred to in Article 7(3) of the Framework Directive no notification has been given to the responsible person by the Commission under Article 7a(1) of that Directive, the responsible person may give effect to the proposal, with any amendments that appear to the responsible person to be appropriate.
- (4) Before giving effect to the proposal under subsection (3), the responsible person must consider any comments made by—
  - (a) the Commission;
  - (b) BEREC; and
  - (c) any regulatory authority in any other member State.
- (5) Subsections (6) to (10) apply where such a notification is given by the Commission to the responsible person during that period.
- (6) During the period of 3 months beginning with the notification, the responsible person must co-operate with the Commission and BEREC to identify the most appropriate and effective measure.
- (7) The responsible person may give effect to the proposal, with any modifications that appear to that person to be appropriate, within one month (or such longer period as may be allowed under paragraph (6) of Article 7a of the Framework Directive) of the Commission—
  - (a) issuing a recommendation to amend or withdraw the proposal in accordance with paragraph (5)(a) of that Article; or
  - (b) taking a decision to lift its reservations in accordance with paragraph (5)(b) of that Article.
- (8) In a case in which the responsible person is a person other than OFCOM and the Commission has recommended that the proposal be amended or withdrawn, the responsible person may give effect to the proposal only with the agreement of OFCOM.
- (9) In a case in which the responsible person gives effect to the proposal despite a recommendation of the Commission to amend or withdraw it, the responsible person must send to the Commission a copy of the responsible person's reasoned justification for the decision.

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (10) If at the end of the period of one month referred to in paragraph (5) of Article 7a of the Framework Directive the Commission has neither issued a recommendation nor lifted its reservations in accordance with that paragraph, the responsible person may give effect to the proposal, with any modifications that appear to that person to be appropriate.

#### Textual Amendments

**F48** Ss. 49A-49C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 25** (with Sch. 3 paras. 2, 7)

#### **49C. Delivery of copies of notifications etc. in respect of directions, approvals and consents**

- (1) The responsible person must send to the Secretary of State—
- (a) a copy of every notification published under section 49A(3);
  - (b) a copy of every direction, approval or consent given for the purposes of a condition set under section 45; and
  - (c) a copy of every instrument modifying or withdrawing such a direction, approval or consent.
- (2) The responsible person must send to the European Commission—
- (a) a copy of every direction, approval or consent given for the purposes of a universal service condition, an access-related condition falling within section 73(2) or an SMP services condition; and
  - (b) a copy of every instrument modifying or withdrawing such a direction, approval or consent.
- (3) The responsible person must send to BEREC—
- (a) a copy of every direction, approval or consent given for the purposes of a condition set under section 45 where the proposal to give the direction, approval or consent was a proposal of EU significance;
  - (b) a copy of every instrument modifying or withdrawing a direction, approval or consent given for the purposes of a condition set under section 45 where the proposal to modify or withdraw the direction, approval or consent was a proposal of EU significance.
- (4) In a case in which the responsible person is a person other than OFCOM, the responsible person must send to OFCOM—
- (a) a copy of every notification published under section 49A(3);
  - (b) a copy of every direction, approval or consent given for the purposes of a condition set under section 45;
  - (c) a copy of every instrument modifying or withdrawing such a direction, approval or consent;
  - (d) a copy of every proposal and statement to which section 49B(2) applies;
  - (e) a copy of any comments about such a proposal made by the Commission, BEREC or any regulatory authority in any other member State;
  - (f) a copy of every notification given to the responsible person by the Commission under Article 7a(1) of the Framework Directive;

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (g) a copy of every recommendation made in respect of the proposal by the Commission under Article 7a(5)(a) of the Framework Directive.
- (5) Where because of subsection (2) of section 49A, that section did not apply in relation to a proposal to give a direction, approval or consent for the purposes of a condition, or to modify or withdraw such a direction, approval or consent, the responsible person must send a copy of a statement setting out the reasons for the proposal and for the urgent need to act to—
- (a) the Commission;
  - (b) BEREC; and
  - (c) the regulatory authorities in every other member State.
- (6) In a case in which the responsible person is a person other than OFCOM, references to OFCOM in section 395(4), (5) and (6A) are to be read as references to the responsible person in relation to copies of directions, approvals, consents, instruments and statements to which subsections (2), (3) and (5) of this section apply.]

#### Textual Amendments

**F48** Ss. 49A-49C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 25** (with Sch. 3 paras. 2, 7)

### <sup>F49</sup>50 Delivery of copies of notifications etc.

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#### Textual Amendments

**F49** S. 50 omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 26** (with Sch. 3 paras. 2, 8)

#### Commencement Information

**I37** S. 50 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I38** S. 50 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

*General conditions: subject-matter*

### 51 Matters to which general conditions may relate

- (1) Subject to sections 52 to 64, the only conditions that may be set under section 45 as general conditions are conditions falling within one or more of the following paragraphs—
- (a) conditions making such provision as OFCOM consider appropriate for protecting the interests of the end-users of public electronic communications services;
  - (b) conditions making such provision as OFCOM consider appropriate for securing service interoperability and for securing, or otherwise relating to, network access;

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- (c) conditions making such provision as OFCOM consider appropriate for securing the proper and effective functioning of public electronic communications networks;
  - (d) conditions for giving effect to determinations or regulations made under section 71;
  - (e) conditions requiring <sup>F50</sup>... the provision, availability and use, in the event of a disaster, of electronic communications networks, electronic communications services and associated facilities;
  - (f) conditions making such provision as OFCOM consider appropriate for securing the protection of public health by the prevention or avoidance of the exposure of individuals to electro-magnetic fields created in connection with the operation of electronic communications networks;
  - (g) conditions requiring compliance with relevant international standards.
- (2) The power under subsection (1)(a) to set conditions for protecting the interests of the end-users of public electronic communications services includes power to set conditions for that purpose which—
- (a) relate to the supply, provision or making available of goods, services or facilities in association with the provision of public electronic communications services; <sup>F51</sup>...
  - (b) give effect to [<sup>F52</sup>EU] obligations to provide protection for such end-users in relation to the supply, provision or making available of those goods, services or facilities.
  - <sup>F53</sup>(c) specify requirements in relation to the provision of services to disabled end-users;
  - (d) require the provision, free of charge, of specified information, or information of a specified kind, to end-users;
  - <sup>F54</sup>(da) [ require a communications provider to pay compensation to an end-user on failing to meet a specified standard or obligation;]
  - (e) in order to prevent the degradation of service and the hindering or slowing down of traffic over networks, impose minimum requirements in relation to the quality of public electronic communications networks;
  - (f) require a communications provider, in specified circumstances, to block access to telephone numbers or services in order to prevent fraud or misuse, and enable them to withhold fees payable to another communications provider in those circumstances;
  - (g) impose a limit on the duration of a contract between an end-user and a communications provider; <sup>F55</sup>...
  - (h) ensure that conditions and procedures for the termination of a contract do not act as a disincentive to an end-user changing communications provider.]
  - <sup>F56</sup>(i) [specify requirements in relation to arrangements that enable an end-user to change communications provider on request.]
- <sup>F57</sup>(2A) Where OFCOM propose to set a general condition of a kind specified in subsection (2) (e), they must—
- (a) notify the European Commission and BEREC, and
  - (b) take due account of comments and recommendations made by the Commission.]



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- (3) The power to set general conditions in relation to a description of electronic communications network or electronic communications service does not include power—
- (a) to set conditions that are made applicable according to the identity of the provider of a network or service; or
  - (b) to set conditions that differ according to the identity of the provider of the networks or services to which they relate.
- (4) The power to set general conditions falling within subsection (1)(b) does not include power to set conditions containing provision which under—
- (a) section 73, or
  - (b) any of sections 87 to <sup>F58</sup>91],
- must be or may be included, in a case in which it appears to OFCOM to be appropriate to do so, in an access-related condition or SMP condition.
- (5) The conditions falling within subsection (1)(c) include conditions making such provision as OFCOM consider appropriate for the purpose, in accordance with <sup>F52</sup>EU obligations, of preventing or restricting electro-magnetic interference—
- (a) with the provision of an electronic communications network or electronic communications service; or
  - (b) with, or with the receipt of, anything conveyed or provided by means of such a network or service.
- (6) In this section “electro-magnetic interference” means interference by means of the emission or reflection of electro-magnetic energy in the course of, or in connection with, the provision any electronic communications network or electronic communications service.
- (7) In this section “disaster” includes any major incident having a significant effect on the general public; and for this purpose a major incident includes any incident of contamination involving radioactive substances or other toxic materials.

#### Textual Amendments

- F50** Words in s. 51(1)(e) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 27(a)** (with Sch. 3 para. 2)
- F51** Word in s. 51(2)(a) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 27(b)(i)** (with Sch. 3 para. 2)
- F52** Words in Act substituted (22.4.2011) by [The Treaty of Lisbon \(Changes in Terminology\) Order 2011 \(S.I. 2011/1043\)](#), arts. 2, 3, 6 (with art. 3(2)(3), 4(2), 6(4)(5))
- F53** S. 51(2)(c)-(h) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 27(b)(ii)** (with Sch. 3 para. 2)
- F54** S. 51(2)(da) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 3**, 118(2)
- F55** Word in s. 51(2) omitted (27.6.2017) by virtue of [Digital Economy Act 2017 \(c. 30\)](#), **ss. 2(2)**, 118(2)
- F56** S. 51(2)(i) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 2(3)**, 118(2)
- F57** S. 51(2A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 27(c)** (with Sch. 3 para. 2)
- F58** Word in s. 51(4)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 27(d)** (with Sch. 3 para. 2)

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### **Commencement Information**

- I39** S. 51 in force at 25.7.2003 for specified purposes by S.I. 2003/1900, arts. 1(2), 2(1), Sch. 1 (with art. 3) (as amended by S.I. 2003/3142, art. 1(3))
- I40** S. 51 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, art. 3(2) (with art. 11)

*General conditions: customer interests*

## **52 Conditions relating to customer interests**

- (1) It shall be the duty of OFCOM to set such general conditions (if any) as they consider appropriate for securing that—
- (a) public communications providers, or
  - (b) such descriptions of them as OFCOM consider appropriate,
- establish and maintain procedures, standards and policies with respect to the matters mentioned in subsection (2).
- (2) Those matters are—
- (a) the handling of complaints made to public communications providers by any of their domestic and small business customers [<sup>F59</sup>, where the complaint relates to contractual conditions, or to the performance of a contract for the supply of an electronic communications network or service] ;
  - (b) the resolution of disputes between such providers and any of their domestic and small business customers [<sup>F60</sup>, where the complaint relates to contractual conditions, or to the performance of a contract for the supply of an electronic communications network or service] ;
  - (c) the provision of remedies and redress in respect of matters that form the subject-matter of such complaints or disputes;
  - [<sup>F61</sup>(ca) the payment of compensation to a person in respect of delay in porting a number to another public communications provider, or abuse of the process for porting a number;]
  - (d) the information about service standards and about the rights of domestic and small business customers that is to be made available to those customers by public communications providers;
  - (e) any other matter appearing to OFCOM to be necessary for securing effective protection for the domestic and small business customers of such providers.
- (3) It shall be the duty of OFCOM, in setting conditions in accordance with subsection (1), to secure so far as they consider appropriate—
- (a) that the procedures established and maintained for the handling of complaints and the resolution of disputes are easy to use, transparent [<sup>F62</sup>, non-discriminatory] and effective;
  - (b) that domestic and small business customers have the right to use those procedures free of charge; and
  - (c) that where public communications providers are in contravention of conditions set in accordance with the preceding provisions of this section, the providers follow such procedures as may be required by the general conditions.
- (4) Subject to section 55, OFCOM's duties under subsections (1) and (3) so far as relating to procedures for the handling of complaints are to be performed, to such extent

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- as they consider appropriate, by the setting of general conditions requiring public communications providers to establish and maintain procedures that conform with a code of practice which is—
- (a) applicable to the providers to whom the conditions apply; and
  - (b) for the time being approved by OFCOM for the purposes of this subsection.
- (5) Subject to section 55, OFCOM’s duties under subsections (1) and (3) so far as relating to procedures for resolving disputes are to be performed, to such extent as they consider appropriate, by the setting of general conditions requiring public communications providers—
- (a) to establish and maintain procedures for resolving disputes; and
  - (b) to secure that those procedures are, and continue to be, approved by OFCOM.
- (6) In this section “domestic and small business customer”, in relation to a public communications provider, means a customer of that provider who is neither—
- (a) himself a communications provider; nor
  - (b) a person who is such a customer in respect of an undertaking carried on by him for which more than ten individuals work (whether as employees or volunteers or otherwise).

#### Textual Amendments

- F59** Words in s. 52(2)(a) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 28(a)** (with Sch. 3 para. 2)
- F60** Words in s. 52(2)(b) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 28(b)** (with Sch. 3 para. 2)
- F61** S. 52(2)(ca) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 28(c)** (with Sch. 3 para. 2)
- F62** Words in s. 52(3)(a) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 28(d)** (with Sch. 3 para. 2)

#### Commencement Information

- I41** S. 52 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I42** S. 52 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 53 Approval of codes of practice for the purposes of s. 52

- (1) Where a code of practice is submitted to OFCOM for approval, they shall approve that code if and only if, in their opinion, it makes all such provision as they consider necessary in relation to the matters dealt with in the code for the protection of the domestic and small business customers of the public communications providers to whom the code applies.
- (2) It shall be the duty of OFCOM to keep under review the codes of practice for the time being approved by them.
- (3) OFCOM may at any time, by a notification given or published in such manner as they consider appropriate—
  - (a) approve modifications that have been made to an approved code;
  - (b) withdraw their approval from a code; or

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- (c) give notice that the withdrawal of their approval will take effect from such time as may be specified in the notification unless such modifications of the code as are specified in the notification are made before that time.
- (4) In considering—
- (a) whether to approve a code of practice, or
  - (b) whether or in what manner to exercise their powers under subsections (2) and (3) of this section,
- OFCOM must have regard to the matters mentioned in subsection (5).
- (5) Those matters are—
- (a) the need to secure that customers are able readily to comprehend the procedures that are provided for by an approved code of practice;
  - (b) the need to secure that there is consistency between the different codes for the time being approved by OFCOM; and
  - (c) the need to secure that the number of different codes so approved is kept to a minimum.
- (6) In this section—
- “approval” means approval for the purposes of section 52(4) and “approve” and “approved” are to be construed accordingly; and
- “domestic and small business customer” has the same meaning as in section 52.

#### Commencement Information

- I43** S. 53 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I44** S. 53 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

## 54 Approval of dispute procedures for the purposes of s. 52

- (1) Before giving their approval to any dispute procedures, OFCOM must consult the Secretary of State.
- (2) OFCOM are not to approve dispute procedures unless they are satisfied that the arrangements under which the procedures have effect—
- (a) are administered by person who is for practical purposes independent (so far as decisions in relation to disputes are concerned) of both OFCOM and the communications providers to whom the arrangements apply;
  - (b) give effect to procedures that are easy to use, transparent [<sup>F63</sup>, non-discriminatory] and effective;
  - (c) give, in the case of every communications provider to whom the arrangements apply, a right to each of his domestic and small business customers to use the procedures free of charge;
  - (d) ensure that all information necessary for giving effect to the procedures is obtained;
  - (e) ensure that disputes are effectively investigated;
  - (f) include provision conferring power to make awards of appropriate compensation; and

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- (g) are such as to enable awards of compensation to be properly enforced.
- (3) OFCOM may approve dispute procedures subject to such conditions (including conditions as to the provision of information to OFCOM) as they may think fit.
- (4) It shall be the duty of OFCOM to keep under review the dispute procedures for the time being approved by them.
- (5) OFCOM may at any time, by a notification given or published in such manner as they consider appropriate—
- (a) modify the conditions of their approval of any dispute procedures or withdraw such an approval; or
  - (b) give notice that the modification of those conditions, or the withdrawal of such an approval, will take effect from such time as may be specified in the notification unless the procedures (or the arrangements under which they have effect) are modified before that time in the manner required by the notification.
- (6) In considering—
- (a) whether to approve dispute procedures, or
  - (b) whether or in what manner to exercise their powers under subsections (3) to (5),
- OFCOM must have regard to the matters mentioned in subsection (7).
- (7) Those matters are—
- (a) the need to secure that customers are able readily to comprehend dispute procedures;
  - (b) the need to secure that there is consistency between the different procedures for the time being approved by OFCOM; and
  - (c) the need to secure that the number of different sets of procedures so approved is kept to a minimum.
- (8) In this section—
- “approval” means approval for the purposes of subsection (5) of section 52 and “approve” and “approved” are to be construed accordingly;
  - “dispute procedures” means any such procedures as may fall to be approved for the purposes of that subsection; and
  - “domestic and small business customer” has the same meaning as in section 52.

#### Textual Amendments

**F63** Words in s. 54(2)(b) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 29** (with **Sch. 3 para. 2**)

#### Commencement Information

**I45** S. 54 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with **art. 3**) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I46** S. 54 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with **art. 11**)

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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## **55 Orders by OFCOM in the absence of conditions under s. 52**

- (1) OFCOM may make an order under this section if, at any time, they consider in relation to any one or more public communications providers—
  - (a) that it is not practicable, or at least not appropriate, for OFCOM’s duties under subsections (1) and (3) of section 52 to be performed in a particular respect by the setting of general conditions; and
  - (b) that it is necessary to make the order for the purpose—
    - (i) of securing the necessary protection for the customers of that provider or of those providers; or
    - (ii) of securing compliance with a [F52EU] obligation.
- (2) An order under this section may make such of the following provisions as OFCOM think fit—
  - (a) provision imposing requirements with respect to the complaints and disputes mentioned in section 52(2);
  - (b) provision for the enforcement of those requirements;
  - (c) provision making other arrangements for the purposes of those requirements.
- (3) The power to make provision by an order under this section includes, in particular—
  - (a) power to establish a body corporate with the capacity to make its own rules and to establish its own procedures;
  - (b) power to determine the jurisdiction of a body established by such an order or, for the purposes of the order, of any other person;
  - (c) power to confer jurisdiction with respect to any matter on OFCOM themselves;
  - (d) power to provide for a person on whom jurisdiction is conferred by the arrangements to make awards of compensation, to direct the reimbursement of costs or expenses, or to do both;
  - (e) power to provide for such a person to enforce, or to participate in the enforcement of, any awards or directions made under such an order; and
  - (f) power to make such other provision as OFCOM think fit for the enforcement of such awards and directions.
- (4) An order under this section may require such public communications providers as may be determined by or under the order to make payments to OFCOM in respect of expenditure incurred by OFCOM in connection with—
  - (a) the establishment and maintenance, in accordance with such an order, of a body corporate or of a procedure; or
  - (b) the making of any other arrangements for the purposes of the requirements of such an order.
- (5) The consent of the Secretary of State is required for the making by OFCOM of an order under this section.
- (6) Section 403 applies to the power of OFCOM to make an order under this section.
- (7) A statutory instrument containing an order made by OFCOM under this section shall be subject to annulment in pursuance of a resolution of either House of Parliament.

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#### Textual Amendments

- F52** Words in Act substituted (22.4.2011) by [The Treaty of Lisbon \(Changes in Terminology\) Order 2011 \(S.I. 2011/1043\)](#), arts. 2, 3, 6 (with art. 3(2)(3), 4(2), 6(4)(5))

#### Commencement Information

- I47** S. 55 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I48** S. 55 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

### *General conditions: telephone numbers*

## 56 The National Telephone Numbering Plan

- (1) It shall be the duty of OFCOM to publish a document (to be known as “the National Telephone Numbering Plan”) setting out—
  - (a) the numbers that they have determined to be available for allocation by them as telephone numbers;
  - (b) such restrictions as they consider appropriate on the adoption of numbers available for allocation in accordance with the plan; <sup>F64</sup>...
  - <sup>F65</sup>(ba) such requirements as they consider appropriate, for the purpose of protecting consumers, in relation to the tariff principles and maximum prices applicable to numbers so adopted or available for allocation; and]
  - (c) such restrictions as they consider appropriate on the other uses to which numbers available for allocation in accordance with the plan may be put.
- (2) It shall be OFCOM’s duty—
  - (a) from time to time to review the National Telephone Numbering Plan; and
  - (b) to make any revision of that plan that they think fit in consequence of such a review;but this duty must be performed in compliance with the requirements, so far as applicable, of section 60.
- (3) OFCOM must also keep such day to day records as they consider appropriate of the telephone numbers allocated by them in accordance with the National Telephone Numbering Plan.
- (4) The publication of the National Telephone Numbering Plan, or of a revision of it, must be in such manner as appears to OFCOM to be appropriate for bringing the contents of the Plan, or of the revised Plan, to the attention of such persons as OFCOM consider appropriate.
- (5) In this Chapter references to a telephone number are (subject to subsection (7)) references to any number that is used (whether or not in connection with telephony) for any one or more of the following purposes—
  - (a) identifying the destination for, or recipient of, an electronic communication;
  - (b) identifying the origin, or sender, of an electronic communication;
  - (c) identifying the route for an electronic communication;
  - (d) identifying the source from which an electronic communication or electronic communications service may be obtained or accessed;

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- (e) selecting the service that is to be obtained or accessed, or required elements or characteristics of that service; or
  - (f) identifying the communications provider by means of whose network or service an electronic communication is to be transmitted, or treated as transmitted.
- (6) In this Chapter references to the adoption of a telephone number by a communications provider are references to his doing any of the following in relation to a number allocated (whether or not to that provider) by OFCOM—
- (a) allocating or transferring that number to a particular customer or piece of apparatus;
  - (b) using that number for identifying a service or route used by that provider or by any of his customers;
  - (c) using that number for identifying a communication as one to be transmitted by that provider;
  - (d) designating that number for use in selecting a service or the required elements or characteristics of a service;
  - (e) authorising the use of that number by others for any of the purposes mentioned in subsection (5).
- (7) The Secretary of State may by order exclude such numbers as may be described in the order from the numbers that are to be treated as telephone numbers for the purposes of this Chapter.
- (8) No order is to be made containing provision authorised by subsection (7) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.
- (9) References in this section to the allocation of a number are references to its allocation for the purposes of general conditions under section 58 or in accordance with conditions under section 59.
- (10) In this section—
- “electronic communication” means a communication for transmission by means of an electronic communications network; and
  - “number” includes data of any description.

#### **Textual Amendments**

- F64** Word in s. 56(1)(b) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 30** (with Sch. 3 para. 2)
- F65** S. 56(1)(ba) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 30** (with Sch. 3 para. 2)

#### **Commencement Information**

- I49** S. 56 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), art. 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I50** S. 56 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)



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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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## [<sup>F66</sup>56A. Conditions for limitations on allocation of telephone numbers

- (1) When OFCOM allocate telephone numbers in accordance with the National Telephone Numbering Plan, they must specify whether an allocation may be transferred from one person to another, and may set out the conditions under which the allocation may be transferred.
- (2) If OFCOM allocate telephone numbers for a limited period of time, the limitation must be objectively justifiable in relation to the services to which it relates, taking account of the need to allow for an appropriate period of investment amortisation.]

### Textual Amendments

**F66** S. 56A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 31** (with Sch. 3 para. 2)

## 57 Conditions to secure access to telephone numbers

- (1) General conditions may impose such requirements as OFCOM consider appropriate for securing that every end-user of a public electronic communications service is able, by means of that service—
  - (a) to make calls or otherwise transmit electronic communications to every normal telephone number; and
  - (b) to receive every call or other electronic communication that is made or transmitted to him using such a service from apparatus identified by a normal telephone number.
- (2) A normal telephone number is one which—
  - (a) has been made available, in accordance with the National Telephone Numbering Plan, as a number to be used for the purpose of identifying the destination for, or the recipient of, electronic communications; and
  - (b) is for the time being—
    - (i) a number adopted by a communications provider to be used for such a purpose; or
    - (ii) a number in use for such a purpose by a person other than a communications provider to whom it has been allocated in accordance with conditions under section 59.
- (3) In this section “electronic communication” has the same meaning as in section 56.

### Commencement Information

**I51** S. 57 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), art. 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I52** S. 57 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

## 58 Conditions about allocation and adoption of numbers

- (1) General conditions may include conditions which—

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- (a) prohibit the adoption of telephone numbers by a communications provider except in cases where the numbers have been allocated by OFCOM to a person;
  - [<sup>F67</sup>(aa) impose tariff principles and maximum prices for the purpose of protecting consumers in relation to the provision of an electronic communications service by means of telephone numbers adopted or available for use;]
  - (b) regulate the use by a communications provider, for the purpose of providing an electronic communications network or electronic communications service, of telephone numbers not allocated to that provider;
  - (c) impose restrictions on the adoption of telephone numbers by a communications provider, and on other practices by communications providers in relation to telephone numbers allocated to them;
  - (d) impose requirements on a communications provider in connection with the adoption by him of telephone numbers;
  - (e) require an allocation of particular telephone numbers to be transferred from one communications provider to another in the circumstances provided for in the conditions;
  - (f) impose such requirements and restrictions on a communications provider from whom an allocation is required to be transferred as may be provided for, in relation to the transfer, in the conditions;
  - (g) require payments of such amounts as may be determined by OFCOM to be made to them by a person in respect of the allocation to him of telephone numbers;
  - (h) require payments of such amounts as may be determined by OFCOM to be made to them by a person in respect of transfers of allocations from one person to another; and
  - (i) require communications providers to secure compliance with such rules relating to the use of telephone numbers by their customers as OFCOM may set out in general conditions or determine in accordance with provision made by the general conditions.
- (2) General conditions may also—
- (a) provide for the procedure to be followed on the making of applications to OFCOM for the allocation of telephone numbers;
  - (b) provide for the information that must accompany such applications and for the handling of such applications;
  - (c) provide a procedure for telephone numbers to be reserved pending the making and disposal of an application for their allocation;
  - (d) provide for the procedure to be followed on the making of applications for telephone numbers to be reserved, and for the handling of such applications;
  - (e) regulate the procedures to be followed, the system to be applied and the charges to be imposed for the purposes of, or in connection with, the adoption by a communications provider of telephone numbers allocated to that provider;
  - (f) regulate the procedures to be followed, the system to be applied and the charges to be imposed for the purposes of, or in connection with, the transfer of an allocation from one person to another.
- [<sup>F68</sup>(2A) General conditions may also require a communications provider to whom telephone numbers have been allocated—

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- (a) to provide OFCOM with any information that was not required to accompany the application for allocation of the numbers when it was made but which is now required to accompany such applications;
  - (b) to inform OFCOM of any changes to information that accompanied the application for allocation of the numbers or that has been provided in accordance with a condition set under paragraph (a);
  - (c) to inform OFCOM of any proposal by the provider to cease to provide an electronic communications network or electronic communications service;
  - (d) to inform OFCOM of any circumstances or events of a description specified in the condition.]
- (3) The conditions that may be set under subsection (1)(d) include conditions imposing requirements with respect to the provision of information for purposes connected with—
  - (a) the compilation of directories; and
  - (b) the provision of directory enquiry facilities.
- (4) The procedure to be followed on the making of an application for the allocation of numbers that are available for allocation in accordance with the National Telephone Numbering Plan must require OFCOM's determination of the application to be made—
  - (a) in the case of an application made in response to an invitation in accordance with subsection (5), before the end of six weeks after the day on which the application is received; and
  - (b) in any other case, before the end of three weeks after that day.
- (5) Where OFCOM are proposing to allocate any telephone numbers, they may—
  - (a) invite persons to indicate the payments each would be willing to make to OFCOM if allocated the numbers; and
  - (b) make the allocation according to the amounts indicated.
- (6) General conditions providing for payments to be made to OFCOM in respect of anything mentioned in subsection (1)(g) or (h)—
  - (a) must set out the principles according to which the amounts of the payments are to be determined;
  - (b) may provide for the payments to consist of a lump sum in respect of a particular allocation or transfer or of sums payable periodically while an allocation remains in force, or of both;
  - (c) may provide for the amounts to be determined by reference to—
    - (i) any indication according to which the allocation has been made as mentioned in subsection (5); or
    - (ii) any other factors (including the costs incurred by OFCOM in connection with the carrying out of their functions by virtue of section 56 and this section) as OFCOM think fit.
- (7) General conditions may—
  - (a) make modifications from time to time of, or of the method of determining, the amounts of periodic payments falling to be made by virtue of conditions containing provision authorised by this section; and
  - (b) make different provision in relation to different descriptions of communications provider and different descriptions of telephone number.

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- (8) Nothing in subsection (7) authorises the modification, after it has been fixed, of the amount of a periodic payment fixed in accordance with arrangements made in relation to numbers allocated as mentioned in subsection (5)(b).
- (9) Payments that are required to be made to OFCOM in respect of anything mentioned in subsection (1)(g) or (h)—
- (a) must be paid to them as soon as they become due in accordance with the conditions imposing the obligation to pay; and
  - (b) if not so paid, are to be recoverable by them accordingly.

#### Textual Amendments

- F67** S. 58(1)(aa) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 32** (with [Sch. 3 para. 2](#))
- F68** S. 58(2A) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 84, 118(2)**

#### Commencement Information

- I53** S. 58 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), art. 2(1), **Sch. 1** (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I54** S. 58 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with [art. 11](#))

## 59 Telephone numbering conditions binding non-providers

- (1) OFCOM may set conditions under this section that apply to persons other than communications providers and relate to—
- (a) the allocation of telephone numbers to such persons;
  - (b) the transfer of allocations to and from such persons; and
  - (c) the use of telephone numbers by such persons.
- (2) The conditions that may be set under this section include conditions imposing obligations corresponding to any of the obligations that may be imposed on communications providers by general conditions making provision for, or in connection with—
- (a) the allocation of telephone numbers;
  - (b) the transfer of allocations; or
  - (c) the use of telephone numbers.
- (3) Subsection (10) of section 45 applies to OFCOM’s power to set a condition under this section as it applies to their power to set a condition under that section.
- (4) Sections 47 to 49 apply in relation to—
- (a) the setting of conditions under this section and the modification and revocation of such conditions; and
  - (b) the giving, modification or withdrawal of any direction, approval or consent for the purposes of a condition under this section,
- as they apply in the case of general conditions and in the case of directions, approvals and consents given for the purposes of general conditions.
- (5) It shall be the duty of a person who—
- (a) is not a communications provider, but

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- (b) applies for the allocation of a telephone number, or is allocated such a number, to comply with any conditions set under this section.
- (6) That duty shall be enforceable in civil proceedings by OFCOM—
  - (a) for an injunction;
  - (b) for specific performance of a statutory duty under section 45 of the Court of Session Act 1988 (c. 36); or
  - (c) for any other appropriate remedy or relief.
- (7) Subsection (6) does not apply in the case of a person against whom the obligations contained in the condition in question are enforceable (by virtue of his having become a communications provider) as obligations imposed by general conditions.

#### Commencement Information

- I55** S. 59 in force at 25.7.2003 for specified purposes by S.I. 2003/1900, art. 2(1), Sch. 1 (with art. 3) (as amended by S.I. 2003/3142, art. 1(3))
- I56** S. 59 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, art. 3(1), Sch. 1 (with art. 11)

## 60 Modification of documents referred to in numbering conditions

- (1) This section applies where numbering conditions for the time being have effect by reference to provisions, as they have effect from time to time, of—
  - (a) the National Telephone Numbering Plan; or
  - (b) another document published by OFCOM.
- (2) OFCOM must not revise or otherwise modify the relevant provisions unless they are satisfied that the revision or modification is—
  - (a) objectively justifiable in relation to the matters to which it relates;
  - (b) not such as to discriminate unduly against particular persons or against a particular description of persons;
  - (c) proportionate to what the modification is intended to achieve; and
  - (d) in relation to what it is intended to achieve, transparent.
- (3) Before revising or otherwise modifying the relevant provisions, OFCOM must publish a notification—
  - (a) stating that they are proposing to do so;
  - (b) specifying the Plan or other document that they are proposing to revise or modify;
  - (c) setting out the effect of their proposed revisions or modifications;
  - (d) giving their reasons for making the proposal; and
  - (e) specifying the period within which representations may be made to OFCOM about their proposal.
- (4) That period must be one ending not less than one month after the day of the publication of the notification.
- (5) OFCOM may give effect, with or without modifications, to a proposal with respect to which they have published a notification under subsection (3) only if—

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- (a) they have considered every representation about the proposal that is made to them within the period specified in the notification; and
  - (b) they have had regard to every international obligation of the United Kingdom (if any) which has been notified to them for the purposes of this paragraph by the Secretary of State.
- (6) The publication of a notification under this section must be in such manner as appears to OFCOM to be appropriate for bringing the contents of the notification to the attention of such persons as OFCOM consider appropriate.
- (7) In this section—
- “numbering conditions” means—
    - (a) general conditions the making of which is authorised by section 57 or 58;
    - (b) conditions set under section 59;
  - “the relevant provisions”, in relation to the Plan or document, means the provisions of the Plan or document by reference to which (as they have effect from time to time) the numbering conditions in question have effect.

#### **Commencement Information**

**I57** S. 60 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), art. 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I58** S. 60 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

## **61 Withdrawal of telephone number allocations**

- (1) Where OFCOM have allocated telephone numbers for the purposes of any numbering conditions, they may withdraw that allocation if, and only if, the case is one in which the withdrawal of an allocation is authorised by this section.
- (2) The withdrawal of an allocation is authorised (subject to section 62) if—
- (a) consent to the withdrawal is given by the person to whom the numbers are for the time being allocated;
  - (b) the withdrawal is made for the purposes of a transfer of the allocation required by numbering conditions;
  - (c) the withdrawal is made for the purposes of a numbering reorganisation applicable to a particular series of telephone numbers;
  - (d) the withdrawal is made in circumstances specified in the numbering conditions and for the purpose of securing that what appears to OFCOM to be the best and most efficient use is made of the numbers and other data that are appropriate for use as telephone numbers;
  - (e) the allocated numbers are numbers that have not been adopted during such period after their allocation as may be specified in the numbering conditions; or
  - (f) the allocated numbers are comprised in a series of numbers which have not to a significant extent been adopted or used during such period as may be so specified.
- (3) The withdrawal of an allocation is also authorised where—

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- (a) there have been [<sup>F69</sup>serious or repeated] contraventions, by the person to whom the allocation is for the time being allocated, of the numbering conditions; and
  - (b) it appears to OFCOM that the taking of other steps in respect of the contraventions is likely to prove ineffective for securing future compliance.
- (4) The withdrawal of an allocation is also authorised where—
- (a) the person to whom the allocation is for the time being allocated is not a communications provider; and
  - (b) it appears to OFCOM that contraventions by that person of numbering conditions makes the withdrawal of the allocation appropriate.
- (5) OFCOM’s power to set conditions specifying circumstances for the purposes of subsection (2)(d), and their power to withdraw an allocation in the specified circumstances, are each exercisable only in a manner that does not discriminate unduly—
- (a) against particular communications providers;
  - (b) against particular users of the allocated numbers; or
  - (c) against a particular description of such providers or users;
- and the purposes for which those powers may be exercised do not include the carrying out of a numbering reorganisation of the sort mentioned in subsection (2)(c).
- (6) Where OFCOM are proposing to withdraw an allocation in exercise of the power conferred by virtue of subsection (2)(e) or (f), they must—
- (a) give a notification of their proposal;
  - (b) consider any representations made to them about the proposal within the period of one month following the day on which the notification is given; and
  - (c) ensure that the withdrawal (if OFCOM decide to proceed with it after considering those representations) does not take effect until the end of the three months beginning with the end of the period mentioned in paragraph (b).
- (7) A notification for the purposes of subsection (6) must be given in such manner as OFCOM consider appropriate for bringing it to the attention of—
- (a) the person to whom the numbers to which the proposed withdrawal relates are for the time being allocated;
  - (b) every person appearing to OFCOM to be a person to whom communications are or may be transmitted using one of those numbers for identifying the destination or route;
  - (c) every person who uses one or more of those numbers for obtaining access to services or for communication; and
  - (d) every other person who, in OFCOM’s opinion, is likely to be affected by the proposal.
- (8) For the purposes of this section there are repeated contraventions by a person of numbering conditions to the extent that—
- [<sup>F70</sup>(a) in the case of a previous notification of a contravention given to that person under section 96A, OFCOM have given a confirmation decision to that person under section 96C(2) in respect of the contravention; and
  - (b) in the period of 24 months following the giving of that confirmation decision, one or more further confirmation decisions have been given to the person in respect of contraventions of numbering conditions;]

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and for the purposes of this subsection it shall be immaterial whether the [F71 confirmation decisions] related to the same contravention or to different contraventions of the same or different conditions.

- (9) In this section “numbering conditions” means—
- (a) general conditions the making of which is authorised by section 58; or
  - (b) conditions set under section 59.

#### Textual Amendments

- F69** Words in s. 61(3)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 33(a)** (with Sch. 3 paras. 2, 9)
- F70** S. 61(8)(a)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 33(b)(i)** (with Sch. 3 paras. 2, 9)
- F71** Words in s. 61(8) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 33(b)(ii)** (with Sch. 3 paras. 2, 9, 10)

#### Commencement Information

- I59** S. 61 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), art. 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I60** S. 61 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

## 62 Numbering reorganisations

- (1) This section applies to the withdrawal of an allocation for the purposes of a numbering reorganisation that is applicable to a particular series of telephone numbers.
- (2) The allocation is to be withdrawn only if the reorganisation, so far as it relates to numbers of any description, is not such as to discriminate unduly—
  - (a) against particular communications providers;
  - (b) against particular users of the allocated numbers; or
  - (c) against a particular description of such providers or users.
- (3) The allocation must not be withdrawn if the reorganisation fails to provide for withdrawn allocations to be replaced by allocations of telephone numbers so nearly resembling the numbers to which the withdrawal relates as the purpose of the reorganisation allows.
- (4) Where a replacement allocation is made for the purposes of the re-organisation—
  - (a) no payment is to be made to OFCOM in respect of the making of the replacement allocation; but
  - (b) subsection (5) is to apply.
- (5) Where this subsection applies—
  - (a) a provision for the making of periodic payments in respect of the withdrawn allocation is to be treated, to the extent that OFCOM determine that it should, as a provision requiring the making of periodic payments in respect of the replacement allocation; and
  - (b) OFCOM may, if they think fit, make such repayments or adjustments of a provision for payment as appear to them to be appropriate in consequence of differences between—



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- (i) the numbers to which the withdrawn allocation relates; and
- (ii) the numbers to which the replacement allocation relates.

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**Commencement Information**

- I61** S. 62 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900, art. 2\(1\)](#), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142, art. 1\(3\)](#))
- I62** S. 62 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142, art. 3\(1\)](#), [Sch. 1](#) (with [art. 11](#))

**63 General duty as to telephone numbering functions**

- (1) It shall be the duty of OFCOM, in the carrying out of their functions under sections 56 to 62—
- (a) to secure that what appears to them to be the best use is made of the numbers that are appropriate for use as telephone numbers; and
  - (b) to encourage efficiency and innovation for that purpose.
- (2) It shall also be the duty of OFCOM, in carrying out those functions, to secure that there is no undue discrimination by communications providers against other communications providers in relation to the adoption of telephone numbers for purposes connected with the use by one communications provider, or his customers, of an electronic communications network or electronic communications service provided by another.
- (3) In this section “number” has the same meaning as in section 56.

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**Commencement Information**

- I63** S. 63 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900, art. 2\(1\)](#), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142, art. 1\(3\)](#))
- I64** S. 63 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142, art. 3\(1\)](#), [Sch. 1](#) (with [art. 11](#))

*General conditions: must-carry obligations*

**64 Must-carry obligations**

- (1) General conditions may include conditions making any provision that OFCOM consider appropriate for securing that particular services are broadcast or otherwise transmitted by means of the electronic communications networks described in the conditions.
- (2) A general condition containing provision authorised by this section is not (subject to subsection (4)) to require a service to be broadcast or otherwise transmitted by means of an electronic communications network unless—
- (a) the service is included in the list of must-carry services; and
  - (b) the effect of the requirement is confined to networks by means of which public electronic communications services are provided that are used by

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a significant number of end-users as their principal means of receiving television programmes.

- (3) That list is as follows—
- (a) any service of television programmes provided by the BBC so far as it is provided in digital form and is a service in relation to which OFCOM have functions;
  - (b) the Channel 3 services so far as provided in digital form;
  - (c) Channel 4 so far as provided in digital form;
  - (d) Channel 5 so far as provided in digital form;
  - (e) S4C Digital;
  - (f) the digital public teletext service.
- (4) General conditions making provision authorised by this section in relation to a listed service must, to such extent as OFCOM consider appropriate (and subject to subsection (5))—
- (a) apply the requirement to broadcast or otherwise transmit that service to every service which is an ancillary service by reference to the listed service [<sup>F72</sup>(including, but not limited to, a service enabling access for disabled end-users)] ; and
  - (b) provide for the listed service to be treated for the purposes of the conditions as constituting such other services comprised in or provided with that service as may be determined by OFCOM.
- (5) General conditions making provision authorised by this section must also comply with all such restrictions (if any) as may be imposed by order made by the Secretary of State as to the maximum and minimum amounts, or proportions, of available capacity that are to be required by such conditions to be used in the case of a network for the broadcasting or other transmission of particular services, or descriptions of service.
- (6) In making an order under subsection (5) the Secretary of State must have regard to—
- (a) the objective of securing that services included in the list of must-carry services, and the other services to which conditions set in accordance with this section are likely to be applied by virtue of subsection (4), are available for reception by as many members of the public in the United Kingdom as practicable; and
  - (b) the need to secure that the amount of capacity available in the case of every network for making other services available is reasonable and, accordingly, that the burden of complying with conditions set in accordance with this section is proportionate to the public benefit to be secured by that objective.
- (7) It shall be the duty of the Secretary of State from time to time to review—
- (a) the list of must-carry services; and
  - (b) any requirements for the time being in force under this section with respect to the terms on which services must be broadcast or otherwise transmitted.
- (8) Where the Secretary of State carries out such a review, he must consult the following about the matters under review—
- (a) OFCOM; and
  - (b) such persons who, in his opinion, are likely to be affected by a modification of the list of must-carry services, or who represent any of those persons, as he thinks fit.

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (9) If, on such a review, he considers it appropriate to do so, the Secretary of State may by order modify the list of must-carry services.
- (10) In determining whether it is appropriate for the purposes of subsection (9) to add a service to the list of must-carry services or to remove it, the Secretary of State must have regard, in particular, to—
- (a) the public benefit to be secured by the addition of the service to the list, or by its retention in the list;
  - (b) the extent to which the service (if it were not included in the list) would nevertheless be made available to an acceptable technical standard by means of the networks to which conditions set in accordance with this section apply;
  - (c) the capacity left available, after the requirements of those conditions have been complied with, for the broadcasting or other transmission of material by means of each of those networks; and
  - (d) the need to secure that the burden of complying with conditions so set is proportionate to the objective of securing that the services in the list of must-carry services, and the other services to which conditions set in accordance with this section are likely to be applied by virtue of subsection (4), are available for reception by as many members of the public in the United Kingdom as practicable.
- (11) The Secretary of State may also, if (whether on such a review or in any other circumstances) he considers it appropriate to do so, by order make provision imposing requirements as to what, as between—
- (a) the person providing a must-carry service, and
  - (b) the person providing a network by means of which it is to be provided,
- are to be the terms on which the service is to be broadcast or otherwise transmitted, in pursuance of general conditions set in accordance with this section, by means of that network.
- (12) An order under subsection (11) may provide for the terms to be determined by OFCOM in accordance with the provisions of the order.
- (13) Before making an order under subsection (5), and before making an order under subsection (11) in a case in which there has been no review under subsection (7), the Secretary of State must consult—
- (a) OFCOM, and
  - (b) such persons who, in his opinion, are likely to be affected by the order, or who represent any of those persons, as he thinks fit.
- (14) Section 362 applies for construing this section as it applies for the purposes of Part 3.

#### Textual Amendments

**F72** Words in s. 64(4)(a) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 34** (with **Sch. 3 para. 2**)

#### Commencement Information

**I65** S. 64 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with **art. 3**) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I66** S. 64 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with **art. 11**)

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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### *Universal service conditions*

## **65 Obligations to be secured by universal service conditions**

- (1) The Secretary of State must by order <sup>F73</sup>... set out the extent to which the things falling within subsection (2) must, for the purpose of securing compliance with [<sup>F52</sup>EU] obligations for the time being in force, be provided, made available or supplied throughout the United Kingdom.
- (2) Those things are—
- (a) electronic communications networks and electronic communications services;
  - (b) facilities capable of being made available as part of or in connection with an electronic communications service;
  - (c) particular methods of billing for electronic communications services or of accepting payment for them;
  - (d) directories capable of being used in connection with the use of an electronic communications network or electronic communications service; and
  - (e) directory enquiry facilities capable of being used for purposes connected with the use of such a network or service.
- [<sup>F74</sup>(2A) The provision made under subsection (1) is referred to as “the universal service order”.
- (2B) The universal service order may in particular say that broadband connections and services must be provided to any extent, but may not do so unless—
- (a) it specifies the minimum download speed that must be provided by those connections and services, and
  - (b) the speed so specified is at least 10 megabits per second.
- (2C) The universal service order may contain—
- (a) guidance about matters relating to the speed or other characteristics of broadband connections or services that it says must be provided (as well as or, except in the case of the minimum download speed, instead of setting out any of those characteristics); and
  - (b) guidance about any other matters relating to those connections or services.]
- (3) The universal service order may contain guidance about matters relating to the pricing of things that the order says must be provided, made available or supplied.
- (4) Before making or varying the universal service order, the Secretary of State must consult OFCOM and such other persons as he considers appropriate.
- [<sup>F75</sup>(5) Before making or varying the universal service order, the Secretary of State must take due account of the desirability of not favouring—
- (a) one form of electronic communications network, electronic communications service or associated facility, or
  - (b) one means of providing or making available such a network, service or facility, over another.]

#### **Textual Amendments**

**F52** Words in Act substituted (22.4.2011) by [The Treaty of Lisbon \(Changes in Terminology\) Order 2011](#) (S.I. 2011/1043), arts. 2, 3, 6 (with art. 3(2)(3), 4(2), 6(4)(5))

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- F73** Words in s. 65(1) omitted (27.6.2017) by virtue of [Digital Economy Act 2017 \(c. 30\), ss. 1\(3\)](#), 118(2)  
**F74** S. 65(2A)-(2C) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\), ss. 1\(4\)](#), 118(2)  
**F75** S. 65(5) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 35](#) (with [Sch. 3 para. 2](#))

**Modifications etc. (not altering text)**

- C1** S. 65 excluded (11.12.2003) by [The Privacy and Electronic Communications \(EC Directive\) Regulations 2003 \(S.I. 2003/2426\)](#), regs. 1, [35\(2\)](#) (with regs. 4, 15(3), 28, 29)

**Commencement Information**

- I67** S. 65 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))  
**I68** S. 65 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

**66 Designation of universal service providers**

- (1) OFCOM may by regulations make provision for the designation of the persons to whom universal service conditions are to be applicable.
- (2) Subject to subsection (3), those regulations are not to authorise the designation of a person other than a communications provider.
- (3) The regulations may provide for a person other than a communications provider to be designated for the purposes only of conditions relating to—
  - (a) the supply of directories capable of being used in connection with the use of an electronic communications network or electronic communications service; and
  - (b) the making available of directory enquiry facilities capable of being used for purposes connected with the use of such a network or service.
- (4) OFCOM may from time to time—
  - (a) review the designations for the time being in force in accordance with regulations under this section; and
  - (b) on such a review, consider what (if any) universal service conditions should continue to apply to each of the designated persons.
- (5) The procedure to be followed in the case of every such review must be the procedure provided for in regulations made by OFCOM.
- (6) Regulations made by OFCOM under this section must provide for a person's designation as a person to whom universal service conditions are to be applicable to cease to have effect where, in any such case as may be described in the regulations, the universal service conditions applied to him are all revoked.
- (7) Regulations made by OFCOM under this section providing a procedure for the designation of persons, or for the conduct of a review under subsection (4), must not provide for any procedure other than one appearing to OFCOM—
  - (a) to be efficient, objective and transparent; and
  - (b) not to involve, or to tend to give rise to, any undue discrimination against any person or description of persons.
- (8) Where—

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- (a) OFCOM designate a person in accordance with regulations under this section, or
- (b) a designation of a person in accordance with any such regulations ceases to have effect,

they must give a notification of that designation, or of that fact, to the European Commission.

- (9) A notification under this section must identify the person who has been designated, or the person whose designation has ceased to have effect.

[<sup>F76</sup>(9A) In making any regulations under this section, OFCOM must have regard to any guidance that is contained in the universal service order.]

- (10) Section 403 applies to the power of OFCOM to make regulations under this section.

#### Textual Amendments

**F76** S. 66(9A) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 1(5)**, 118(2)

#### Commencement Information

**I69** S. 66 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I70** S. 66 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 67 Subject-matter of universal service conditions

- (1) OFCOM may set any such universal service conditions as they consider appropriate for securing compliance with the obligations set out in the universal service order.

[<sup>F77</sup>(1A) OFCOM may also set universal service conditions which apply to a designated universal service provider who proposes to make a disposal to another person of a substantial part or all of the designated universal service provider's local access network assets.

- (1B) But subsection (1A) does not apply where the disposal is made by a company to a connected company (within the meaning given by section 1122(2) of the Corporation Tax Act 2010).]

- (2) Universal service conditions applied to a person must include a condition requiring him to publish information about his performance in complying with the universal service conditions that apply to him.

- (3) A condition set in accordance with subsection (2) must contain provision which—
  - (a) requires information published in accordance with it to be updated from time to time and published again;
  - (b) requires information so published to satisfy the requirements that OFCOM consider appropriate for securing that it is adequate; and
  - (c) requires information so published to be framed by reference to the quality of service parameters, definitions and measurement methods for the time being set out in Annex III to the Universal Service Directive.

- (4) A condition set in accordance with that subsection may impose requirements as to—

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- (a) the times at which information published in accordance with it is to be published; and
  - (b) the manner in which that information is to be published.
- (5) Universal service conditions may impose an obligation on a person to whom they apply to do one or both of the following, if required to do so by OFCOM—
- (a) to make facilities available for enabling information published in pursuance of a condition applied to that person under subsection (2) to be independently audited;
  - (b) to meet the costs of any independent auditing of that information that is required by OFCOM.
- (6) The reference in subsection (5) to the independent auditing of information is a reference to its being audited by a qualified auditor—
- (a) for accuracy; and
  - (b) for its usefulness in the making of comparisons with information published by other designated universal service providers.
- (7) Universal service conditions may impose performance targets on designated universal service providers with respect to any of the matters in relation to which obligations may be imposed by such conditions.
- (8) In setting a universal service condition, OFCOM must have regard to any guidance<sup>F78</sup> ... that is contained in the universal service order.
- [<sup>F79</sup>(9) In this section “qualified auditor” means a person who—
- (a) is eligible for appointment as a statutory auditor under Part 42 of the Companies Act 2006, and
  - (b) if the appointment to carry out such auditing as is mentioned in subsection (5) were an appointment as a statutory auditor, would not be prohibited from acting by section 1214 of that Act (independence requirement).]

#### Textual Amendments

- F77** S. 67(1A)(1B) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 36** (with Sch. 3 para. 2)
- F78** Words in s. 67(8) omitted (27.6.2017) by virtue of [Digital Economy Act 2017 \(c. 30\)](#), **ss. 1(6)**, 118(2)
- F79** S. 67(9) substituted (6.4.2008) by [The Companies Act 2006 \(Consequential Amendments etc\) Order 2008 \(S.I. 2008/948\)](#), art. 2(2), **Sch. 1 para. 30(2)** (with arts. 6, 11, 12)

#### Commencement Information

- I71** S. 67 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I72** S. 67 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 68 Tariffs etc. for universal services

- (1) It shall be the duty of OFCOM—
- (a) to keep under review universal service tariffs; and
  - (b) to monitor changes to those tariffs.
- (2) Universal service conditions may require one or more of the following—

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- (a) the use of a common tariff, or of common tariffs, in relation to anything mentioned in section 65(2);
  - (b) the use, in such cases as may be specified or described in the conditions, of such special tariffs in relation to anything so mentioned as may be so specified or described;
  - (c) the fixing of tariffs used in accordance with the conditions by the use of such methods, and by reference to such methods of computing costs, as may be so specified or described.
- (3) Universal service conditions must secure that the terms on which a person is provided with anything required by the universal service order do not require him—
- (a) to pay for an unnecessary additional service; or
  - (b) to pay, in respect of anything required by the order, any amount that is attributable to the provision to him of such a service.
- (4) The references in subsection (3), in relation to a person, to an unnecessary additional service are references to anything the provision of which—
- (a) he has to accept by reason of his being provided, at his request, with something required by the order (“the requested service”); and
  - (b) is not necessary for the purpose of providing him with the requested service.
- (5) It shall be the duty of OFCOM, in setting a universal service condition about universal service tariffs, to have regard to anything ascertained by them in the performance of their duty under subsection (1).
- (6) References in this section to a universal service tariff are references to any of the tariffs used by designated universal service providers [<sup>F80</sup>or, where there is no designated universal service provider, by other persons,] in relation to the things for the time being required by the universal service order.
- (7) References in this section to providing a person with anything include references to making it available or supplying it to him.
- (8) In this section “tariff” includes a pricing structure.

#### Textual Amendments

**F80** Words in s. 68(6) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 37** (with **Sch. 3 para. 2**)

#### Commencement Information

**I73** S. 68 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I74** S. 68 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 69 Directories and directory enquiry facilities

- (1) This section applies where universal service conditions require a designated universal service provider—
- (a) to supply a directory capable of being used in connection with the use of an electronic communications network or electronic communications service; or



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- (b) to make available directory enquiry facilities capable of being used for purposes connected with use of such a network or service.
- (2) The universal service conditions applied to the provider must include the conditions that OFCOM consider appropriate for securing that the provider does not unduly discriminate against a source of relevant information—
  - (a) in the compiling of the directory or the answering of directory enquiries; or
  - (b) in the treatment in the directory, or for the purposes of the facilities, of any relevant information from that source.
- (3) In this section—
  - (a) references to relevant information are references to information provided for inclusion in the directory or for use in the answering of directory enquiries; and
  - (b) references to a source of relevant information are references to a communications provider or designated universal service provider who provides relevant information.

#### **Commencement Information**

- I75** S. 69 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I76** S. 69 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## **70 Review of compliance costs**

- (1) OFCOM may from time to time review the extent (if any) of the financial burden for a particular designated universal service provider of complying in relation to any matter with any one or more of the universal service conditions applied to him.
- (2) Where—
  - (a) regulations under section 66 require the financial burden of so complying to be taken into account in determining whom to designate, and
  - (b) the regulations provide for a particular method of calculating that burden to be used for the purposes of that determination,that must be the method of calculation applied on a review under this section.
- (3) Where subsection (2) does not apply, the financial burden of so complying is to be taken to be the amount calculated by OFCOM to be the net cost of compliance after allowing for market benefits accruing to the designated universal service provider from—
  - (a) his designation; and
  - (b) the application to him of universal service conditions.
- (4) After carrying out a review under this section OFCOM must either—
  - (a) cause the calculations made by them on the review to be audited by a person who appears to them to be independent of designated universal service providers; or
  - (b) themselves carry out an audit of those calculations.
- (5) OFCOM must ensure, in the case of every audit carried out under subsection (4), that a report on the audit—

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- (a) is prepared; and
  - (b) if not prepared by OFCOM, is provided to them.
- (6) It shall be the duty of OFCOM, in the case of every review under this section, to publish—
- (a) their conclusions on the review; and
  - (b) a summary of the report of the audit which was carried out as respects the calculations made for the purposes of that review.
- (7) The publication of anything under subsection (6) must be a publication in such manner as OFCOM consider appropriate for bringing it to the attention of the persons who, in their opinion, are likely to be affected by it.

#### Commencement Information

**I77** S. 70 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I78** S. 70 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

## 71 Sharing of burden of universal service obligations

- (1) This section applies where OFCOM—
- (a) have concluded, on a review under section 70, that complying in relation to any matter with universal service conditions imposes a financial burden on a particular designated universal service provider; and
  - (b) have published that conclusion in accordance with that section.
- (2) OFCOM must determine, in the case of the designated universal service provider, whether they consider it would be unfair for that provider to bear, or to continue to bear, the whole or any part of so much of the burden.
- (3) If—
- (a) OFCOM determine that it would be unfair for the designated universal service provider to bear, or to continue to bear, the whole or a part of the burden, and
  - (b) an application for a determination under this subsection is made to OFCOM by that provider,
- OFCOM may determine that contributions are to be made by communications providers to whom general conditions are applicable for meeting that burden.
- (4) The making of any of the following must be in accordance with regulations made by OFCOM—
- (a) a determination by OFCOM of the extent of the financial burden that exists for the designated universal service provider of complying in relation to any matter with universal service conditions;
  - (b) an application for the purposes of subsection (3)(b);
  - (c) a determination by OFCOM of whether it is or would be unfair for the designated universal service provider to bear, or to continue to bear, the burden of complying in relation to any matter with universal service conditions;
  - (d) a determination of the extent (if any) to which that is or would be unfair.

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- (5) The assessment, collection and distribution of contributions under subsection (3) is not to be carried out except in accordance with a mechanism provided for in a scheme contained in regulations made by OFCOM.
- (6) It shall be the duty of OFCOM to exercise their power to make regulations under this section in the manner which they consider will secure that the assessment, collection and distribution of contributions under subsection (3) is carried out—
  - (a) in an objective and transparent manner;
  - (b) in a manner that does not involve, or tend to give rise to, any undue discrimination against particular communications providers or particular designated universal service providers, or against a particular description of them; and
  - (c) in a manner that avoids, or (if that is impracticable) at least minimises, any distortion of competition or of customer demand.
- (7) Regulations made by OFCOM under this section may provide for a scheme containing the provision mentioned in subsection (5), and for any fund set up for the purposes of such a scheme, to be administered either—
  - (a) by OFCOM; or
  - (b) by such other person as may be specified in the regulations.
- (8) A person other than OFCOM is not to be specified in regulations under this section as the administrator of such a scheme or fund unless he is a person who OFCOM are satisfied is independent of both—
  - (a) the persons who are designated universal service providers; and
  - (b) communications providers to whom general conditions are applicable.
- (9) Section 403 applies to the powers of OFCOM to make regulations under this section.

#### Commencement Information

- 179** S. 71 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- 180** S. 71 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## 72 Report on sharing mechanism

- (1) This section applies where regulations under section 71 provide for a scheme for the assessment, collection and distribution of contributions under subsection (3) of that section.
- (2) OFCOM must prepare and publish a report setting out, in relation to the period to which it applies—
  - (a) every determination by OFCOM that has had effect in relation to a time in that period as a determination of the costs of providing anything contained in the universal service order;
  - (b) the market benefits for each designated universal service provider that have accrued to him during that period from his designation and from the application to him of universal service conditions; and
  - (c) the contribution made under section 71(3) by every person who has made a contribution during that period.

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (3) The first report under this section must be prepared in relation to the period of twelve months beginning with the coming into force of the first regulations to be made under section 71.
- (4) Every subsequent report must be prepared in relation to the period of twelve months beginning with the end of the period to which the previous report applied.
- (5) Every report under this section—
  - (a) must be prepared as soon as practicable after the end of the period to which it is to apply; and
  - (b) must be published as soon as practicable after its preparation is complete.
- (6) OFCOM are not required under this section—
  - (a) to publish any matter that is confidential in accordance with subsection (7) or (8); or
  - (b) to publish anything that it would not be reasonably practicable to publish without disclosing such a matter.
- (7) A matter is confidential under this subsection if—
  - (a) it relates specifically to the affairs of a particular body; and
  - (b) publication of that matter would or might, in OFCOM's opinion, seriously and prejudicially affect the interests of that body.
- (8) A matter is confidential under this subsection if—
  - (a) it relates to the private affairs of an individual; and
  - (b) publication of that matter would or might, in OFCOM's opinion, seriously and prejudicially affect the interests of that individual.
- (9) The publication of a report under this section must be a publication in such manner as OFCOM consider appropriate for bringing it to the attention of the persons who, in their opinion, are affected by the matters to which it relates.

#### Commencement Information

**181** S. 72 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**182** S. 72 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

#### [<sup>F81</sup>72A Review of universal service order

- (1) The Secretary of State may direct OFCOM to review and report to the Secretary of State on any provision made, or that may be made, by the universal service order in relation to broadband connections or services.
- (2) The Secretary of State must consult OFCOM before giving a direction under this section.
- (3) The Secretary of State must publish a direction under this section.
- (4) OFCOM must publish the report made by them to the Secretary of State of a review under this section.

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*Status:* Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
*Changes to legislation:* Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

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**Textual Amendments**

**F81** Ss. 72A, 72B inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), ss. 1(7), 118(2)

**72B Broadband download speeds: duty to give direction under section 72A**

- (1) The Secretary of State must give OFCOM a direction under section 72A if—
- (a) the universal service order specifies a minimum download speed for broadband connections and services and the speed so specified is less than 30 megabits per second, and
  - (b) it appears to the Secretary of State, on the basis of information published by OFCOM, that broadband connections or services that provide a minimum download speed of at least 30 megabits per second are subscribed to for use in at least 75% of premises in the United Kingdom.
- (2) The direction—
- (a) must require OFCOM to review and report to the Secretary of State on whether it would be appropriate for the universal service order to specify a higher minimum download speed, and
  - (b) may also require OFCOM to review and report to the Secretary of State on any other matter falling within section 72A(1).]

**Textual Amendments**

**F81** Ss. 72A, 72B inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), ss. 1(7), 118(2)

*Access-related conditions*

**73 Permitted subject-matter of access-related conditions**

- (1) The only conditions that may be set under section 45 as access-related conditions are those authorised by this section.
- (2) Access-related conditions may include conditions relating to the provision of such network access and service interoperability as appears to OFCOM appropriate for the purpose of securing—
- (a) efficiency<sup>F82</sup> ...;
  - (b) sustainable competition<sup>F83</sup> ...; <sup>F84</sup> ...
  - [<sup>F85</sup>(ba) efficient investment and innovation; and]
  - (c) the greatest possible benefit for the end-users of public electronic communications services.
- (3) Access-related conditions may include conditions appearing to OFCOM to be appropriate for securing that persons to whom the electronic communications code applies participate<sup>F86</sup> ... in arrangements for—
- (a) sharing the use of electronic communications apparatus; and
  - (b) apportioning and making contributions towards costs incurred in relation to shared electronic communications apparatus.

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[<sup>F87</sup>(3A) The power to set access-related conditions falling within subsection (3) is to be exercised for the purpose of—

- (a) encouraging efficient investment in infrastructure; and
- (b) promoting innovation.]

<sup>F88</sup>(4) . . . . .

(5) Access-related conditions may include conditions containing any provision required by section 75(2).

#### Textual Amendments

- F82** Words in s. 73(2)(a) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 38(a)(i)** (with Sch. 3 para. 2)
- F83** Words in s. 73(2)(b) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 38(a)(ii)** (with Sch. 3 para. 2)
- F84** Word in s. 73(2)(b) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 38(a)(iii)** (with Sch. 3 para. 2)
- F85** S. 73(2)(ba) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 38(a)(iii)** (with Sch. 3 para. 2)
- F86** Words in s. 73(3) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 38(b)** (with Sch. 3 para. 2)
- F87** S. 73(3A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 38(c)** (with Sch. 3 para. 2)
- F88** S. 73(4) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 38(d)** (with Sch. 3 para. 2)

#### Commencement Information

- I83** S. 73 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I84** S. 73 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 74 Specific types of access-related conditions

(1) The conditions that may be set by virtue of section 73(2) include conditions which, for the purpose of securing end-to-end connectivity for the end-users of public electronic communications services provided by means of a series of electronic communications networks—

- (a) impose obligations on a person controlling network access to any of those networks; and
- (b) require the interconnection of the networks.

[<sup>F89</sup>(1A) The conditions that may be set by virtue of section 73(2) also include conditions which impose such obligations on a person controlling network access to customers as OFCOM consider necessary for the purpose of securing service interoperability.]

(2) The conditions that may be set by virtue of section 73(2) also include such conditions imposing obligations on a person providing facilities for the use of application programme interfaces or electronic programme guides as OFCOM consider to be necessary for securing—

- (a) that persons are able to have access to such programme services provided in digital form as OFCOM may determine; and

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- (b) that the facility for using those interfaces or guides is provided on terms which—
- (i) are fair and reasonable; and
  - (ii) do not involve, or tend to give rise to, any undue discrimination against any person or description of persons.
- (3) In this section—
- “application programme interface” means a facility for allowing software to make use, in connection with any of the matters mentioned in subsection (4), of facilities contained in other software;
  - “electronic programme guide” means a facility by means of which a person has access to any service which consists of—
    - (a) the listing or promotion, or both the listing and the promotion, of some or all of the programmes included in any one or more programme services; and
    - (b) a facility for obtaining access, in whole or in part, to the programme service or services listed or promoted in the guide;
  - “end-to-end connectivity” means the facility—
    - (a) for different end-users of the same public electronic communications service to be able to communicate with each other; and
    - (b) for the end-users of different such services to be able, each using the service of which he is the end-user, to communicate with each other.
- (4) The matters mentioned in subsection (3), in the definition of “application programme interface”, are—
- (a) allowing a person to have access to programme services;
  - (b) allowing a person, other than a communications provider or a person who makes associated facilities available, to make use of an electronic communications network by means of which a programme service is broadcast or otherwise transmitted;
  - (c) allowing a person to become the end-user of a description of public electronic communications service.
- (5) This section is not to be construed as restricting the provision that may be made under section 73(2).

**Textual Amendments**

**F89** S. 74(1A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 39** (with Sch. 3 para. 2)

**Commencement Information**

**I85** S. 74 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I86** S. 74 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

**75 Conditional access systems and access to digital services**

<sup>F90</sup>(1) . . . . .

(2) It shall be the duty of OFCOM to ensure—

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- (a) that access-related conditions are applied to every person who provides a conditional access system in relation to a protected programme service; and
  - (b) that those conditions make all such provision as is required by the provision contained from time to time in Part I of Annex I to the Access Directive (conditions relating to access to digital programme services).
- (3) In this section—
- “conditional access system” means any system, facility, arrangements or technical measure under or by means of which access to programme services requires—
    - (a) a subscription to the service or to a service that includes that service; or
    - (b) an authorisation to view it, or to listen to it, on a particular occasion;
  - “protected programme service” means a programme service the programmes included in which cannot be viewed or listened to in an intelligible form except by the use of a conditional access system.

#### Textual Amendments

- F90** S. 75(1) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 40** (with **Sch. 3 para. 2**)

#### Commencement Information

- I87** S. 75 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with **art. 3**) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I88** S. 75 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with **art. 11**)

## 76 Modification and revocation of conditions imposed under s. 75

- (1) This section applies in the case of conditions falling within section 75(2) which have been set by OFCOM in relation to a particular person (“the system provider”).
- (2) OFCOM must not give effect to a proposal to modify or revoke any of the conditions unless—
  - (a) they have carried out an analysis for the purpose of determining in accordance with this Chapter whether that person is or remains a person on whom SMP services conditions are capable of being imposed;
  - (b) they have determined in consequence of that analysis that he is not; and
  - (c) they are satisfied that the modification or revocation will not have an adverse effect on any or all of the matters mentioned in subsection (3).
- (3) Those matters are—
  - (a) the accessibility to any persons of services that are for the time being included in the list of must-carry services in section 64;
  - (b) the prospects for effective competition in the market for programme services provided by being broadcast or otherwise transmitted in digital form; and
  - (c) the prospects for effective competition in the markets for conditional access systems and other associated facilities.
- (4) In this section “conditional access system” has the same meaning as in section 75.



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#### Commencement Information

- 189** S. 76 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- 190** S. 76 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

#### [<sup>F91</sup>76A. Information about electronic communications apparatus available for shared use

- (1) OFCOM may make available to such persons as they consider appropriate information about electronic communications apparatus that in OFCOM's opinion is suitable for shared use.
- (2) OFCOM may impose such restrictions as they consider appropriate on the use and further disclosure of information made available under this section.]

#### Textual Amendments

- F91** S. 76A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 41](#) (with [Sch. 3 para. 2](#))

#### *Privileged supplier conditions*

#### 77 Imposition of privileged supplier conditions

- (1) It shall be the duty of OFCOM to secure that privileged supplier conditions containing all such provision falling within subsection (3) as they consider appropriate are applied to every public communications provider to whom this section applies.
- (2) This section applies to every public communications provider who—
  - (a) enjoys special or exclusive rights in relation to the provision of any non-communications services; and
  - (b) is not such a provider in respect only of associated facilities.
- (3) The provision that may be contained in a condition set under section 45 as a privileged supplier condition is any provision that OFCOM consider appropriate for any one or more of the following purposes—
  - (a) requiring the provider to whom it applies to keep separate accounts in relation to his public electronic communications network or public electronic communications service and other matters;
  - (b) requiring that provider to submit the accounts of the different parts of his undertaking, and any financial report relating to a part of that undertaking, to a qualified auditor for auditing;
  - (c) requiring the accounts of the different parts of his undertaking to be published;
  - (d) securing, by means other than the keeping of separate accounts, the structural separation of the different parts of his undertaking.
- (4) OFCOM are not required under this section to apply a condition to a person where they are satisfied that that person has an annual turnover in relation to all his communications activities that is less than 750 million.

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- (5) Where in a case falling within subsection (4) OFCOM are not required to apply a privileged supplier condition to a person, they may apply such a condition to him if they think fit.
- (6) The reference in subsection (4) to a person’s communications activities is a reference to any activities of his that consist in, or are connected with, either or both of the following—
- (a) the provision of any one or more electronic communications networks;
  - (b) the provision of any one or more electronic communications services.
- (7) The making, for the purposes of subsection (4), of—
- (a) a determination of the period in respect of which a person’s annual turnover in relation to any activities is computed, and
  - (b) a determination of the amount in Euros of that turnover for any period,
- must be in accordance with such rules as OFCOM consider to be reasonable.
- (8) OFCOM must publish any rules made by them for the purposes of subsection (7) in such manner as they consider appropriate for bringing them to the attention of the persons who, in their opinion, are likely to be affected by them.
- (9) In this section—
- “non-communications services”, in relation to a person, means services other than those consisting in, or connected with, the provision by him of—
- (a) an electronic communications network; or
  - (b) an electronic communications service;
- [<sup>F92</sup>“qualified auditor” means a person who—
- (a) is eligible for appointment as a statutory auditor under Part 42 of the Companies Act 2006, and
  - (b) if the appointment to carry out such auditing as is mentioned in subsection (3)(b) were an appointment as a statutory auditor, would not be prohibited from acting by section 1214 of that Act (independence requirement);]

“special or exclusive rights” has the same meaning as in [<sup>F93</sup>Article 106 of the Treaty on the Functioning of the European Union] .

#### Textual Amendments

**F92** Words in s. 77(9) substituted (6.4.2008) by [The Companies Act 2006 \(Consequential Amendments etc\) Order 2008 \(S.I. 2008/948\)](#), art. 2(2), **Sch. 1 para. 30(3)** (with arts. 6, 11, 12)

**F93** Words in s. 77(9) substituted (1.8.2012) by [The Treaty of Lisbon \(Changes in Terminology or Numbering\) Order 2012 \(S.I. 2012/1809\)](#), art. 2(1), **Sch. Pt. 1** (with art. 2(2))

#### Commencement Information

**I91** S. 77 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I92** S. 77 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

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### *SMP conditions: procedure*

## **78 Circumstances required for the setting of SMP conditions**

- (1) For the purposes of this Chapter a person shall be taken to have significant market power in relation to a market if he enjoys a position which amounts to or is equivalent to dominance of the market.
- (2) References in this section to dominance of a market must be construed in accordance with any applicable provisions of Article 14 of the Framework Directive.
- (3) A person is to be taken to enjoy a position of dominance of a market if he is one of a number of persons who enjoy such a position in combination with each other.
- (4) A person or combination of persons may also be taken to enjoy a position of dominance of a market by reason wholly or partly of his or their position in a closely related market if the links between the two markets allow the market power held in the closely related market to be used in a way that influences the other market so as to strengthen the position in the other market of that person or combination of persons.
- (5) The matters that must be taken into account in determining whether a combination of persons enjoys a position of dominance of a services market include, in particular, the matters set out in Annex II to the Framework Directive.

#### **Commencement Information**

- 193** S. 78 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- 194** S. 78 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

## **79 Market power determinations**

- (1) Before making a market power determination, OFCOM must—
  - (a) identify (by reference, in particular, to area and locality) the markets which in their opinion are the ones which in the circumstances of the United Kingdom are the markets in relation to which it is appropriate to consider whether to make the determination; and
  - (b) carry out an analysis of the identified markets.
- (2) In identifying or analysing any services market for the purposes of this Chapter, OFCOM must take due account of all applicable guidelines and recommendations which—
  - (a) have been issued or made by the European Commission in pursuance of the provisions of a [F<sup>S2</sup>EU] instrument; and
  - (b) relate to market identification and analysis.
- (3) In considering whether to make or revise a market power determination in relation to a services market, OFCOM must take due account of all applicable guidelines and recommendations which—
  - (a) have been issued or made by the European Commission in pursuance of the provisions of a [F<sup>S2</sup>EU] instrument; and
  - (b) relate to market analysis or the determination of what constitutes significant market power.

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- (4) The way in which—
- (a) a market is to be identified for the purposes of this section, or
  - (b) a market power determination is to be made,
- is by the publication of a notification containing the identification or determination.
- (5) Notifications for the purposes of subsection (4)—
- (a) may be given separately;
  - (b) may be contained in a single notification relating to both the identification of a market and the making of a market determination in relation to that market; or
  - (c) may be contained in a single notification under section 48(1) with respect to the setting or modification of an SMP condition and either—
    - (i) the making of the market power determination by reference to which OFCOM set or modify that condition; or
    - (ii) the making of that market power determination and the identification of the market in relation to which they make that determination.
- (6) The publication of a notification under this section must be in such manner as appears to OFCOM to be appropriate for bringing the contents of the notification to the attention of the persons who, in OFCOM’s opinion, are likely to be affected by the matters notified.
- (7) References in this section to guidelines and recommendations issued by the European Commission and to a [<sup>F52</sup>EU] instrument include references, respectively, to guidelines and recommendations issued after the commencement of this section and to a [<sup>F52</sup>EU] instrument made after the commencement of this section.

#### Textual Amendments

**F52** Words in Act substituted (22.4.2011) by [The Treaty of Lisbon \(Changes in Terminology\) Order 2011 \(S.I. 2011/1043\)](#), arts. 2, 3, 6 (with art. 3(2)(3), 4(2), 6(4)(5))

#### Commencement Information

**I95** S. 79 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I96** S. 79 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## 80 Proposals for identifying markets and for market power determinations

- [<sup>F94</sup>(1) Where section 80A applies, OFCOM must comply with the applicable requirements of that section and section 80B before—
- (a) identifying a market for the purposes of making a market power determination, or
  - (b) making a market power determination.
- (1A) Where section 80A does not apply because of subsection (2) of that section—
- (a) any identification of a market or market power determination must be temporary; and
  - (b) the notification published under section 79(4) containing the identification or determination must state the period for which the identification or determination is to have effect.

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (1B) Where OFCOM propose to extend or make permanent any such temporary identification or determination—
- (a) sections 80A and 80B(1) do not apply in relation to the proposal; and
  - (b) subsections (2) to (8) of section 80B apply in relation to the proposal as if the words from the beginning of subsection (2) to “appropriate” were omitted.]
- (7) The power of OFCOM to [<sup>F95</sup>identify a market or make a market power determination is subject to section 83] .

#### Textual Amendments

- F94** S. 80(1)(1A)(1B) substituted for s. 80(1)-(6) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 42\(a\)](#) (with [Sch. 3 paras. 2, 11](#))
- F95** Words in s. 80(7) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 42\(b\)](#) (with [Sch. 3 paras. 2, 11](#))

#### Commencement Information

- I97** S. 80 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I98** S. 80 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

#### [<sup>F96</sup>80A. Domestic consultation for market identifications and market power determinations

- (1) This section applies where—
- (a) OFCOM propose—
    - (i) to identify a market for the purposes of making a market power determination; or
    - (ii) to make a market power determination; and
  - (b) (in the case of a services market) the proposed identification or determination is in OFCOM’s opinion likely to result in the setting, modification or revocation of SMP services conditions that will have a significant impact on the market.
- (2) But this section does not apply where the proposal is of EU significance and in OFCOM’s opinion—
- (a) there are exceptional circumstances; and
  - (b) there is an urgent need to act in order to safeguard competition and to protect the interests of consumers.
- (3) OFCOM must publish a notification of what they are proposing to do.
- (4) Notifications for the purposes of subsection (3)—
- (a) may be given separately;
  - (b) may be contained in a single notification relating to both the identification of a market and the making of a market power determination in relation to that market; or
  - (c) may be contained in a single notification under section 48A(3) with respect to the setting or modification of an SMP condition and either—

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- (i) the making of the market power determination by reference to which OFCOM are proposing to set or modify that condition; or
  - (ii) the making of that market power determination and the identification of the market in relation to which they are proposing to make that determination.
- (5) A notification under this section relating to a proposal to identify a market or to make a market power determination must—
- (a) state that OFCOM are proposing to identify that market or to make that market power determination;
  - (b) set out the effect of the proposal;
  - (c) give their reasons for making the proposal; and
  - (d) specify the period within which representations may be made to OFCOM about their proposal.
- (6) That period must be a period of not less than one month after the day of the publication of the notification.
- (7) But where OFCOM are satisfied that there are exceptional circumstances justifying the use of a shorter period, the period specified as the period for making representations may be whatever shorter period OFCOM considers reasonable in those circumstances.
- (8) The publication of a notification under this section must be in such manner as appears to OFCOM to be appropriate for bringing the contents of the notification to the attention of the persons who, in OFCOM’s opinion, are likely to be affected by the matters notified.
- (9) OFCOM must—
- (a) consider every representation about the proposal made to them during the period specified in the notification; and
  - (b) have regard to every international obligation of the United Kingdom (if any) which has been notified to them for the purposes of this paragraph by the Secretary of State.
- (10) Where the proposal is not of EU significance, OFCOM may then give effect to it, with any modifications that appear to OFCOM to be appropriate.

#### **Textual Amendments**

**F96** Ss. 80A, 80B inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 43** (with Sch. 3 paras. 2, 11)

#### **80B. EU consultation for market identifications and market power determinations**

- (1) This section applies where, after complying with section 80A(9) in relation to a proposal of EU significance, OFCOM wish to proceed with the proposal.
- (2) After making any modifications of the proposal that appear to OFCOM to be appropriate, OFCOM must send a copy of the proposal, and of a statement setting out the reasons for it, to—
  - (a) the European Commission;
  - (b) BEREC; and

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (c) the regulatory authorities in every other member State.
- (3) If at the end of the period of one month referred to in paragraph (3) of Article 7 of the Framework Directive no indication has been given to OFCOM by the Commission under paragraph (4) of that Article, OFCOM may give effect to the proposal, with any modifications that appear to them to be appropriate.
- (4) Before giving effect to the proposal under subsection (3), OFCOM must consider any comments made by—
  - (a) the Commission;
  - (b) BEREC; and
  - (c) any regulatory authority in any other member State.
- (5) Subsections (6) to (8) apply where such an indication is given by the Commission to OFCOM during that period.
- (6) If under Article 7(5)(a) of the Framework Directive the Commission requires OFCOM to withdraw the proposal, OFCOM must amend or withdraw the proposal within 6 months of the date of the Commission’s decision.
- (7) Where the proposal is amended under subsection (6), section 80 applies in relation to the amended proposal as if it were a new proposal.
- (8) OFCOM may give effect to the proposal, with any modifications that appear to them to be appropriate—
  - (a) if the Commission takes a decision to lift its reservations in accordance with paragraph (5)(b) of Article 7 of the Framework Directive; or
  - (b) if at the end of the period of 2 months referred to in paragraph (4) of that Article the Commission has neither required OFCOM to withdraw the proposal under paragraph (5)(a) nor lifted its reservations under paragraph (5)(b).]

#### Textual Amendments

**F96** Ss. 80A, 80B inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 43** (with Sch. 3 paras. 2, 11)

#### [<sup>F97</sup>81 Delivery of copies of notifications under sections 79 and 80A

- (1) OFCOM must send to the Secretary of State a copy of every notification published under section 79(4) or 80A(3).
- (2) OFCOM must send to the European Commission a copy of every notification published under section 79(4) in relation to a services market.
- (3) OFCOM must send to BEREC a copy of every notification published under section 79(4) where the proposal to identify the market or make a market power determination was a proposal of EU significance.
- (4) Where a notification published under section 79(4) relates to a proposal to which section 80A did not apply because of subsection (2) of that section, OFCOM must send a copy of a statement setting out the reasons for the proposal and for the urgent need to act to—
  - (a) the Commission;

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- (b) BEREC; and
- (c) the regulatory authorities in every other member State.]

#### Textual Amendments

**F97** S. 81 substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 44** (with Sch. 3 paras. 2, 11)

#### Commencement Information

**I99** S. 81 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I100** S. 81 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### <sup>F98</sup>82 European Commission's powers in respect of proposals

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#### Textual Amendments

**F98** S. 82 omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 45** (with Sch. 3 paras. 2, 11)

#### Commencement Information

**I101** S. 82 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I102** S. 82 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 83 Special rules for transnational markets

- (1) This section applies where a services market is for the time being identified by a decision of the European Commission under Article 15(4) of the Framework Directive as a transnational market.
- (2) Where the market area includes the whole or a part of the United Kingdom, OFCOM must enter into and maintain arrangements with the other relevant regulatory authorities about—
  - (a) the extent to which the agreement of all the relevant regulatory authorities is required for the doing of any of the things mentioned in subsection (3); and
  - (b) the procedures to be followed for securing that agreement where it is required.
- (3) Those things are—
  - (a) the identification of the whole or a part of the market as a market in relation to which it is appropriate to determine whether a person has significant market power;
  - (b) the making of such a determination in relation to the whole or a part of the market;
  - (c) the setting of a condition the setting of which requires such a determination to have been made;
  - (d) the modification or revocation of such a condition.



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- (4) OFCOM must not do any of the things mentioned in subsection (3) except in accordance with arrangements maintained under that subsection.
- (5) Those arrangements may include arrangements requiring OFCOM, when doing any of those things, to comply with—
  - (a) a decision made, by one or more other regulatory authorities; or
  - (b) a decision made by a person appointed under the arrangements to act on behalf of some or all of the relevant regulatory authorities.
- (6) In this section—

“market area”, in relation to a services market identified by the European Commission as a transnational market, means the area identified by that Commission as the area for which the market operates; and

“relevant regulatory authorities”, in relation to such a market, means the regulatory authorities for each member State the whole or a part of which is comprised in the market area.

#### Commencement Information

- I103** S. 83 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I104** S. 83 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## 84 Review of services market identifications and determinations

- (1) This section applies where OFCOM have identified and analysed a services market for the purposes of making a market power determination.
- (2) OFCOM [<sup>F99</sup>may (and, when required to do so by section 84A, must)] carry out further analyses of the identified market for one or both of the following purposes—
  - (a) reviewing market power determinations made on the basis of an earlier analysis;
  - (b) deciding whether to make proposals for the modification of SMP conditions set by reference to a market power determination made on such a basis.

<sup>F100</sup>(3) .....

- (4) Where on, or in consequence of, a further analysis under this section, OFCOM determine that a person to whom any SMP conditions apply is no longer a person with significant market power in that market, they must revoke every SMP services condition applied to that person by reference to the market power determination made on the basis of the earlier analysis.
- (5) Before carrying out a further analysis under subsection (2), OFCOM may review any decision of theirs identifying the markets which it was appropriate to consider for the purpose of carrying out an earlier analysis.
- (6) Where, on such a review, OFCOM conclude that the appropriate markets have changed—
  - (a) they must identify the markets they now consider to be the appropriate ones; and

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- (b) those markets shall be the identified markets for the purposes of the further analysis.
- (7) Sections 79 to 83 apply—
  - (a) in relation to the identification of a services market for the purposes of reviewing a market power determination under this section, as they apply in relation to the identification of such a market for the purpose of making a market determination; and
  - (b) in relation to the review of such a determination, as they apply in relation to the making of such a determination.

#### Textual Amendments

**F99** Words in s. 84(2) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 46(a)** (with Sch. 3 paras. 2, 12)

**F100** S. 84(3) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 46(b)** (with Sch. 3 paras. 2, 12)

#### Commencement Information

**I105** S. 84 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I106** S. 84 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### <sup>F101</sup>84A. Timing of services market identifications and determinations

- (1) This section makes provision about the exercise by OFCOM of their powers—
  - (a) to identify and analyse services markets;
  - (b) to make and review market power determinations in respect of such markets; and
  - (c) to set, modify and revoke SMP services conditions by reference to such determinations.
- (2) Where under Article 15(1) of the Framework Directive the European Commission has adopted a revised recommendation identifying a services market not previously notified to the Commission, OFCOM must ensure that within the specified period they have—
  - (a) carried out any identification and analysis of markets that is necessary in consequence of the recommendation; and
  - (b) sent the Commission copies of any resulting proposals with respect to market identification, market power determinations and SMP services conditions.
- (3) Where, following the identification and analysis of a services market, OFCOM have made a market power determination in relation to it, they must ensure that within the specified period they have—
  - (a) carried out a further analysis of the market and reviewed the identification and determination made on the basis of the earlier analysis; and
  - (b) sent the Commission copies of any resulting proposals with respect to market identification, market power determinations and SMP services conditions.
- (4) Subsection (3) applies only where the market power determination was made after 25 May 2011.

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- (5) Where it appears to OFCOM that they are unlikely to be able to comply with the requirements of subsection (2) or (3) within the specified period, they may request assistance from BEREC under Article 16(7) of the Framework Directive.
- (6) Where OFCOM request such assistance—
  - (a) they must inform the Commission of the request;
  - (b) subsection (2) or (as the case may be) (3) applies in the case in question as if the words “within the specified period” were omitted; and
  - (c) within 6 months of the assistance being provided they must send copies of any resulting proposals to the Commission.
- (7) In this section “the specified period” means—
  - (a) in the case of subsection (2), the period of 2 years from the adoption of the recommendation; and
  - (b) in the case of subsection (3), the period of 3 years from the publication under section 79(4) of the notification of the market power determination made on the basis of the earlier analysis, subject to any extension of that period under Article 16(6)(a) of the Framework Directive.]

#### Textual Amendments

**F101** S. 84A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 47** (with [Sch. 3 para. 2](#))

## 85 Review of apparatus market identifications and determinations

- (1) This section applies where OFCOM have identified and analysed an apparatus market for the purposes of making a market power determination.
- (2) OFCOM must, at such intervals as they consider appropriate, carry out further analyses of the identified market for one or both of the following purposes—
  - (a) reviewing market power determinations made on the basis of an earlier analysis;
  - (b) deciding whether to make proposals for the modification of SMP conditions set by reference to any such market power determination.
- (3) Where on, or in consequence of, a further analysis under this section, OFCOM determine that a person to whom any SMP conditions apply is no longer a person with significant market power in that market, they shall revoke every SMP apparatus condition applied to that person by reference to the market power determination made on the basis of the earlier analysis.
- (4) Before carrying out any further analysis under subsection (2), OFCOM may review any decision of theirs identifying the markets which it was appropriate to consider for the purpose of carrying out any earlier analysis.
- (5) Where on such a review OFCOM conclude that the appropriate markets have changed—
  - (a) they shall identify the markets they now consider to be the appropriate ones; and
  - (b) those markets shall be the identified markets for the purposes of the further analysis.

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- (6) Where on such a review OFCOM conclude that there is no person at all with significant market power in relation to the identified market—
- (a) they must so inform the Secretary of State; and
  - (b) the Secretary of State may by order remove or restrict OFCOM’s power under this Chapter to set SMP apparatus conditions by reference to that market.
- (7) Sections 79, [F10280, 80A and 81(1)] apply—
- (a) in relation to the identification of a apparatus market for the purposes of reviewing a market power determination under this section, as they apply in relation to the identification of such a market for the purpose of making a market determination; and
  - (b) in relation to the review of such a determination, as they apply in relation to the making of such a determination.

#### Textual Amendments

**F102** Words in s. 85(7) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 48** (with Sch. 3 paras. 2, 11)

#### Commencement Information

**I107** S. 85 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I108** S. 85 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 86 Cases where review required

- (1) OFCOM must not set an SMP services condition by a notification which does not also make the market power determination by reference to which the condition is set unless—
- (a) the condition is set by reference to a market power determination which has been reviewed under section 84 and, in consequence of that review, is confirmed in the notification setting the condition; or
  - (b) the condition is set by reference to a market power determination made in relation to a market in which OFCOM are satisfied there has been no material change since the determination was made.
- (2) OFCOM must not modify or revoke SMP services conditions applying to a person except in a case falling within subsection (3) or (4).
- (3) The first case is where, for the purpose of determining whether to make the modification or revocation, OFCOM have—
- (a) carried out a further analysis under section 84 of the market in question; and
  - (b) reviewed the market power determination for the time being in force in that person’s case.
- (4) The second case is where OFCOM are satisfied that there has not—
- (a) in the case of an unmodified condition, since the condition was set, or
  - (b) in any other case, since the condition was last modified,

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been a material change in the market identified or otherwise used for the purposes of the market power determination by reference to which the condition was set or last modified.

- (5) OFCOM must not modify SMP apparatus conditions applying to a person except where, for the purpose of determining whether to make the modification or revocation, they have—
- (a) carried out a further analysis under section 85 of the market in question; and
  - (b) reviewed the market power determination for the time being in force in that person's case.
- (6) A change is a material change for the purposes of subsection (1) or (4) if it is one that is material to—
- (a) the setting of the condition in question; or
  - (b) the modification or revocation in question.

#### Commencement Information

- I109** S. 86 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I110** S. 86 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

#### *SMP services conditions: subject-matter*

### 87 Conditions about network access etc.

- (1) Where OFCOM have made a determination that a person to whom this section applies (“the dominant provider”) has significant market power in an identified services market, they shall—
- (a) set such SMP conditions authorised by this section as they consider it appropriate to apply to that person in respect of the relevant network or relevant facilities; and
  - (b) apply those conditions to that person.
- (2) This section applies to—
- (a) a person who provides a public electronic communications network; and
  - (b) a person who makes available facilities that are associated facilities by reference to such a network.
- (3) This section authorises SMP conditions requiring the dominant provider to give such entitlements as OFCOM may from time to time direct as respects—
- (a) the provision of network access to the relevant network;
  - (b) the use of the relevant network; and
  - (c) the availability of the relevant facilities.
- (4) In determining what conditions authorised by subsection (3) to set in a particular case, OFCOM must take into account, in particular, the following factors—
- (a) the technical and economic viability [<sup>F103</sup>(including the viability of other network access products, whether provided by the dominant provider or another person)] , having regard to the state of market development, of

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- installing and using facilities that would make the proposed network access unnecessary;
  - (b) the feasibility of the provision of the proposed network access;
  - (c) the investment made by the person initially providing or making available the network or other facility in respect of which an entitlement to network access is proposed [<sup>F104</sup>(taking account of any public investment made)] ;
  - (d) the need to secure effective competition [<sup>F105</sup>(including, where it appears to OFCOM to be appropriate, economically efficient infrastructure based competition)] in the long term;
  - (e) any rights to intellectual property that are relevant to the proposal; and
  - (f) the desirability of securing that electronic communications services are provided that are available throughout the member States.
- (5) The conditions authorised by subsection (3) may include provision—
- (a) for securing fairness and reasonableness in the way in which requests for network access are made and responded to; and
  - (b) for securing that the obligations contained in the conditions are complied with within the periods and at the times required by or under the conditions.
- [<sup>F106</sup>(5A) The SMP conditions authorised by this section also include a condition which—
- (a) is of a technical or operational nature; and
  - (b) appears to OFCOM to be appropriate for securing the proper operation of an electronic communications network in compliance with a condition under subsection (3).
- (5B) A condition falling within subsection (5A) may provide that compliance with the condition is not required unless a person on whom an entitlement is or may be conferred in pursuance of a requirement imposed by a condition under subsection (3) fulfils such technical or operational requirements as may be specified by OFCOM.
- (5C) It shall be the duty of OFCOM, when setting a condition falling within subsection (5A), to ensure that it contains all such provision as they consider appropriate for the purpose of taking account of the relevant international standards.]
- (6) The SMP conditions authorised by this section also include one or more of the following—
- (a) a condition requiring the dominant provider not to discriminate unduly against particular persons, or against a particular description of persons, in relation to matters connected with network access to the relevant network or with the availability of the relevant facilities;
  - (b) a condition requiring the dominant provider to publish, in such manner as OFCOM may from time to time direct, all such information as they may direct for the purpose of securing transparency in relation to such matters;
  - (c) a condition requiring the dominant provider to publish, in such manner as OFCOM may from time to time direct, the terms and conditions on which he is willing to enter into an access contract;
  - (d) a condition requiring the terms and conditions on which the dominant provider is willing to enter into an access contract to include such terms and conditions as may be specified or described in the condition;
  - (e) a condition requiring the dominant provider to make such modifications as OFCOM may direct of any offer by that provider which sets out the terms and conditions on which he is willing to enter into an access contract.

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- (7) The SMP conditions authorised by this section also include conditions requiring the dominant provider to maintain a separation for accounting purposes between such different matters relating—
- (a) to network access to the relevant network, or
  - (b) to the availability of the relevant facilities,
- as OFCOM may from time to time direct.
- (8) The SMP conditions authorised by subsection (7) include conditions imposing requirements about the accounting methods to be used in maintaining the separation.
- (9) The SMP conditions authorised by this section also include (subject to section 88) conditions imposing on the dominant provider—
- (a) such price controls as OFCOM may direct in relation to matters connected with the provision of network access to the relevant network, or with the availability of the relevant facilities;
  - (b) such rules as they may make in relation to those matters about the recovery of costs and cost orientation;
  - (c) such rules as they may make for those purposes about the use of cost accounting systems; and
  - (d) obligations to adjust prices in accordance with such directions given by OFCOM as they may consider appropriate.
- (10) The SMP conditions authorised by subsection (9) include conditions requiring the application of presumptions in the fixing and determination of costs and charges for the purposes of the price controls, rules and obligations imposed by virtue of that subsection.
- (11) Where OFCOM set a condition authorised by this section which imposes rules on the dominant provider about the use of cost accounting systems, it shall be their duty also to set, and to apply to him, an SMP condition which imposes on him an obligation—
- (a) to make arrangements for a description to be made available to the public of the cost accounting system used in pursuance of that condition; and
  - (b) to include in that description details of—
    - (i) the main categories under which costs are brought into account for the purposes of that system; and
    - (ii) the rules applied for the purposes of that system with respect to the allocation of costs.
- (12) In this section—
- “access contract” means—
    - (a) a contract for the provision by a person to whom this section applies to another person of network access to the relevant network; or
    - (b) a contract under which the relevant facilities are made available by a person to whom this section applies to another person;
  - “the relevant facilities”, in relation to a person to whom this section applies, means the associated facilities made available by that person in relation to a public electronic communications network; and
  - “the relevant network”, in relation to such a person, means the public electronic communications network provided by him.

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### Textual Amendments

- F103** Words in s. 87(4)(a) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 49(a)** (with Sch. 3 para. 2)
- F104** Words in s. 87(4)(c) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 49(b)** (with Sch. 3 para. 2)
- F105** Words in s. 87(4)(d) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 49(c)** (with Sch. 3 para. 2)
- F106** S. 87(5A)-(5C) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 49(d)** (with Sch. 3 para. 2)

### Commencement Information

- I111** S. 87 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I112** S. 87 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 88 Conditions about network access pricing etc.

- (1) OFCOM are not to set an SMP condition falling within section 87(9) except where—
  - (a) it appears to them from the market analysis carried out for the purpose of setting that condition that there is a relevant risk of adverse effects arising from price distortion; and
  - (b) it also appears to them that the setting of the condition is appropriate for the purposes of—
    - (i) promoting efficiency;
    - (ii) promoting sustainable competition; and
    - (iii) conferring the greatest possible benefits on the end-users of public electronic communications services.
- (2) In setting an SMP condition falling within section 87(9) OFCOM must take account of the extent of the investment in the matters to which the condition relates of the person to whom it is to apply.
- (3) For the purposes of this section there is a relevant risk of adverse affects arising from price distortion if the dominant provider might—
  - (a) so fix and maintain some or all of his prices at an excessively high level, or
  - (b) so impose a price squeeze,
 as to have adverse consequences for end-users of public electronic communications services.
- (4) In considering the matters mentioned in subsection (1)(b) OFCOM may—
  - (a) have regard to the prices at which services are available in comparable competitive markets;
  - (b) determine what they consider to represent efficiency by using such cost accounting methods as they think fit.
- (5) In this section “the dominant provider” has the same meaning as in section 87.



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#### Commencement Information

- I113** S. 88 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I114** S. 88 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

## 89 Conditions about network access in exceptional cases

- (1) This section applies where—
- OFCOM have made a determination that a person (“the dominant provider”) has significant market power in an identified services market;
  - that person is the provider of an electronic communications network or a person who makes associated facilities available; and
  - OFCOM consider that there are exceptional circumstances making it appropriate for conditions with respect to the provision of network access to be applied to the dominant provider in addition to those that are required to be or may be applied to him apart from this section.
- (2) OFCOM may set the additional SMP conditions and apply them to the dominant provider if—
- they have submitted the additional conditions to the European Commission for approval; and
  - the Commission has approved the imposition on the dominant provider of the obligations contained in those conditions.

#### Commencement Information

- I115** S. 89 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I116** S. 89 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

## [<sup>F107</sup>89A.Functional separation

- (1) This section applies where—
- OFCOM have made a determination that a person (“the dominant provider”) has significant market power in an identified services market;
  - that person is the provider of a public electronic communications network or a person who makes available facilities that are associated facilities by reference to such a network;
  - it appears to OFCOM that the setting of conditions applying to the dominant provider under section 87 (and, where OFCOM think it appropriate, section 88) has failed to address competition problems identified by OFCOM in carrying out a market analysis for the purpose of setting or modifying those conditions; and
  - OFCOM have identified important and persisting competition problems or market failures in relation to the provision of network access.
- (2) OFCOM may set an SMP services condition (referred to in this section and section 89B as a “functional separation condition”) requiring the dominant provider to transfer

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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activities relating to the provision of network access to an independently operating business entity which is a part of the dominant provider.

- (3) Where a functional separation condition is imposed on the dominant provider, the products or services specified in the condition must be given to the dominant provider and to other persons—
- (a) on the same timescales, terms and conditions, including those relating to price and service levels, and
  - (b) by means of the same systems and processes.
- (4) A functional separation condition must, where relevant, specify—
- (a) the precise nature and level of separation, specifying in particular the legal status of the entity to which activities are transferred;
  - (b) an identification of the assets of that entity and the products or services to be supplied by it;
  - (c) the governance arrangements (including incentive structures) to ensure the independence of the staff employed in that entity;
  - (d) rules for ensuring compliance with the obligations imposed by the condition;
  - (e) rules for ensuring transparency of operational procedures, in particular towards persons, other than the dominant provider, who in OFCOM’s opinion are likely to be affected by the condition; and
  - (f) a monitoring programme to ensure compliance, including a requirement for the publication of an annual report.

#### **Textual Amendments**

**F107** Ss. 89A-89C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 50** (with Sch. 3 para. 2)

### **89B. Functional separation conditions: consultation and notification**

- (1) Where OFCOM propose to apply a functional separation condition to a person, they must submit their proposal, including the draft functional separation condition, to the European Commission.
- (2) The proposal must set out—
- (a) evidence justifying the conclusions mentioned in section 89A(1)(c) and (d);
  - (b) a reasoned assessment that there is little or no prospect of effective and sustainable infrastructure based competition within a reasonable time frame;
  - (c) an analysis of the expected impact of the condition on—
    - (i) OFCOM;
    - (ii) the person on whom the condition is to be imposed;
    - (iii) the staff of the entity to which activities are to be transferred;
    - (iv) the electronic communications sector as a whole;
    - (v) incentives to invest in the electronic communications sector, particularly with regard to the need to ensure social and territorial cohesion;
    - (vi) competition in the services market affected by the condition; and
    - (vii) other persons who in OFCOM’s opinion are likely to be affected by the condition, including, in particular, consumers; and

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- (d) an analysis of the reasons why a functional separation condition would be the most effective means of addressing important and persisting competition problems or market failures identified by OFCOM.
- (3) OFCOM may set the functional separation condition and apply it to a person if—
- (a) the Commission has approved the imposition on the person of the obligations contained in the condition, and
  - (b) OFCOM have considered the impact that the obligations contained in the condition and approved by the Commission are likely to have on SMP services conditions set in relation to the services markets which, in OFCOM’s opinion, will be affected by the proposed condition.
- (4) A proposal for a functional separation condition is to be submitted to the European Commission under this section before OFCOM carry out a consultation under section 48A in relation to the condition.

#### Textual Amendments

**F107** Ss. 89A-89C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 50** (with [Sch. 3 para. 2](#))

### 89C. Obligation to notify OFCOM of voluntary separation

- (1) This section applies where—
- (a) OFCOM have made a determination that a person (“the dominant provider”) has significant market power in an identified services market;
  - (b) the dominant provider is the provider of a public electronic communications network or a person who makes associated facilities available; and
  - (c) the dominant provider decides to transfer a substantial part or all of the dominant provider’s local access network assets to an independently operating business entity (which may be a part of the dominant provider or another person) for the purpose specified in subsection (2).
- (2) That purpose is to use the assets to provide products or services to the dominant provider and to other persons—
- (a) on the same timescales, terms and conditions, including those relating to price and service levels; and
  - (b) by means of the same systems and processes.
- (3) The dominant provider must notify OFCOM of—
- (a) the decision to transfer the assets;
  - (b) any changes to its intentions; and
  - (c) the taking effect of the transfer.
- (4) Where OFCOM receive a notification under this section, they must, as soon as reasonably practicable, consider the impact that the transfer is likely to have on SMP services conditions set in relation to the services markets which, in OFCOM’s opinion, will be affected by the proposed transfer.]

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### Textual Amendments

**F107** Ss. 89A-89C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 50** (with [Sch. 3 para. 2](#))

## <sup>F108</sup>90 Conditions about carrier selection and pre-selection

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### Textual Amendments

**F108** S. 90 omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 51** (with [Sch. 3 para. 2](#))

### Commencement Information

**I117** S. 90 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I118** S. 90 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with [art. 11](#))

## 91 Conditions about regulation of services etc. for end-users

- (1) Where—
- (a) OFCOM have made a determination that a person (“the dominant provider”) has significant market power in an identified services market (“the relevant market”),
  - (b) the relevant market is one for the end-users of public electronic communications services that are available in that market, and
  - (c) it appears to OFCOM that the test in subsection (2) is satisfied in the case of that provider,
- they shall set, and apply to that provider, such SMP conditions authorised by this section as they consider appropriate.
- (2) That test is that OFCOM are unable, by the setting of conditions of the sorts specified in subsection (3), to perform, or fully to perform, their duties under section 4 in relation to the market situation in the relevant market.
- (3) The sorts of conditions referred to in subsection (2) are—
- (a) access-related conditions; and
  - (b) SMP conditions authorised or required by sections 87 to <sup>F109</sup>89].
- (4) The reference in subsection (2) to the market situation in the relevant market is a reference to the situation revealed by such market analyses of that market as may have been carried out for the purposes of this Chapter.
- (5) The SMP conditions authorised by this section are conditions imposing on the dominant provider such regulatory controls as OFCOM may from time to time direct in relation to the provision by that provider of any public electronic communications service to the end-users of that service.
- (6) Where OFCOM set a condition which is authorised by this section and imposes regulatory control on tariffs or other matters to which costs are relevant, they shall

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also set, and apply to the dominant provider, an SMP condition which requires him, to the extent that they consider it appropriate—

- (a) to use such cost accounting systems as may be determined by them;
- (b) to have the use of those systems audited annually by a qualified auditor; and
- (c) to publish an annual statement about compliance by the dominant provider with the obligations imposed by virtue of paragraph (a).

<sup>F110</sup>(7) .....

[<sup>F111</sup>(8) In this section “qualified auditor” means a person who—

- (a) is eligible for appointment as a statutory auditor under Part 42 of the Companies Act 2006, and
- (b) if the appointment to carry out such auditing as is mentioned in subsection (6) (b) were an appointment as a statutory auditor, would not be prohibited from acting by section 1214 of that Act (independence requirement).]

<p><b>Textual Amendments</b></p> <p><b>F109</b> Word in s. 91(3)(b) substituted (26.5.2011) by <a href="#">The Electronic Communications and Wireless Telegraphy Regulations 2011 (S.I. 2011/1210)</a>, reg. 1(2), <b>Sch. 1 para. 52(a)</b> (with Sch. 3 para. 2)</p> <p><b>F110</b> S. 91(7) omitted (26.5.2011) by virtue of <a href="#">The Electronic Communications and Wireless Telegraphy Regulations 2011 (S.I. 2011/1210)</a>, reg. 1(2), <b>Sch. 1 para. 52(b)</b> (with Sch. 3 para. 2)</p> <p><b>F111</b> S. 91(8) substituted (6.4.2008) by <a href="#">The Companies Act 2006 (Consequential Amendments etc) Order 2008 (S.I. 2008/948)</a>, art. 2(2), <b>Sch. 1 para. 30(4)</b> (with arts. 6, 11, 12)</p> <p><b>Commencement Information</b></p> <p><b>I119</b> S. 91 in force at 25.7.2003 for specified purposes by <a href="#">S.I. 2003/1900</a>, arts. 1(2), 2(1), <b>Sch. 1</b> (with art. 3) (as amended by <a href="#">S.I. 2003/3142</a>, art. 1(3))</p> <p><b>I120</b> S. 91 in force at 29.12.2003 in so far as not already in force by <a href="#">S.I. 2003/3142</a>, <b>art. 3(2)</b> (with art. 11)</p>
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<sup>F112</sup>**92 Conditions about leased lines**  
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<p><b>Textual Amendments</b></p> <p><b>F112</b> S. 92 omitted (26.5.2011) by virtue of <a href="#">The Electronic Communications and Wireless Telegraphy Regulations 2011 (S.I. 2011/1210)</a>, reg. 1(2), <b>Sch. 1 para. 53</b> (with Sch. 3 para. 2)</p> <p><b>Commencement Information</b></p> <p><b>I121</b> S. 92 in force at 25.7.2003 for specified purposes by <a href="#">S.I. 2003/1900</a>, arts. 1(2), 2(1), <b>Sch. 1</b> (with art. 3) (as amended by <a href="#">S.I. 2003/3142</a>, art. 1(3))</p> <p><b>I122</b> S. 92 in force at 29.12.2003 in so far as not already in force by <a href="#">S.I. 2003/3142</a>, <b>art. 3(2)</b> (with art. 11)</p>
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*SMP apparatus conditions: subject-matter*

**93 Conditions about apparatus supply**

- (1) Where OFCOM have made a determination that a person (“the dominant supplier”) has significant market power in an identified apparatus market, they may—

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- (a) set such SMP conditions authorised by this section as they consider it appropriate to apply to that person in respect of the supply of electronic communications apparatus; and
  - (b) apply those conditions to that person.
- (2) This section authorises the setting of SMP conditions of each of the following descriptions—
- (a) conditions requiring the dominant supplier to maintain such a separation for accounting purposes between matters relating to the supply of electronic communications apparatus and other matters as may be described in the conditions;
  - (b) conditions imposing requirements about the accounting methods to be used in maintaining the separation; and
  - (c) conditions imposing such rules as OFCOM may make, for the purpose of securing the maintenance of the separation, about the use of cost accounting systems.
- (3) This section also authorises the setting of SMP conditions imposing price controls in relation to the hiring of telephones which are hardwired to an electronic communications network.
- (4) Conditions set under this section must not make provision in relation to the supply of electronic communications apparatus unless the apparatus is of a description of apparatus as respects the supply of which the dominant supplier has been found to have significant market power.
- (5) For the purposes of this section a telephone is hardwired to an electronic communications network where, in order for it to be used with that network—
- (a) it has to be physically attached to apparatus comprised in the network; and
  - (b) the attachment has to be effected by a process that requires the use of a tool.

#### **Commencement Information**

**I123** S. 93 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I124** S. 93 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

#### *Enforcement of conditions*

### **94 Notification of contravention of [<sup>F113</sup>SMP apparatus] conditions**

- (1) Where OFCOM determine that there are reasonable grounds for believing that a person is contravening, or has contravened, [<sup>F114</sup>an SMP apparatus condition] 5, they may give that person a notification under this section.
- (2) A notification under this section is one which—
- (a) sets out the determination made by OFCOM;
  - (b) specifies the condition and contravention in respect of which that determination has been made; and
  - (c) specifies the period during which the person notified has an opportunity of doing the things specified in subsection (3).

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- (3) Those things are—
  - (a) making representations about the matters notified;
  - (b) complying with notified conditions of which he remains in contravention; and
  - (c) remedying the consequences of notified contraventions.
- (4) Subject to subsections (5) to (7) and section 98(3), the period for doing those things must be the period of one month beginning with the day after the one on which the notification was given.
- (5) OFCOM may, if they think fit, allow a longer period for doing those things either—
  - (a) by specifying a longer period in the notification; or
  - (b) by subsequently, on one or more occasions, extending the specified period.
- (6) The person notified shall have a shorter period for doing those things if a shorter period is agreed between OFCOM and the person notified.
- (7) The person notified shall also have a shorter period if—
  - (a) OFCOM have reasonable grounds for believing that the contravention is a repeated contravention;
  - (b) they have determined that, in those circumstances, a shorter period would be appropriate; and
  - (c) the shorter period has been specified in the notification.
- (8) A notification under this section—
  - (a) may be given in respect of more than one contravention; and
  - (b) if it is given in respect of a continuing contravention, may be given in respect of any period during which the contravention has continued.
- (9) Where a notification under this section has been given to a person in respect of a contravention of a condition, OFCOM may give a further notification in respect of the same contravention of that condition if, and only if—
  - (a) the contravention is one occurring after the time of the giving of the earlier notification;
  - (b) the contravention is a continuing contravention and the subsequent notification is in respect of so much of a period as falls after a period to which the earlier notification relates; or
  - (c) the earlier notification has been withdrawn without a penalty having been imposed in respect of the notified contravention.
- [<sup>F115</sup>(10) Before giving a notification under this section, OFCOM must consider whether it would be more appropriate to proceed under the Competition Act 1998.
- (10A) OFCOM must not give a notification under this section if they consider that it would be more appropriate to proceed under the Competition Act 1998.
- (10B) In a case where OFCOM decide that it would be more appropriate to proceed under the Competition Act 1998, they must publish a statement to that effect in such manner as they consider appropriate for bringing their decision to the attention of persons whom they consider are likely to be affected by it.]
- (11) For the purposes of this section a contravention is a repeated contravention, in relation to a notification with respect to that contravention, if—

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- (a) a previous notification under this section has been given in respect of the same contravention or in respect of another contravention of the same condition; and
- (b) the subsequent notification is given no more than twelve months after the day of the making by OFCOM of a determination for the purposes of section 95(2) or 96(2) that the contravention to which the previous notification related did occur.

#### Textual Amendments

- F113** Words in s. 94 heading inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 54(b)** (with Sch. 3 paras. 2, 13)
- F114** Words in s. 94(1) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 54(a)** (with Sch. 3 paras. 2, 13)
- F115** S. 94(10)-(10B) substituted for s. 94(10) (1.4.2014) by [Enterprise and Regulatory Reform Act 2013 \(c. 24\)](#), s. 103(3), **Sch. 14 para. 17**; S.I. 2014/416, art. 2(1)(e) (with Sch.)

#### Modifications etc. (not altering text)

- C2** Ss. 94-97 applied (with modifications) by S.I. 2000/730, reg. 18(5) (as inserted (25.7.2003) by [Radio Equipment and Telecommunications Terminal Equipment \(Amendment\) Regulations 2003 \(S.I. 2003/1903\)](#), regs. 1(1), **2(12)(b)**)

#### Commencement Information

- I125** S. 94 in force at 25.7.2003 for specified purposes by S.I. 2003/1900, arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by S.I. 2003/3142, art. 1(3))
- I126** S. 94 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, **art. 3(2)** (with art. 11)

## 95 Enforcement notification for contravention of conditions

- (1) This section applies where—
- (a) a person (“the notified provider”) has been given a notification under section 94;
  - (b) OFCOM have allowed the notified provider an opportunity of making representations about the matters notified; and
  - (c) the period allowed for the making of the representations has expired.
- (2) OFCOM may give the notified provider an enforcement notification if they are satisfied—
- (a) that he has, in one or more of the respects notified, been in contravention of a condition specified in the notification under section 94; and
  - (b) that he has not, during the period allowed under that section, taken all such steps as they consider appropriate—
    - (i) for complying with that condition; and
    - (ii) for remedying the consequences of the notified contravention of that condition.
- (3) An enforcement notification is a notification which imposes one or both of the following requirements on the notified provider—
- (a) a requirement to take such steps for complying with the notified condition as may be specified in the notification;



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- (b) a requirement to take such steps for remedying the consequences of the notified contravention as may be so specified.
- (4) A decision of OFCOM to give an enforcement notification to a person—
  - (a) must be notified by them to that person, together with the reasons for the decision, no later than one week after the day on which it is taken; and
  - (b) must fix a reasonable period for the taking of the steps required by the notification.
- (5) It shall be the duty of a person to whom an enforcement notification has been given to comply with it.
- (6) That duty shall be enforceable in civil proceedings by OFCOM—
  - (a) for an injunction;
  - (b) for specific performance of a statutory duty under section 45 of the Court of Session Act 1988 (c. 36); or
  - (c) for any other appropriate remedy or relief.

**Modifications etc. (not altering text)**

**C2** Ss. 94-97 applied (with modifications) by S.I. 2000/730, reg. 18(5) (as inserted (25.7.2003) by [Radio Equipment and Telecommunications Terminal Equipment \(Amendment\) Regulations 2003 \(S.I. 2003/1903\)](#), regs. 1(1), **2(12)(b)**)

**Commencement Information**

**I127** S. 95 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I128** S. 95 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

**96 Penalties for contravention of conditions**

- (1) This section applies (in addition to section 95) where—
  - (a) a person (“the notified provider”) has been given a notification under section 94;
  - (b) OFCOM have allowed the notified provider an opportunity of making representations about the matters notified; and
  - (c) the period allowed for the making of the representations has expired.
- (2) OFCOM may impose a penalty on the notified provider if he—
  - (a) has, in one or more of the respects notified, been in contravention of a condition specified in the notification under section 94; and
  - (b) has not, during the period allowed under that section, taken the steps OFCOM consider appropriate—
    - (i) for complying with the notified condition; and
    - (ii) for remedying the consequences of the notified contravention of that condition.
- (3) Where a notification under section 94 relates to more than one contravention, a separate penalty may be imposed in respect of each contravention.

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (4) Where such a notification relates to a continuing contravention, no more than one penalty may be imposed in respect of the period of contravention specified in the notification.
- (5) OFCOM may also impose a penalty on the notified provider if he has contravened, or is contravening, a requirement of an enforcement notification given under section 95 in respect of the notified contravention.
- (6) Where OFCOM impose a penalty on a person under this section, they shall—
  - (a) within one week of making their decision to impose the penalty, notify that person of that decision and of their reasons for that decision; and
  - (b) in that notification, fix a reasonable period after it is given as the period within which the penalty is to be paid.
- (7) A penalty imposed under this section—
  - (a) must be paid to OFCOM; and
  - (b) if not paid within the period fixed by them, is to be recoverable by them accordingly.

**Modifications etc. (not altering text)**

**C2** Ss. 94-97 applied (with modifications) by S.I. 2000/730, reg. 18(5) (as inserted (25.7.2003) by [Radio Equipment and Telecommunications Terminal Equipment \(Amendment\) Regulations 2003 \(S.I. 2003/1903\)](#), regs. 1(1), **2(12)(b)**)

**Commencement Information**

**I129** S. 96 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I130** S. 96 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

**[<sup>F116</sup>96A. Notification of contravention of condition other than SMP apparatus condition**

- (1) Where OFCOM determine that there are reasonable grounds for believing that a person is contravening, or has contravened, a condition (other than an SMP apparatus condition) set under section 45, they may give that person a notification under this section.
- (2) A notification under this section is one which—
  - (a) sets out the determination made by OFCOM;
  - (b) specifies the condition and contravention in respect of which that determination has been made;
  - (c) specifies the period during which the person notified has an opportunity to make representations;
  - (d) specifies the steps that OFCOM think should be taken by the person in order to—
    - (i) comply with the condition;
    - (ii) remedy the consequences of the contravention;
  - (e) specifies any penalty which OFCOM are minded to impose in accordance with section 96B;

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- (f) where the contravention is serious, specifies any direction which OFCOM are minded to give under section 100; and
  - (g) where the contravention relates to a condition set under sections 87 to 91, specifies any direction which OFCOM are minded to give under section 100A.
- (3) A notification under this section—
- (a) may be given in respect of more than one contravention; and
  - (b) if it is given in respect of a continuing contravention, may be given in respect of any period during which the contravention has continued.
- (4) Where a notification under this section has been given to a person in respect of a contravention of a condition, OFCOM may give a further notification in respect of the same contravention of that condition if, and only if—
- (a) the contravention is one occurring after the time of the giving of the earlier notification;
  - (b) the contravention is a continuing contravention and the subsequent notification is in respect of so much of a period as falls after a period to which the earlier notification relates; or
  - (c) the earlier notification has been withdrawn without a penalty having been imposed in respect of the notified contravention.
- [<sup>F117</sup>(5) Before giving a notification under this section, OFCOM must consider whether it would be more appropriate to proceed under the Competition Act 1998.
- (6) OFCOM must not give a notification under this section if they consider that it would be more appropriate to proceed under the Competition Act 1998.
- (7) In a case where OFCOM decide that it would be more appropriate to proceed under the Competition Act 1998, they must publish a statement to that effect in such manner as they consider appropriate for bringing their decision to the attention of persons whom they consider are likely to be affected by it.]

#### Textual Amendments

**F116** Ss. 96A-96C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 55** (with Sch. 3 paras. 2, 13)

**F117** S. 96A(5)-(7) substituted for s. 96A(5) (1.4.2014) by [Enterprise and Regulatory Reform Act 2013 \(c. 24\)](#), s. 103(3), **Sch. 14 para. 18**; S.I. 2014/416, art. 2(1)(e) (with Sch.)

#### 96B. Penalties for contravention of conditions

- (1) This section applies where a person is given a notification under section 96A which specifies a proposed penalty.
- (2) Where the notification relates to more than one contravention, a separate penalty may be specified in respect of each contravention.
- (3) Where the notification relates to a continuing contravention, no more than one penalty may be specified in respect of the period of contravention specified in the notification.
- (4) But, in relation to a continuing contravention, a penalty may be specified in respect of each day on which the contravention continues after—

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (a) the giving of a confirmation decision under section 96C(4)(c) which requires immediate action; or
  - (b) the expiry of any period specified in the confirmation decision for complying with a requirement so specified.
- (5) The amount of a penalty under subsection (4) is to be such amount not exceeding £20,000 per day as OFCOM determine to be—
- (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.

#### Textual Amendments

**F116** Ss. 96A-96C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 55** (with [Sch. 3 paras. 2, 13](#))

### 96C. Enforcement of notification under section 96A

- (1) This section applies where—
- (a) a person has been given a notification under section 96A;
  - (b) OFCOM have allowed the person an opportunity to make representations about the matters notified; and
  - (c) the period allowed for the making of representations has expired.
- (2) OFCOM may—
- (a) give the person a decision (a “confirmation decision”) confirming the imposition of requirements on the person, or the giving of a direction to the person, or both, in accordance with the notification under section 96A; or
  - (b) inform the person that they are satisfied with the person’s representations and that no further action will be taken.
- (3) OFCOM may not give a confirmation decision to a person unless, after considering any representations, they are satisfied that the person has, in one or more of the respects notified, been in contravention of a condition specified in the notification under section 96A.
- (4) A confirmation decision—
- (a) must be given to the person without delay;
  - (b) must include reasons for the decision;
  - (c) may require immediate action by the person to comply with requirements of a kind mentioned in section 96A(2)(d), or may specify a period within which the person must comply with those requirements; and
  - (d) may require the person to pay—
    - (i) the penalty specified in the notification under section 96A, or
    - (ii) such lesser penalty as OFCOM consider appropriate in the light of the person’s representations or steps taken by the person to comply with the condition or remedy the consequences of the contravention, and may specify the period within which the penalty is to be paid.
- (5) It is the duty of the person to comply with any requirement imposed by a confirmation decision.

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- (6) That duty is enforceable in civil proceedings by OFCOM—
- (a) for an injunction;
  - (b) for specific performance of a statutory duty under section 45 of the Court of Session Act 1988; or
  - (c) for any other appropriate remedy or relief.
- (7) A penalty imposed by a confirmation decision—
- (a) must be paid to OFCOM; and
  - (b) if not paid within the period specified by them, is to be recoverable by them accordingly.]

#### Textual Amendments

**F116** Ss. 96A-96C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 55** (with Sch. 3 paras. 2, 13)

#### 97 Amount of penalty under s. 96 [F118 or 96A]

- (1) The amount of a penalty imposed under section 96 [F119 or notified under section 96A (other than a penalty falling within section 96B(4))] is to be such amount not exceeding ten per cent. of the turnover of the [F120 person]’s relevant business for the relevant period as OFCOM determine to be—
- (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.
- (2) In making [F121 a determination in relation to a penalty imposed under section 96] OFCOM must have regard to—
- (a) any representations made to them by the [F122 person] ;
  - (b) any steps taken by him towards complying with the conditions contraventions of which have been notified to him under section 94; and
  - (c) any steps taken by him for remedying the consequences of those contraventions.
- (3) For the purposes of this section—
- (a) the turnover of a person’s relevant business for a period shall be calculated in accordance with such rules as may be set out by order made by the Secretary of State; and
  - (b) provision may also be made by such an order for determining what is to be treated as the network, service, facility or business by reference to which the calculation of that turnover falls to be made.
- (4) No order is to be made containing provision authorised by subsection (3) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.
- (5) In this section—
- “relevant business” means (subject to the provisions of an order under subsection (3) and to subsections (6) and (7)) so much of any business carried on by the [F123 person] as consists in any one or more of the following—
- (a) the provision of an electronic communications network;

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- (b) the provision of an electronic communications service;
  - (c) the making available of associated facilities;
  - (d) the supply of directories for use in connection with the use of such a network or service;
  - (e) the making available of directory enquiry facilities for use for purposes connected with the use of such a network or service;
  - (f) any business not falling within any of the preceding paragraphs which is carried on in association with any business in respect of which any access-related condition is applied to the person carrying it on;
- “relevant period”, in relation to a contravention by a person of a condition set under section 45, means—
- (a) except in a case falling within paragraph (b) or (c), the period of one year ending with the 31st March next before the time when notification of the contravention was given under section 94 [<sup>F124</sup>or 96A] ;
  - (b) in the case of a person who at that time has been carrying on that business for a period of less than a year, the period, ending with that time, during which he has been carrying it on; and
  - (c) in the case of a person who at that time has ceased to carry on that business, the period of one year ending with the time when he ceased to carry it on.
- (6) In the case of a contravention of an SMP apparatus condition the relevant business is so much of any business carried on by the person in respect of whose contravention the penalty is imposed as consists in the supply of electronic communications apparatus.
- (7) So much of any business of a person on whom the penalty is imposed as falls within paragraph (f) of the definition of a relevant business shall be disregarded for the purposes of this section except in relation to—
- (a) a contravention of an access-related condition imposed in respect of that business; or
  - (b) a contravention of an enforcement notification given under section 95 [<sup>F125</sup>or a confirmation decision under section 96C] relating to such a condition.

<sup>F126</sup>(8) .....

#### Textual Amendments

- F118** Words in s. 97 heading inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(g)** (with Sch. 3 paras. 2, 13)
- F119** Words in s. 97(1) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(a)** (with Sch. 3 paras. 2, 13)
- F120** Word in s. 97(1) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(b)** (with Sch. 3 paras. 2, 13)
- F121** Words in s. 97(2) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(c)** (with Sch. 3 paras. 2, 13)
- F122** Word in s. 97(2)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(b)** (with Sch. 3 paras. 2, 13)
- F123** Word in s. 97(5) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(b)** (with Sch. 3 paras. 2, 13)
- F124** Words in s. 97(5) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(d)** (with Sch. 3 paras. 2, 13)
- F125** Words in s. 97(7) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(e)** (with Sch. 3 paras. 2, 13)

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**F126** S. 97(8) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 56(f)** (with Sch. 3 paras. 2, 13)

**Modifications etc. (not altering text)**

**C2** Ss. 94-97 applied (with modifications) by S.I. 2000/730, reg. 18(5) (as inserted (25.7.2003) by [Radio Equipment and Telecommunications Terminal Equipment \(Amendment\) Regulations 2003 \(S.I. 2003/1903\)](#), regs. 1(1), **2(12)(b)**)

**Commencement Information**

**I131** S. 97 in force at 25.7.2003 for specified purposes by S.I. 2003/1900, arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by S.I. 2003/3142, art. 1(3))

**I132** S. 97 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, **art. 3(2)** (with art. 11)

**98 Power to deal with urgent cases**

(1) This section applies where OFCOM determine—

- (a) that they are entitled to give a notification under section [<sup>F127</sup>96A] with respect to a contravention by a person (“the contravening provider”) of a condition set under section 45,<sup>F128</sup> ...;
- (b) that there are reasonable grounds for suspecting that the case is an urgent case; and
- (c) that the urgency of the case makes it appropriate for OFCOM to take action under this section.

(2) A case is an urgent case for the purposes of this section if the contravention has resulted in, or creates an immediate risk of—

- (a) a serious threat to the safety of the public, to public health or to national security;
- (b) serious economic or operational problems for persons (other than the contravening provider) who are communications providers or persons who make associated facilities available; or
- (c) serious economic or operational problems for persons who make use of electronic communications networks, electronic communications services or associated facilities.

<sup>F129</sup>(3) .....

(4) OFCOM shall <sup>F130</sup>... have power to give to the contravening provider—

- (a) a direction that his entitlement to provide electronic communications networks or electronic communications services, or to make associated facilities available, is suspended (either generally or in relation to particular networks, services or facilities); or
- (b) a direction that that entitlement is restricted in the respects set out in the direction.

(5) A direction under subsection (4)—

- (a) must specify the networks, services and facilities to which it relates; and
- (b) except so far as it otherwise provides, takes effect for an indefinite period beginning with the time at which it is notified to the person to whom it is given.

(6) A direction under subsection (4)—

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- (a) in providing for the effect of a suspension or restriction to be postponed, may provide for it to take effect only at a time determined by or in accordance with the terms of the direction; and
  - (b) in connection with the suspension or restriction contained in the direction or with the postponement of its effect, may impose such conditions on the contravening provider as appear to OFCOM to be appropriate for the purpose of protecting his customers.
- (7) Those conditions may include a condition requiring the making of payments—
- (a) by way of compensation for loss or damage suffered by the contravening provider’s customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.
- (8) OFCOM have power to revoke a direction given under subsection (4)—
- (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such networks, services or facilities, or parts of a network, service or facility, as they may determine.

#### Textual Amendments

- F127** Word in s. 98(1)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 57(a)** (with Sch. 3 paras. 2, 13)
- F128** Words in s. 98(1)(a) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 57(a)** (with Sch. 3 paras. 2, 13)
- F129** S. 98(3) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 57(b)** (with Sch. 3 paras. 2, 13)
- F130** Word in s. 98(4) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 57(c)** (with Sch. 3 paras. 2, 13)

#### Commencement Information

- I133** S. 98 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I134** S. 98 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 99 Confirmation of directions under s. 98

- (1) As soon as reasonably practicable after giving a direction under section 98(4), OFCOM must give the person to whom it is given—
- (a) an opportunity of making representations to them about the grounds on which it was given and its effect; and
  - (b) an opportunity of proposing steps to remedy the situation.
- (2) As soon as practicable after the period allowed by OFCOM for making those representations has ended [<sup>F131</sup>(and in any event within 3 months beginning with the day on which the direction under section 98(4) was given)], they must determine—
- (a) whether the contravention providing the grounds for the giving of the direction did occur; and
  - (b) whether the circumstances made it an urgent case justifying the giving of the direction.



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- [<sup>F132</sup>(2A) The period of 3 months mentioned in subsection (2) may be extended by up to 3 months if OFCOM—
- (a) require additional time to consider representations received; or
  - (b) decide that it is necessary to obtain additional information from the person in order to make a determination under subsection (2).]
- (3) If OFCOM decide that the contravention did occur and that the direction was justified, they may confirm the direction.
- (4) If not, they must exercise their power to revoke it.
- (5) As soon as reasonably practicable after determining whether to confirm the direction, OFCOM must notify the person to whom it was given of their decision.
- (6) Conditions included in a direction by virtue of section 98(7) have effect only if the direction is confirmed.

#### Textual Amendments

**F131** Words in s. 99(2) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 58(a)** (with Sch. 3 paras. 2, 13)

**F132** S. 99(2A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 58(b)** (with Sch. 3 paras. 2, 13)

#### Commencement Information

**I135** S. 99 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I136** S. 99 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 100 Suspending service provision for contraventions of conditions

- [<sup>F133</sup>(1) OFCOM may give a direction under this section to a person where—
- (a) either Condition A or Condition B is satisfied in relation to the person; and
  - (b) the giving of a direction is appropriate and proportionate to the contravention in respect of which it is imposed.
- (1A) Condition A is that—
- (a) the person is in serious contravention of a condition set under section 45, other than SMP apparatus conditions; and
  - (b) the proposed direction has been notified to the person under section 96A and confirmed by a confirmation decision under section 96C.
- (1B) Condition B is that—
- (a) the person has repeatedly contravened a condition set under section 45, other than SMP apparatus conditions; and
  - (b) an attempt, by the imposition of penalties or the giving of notifications under section 96A and confirmation decisions under section 96C, or both, to secure compliance with the contravened conditions has failed.
- (1C) Where Condition A is satisfied, a direction under this section is given where OFCOM give a confirmation decision under section 96C to the person in respect of a direction proposed in a notification under section 96A.

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- (1D) Where Condition B is satisfied, a direction under this section is to be given in accordance with the procedure set out in section 102.]
- (2) A direction under this section is—
- (a) a direction that the entitlement of the [<sup>F134</sup>person] to provide electronic communications networks or electronic communications services, or to make associated facilities available, is suspended (either generally or in relation to particular networks, services or facilities); or
  - (b) a direction that that entitlement is restricted in the respects set out in the direction.
- (3) A direction under this section—
- (a) must specify the networks, services and facilities to which it relates; and
  - (b) except so far as it otherwise provides, takes effect for an indefinite period beginning with the time at which it is notified to the person to whom it is given.
- (4) A direction under this section—
- (a) in providing for the effect of a suspension or restriction to be postponed, may provide for it to take effect only at a time determined by or in accordance with the terms of the direction; and
  - (b) in connection with the suspension or restriction contained in the direction or with the postponement of its effect, may impose such conditions on the [<sup>F135</sup>person] as appear to OFCOM to be appropriate for the purpose of protecting that provider's customers.
- (5) Those conditions may include a condition requiring the making of payments—
- (a) by way of compensation for loss or damage suffered by the [<sup>F136</sup>person] 's customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.
- (6) If OFCOM consider it appropriate to do so (whether or not in consequence of representations or proposals made to them), they may revoke a direction under this section or modify its conditions—
- (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such networks, services or facilities, or parts of a network, service or facility, as they may determine.
- (7) For the purposes of this section there are repeated contraventions by a person of conditions set under section 45 to the extent that—
- <sup>F137</sup>(a) in the case of a previous notification of a contravention given to that person under section 96A, OFCOM have given a confirmation decision to that person under section 96C(2) in respect of the contravention;]
  - <sup>F138</sup>(b) in the period of 24 months following the giving of that confirmation decision, one or more further confirmation decisions have been given to the person in respect of contraventions of a condition under section 45;]
  - (c) the previous [<sup>F139</sup>confirmation decision] and the subsequent ones all relate to contraventions of the same condition (whether the same contravention or different contraventions).

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Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

#### Textual Amendments

- F133** S. 100(1)-(1D) substituted for s. 100(1) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 59(a)** (with Sch. 3 paras. 2, 13, 14)
- F134** Word in s. 100(2)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 59(b)** (with Sch. 3 paras. 2, 13)
- F135** Word in s. 100(4)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 59(b)** (with Sch. 3 paras. 2, 13)
- F136** Word in s. 100(5)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 59(b)** (with Sch. 3 paras. 2, 13)
- F137** S. 100(7)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 59(c)(i)** (with Sch. 3 paras. 2, 13)
- F138** S. 100(7)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 59(c)(ii)** (with Sch. 3 paras. 2, 13)
- F139** Words in s. 100(7)(c) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 59(c)(iii)** (with Sch. 3 paras. 2, 13)

#### Commencement Information

- I137** S. 100 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I138** S. 100 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### [<sup>F140</sup>100A] Suspending service provision for breach of SMP services condition

- (1) OFCOM may give a direction under this section to a person who provides a public electronic communications network, or a person who makes available facilities that are associated facilities by reference to such a network, if OFCOM are satisfied that—
  - (a) the person is or has been in contravention of conditions set under sections 87 to 91; and
  - (b) the provision of an electronic communications service by the person on that public electronic communications network could result in significant harm to competition.
- (2) A direction under this section is given where OFCOM give a confirmation decision under section 96C to the person in respect of a direction proposed in a notification under section 96A.
- (3) A direction under this section is—
  - (a) a direction that the entitlement of the person to provide an electronic communications service over the public electronic communications network to which the contravened condition relates is suspended (either generally or in relation to particular services); or
  - (b) a direction that the person may not begin to provide an electronic communications service over the public electronic communications network to which the contravened provision relates.
- (4) A direction under this section—
  - (a) must specify the electronic communications services to which it relates, and

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- (b) takes effect for an indefinite period beginning with the time at which a confirmation decision relating to the direction is given to the person under section 96C.
- (5) A direction under this section—
  - (a) may provide for a suspension or prohibition to take effect only at a time determined by or in accordance with the terms of the direction; and
  - (b) in connection with a suspension or prohibition contained in the direction or with the postponement of its effect, may impose such conditions on the person to whom it is given as appear to OFCOM to be appropriate for the purpose of protecting that person’s customers.
- (6) Those conditions may include a condition requiring the making of payments—
  - (a) by way of compensation for loss or damage suffered by the person’s customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.
- (7) If OFCOM consider it appropriate to do so (whether or not in consequence of representations or proposals made to them), they may revoke a direction under this section or modify its conditions—
  - (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such services, or parts of a service, as they may determine.]

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**Textual Amendments**

**F140** S. 100A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 60** (with [Sch. 3 paras. 2, 13, 14](#))

**101 Suspending apparatus supply for contraventions of conditions**

- (1) OFCOM may give a direction under this section to a person who supplies electronic communications apparatus (“the contravening supplier”) if they are satisfied—
  - (a) that he is or has been in serious and repeated contravention of any SMP apparatus conditions;
  - (b) that an attempt, by the imposition of penalties or the giving of enforcement notifications under section 95 or both, to secure compliance with the contravened conditions has failed; and
  - (c) that the giving of the direction is appropriate and proportionate to the seriousness (when repeated as they have been) of the contraventions.
- (2) A direction under this section is—
  - (a) a direction to the contravening supplier to cease to act as a supplier of electronic communications apparatus (either generally or in relation to apparatus of a particular description); or
  - (b) a direction imposing such restrictions as may be set out in the direction on the supply by that supplier of electronic communications apparatus (either generally or in relation to apparatus of a particular description).

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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- (3) A direction under this section takes effect, except so far as it otherwise provides, for an indefinite period beginning with the time at which it is notified to the person to whom it is given.
- (4) A direction under this section—
  - (a) may provide for a prohibition or restriction to take effect only at a time determined by or in accordance with the terms of the direction; and
  - (b) in connection with a prohibition or restriction contained in the direction or with the postponement of its effect, may impose such conditions on the contravening supplier as appear to OFCOM to be appropriate for the purpose of protecting that supplier's customers.
- (5) Those conditions may include a condition requiring the making of payments—
  - (a) by way of compensation for loss or damage suffered by the contravening supplier's customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.
- (6) If OFCOM consider it appropriate to do so (whether or not in consequence of representations or proposals made to them), they may at any time revoke a direction under this section or modify its conditions—
  - (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such apparatus or descriptions of apparatus as they may determine.
- (7) For the purposes of this section there are repeated contraventions by a person of SMP apparatus conditions to the extent that—
  - (a) in the case of a previous notification given to that person under section 94, OFCOM have determined for the purposes of section 95(2) or 96(2) that such a contravention did occur;
  - (b) in the period of twelve months following the day of the making of that determination, one or more further notifications have been given to that person in respect of contraventions of an SMP apparatus condition; and
  - (c) the previous notification and the subsequent ones all relate to contraventions of the same condition (whether the same contravention or different contraventions).

#### **Commencement Information**

- I139** S. 101 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I140** S. 101 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## **102 Procedure for directions under ss. 100 and 101**

- (1) Except in an urgent case, [<sup>F141</sup>or a case where Condition A in section 100 is satisfied,] OFCOM are not to give a direction under section 100 or 101 unless they have—
  - (a) notified the contravening provider or contravening supplier of the proposed direction and of the conditions (if any) which they are proposing to impose by that direction;

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- (b) provided him with an opportunity of making representations about the proposals and of proposing steps for remedying the situation; and
- (c) considered every representation and proposal made to them during the period allowed by them for the contravening provider or the contravening supplier to take advantage of that opportunity.

[<sup>F142</sup>(2) That period must be—

- (a) in relation to a direction under section 100, such reasonable period as OFCOM may determine, and
- (b) in relation to a direction under section 101, a period ending not less than one month after the day of the giving of the notification.]

(3) As soon as practicable after giving a direction under section 100 or 101 in an urgent case, OFCOM must, provide the contravening provider or contravening supplier with an opportunity of—

- (a) making representations about the effect of the direction and of any of its conditions; and
- (b) proposing steps for remedying the situation.

[<sup>F143</sup>(3A) In relation to a direction under section 100 in an urgent case, as soon as practicable after the period allowed by OFCOM for making those representations has ended (and in any event within 3 months beginning with the day on which the direction was given), they must determine—

- (a) whether the contravention providing the grounds for the giving of the direction did occur; and
- (b) whether the circumstances made it an urgent case justifying the giving of the direction.

(3B) The period of 3 months mentioned in subsection (3A) may be extended by up to 3 months if OFCOM—

- (a) require additional time to consider representations received; or
- (b) decide that it is necessary to obtain additional information from the person in order to make a determination under subsection (3A).]

(4) A case is an urgent case for the purposes of this section if OFCOM—

- (a) consider that it would be inappropriate, because the contraventions in question fall within subsection (5), to allow time, before giving a direction under section 100 or 101, for the making and consideration of representations; and
- (b) decide for that reason to act in accordance with subsection (3), instead of subsection (1).

(5) The contraventions fall within this subsection if they have resulted in, or create an immediate risk of—

- (a) a serious threat to the safety of the public, to public health or to national security;
- (b) serious economic or operational problems for persons (apart from the contravening provider or contravening supplier) who are communications providers or persons who make associated facilities available; or
- (c) serious economic or operational problems for persons who make use of electronic communications networks, electronic communications services or associated facilities.

(6) In this section—

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[<sup>F144</sup>“contravening provider” means a person who is a communications provider or makes associated facilities available;]

“contravening supplier” has the same meaning as in section 101.

#### Textual Amendments

- F141** Words in s. 102(1) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 61(a)** (with Sch. 3 paras. 2, 13)
- F142** S. 102(2) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 61(b)** (with Sch. 3 paras. 2, 13)
- F143** S. 102(3A)(3B) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 61(c)** (with Sch. 3 paras. 2, 13)
- F144** Words in s. 102(6) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 61(d)** (with Sch. 3 paras. 2, 13)

#### Commencement Information

- I141** S. 102 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I142** S. 102 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 103 Enforcement of directions under ss. 98, 100 [<sup>F145</sup>, 100A] and 101

- (1) A person is guilty of an offence if he provides an electronic communications network or electronic communications service, or makes available any associated facility—
  - (a) while his entitlement to do so is suspended by a direction under section [<sup>F146</sup>98(4), 100 or 100A] ; or
  - (b) in contravention of a restriction contained in such a direction.
- (2) A person is guilty of an offence if he supplies electronic communications apparatus—
  - (a) while prohibited from doing so by a direction under section 101; or
  - (b) in contravention of a restriction contained in such a direction.
- (3) A person guilty of an offence under this section shall be liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.
- [<sup>F147</sup>(4) Sections 96A to 99 apply in relation to a contravention of conditions imposed by a direction under section 98, 100 or 100A as they apply in relation to a contravention of conditions set under section 45, other than SMP apparatus conditions.
- (5) Sections 94 to 96 and 97 to 99 apply in relation to a contravention of conditions imposed by a direction under section 101 as they apply in relation to a contravention of SMP apparatus conditions.]

#### Textual Amendments

- F145** Words in s. 103 heading inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 62(c)** (with Sch. 3 paras. 2, 13)
- F146** Words in s. 103(1)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 62(a)** (with Sch. 3 paras. 2, 13)

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**F147** S. 103(4)(5) substituted for s. 103(4) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 62(b)** (with Sch. 3 paras. 2, 13)

#### Commencement Information

**I143** S. 103 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I144** S. 103 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 104 Civil liability for breach of conditions or <sup>F148</sup>confirmation decision]

- (1) The obligation of a person to comply with—
  - (a) the conditions set under section 45 which apply to him,
  - (b) requirements imposed on him by an enforcement notification under section 95, <sup>F149</sup> ...
  - <sup>F150</sup>(ba) requirements imposed on the person by a notification under section 96A and a confirmation decision under section 96C; and]
  - (c) the conditions imposed by a direction under section 98 <sup>F151</sup>, 100 or 100A], shall be a duty owed to every person who may be affected by a contravention of the condition or requirement.
- (2) Where a duty is owed by virtue of this section to a person—
  - (a) a breach of the duty that causes that person to sustain loss or damage, and
  - (b) an act which—
    - (i) by inducing a breach of the duty or interfering with its performance, causes that person to sustain loss or damage, and
    - (ii) is done wholly or partly for achieving that result,
 shall be actionable at the suit or instance of that person.
- (3) In proceedings brought against a person by virtue of subsection (2)(a) it shall be a defence for that person to show that he took all reasonable steps and exercised all due diligence to avoid contravening the condition or requirement in question.
- (4) The consent of OFCOM is required for the bringing of proceedings by virtue of subsection (1)(a).
- (5) Where OFCOM give a consent for the purposes of subsection (4) subject to conditions relating to the conduct of the proceedings, the proceedings are not to be carried on by that person except in compliance with those conditions.

#### Textual Amendments

**F148** Words in s. 104 heading substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 63(c)** (with Sch. 3 paras. 2, 13)

**F149** Word in s. 104(1)(b) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 63(a)** (with Sch. 3 paras. 2, 13)

**F150** S. 104(1)(ba) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 63(a)** (with Sch. 3 paras. 2, 13)

**F151** Words in s. 104(1)(c) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 63(b)** (with Sch. 3 paras. 2, 13)



*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
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#### Commencement Information

- I145** S. 104 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I146** S. 104 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### *OFCOM's duty to intervene on network access issues*

## <sup>F152</sup>**105 Consideration and determination of network access questions**

#### Textual Amendments

- F152** S. 105 omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 64** (with Sch. 3 para. 2)

#### Commencement Information

- I147** S. 105 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I148** S. 105 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### <sup>F153</sup>*Security of public electronic communications networks and services*

#### Textual Amendments

- F153** Ss. 105A-105D and cross-heading inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 65** (with Sch. 3 para. 2)

## **105A. Requirement to protect security of networks and services**

- (1) Network providers and service providers must take technical and organisational measures appropriately to manage risks to the security of public electronic communications networks and public electronic communications services.
- (2) Measures under subsection (1) must, in particular, include measures to prevent or minimise the impact of security incidents on end-users.
- (3) Measures under subsection (1) taken by a network provider must also include measures to prevent or minimise the impact of security incidents on interconnection of public electronic communications networks.
- (4) A network provider must also take all appropriate steps to protect, so far as possible, the availability of the provider's public electronic communications network.
- (5) In this section and sections 105B and 105C—
  - “network provider” means a provider of a public electronic communications network, and
  - “service provider” means a provider of a public electronic communications service.

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### **105B. Requirement to notify OFCOM of security breach**

- (1) A network provider must notify OFCOM—
  - (a) of a breach of security which has a significant impact on the operation of a public electronic communications network, and
  - (b) of a reduction in the availability of a public electronic communications network which has a significant impact on the network.
- (2) A service provider must notify OFCOM of a breach of security which has a significant impact on the operation of a public electronic communications service.
- (3) If OFCOM receive a notification under this section, they must, where they think it appropriate, notify—
  - (a) the regulatory authorities in other member States, and
  - (b) the European Network and Information Security Agency (“ENISA”).
- (4) OFCOM may also inform the public of a notification under this section, or require the network provider or service provider to inform the public, if OFCOM think that it is in the public interest to do so.
- (5) OFCOM must prepare an annual report summarising notifications received by them under this section during the year, and any action taken in response to a notification.
- (6) A copy of the annual report must be sent to the European Commission and to ENISA.

### **105C. Requirement to submit to audit**

- (1) OFCOM may carry out, or arrange for another person to carry out, an audit of the measures taken by a network provider or a service provider under section 105A.
- (2) A network provider or a service provider must—
  - (a) co-operate with an audit under subsection (1), and
  - (b) pay the costs of the audit.

### **105D. Enforcement of obligations under sections 105A to 105C**

- (1) Sections 96A to 96C, 98 to 100, 102 and 103 apply in relation to a contravention of a requirement under sections 105A to 105C as they apply in relation to a contravention of a condition set under section 45, other than an SMP apparatus condition.
- (2) The obligation of a person to comply with the requirements of section 105A to 105C is a duty owed to every person who may be affected by a contravention of a requirement, and—
  - (a) section 104 applies in relation to that duty as it applies in relation to the duty set out in subsection (1) of that section, and
  - (b) section 104(4) applies in relation to proceedings brought by virtue of this section as it applies in relation to proceedings by virtue of section 104(1)(a).
- (3) The amount of a penalty imposed under sections 96A to 96C, as applied by this section, is to be such amount not exceeding £2 million as OFCOM determine to be—
  - (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.]

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### *Electronic communications code*

#### **106 Application of the electronic communications code**

- (1) In this Chapter “the electronic communications code” means <sup>F154</sup>the code set out in Schedule 2 to the Telecommunications Act 1984 (c. 12)<sup>F154</sup> the code set out in Schedule 3A ] .
- (2) <sup>F155</sup>Schedule 3 (which amends Schedule 2 to the Telecommunications Act 1984 (c. 12) for the purpose of translating the telecommunications code into a code applicable in the context of the new regulatory regime established by this Act) shall have effect.]
- (3) The electronic communications code shall have effect—
  - (a) in the case of a person to whom it is applied by a direction given by OFCOM; and
  - (b) in the case of the Secretary of State or any Northern Ireland department where the Secretary of State or that department is providing or proposing to provide an electronic communications network.
- (4) The only purposes for which the electronic communications code may be applied in a person’s case by a direction under this section are—
  - (a) the purposes of the provision by him of an electronic communications network; or
  - (b) the purposes of the provision by him of a system of <sup>F156</sup>conduits<sup>F156</sup> infrastructure ] which he is making available, or proposing to make available, for use by providers of electronic communications networks for the purposes of the provision by them of their networks.
- (5) A direction applying the electronic communications code in any person’s case may provide for that code to have effect in his case—
  - (a) in relation only to such places or localities as may be specified or described in the direction;
  - (b) for the purposes only of the provision of such electronic communications network, or part of an electronic communications network, as may be so specified or described; or
  - (c) for the purposes only of the provision of such <sup>F157</sup>conduit system<sup>F157</sup> system of infrastructure ] , or part of a <sup>F157</sup>conduit system<sup>F157</sup> system of infrastructure ] , as may be so specified or described.
- (6) The Secretary of State may by order provide for the electronic communications code to have effect for all purposes with a different amount substituted for the amount for the time being specified in paragraph <sup>F158</sup>16(3)<sup>F158</sup> 85(7) ] of the code (minimum compensation).
- (7) <sup>F159</sup>In this section “conduit” includes a tunnel, subway, tube or pipe.]

#### **Textual Amendments**

**F154** Words in s. 106(1) substituted (31.7.2017 for specified purposes, 22.11.2017 for specified purposes, 28.12.2017 in so far as not already in force) by [Digital Economy Act 2017 \(c. 30\), ss. 4\(4\), 118\(6\)](#); S.I. 2017/765, reg. 2(ii)(jj); S.I. 2017/1136, reg. 2; S.I. 2017/1286, reg. 2(a)

**F155** S. 106(2) omitted (31.7.2017 for specified purposes) by virtue of [Digital Economy Act 2017 \(c. 30\), ss. 4\(5\), 118\(6\)](#); S.I. 2017/765, reg. 2(ii)(jj)

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*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- F156** Word in s. 106(4)(b) substituted (31.7.2017 for specified purposes, 22.11.2017 for specified purposes, 28.12.2017 in so far as not already in force) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 4(6)**, 118(6); S.I. 2017/765, reg. 2(ii)(jj); S.I. 2017/1136, reg. 2; S.I. 2017/1286, reg. 2(a)
- F157** Words in s. 106(5)(c) substituted (31.7.2017 for specified purposes, 22.11.2017 for specified purposes, 28.12.2017 in so far as not already in force) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 4(7)**, 118(6); S.I. 2017/765, reg. 2(ii)(jj); S.I. 2017/1136, reg. 2; S.I. 2017/1286, reg. 2(a)
- F158** Words in s. 106(6) substituted (31.7.2017 for specified purposes, 22.11.2017 for specified purposes, 28.12.2017 in so far as not already in force) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 4(8)**, 118(6); S.I. 2017/765, reg. 2(ii)(jj); S.I. 2017/1136, reg. 2; S.I. 2017/1286, reg. 2(a)
- F159** S. 106(7) omitted (31.7.2017 for specified purposes) by virtue of [Digital Economy Act 2017 \(c. 30\)](#), **ss. 4(9)**, 118(6); S.I. 2017/765, reg. 2(ii)(jj)

#### Commencement Information

- I149** S. 106 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I150** S. 106 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 107 Procedure for directions applying code

- (1) OFCOM are not to give a direction applying the electronic communications code in any person's case except on an application made for the purpose by that person.
- [<sup>F160</sup>(1A) Regulation 3 of the Electronic Communications and Wireless Telegraphy Regulations 2011 makes provision about the time within which an application under subsection (1) must be determined.]
- (2) If OFCOM publish a notification setting out their requirements with respect to—
- (a) the content of an application for a direction applying the electronic communications code, and
  - (b) the manner in which such an application is to be made,
- such an application must be made in accordance with the requirements for the time being in force.
- (3) OFCOM may—
- (a) from time to time review the requirements for the time being in force for the purposes of subsection (2); and
  - (b) on any such review, modify them in such manner as they think fit by giving a notification of the revised requirements.
- [<sup>F161</sup>(3A) A modification may not be made under subsection (3) unless the modification is—
- (a) objectively justifiable, and
  - (b) proportionate to what it is intended to achieve.
- (3B) Before making a modification under subsection (3), OFCOM must publish a notification of the proposed modification which contains the following—
- (a) a statement of the proposal,
  - (b) a statement of their reasons for the proposal, and
  - (c) a statement of the period within which representations may be made to them about the proposal.
- (3C) The period specified under subsection (3B)(c) must end no less than one month after the day of the publication of the notification.]

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- (4) In considering whether to apply the electronic communications code in any person's case, OFCOM must have regard, in particular, to each of the following matters—
- (a) the benefit to the public of the electronic communications network or conduit system by reference to which the code is to be applied to that person;
  - (b) the practicability of the provision of that network or system without the application of the code;
  - (c) the need to encourage the sharing of the use of electronic communications apparatus;
  - (d) whether the person in whose case it is proposed to apply the code will be able to meet liabilities arising as a consequence of—
    - (i) the application of the code in his case; and
    - (ii) any conduct of his in relation to the matters with which the code deals.
- (5) For the purposes of subsections (6) and (7) of section 3 OFCOM's duty under subsection (4) ranks equally with their duties under that section.
- (6) Before giving a direction under section 106, OFCOM must—
- (a) publish a notification of their proposal to give the direction; and
  - (b) consider any representations about that proposal that are made to them within the period specified in the notification.
- (7) A notification for the purposes of subsection (6)(a) must contain the following—
- (a) a statement of OFCOM's proposal;
  - (b) a statement of their reasons for that proposal;
  - (c) a statement of the period within which representations may be made to them about the proposal.
- (8) The statement of OFCOM's proposal must—
- (a) contain a statement that they propose to apply the code in the case of the person in question;
  - (b) set out any proposals of theirs to impose terms under section 106(5);
- but this subsection is subject to sections 113(7) and 115(5).
- (9) The period specified as the period within which representations may be made must end no less than one month after the day of the publication of the notification.
- (10) The publication by OFCOM of a notification for any of the purposes of this section must be a publication in such manner as OFCOM consider appropriate for bringing the notification to the attention of the persons who, in their opinion, are likely to be affected by it.

#### Textual Amendments

**F160** S. 107(1A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 66\(a\)](#) (with Sch. 3 paras. 1, 2)

**F161** S. 107(3A)-(3C) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 66\(b\)](#) (with Sch. 3 para. 2)

#### Commencement Information

**I151** S. 107 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

**I152** S. 107 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with [art. 11](#))

## 108 Register of persons in whose case code applies

- (1) It shall be the duty of OFCOM to establish and maintain a register of persons in whose case the electronic communications code applies by virtue of a direction under section 106.
- (2) OFCOM must record in the register every direction given under that section.
- (3) Information recorded in the register must be recorded in such manner as OFCOM consider appropriate.
- (4) It shall be the duty of OFCOM to publish a notification setting out—
  - (a) the times at which the register is for the time being available for public inspection; and
  - (b) the fees that must be paid for, or in connection with, an inspection of the register.
- (5) The publication of a notification under subsection (4) must be a publication in such manner as OFCOM consider appropriate for bringing it to the attention of the persons who, in their opinion, are likely to be affected by it.
- (6) OFCOM must make the register available for public inspection—
  - (a) during such hours, and
  - (b) on payment of such fees,
 as are set out in the notification for the time being in force under subsection (4).

### Commencement Information

**I153** S. 108 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), **arts. 1(2), 2(1), Sch. 1** (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), [art. 1\(3\)](#))

**I154** S. 108 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with [art. 11](#))

## 109 Restrictions and conditions subject to which code applies

- (1) Where the electronic communications code is applied in any person's case by a direction given by OFCOM, that code is to have effect in that person's case subject to such restrictions and conditions as may be contained in regulations made by the Secretary of State.
- (2) In exercising his power to make regulations under this section it shall be the duty of the Secretary of State to have regard to each of the following—
  - (a) the duties imposed on OFCOM by sections 3 and 4;
  - (b) the need to protect the environment and, in particular, to conserve the natural beauty and amenity of the countryside;
  - <sup>[F162]</sup>(ba) the need to promote economic growth in the United Kingdom;
  - (c) the need to ensure that highways are not damaged or obstructed, and traffic not interfered with, to any greater extent than is reasonably necessary;
  - (d) the need to encourage the sharing of the use of electronic communications apparatus;

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- [<sup>F163</sup>(da) the need to ensure that restrictions and conditions are objectively justifiable and proportionate to what they are intended to achieve;]
- (e) the need to secure that a person in whose case the code is applied will be able to meet liabilities arising as a consequence of—
- (i) the application of the code in his case; and
  - (ii) any conduct of his in relation to the matters with which the code deals.
- [<sup>F164</sup>[<sup>F165</sup>(2A) Subsection (2B) applies if the Secretary of State has complied with subsection (2)(b) in connection with any particular exercise of the power to make regulations under this section.]
- (2B) The Secretary of State is to be treated as also having complied with any duty imposed in connection with that exercise of that power by any of the following—
- section 11A(2) of the National Parks and Access to the Countryside Act 1949;
  - section 85(1) of the Countryside and Rights of Way Act 2000;
  - section 17A(1) of the Norfolk and Suffolk Broads Act 1988;
  - section 14 of the National Parks (Scotland) Act 2000 (asp 10);
  - Article 4(1) of the Nature Conservation and Amenity Lands (Northern Ireland) Order 1985 (S.I. 1985/170 (N.I. 1)).]
- (3) The power of the Secretary of State to provide by regulations for the restrictions and conditions subject to which the electronic communications code has effect includes power to provide for restrictions and conditions which are framed by reference to any one or more of the following—
- (a) the making of a determination in accordance with the regulations by a person specified in the regulations;
  - (b) the giving of an approval or consent by a person so specified; or
  - (c) the opinion of any person.
- (4) Before making any regulations under this section, the Secretary of State must consult—
- (a) OFCOM; and
  - (b) such other persons as he considers appropriate.

#### Textual Amendments

- F162** S. 109(2)(ba) inserted (25.4.2013) by [Growth and Infrastructure Act 2013 \(c. 27\)](#), **ss. 9(1)**, 35(2) (with s. 36(6))
- F163** S. 109(2)(da) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 67** (with Sch. 3 para. 2)
- F164** S. 109(2A)(2B) inserted (25.4.2013) by [Growth and Infrastructure Act 2013 \(c. 27\)](#), **ss. 9(2)**, 35(2)
- F165** S. 109(2A) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 7**, 118(2)

#### Modifications etc. (not altering text)

- C3** S. 109(4) modified (25.4.2013) by [Growth and Infrastructure Act 2013 \(c. 27\)](#), **ss. 9(4)**, 35(2)

#### Commencement Information

- I155** S. 109 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I156** S. 109 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

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**110 Enforcement of restrictions and conditions**

- (1) Where OFCOM determine that there are reasonable grounds for believing that a person in whose case the electronic communications code applies is contravening, or has contravened, a requirement imposed by virtue of any restrictions or conditions under section 109, they may give him a notification under this section.
- (2) A notification under this section is one which—
  - (a) sets out the determination made by OFCOM;
  - (b) specifies the requirement and the contravention in respect of which that determination has been made; <sup>F166</sup> ...
  - <sup>F167</sup>(c) specifies the period during which the person notified has an opportunity to make representations;
  - (d) specifies the steps that OFCOM think should be taken by the person in order to—
    - (i) comply with the requirement;
    - (ii) remedy the consequences of the contravention;
  - (e) specifies any penalty which OFCOM are minded to impose in accordance with section 110A; and
  - (f) where the contravention is serious, specifies any direction which OFCOM are minded to give under section 113(4).]

- <sup>F168</sup>(3) .....
- <sup>F168</sup>(4) .....
- <sup>F168</sup>(5) .....
- <sup>F168</sup>(6) .....
- <sup>F168</sup>(7) .....

- (8) A notification under this section—
  - (a) may be given in respect of more than one contravention; and
  - (b) if it is given in respect of a continuing contravention, may be given in respect of any period during which the contravention has continued.
- (9) Where a notification under this section has been given to a person in respect of a contravention of a requirement, OFCOM may give a further notification in respect of the same contravention of that requirement if, and only if—
  - (a) the contravention is one occurring after the time of the giving of the earlier notification;
  - (b) the contravention is a continuing contravention and the subsequent notification is in respect of so much of a period as falls after a period to which the earlier notification relates; or
  - (c) the earlier notification has been withdrawn without a penalty having been imposed in respect of the notified contravention.

- <sup>F169</sup>(10) .....

**Textual Amendments**

**F166** Word in s. 110(2)(b) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 68(a)** (with Sch. 3 paras. 2, 15)



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- F167** S. 110(2)(c)-(f) substituted for s. 110(2)(c) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 68(b)** (with Sch. 3 paras. 2, 15)
- F168** S. 110(3)-(7) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 68(c)** (with Sch. 3 paras. 2, 15)
- F169** S. 110(10) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 68(c)** (with Sch. 3 paras. 2, 15)

#### Commencement Information

- I157** S. 110 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I158** S. 110 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### [<sup>F170</sup>110A] Penalties for contravention of code restrictions

- (1) This section applies where a person is given a notification under section 110 which specifies a proposed penalty.
- (2) Where the notification relates to more than one contravention, a separate penalty may be specified in respect of each contravention.
- (3) Where the notification relates to a continuing contravention, no more than one penalty may be specified in respect of the period of contravention specified in the notification.
- (4) But, in relation to a continuing contravention, a penalty may be specified in respect of each day on which the contravention continues after—
  - (a) the giving of a confirmation decision under section 111(4)(c) which requires immediate action; or
  - (b) the expiry of any period specified in the confirmation decision for complying with a requirement so specified.
- (5) The amount of a penalty under subsection (4) is to be such amount not exceeding £100 per day as OFCOM determine to be—
  - (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed
- (6) The amount of any other penalty specified in a notification under section 110 is to be such amount not exceeding £10,000 as OFCOM determine to be—
  - (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.
- (7) The Secretary of State may by order amend this section so as to substitute a different maximum penalty for the maximum penalty for the time being specified in subsection (6).
- (8) No order is to be made containing provision authorised by subsection (7) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.]

#### Textual Amendments

- F170** S. 110A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 69** (with Sch. 3 paras. 2, 15)

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## 111 [F<sup>171</sup>Confirmation decision] for contravention of code restrictions

(1) This section applies where—

- (a) a person (“the notified provider”) has been given a notification under section 110;
- (b) OFCOM have allowed the notified provider an opportunity of making representations about the matters notified; and
- (c) the period allowed for the making of the representations has expired.

[F<sup>172</sup>(2) OFCOM may—

- (a) give the notified provider a decision (a “confirmation decision”) confirming the imposition of requirements on the notified provider, or the giving of a direction to the notified provider, or both, in accordance with the notification under section 110; or
- (b) notify the notified provider that they are satisfied with the representations and that no further action will be taken.

(3) OFCOM may not give a confirmation decision to the notified provider unless, after considering any representations, they are satisfied that the notified provider has, in one or more of the respects notified, been in contravention of a requirement specified in the notification under section 110.]

[F<sup>173</sup>(4) A confirmation decision—

- (a) must be given to the person without delay;
- (b) must include reasons for the decision;
- (c) may require immediate action by the person to comply with requirements of a kind mentioned in section 110(2)(d), or may specify a period within which the person must comply with those requirements; and
- (d) may require the person to pay—
  - (i) the penalty specified in the notification under section 110(2)(e), or
  - (ii) such lesser penalty as OFCOM consider appropriate in the light of the person’s representations or steps taken by the person to comply with the condition or remedy the consequences of the contravention, and may specify the period within which the penalty is to be paid.]

(5) It shall be the duty of a person to whom [F<sup>174</sup>a confirmation decision] has been given to comply with [F<sup>175</sup>any requirement imposed by it] .

(6) That duty shall be enforceable in civil proceedings by OFCOM—

- (a) for an injunction;
- (b) for specific performance of a statutory duty under section 45 of the Court of Session Act 1988 (c. 36); or
- (c) for any other appropriate remedy or relief.

[F<sup>176</sup>(7) A penalty imposed by a confirmation decision—

- (a) must be paid to OFCOM; and
- (b) if not paid within the period specified by them, is to be recoverable by them accordingly.]

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#### Textual Amendments

- F171** Words in s. 111 heading substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 70(e)** (with Sch. 3 paras. 2, 15)
- F172** S. 111(2)(3) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 70(a)** (with Sch. 3 paras. 2, 15)
- F173** S. 111(4) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 70(b)** (with Sch. 3 paras. 2, 15)
- F174** Words in s. 111(5) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 70(c)(i)** (with Sch. 3 paras. 2, 15)
- F175** Words in s. 111(5) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 70(c)(ii)** (with Sch. 3 paras. 2, 15)
- F176** S. 111(7) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 70(d)** (with Sch. 3 paras. 2, 15)

#### Commencement Information

- I159** S. 111 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I160** S. 111 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

#### [<sup>F177</sup>111A] Power to deal with urgent cases

- (1) This section applies where OFCOM determine—
- (a) that they are entitled to give a notification under section 110 with respect to a contravention by a person (“P”) of a requirement imposed by virtue of any restrictions or conditions under section 109;
  - (b) that there are reasonable grounds for suspecting that the case is an urgent case; and
  - (c) that the urgency of the case makes it appropriate for OFCOM to take action under this section.
- (2) A case is an urgent case for the purposes of this section if the contravention has resulted in, or creates an immediate risk of—
- (a) a serious threat to the safety of the public, to public health or to national security;
  - (b) serious economic or operational problems for persons (other than P) who are communications providers or persons who make associated facilities available; or
  - (c) serious economic or operational problems for persons who make use of electronic communications networks, electronic communications services or associated facilities.
- (3) OFCOM may, to the extent specified in subsection (4), give a direction suspending the application in P’s case of the electronic communications code if the electronic communications code has been applied to P by a direction under section 106.
- (4) The extent of a suspension under subsection (3) must not go beyond the following applications of the code in that person’s case—

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- (a) its application for the purposes of electronic communications networks, or parts of such a network, which are not yet in existence at the time of the suspension;
  - (b) its application for the purposes of conduit systems, or parts of such systems, which are not yet in existence or not yet used for the purposes of electronic communications networks; and
  - (c) its application for other purposes in circumstances in which the provision of an electronic communications network, or part of such a network, would not have to cease if its application for those purposes were suspended.
- (5) A direction under subsection (3), except so far as it otherwise provides, shall continue in force until such time (if any) as it is withdrawn by OFCOM.
- (6) Subject to subsection (7), where the application of the electronic communications code is suspended in a person's case, the person is not entitled to exercise any right conferred by or by virtue of the code.
- (7) The suspension of the application of the electronic communications code in a person's case does not, except so far as otherwise provided by a scheme contained in an order under section 117—
- (a) affect (as between the original parties to it) any agreement entered into for the purposes of the code or any agreement having effect in accordance with it;
  - (b) affect anything done under the code before the suspension of its application; or
  - (c) require the removal of, or prohibit the use of, any apparatus lawfully installed on, in or over any premises before that suspension.

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**Textual Amendments**

**F177** Ss. 111A, 111B inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 71** (with [Sch. 3 paras. 2, 15](#))

**111B. Confirmation of direction under section 111A**

- (1) As soon as reasonably practicable after giving a direction under section 111A(3), OFCOM must give the person to whom it is given—
- (a) an opportunity to make representations to them about the grounds on which it was given and its effect; and
  - (b) an opportunity to propose steps to remedy the situation.
- (2) As soon as practicable after the period allowed by OFCOM for making those representations has ended (and in any event within 3 months beginning with the day on which the direction was given), they must determine—
- (a) whether the contravention providing the grounds for the giving of the direction did occur; and
  - (b) whether the circumstances made it an urgent case justifying the giving of the direction.
- (3) The period of 3 months mentioned in subsection (2) may be extended by up to 3 months if OFCOM—
- (a) require additional time to consider representations received; or

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- (b) decide that it is necessary to obtain additional information from the person in order to make a determination under subsection (2).
- (4) If OFCOM decide that the contravention did occur and that the direction was justified, they may confirm the direction.
- (5) If not, they must exercise their power to revoke it.
- (6) As soon as reasonably practicable after determining whether to confirm the direction, OFCOM must notify the person to whom it was given of their decision.]

#### Textual Amendments

**F177** Ss. 111A, 111B inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 71** (with Sch. 3 paras. 2, 15)

### <sup>F178</sup>112 Penalties for contravention of code restrictions

#### Textual Amendments

**F178** S. 112 omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 72** (with Sch. 3 paras. 2, 15)

#### Commencement Information

**I161** S. 112 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I162** S. 112 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 113 Suspension of application of code

- (1) OFCOM may suspend the application of the electronic communications code in any person's case if they are satisfied—
  - (a) that he is or has been in [<sup>F179</sup>serious or repeated] contravention of requirements to pay administrative charges fixed under section 38 (whether in respect of the whole or a part of the charges);
  - [<sup>F180</sup>(aa) that, in the case of a single serious contravention, a notification has been given to the contravening provider under section 40 and the period for making representations under that section has expired;]
  - (b) that [<sup>F181</sup>, in the case of a repeated contravention] the bringing of proceedings for the recovery of the amounts outstanding has failed to secure complete compliance by the contravening provider with the requirements to pay the charges fixed in his case, or has no reasonable prospect of securing such compliance;
  - (c) that [<sup>F182</sup>, in the case of a repeated contravention] an attempt, by the imposition of penalties under section 41, to secure such compliance has failed; and
  - [<sup>F183</sup>(d) that the suspension of the application of the code is appropriate and proportionate to the contravention.]

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- (2) OFCOM may, to the extent specified in subsection (3), suspend the application in that person's case of the electronic communications code if—
  - (a) the electronic communications code has been applied by a direction under section 106 in any person's case; and
  - (b) OFCOM give a direction under section 42, 100 [<sup>F184</sup>(including that section as applied by section 105D)], 132 or 140 for the suspension or restriction of that person's entitlement to provide an electronic communications network, or a part of such a network.
- (3) The extent, in any person's case, of a suspension under subsection (2) must not go beyond the application of the code for the purposes of so much of an electronic communications network as that person is prohibited from providing by virtue of the suspension or restriction of his entitlement to provide such a network, or part of a network.
- (4) OFCOM may, to the extent specified in subsection (5), suspend the application in that person's case of the electronic communications code if—
  - (a) the electronic communications code has been applied by a direction under section 106 in any person's case; and
  - (b) that person is a person in whose case there have been [<sup>F185</sup>repeated or serious] contraventions of requirements imposed by virtue of any restrictions or conditions under section 109.
- (5) The extent, in any person's case, of a suspension under subsection (4) must not go beyond the following applications of the code in his case—
  - (a) its application for the purposes of electronic communications networks, or parts of such a network, which are not yet in existence at the time of the suspension;
  - (b) its application for the purposes of conduit systems, or parts of such systems, which are not yet in existence or not yet used for the purposes of electronic communications networks; and
  - (c) its application for other purposes in circumstances in which the provision of an electronic communications network, or part of such a network, would not have to cease if its application for those purposes were suspended.
- (6) A suspension under this section of the application of the code in any person's case must be by a further direction given to that person by OFCOM under section 106.
- (7) The statement required by section 107(8) to be included, in the case of a direction for the purposes of this section, in the statement of OFCOM's proposal is a statement of their proposal to suspend the application of the code.
- (8) A suspension of the application of the electronic communications code in any person's case—
  - (a) shall cease to have effect if the suspension is under subsection (2) and the network suspension or restriction ceases to have effect; but
  - (b) subject to that shall continue in force until such time (if any) as it is withdrawn by OFCOM.
- (9) In subsection (8) the reference to the network suspension or restriction, in relation to a suspension of the application of the electronic communications code, is a reference to the suspension or restriction of an entitlement to provide an electronic communications

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- network, or part of such a network, which is the suspension or restriction by reference to which the application of the code was suspended under subsection (2).
- (10) Subject to subsection (11), where the application of the electronic communications code is suspended in a person's case, he shall not, while it is so suspended, be entitled to exercise any right conferred on him by or by virtue of the code.
- (11) The suspension, in a person's case, of the application of the electronic communications code does not, except so far as otherwise provided by a scheme contained in an order under section 117—
- (a) affect (as between the original parties to it) any agreement entered into for the purposes of the code or any agreement having effect in accordance with it;
  - (b) affect anything done under the code before the suspension of its application; or
  - (c) require the removal of, or prohibit the use of, any apparatus lawfully installed on, in or over any premises before that suspension.
- (12) Subsection (9) of section 42 applies for the purposes of subsection (1) as it applies for the purposes of that section.
- [<sup>F186</sup>(13) For the purposes of subsection (4) there are repeated contraventions by a person of conditions or restrictions under section 109 if—
- (a) in the case of a previous notification given to the person under section 110, OFCOM have given a confirmation decision to the person under section 111(2);
  - (b) in the period of 24 months following the giving of that confirmation decision, one or more further confirmation decisions have been given to the person in respect of contraventions of a condition or restriction under section 109; and
  - (c) the previous confirmation decision and the subsequent ones all relate to contraventions of the same condition or restriction (whether the same contravention or different contraventions).]

#### Textual Amendments

- F179** Words in s. 113(1)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 73(a)(i)** (with Sch. 3 paras. 2, 15)
- F180** S. 113(1)(aa) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 73(a)(ii)** (with Sch. 3 paras. 2, 15)
- F181** Words in s. 113(1)(b) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 73(a)(iii)** (with Sch. 3 paras. 2, 15)
- F182** Words in s. 113(1)(c) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 73(a)(iii)** (with Sch. 3 paras. 2, 15)
- F183** S. 113(1)(d) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 73(a)(iv)** (with Sch. 3 paras. 2, 15)
- F184** Words in s. 113(2)(b) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 73(b)** (with Sch. 3 paras. 2, 15)
- F185** Words in s. 113(4)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 73(c)** (with Sch. 3 paras. 2, 15)
- F186** S. 113(13) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 73(d)** (with Sch. 3 paras. 2, 15)

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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#### Commencement Information

**I163** S. 113 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I164** S. 113 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

#### 114 Procedure for directions under s. 113

- (1) Except in an urgent case [<sup>F187</sup>, or a case of a single serious contravention,], OFCOM are not to give a direction under section 113(4) suspending the application of the electronic communications code in the case of any person (“the operator”) unless they have—
- (a) notified the operator of the proposed suspension and of the steps (if any) that they are proposing to take under section 117;
  - (b) provided him with an opportunity of making representations about the proposals and of proposing steps for remedying the situation that has given rise to the proposed suspension; and
  - (c) considered every representation and proposal made to them during the period allowed by them for the operator to take advantage of that opportunity.
- [<sup>F188</sup>(2) That period is such reasonable period as OFCOM may specify, beginning with the day on which the notification is given.]
- (3) As soon as practicable after giving a direction under section 113 in an urgent case, OFCOM must provide the operator with an opportunity of—
- (a) making representations about the effect of the direction and of any steps taken under section 117 in connection with the suspension; and
  - (b) proposing steps for remedying the situation that has given rise to the situation.
- [<sup>F189</sup>(3A) As soon as practicable after the period allowed by OFCOM for making those representations has ended (and in any event within 3 months beginning with the day on which the direction was given), they must determine—
- (a) whether the contravention providing the grounds for the giving of the direction did occur; and
  - (b) whether the circumstances made it an urgent case justifying the giving of the direction.
- (3B) The period of 3 months mentioned in subsection (3A) may be extended by up to 3 months if OFCOM—
- (a) require additional time to consider representations received; or
  - (b) decide that it is necessary to obtain additional information from the person in order to make a determination under subsection (3A).
- (3C) If OFCOM decide that the contravention did occur and that the direction was justified, they may confirm the direction.
- (3D) If not, they must revoke it.]
- (4) A case is an urgent case for the purposes of this section if OFCOM—
- (a) consider that it would be inappropriate, because the circumstances appearing to OFCOM to require the suspension fall within subsection (5), to allow time, before giving a direction under section 113, for the making and consideration of representations; and



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- (b) decide for that reason to act in accordance with subsection (3), instead of subsection (1).
- (5) Circumstances fall within this subsection if they have resulted in, or create an immediate risk of—
- (a) a serious threat to the safety of the public, to public health or to national security;
  - (b) serious economic or operational problems for persons (apart from the operator) who are communications providers or persons who make associated facilities available; or
  - (c) serious economic or operational problems for persons who make use of electronic communications networks, electronic communications services or associated facilities.

#### Textual Amendments

- F187** Words in s. 114(1) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 74(a)** (with Sch. 3 paras. 2, 15)
- F188** S. 114(2) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 74(b)** (with Sch. 3 paras. 2, 15)
- F189** S. 114(3A)-(3D) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 74(c)** (with Sch. 3 paras. 2, 15)

#### Commencement Information

- I165** S. 114 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I166** S. 114 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 115 Modification and revocation of application of code

- (1) OFCOM may at any time modify the terms on which, by virtue of section 106(5), the code is applied in a person's case.
  - (2) OFCOM may revoke a direction applying the electronic communications code in a person's case if an application for the revocation has been made by that person.
  - (3) If at any time it appears to OFCOM that a person in whose case the electronic communications code has been applied is not the provider of an electronic communications network or conduit system for the purposes of which the code applies, OFCOM may revoke the direction applying the code in his case.
  - (4) A modification or revocation under this section shall be by a further direction under section 106 to the person in whose case the electronic communications code has been applied by the direction being modified or revoked.
- [<sup>F190</sup>(4A) A modification under this section may not be made unless the modification is—
- (a) objectively justifiable, and
  - (b) proportionate to what it is intended to achieve.]
- (5) The matters required by section 107(8) to be included, in the case of a direction for the purposes of this section, in the statement of OFCOM's proposal are whichever of the following is applicable—
- (a) a statement of their proposal to modify terms imposed under section 106(5);

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- (b) a statement of their proposal to revoke the direction applying the code.

#### Textual Amendments

**F190** S. 115(4A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 75** (with **Sch. 3 para. 2**)

#### Commencement Information

**I167** S. 115 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))  
**I168** S. 115 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 116 Notification of cessation by person to whom code applies

- (1) This section applies where, by virtue of a direction under section 106, the electronic communications code applies in any person's case for the purposes of the provision by him of—
  - (a) an electronic communications network which is not of a description designated for the purposes of section 33; or
  - (b) such a system of conduits as is mentioned in section 106(4)(b).
- (2) If that person ceases to provide that network or conduit system, he must notify OFCOM of that fact.
- (3) A notification under this section must be given within such period and in such manner as may be required by OFCOM.
- (4) OFCOM may impose a penalty on a person who fails to comply with a requirement imposed by or under this section.
- (5) The amount of a penalty imposed on a person under this section is to be such amount not exceeding £1,000 as OFCOM may determine to be both—
  - (a) appropriate; and
  - (b) proportionate to the matter in respect of which it is imposed.
- (6) Where OFCOM impose a penalty on a person under this section, they shall—
  - (a) within one week of making their decision to impose the penalty, notify that person of that decision and of their reasons for that decision; and
  - (b) in that notification, fix a reasonable period after it is given as the period within which the penalty is to be paid.
- (7) A penalty imposed under this section—
  - (a) must be paid to OFCOM; and
  - (b) if not paid within the period fixed by them, is to be recoverable by them accordingly.
- (8) The Secretary of State may by order amend this section so as to substitute a different maximum penalty for the maximum penalty for the time being specified in subsection (5).
- (9) No order is to be made containing provision authorised by subsection (8) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.

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#### Commencement Information

- I169** S. 116 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I170** S. 116 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

### 117 Transitional schemes on cessation of application of code

- (1) Where it appears to OFCOM—
- (a) that the electronic communications code has ceased or is to cease to apply, to any extent, in the case of any person (“the former operator”),
  - (b) that it has ceased or will cease so to apply for either of the reasons specified in subsection (2), and
  - (c) that it is appropriate for transitional provision to be made in connection with it ceasing to apply in the case of the former operator,
- they may by order make a scheme containing any such transitional provision as they think fit in that case.
- (2) Those reasons are—
- (a) the suspension under section 113 of the application of the code in the former operator’s case;
  - (b) the revocation or modification under section 115 of the direction applying the code in his case.
- (3) A scheme contained in an order under this section may, in particular—
- (a) impose any one or more obligations falling within subsection (4) on the former operator;
  - (b) provide for those obligations to be enforceable in such manner (otherwise than by criminal penalties) and by such persons as may be specified in the scheme;
  - (c) authorise the retention of apparatus on any land pending its subsequent use for the purposes of an electronic communications network, electronic communications service or conduit system to be provided by any person;
  - (d) provide for the transfer to such persons as may be specified in, or determined in accordance with, the scheme of any rights or liabilities arising out of any agreement or other obligation entered into or incurred in pursuance of the code by the former operator;
  - (e) provide, for the purposes of any provision contained in the scheme by virtue of any of the preceding paragraphs, for such questions arising under the scheme as are specified in the scheme, or are of a description so specified, to be referred to, and determined by, OFCOM.
- (4) The obligations referred to in subsection (3)(a) are—
- (a) an obligation to remove anything installed in pursuance of any right conferred by or in accordance with the code;
  - (b) an obligation to restore land to its condition before anything was done in pursuance of any such right; or
  - (c) an obligation to pay the expenses of any such removal or restoration.

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- (5) Sections 110 to 112 apply in relation to the requirements imposed by virtue of a scheme contained in an order under this section as they apply in relation to a requirement imposed by virtue of restrictions or conditions under section 109.
- (6) Section 403 applies to the power of OFCOM to make an order under this section.

#### Commencement Information

- I171** S. 117 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I172** S. 117 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### 118 Compulsory acquisition of land etc.

Schedule 4 (which provides for compulsory acquisition of land by the provider of an electronic communications network in whose case the electronic communications code applies and for entry on land by persons nominated by such a provider) shall have effect.

#### Commencement Information

- I173** S. 118 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I174** S. 118 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### 119 Power to give assistance in relation to certain proceedings

- (1) This section applies where any actual or prospective party to any proceedings falling within subsection (2) (other than the operator, within the meaning of the electronic communications code) applies to OFCOM for assistance under this section in relation to those proceedings.
- (2) The proceedings falling within this subsection are any actual or prospective proceedings in which there falls to be determined any question arising under, or in connection with—
- (a) the electronic communications code as applied in any person's case by a direction under section 106; or
  - (b) any restriction or condition subject to which that code applies.
- (3) OFCOM may grant the application if, on any one or more of the following grounds, they think fit to do so—
- (a) on the ground that the case raises a question of principle;
  - (b) on the ground that it is unreasonable, having regard to the complexity of the case or to any other matter, to expect the applicant to deal with the case without assistance under this section;
  - (c) by reason of any other special consideration.
- (4) Assistance by OFCOM under this section may include—
- (a) giving advice or arranging for the giving of advice by a solicitor or counsel;
  - (b) procuring or attempting to procure the settlement of the matter in dispute;

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- (c) arranging for the giving of any assistance usually given by a solicitor or counsel—
    - (i) in the steps preliminary or incidental to proceedings; or
    - (ii) in arriving at, or giving effect to, a compromise to avoid proceedings or to bring them to an end;
  - (d) arranging for representation by a solicitor or counsel;
  - (e) arranging for the giving of any other assistance by a solicitor or counsel;
  - (f) any other form of assistance which OFCOM consider appropriate.
- (5) Nothing in subsection (4)(d) shall be taken to affect the law and practice regulating the descriptions of persons who may appear in, conduct or defend any proceedings, or who may address the court in any proceedings.
- (6) In so far as expenses are incurred by OFCOM in providing the applicant with assistance under this section, the recovery of those expenses (as taxed or assessed in such manner as may be prescribed by rules of court) shall constitute a first charge for the benefit of OFCOM—
- (a) on any costs or expenses which (whether by virtue of a judgment or order of a court, or an agreement or otherwise) are payable to the applicant by any other person in respect of the matter in connection with which the assistance is given; and
  - (b) so far as relates to costs or expenses, on the applicant's rights under a compromise or settlement arrived at in connection with that matter to avoid proceedings, or to bring them to an end.
- (7) A charge conferred by subsection (6) is subject to—
- (a) any charge imposed by [<sup>F191</sup>section 25 of the Legal Aid, Sentencing and Punishment of Offenders Act 2012] and any provision made by or under Part 1 of that Act for the payment of any sum to the [<sup>F192</sup>Lord Chancellor] ;
  - (b) any charge or obligation for payment in priority to other debts under the Legal Aid (Scotland) Act 1986 (c. 47); or
  - (c) any charge under the Legal Aid, Advice and Assistance (Northern Ireland) Order 1981 (S.I. 1981/228 (N.I. 8)).

#### Textual Amendments

**F191** Words in s. 119(7)(a) substituted (1.4.2013) by [Legal Aid, Sentencing and Punishment of Offenders Act 2012 \(c. 10\), s. 151\(1\), Sch. 5 para. 61\(a\)](#); S.I. 2013/453, art. 3(h) (with savings and transitional provisions in S.I. 2013/534, art. 6)

**F192** Words in s. 119(7)(a) substituted (1.4.2013) by [Legal Aid, Sentencing and Punishment of Offenders Act 2012 \(c. 10\), s. 151\(1\), Sch. 5 para. 61\(b\)](#); S.I. 2013/453, art. 3(h) (with savings and transitional provisions in S.I. 2013/534, art. 6)

#### Commencement Information

**I175** S. 119 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900, arts. 1\(2\), 2\(1\), Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142, art. 1\(3\)](#))

**I176** S. 119 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142, art. 3\(2\)](#) (with art. 11)

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### *Regulation of premium rate services*

#### **120 Conditions regulating premium rate services**

- (1) OFCOM shall have the power, for the purpose of regulating the provision, content, promotion and marketing of premium rate services, to set conditions under this section that bind the persons to whom they are applied.
- (2) Conditions under this section may be applied either—
  - (a) generally to every person who provides a premium rate service; or
  - (b) to every person who is of a specified description of such persons, or who provides a specified description of such services.
- (3) The only provision that may be made by conditions under this section is provision requiring the person to whom the condition applies to comply, to the extent required by the condition, with—
  - [<sup>F193</sup>(za) the provisions of an approved code;]
  - (a) directions given in accordance with an approved code by the enforcement authority and for the purpose of enforcing its provisions; and
  - (b) if there is no such code, the provisions of the order for the time being in force under section 122.
- (4) The power to set a condition under this section includes power to modify or revoke the conditions for the time being in force under this section.
- (5) [<sup>F194</sup>Section 47 applies] to the setting, modification and revocation of a condition under this section as [<sup>F194</sup>it applies] to the setting, modification and revocation of a condition under section 45.
- <sup>F195</sup>(6) .....
- (7) A service is a premium rate service for the purposes of this Chapter if—
  - (a) it is a service falling within subsection (8);
  - (b) there is a charge for the provision of the service;
  - (c) the charge is required to be paid to a person providing an electronic communications service by means of which the service in question is provided; and
  - (d) that charge is imposed in the form of a charge made by that person for the use of the electronic communications service.
- (8) A service falls within this subsection if its provision consists in—
  - (a) the provision of the contents of communications transmitted by means of an electronic communications network; or
  - (b) allowing the user of an electronic communications service to make use, by the making of a transmission by means of that service, of a facility made available to the users of the electronic communications service.
- (9) For the purposes of this Chapter a person provides a premium rate service (“the relevant service”) if—
  - (a) he provides the contents of the relevant service;
  - (b) he exercises editorial control over the contents of the relevant service;
  - (c) he is a person who packages together the contents of the relevant service for the purpose of facilitating its provision;

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- (d) he makes available a facility comprised in the relevant service; or
  - (e) he falls within subsection (10), (11) or (12).
- (10) A person falls within this subsection if—
- (a) he is the provider of an electronic communications service used for the provision of the relevant service; and
  - (b) under arrangements made with a person who is a provider of the relevant service falling within subsection (9)(a) to (d), he is entitled to retain some or all of the charges received by him in respect of the provision of the relevant service or of the use of his electronic communications service for the purposes of the relevant service.
- (11) A person falls within this subsection if—
- (a) he is the provider of an electronic communications network used for the provision of the relevant service; and
  - (b) an agreement relating to the use of the network for the provision of that service subsists between the provider of the network and a person who is a provider of the relevant service falling within subsection (9)(a) to (d).
- (12) A person falls within this subsection if—
- (a) he is the provider of an electronic communications network used for the provision of the relevant service; and
  - (b) the use of that network for the provision of premium rate services, or of services that include or may include premium rate services, is authorised by an agreement subsisting between that person and either an intermediary service provider or a person who is a provider of the relevant service by virtue of subsection (10) or (11).
- (13) Where one or more persons are employed or engaged under the direction of another to do any of the things mentioned in subsection (9)(a) to (d), only that other person shall be a provider of the relevant service for the purposes of this Chapter.
- (14) References in this section to a facility include, in particular, references to—
- (a) a facility for making a payment for goods or services;
  - (b) a facility for entering a competition or claiming a prize; and
  - (c) a facility for registering a vote or recording a preference.
- (15) In this section—
- “approved code” means a code for the time being approved under section 121;
  - “enforcement authority”, in relation to such a code, means the person who under the code has the function of enforcing it; and
  - “intermediary service provider” means a person who—
    - (a) provides an electronic communications service used for the provision of the relevant service or an electronic communications network so used; and
    - (b) is a party to an agreement with—
      - (i) a provider of the relevant service falling within subsection (9)(a) to (d), or
      - (ii) another intermediary service provider,

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which relates to the use of that electronic communications service or network for the provision of premium rate services, or of services that include or may include premium rate services.

#### Textual Amendments

- F193** S. 120(3)(za) inserted (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), **ss. 80(1)**, 100(5); S.I. 2015/1630, **art. 3(e)** (with **art. 7**)
- F194** Words in s. 120(5) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), **reg. 1(2)**, **Sch. 1 para. 76(a)** (with **Sch. 3 paras. 2, 16**)
- F195** S. 120(6) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), **reg. 1(2)**, **Sch. 1 para. 76(b)** (with **Sch. 3 paras. 2, 16**)

#### Commencement Information

- I177** S. 120 in force at 29.12.2003 by S.I. 2003/3142, **art. 3(1)**, **Sch. 1** (with **art. 11**)

### <sup>F196</sup>120A Procedure for setting, modifying and revoking premium rate services conditions

- (1) The way in which conditions are to be set or modified under section 120 is by the publication of a notification setting out the conditions or modifications.
- (2) The way in which such a condition is to be revoked is by the publication of a notification stating that the condition is revoked.
- (3) Before setting such conditions, or modifying or revoking a condition so set, OFCOM must publish a notification—
  - (a) stating that they are proposing to set, modify or revoke the conditions that are specified in the notification;
  - (b) setting out the effect of those conditions, modifications or revocations;
  - (c) giving their reasons for making the proposal; and
  - (d) specifying the period within which representations may be made to OFCOM about their proposal.
- (3) That period must end no less than one month after the day of the publication of the notification.
- (4) But where OFCOM are satisfied that there are exceptional circumstances justifying the use of a shorter period, the period specified as the period for making representations may be whatever shorter period OFCOM consider reasonable in those circumstances.
- (5) OFCOM may give effect to the proposal, with any modifications that appear to OFCOM to be appropriate, after—
  - (a) considering every representation about the proposal made to them during the period specified in the notification; and
  - (b) having regard to every international obligation of the United Kingdom (if any) which has been notified to them for the purposes of this paragraph by the Secretary of State.
- (6) The publication of a notification under this section must be in such manner as appears to OFCOM to be appropriate for bringing the contents of the notification to the attention of the persons who, in OFCOM's opinion, are likely to be affected by its contents.



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*Status:* Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
*Changes to legislation:* Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

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- (7) OFCOM must send a copy of every notification published under this section to the Secretary of State.]

#### Textual Amendments

**F196** S. 120A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 77](#) (with Sch. 3 paras. 2, 16)

## 121 Approval of code for premium rate services

- (1) If it appears to OFCOM—
- (a) that a code has been made by any person for regulating the provision and contents of premium rate services, and the facilities made available in the provision of such services;
  - (b) that the code contains provision for regulating, to such extent (if any) as they think fit, the arrangements made by the providers of premium rate services for promoting and marketing those services; and
  - (c) that it would be appropriate for them to approve that code for the purposes of section 120,
- they may approve that code for those purposes.
- (2) OFCOM are not to approve a code for those purposes unless they are satisfied—
- (a) that there is a person who, under the code, has the function of administering and enforcing it; and
  - (b) that that person is sufficiently independent of the providers of premium rate services;
  - (c) that adequate arrangements are in force for funding the activities of that person in relation to the code;
  - (d) that the provisions of the code are objectively justifiable in relation to the services to which it relates;
  - (e) that those provisions are not such as to discriminate unduly against particular persons or against a particular description of persons;
  - (f) that those provisions are proportionate to what they are intended to achieve; and
  - (g) that, in relation to what those provisions are intended to achieve, they are transparent.
- (3) OFCOM are not for those purposes to approve so much of a code as imposes an obligation as respects a premium rate service on a person who is a provider of the service by virtue only of section 120(12) (“the relevant provider”) unless they are satisfied that the obligation—
- (a) arises only if there is no one who is a provider of the service otherwise than by virtue of section 120(12) against whom it is practicable to take action;
  - (b) arises only after a notice identifying the service and setting out respects in which requirements of the code have been contravened in relation to it has been given to the relevant provider by the person responsible for enforcing the code; and

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- (c) is confined to an obligation to secure that electronic communications networks provided by the relevant provider are not used for making the service available to persons who are in the United Kingdom.
- (4) The provision that may be contained in a code and approved under this section includes, in particular, provision about the pricing of premium rate services and provision for the enforcement of the code.
- (5) The provision for the enforcement of a code that may be approved under this section includes—
- (a) provision for the payment, to a person specified in the code, of a penalty not exceeding the maximum penalty for the time being specified in section 123(2);
  - [<sup>F197</sup>(aa) provision that applies where there is or has been more than one contravention of the code or directions given in accordance with it by a person and which enables—
    - (i) a single penalty (which does not exceed that maximum penalty) to be imposed on the person in respect of all of those contraventions, or
    - (ii) separate penalties (each of which does not exceed that maximum penalty) to be imposed on the person in respect of each of those contraventions,
 according to whether the person imposing the penalty determines that a single penalty or separate penalties are appropriate and proportionate to those contraventions;]
  - (b) provision requiring a provider of a premium rate service to secure that the provision of the service is suspended or otherwise ceases or is restricted in any respect;
  - (c) provision for the imposition on a person, in respect of a contravention of the code, of a temporary or permanent prohibition or restriction on his working in connection with the provision of premium rate services or, in the case of a body corporate, on its providing such services or on its carrying on other activities in connection with their provision.
- (6) OFCOM may, at any time, for the purposes of section 120—
- (a) approve modifications that have been made to an approved code; or
  - (b) withdraw their approval from an approved code.
- (7) Where OFCOM give or withdraw an approval for the purposes of section 120, they must give notification of their approval or of its withdrawal.
- (8) The notification must be published in such manner as OFCOM consider appropriate for bringing it to the attention of the persons who, in OFCOM’s opinion, are likely to be affected by the approval or withdrawal.

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#### **Textual Amendments**

**F197** S. 121(5)(aa) inserted (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), **ss. 80(2)**, 100(5); [S.I. 2015/1630](#), **art. 3(e)** (with [art. 7](#))

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#### **Commencement Information**

**I178** S. 121 in force at 29.12.2003 by [S.I. 2003/3142](#), **art. 3(1)**, **Sch. 1** (with [art. 11](#))

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
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## **122 Orders by OFCOM in the absence of a code under s. 121**

- (1) OFCOM may make an order under this section if, at any time, they consider that there is no code in force to which they think it would be appropriate to give, or to continue to give, their approval under section 121.
- (2) An order under this section may make such of the following provisions as OFCOM think fit—
  - (a) provision imposing requirements with respect to the provision and contents of premium rate services, and with respect to the facilities made available in the provision of such services (including provision about pricing);
  - (b) provision imposing requirements with respect to the arrangements made by the providers of premium rate services for the promotion and marketing of those services;
  - (c) provision for the enforcement of requirements imposed by virtue of paragraph (a) or (b);
  - (d) provision making other arrangements for the purposes of those requirements.
- (3) The power to make provision by an order under this section includes, in particular—
  - (a) power to establish a body corporate with the capacity to make its own rules and to establish its own procedures;
  - (b) power to determine the jurisdiction of a body established by such an order or, for the purposes of the order, of any other person;
  - (c) power to confer jurisdiction with respect to any matter on OFCOM themselves;
  - (d) power to provide for a person on whom jurisdiction is conferred by the arrangements to make awards of compensation, to direct the reimbursement of costs or expenses, or to do both;
  - (e) power to provide for such a person to enforce, or to participate in the enforcement of, any awards or directions made under such an order;
  - (f) power to make provision falling within section 121(5)(c) for the enforcement of the provisions of the order; and
  - (g) power to make such other provision as OFCOM think fit for the enforcement of such awards and directions.
- (4) An order under this section may require such providers of premium rate services as may be determined by or under the order to make payments to OFCOM in respect of expenditure incurred by OFCOM in connection with—
  - (a) the establishment and maintenance, in accordance with such an order, of any body corporate or procedure; or
  - (b) the making of other arrangements for the purposes of the requirements of such an order.
- (5) An order under this section is not to impose an obligation as respects a premium rate service on a person who is a provider of the service by virtue only of section 120(12) (“the relevant provider”) unless the obligation—
  - (a) arises only if there is no one who is a provider of the service otherwise than by virtue of section 120(12) against whom it is practicable to take action;
  - (b) arises only after a notice identifying the service and setting out respects in which requirements of the order have been contravened in relation to it has been given to the relevant provider by OFCOM; and

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- (c) is confined to an obligation to secure that electronic communications networks provided by the relevant provider are not used for making the service available to persons who are in the United Kingdom.
- (6) The consent of the Secretary of State is required for the making by OFCOM of an order under this section.
- (7) Section 403 applies to the power of OFCOM to make an order under this section.
- (8) A statutory instrument containing an order made by OFCOM under this section shall be subject to annulment in pursuance of a resolution of either House of Parliament.

#### **Commencement Information**

**I179** S. 122 in force at 29.12.2003 by S.I. 2003/3142, art. 3(1), **Sch. 1** (with art. 11)

### **123 Enforcement of s. 120 conditions**

- (1) Sections 94 to 96 apply in relation to a contravention of conditions set under section 120 as they apply in relation to a contravention of a condition set under section 45.
- [<sup>F198</sup>(1A) Subsection (1B) applies where a notification under section 94 as applied by this section relates to more than one contravention of—
  - (a) a code approved under section 121,
  - (b) directions given in accordance with such a code, or
  - (c) an order under section 122.
- (1B) Section 96(3) as applied by this section enables OFCOM to impose—
  - (a) a single penalty in respect of all of those contraventions, or
  - (b) separate penalties in respect of each of those contraventions,
 according to whether OFCOM determine that a single penalty or separate penalties are appropriate and proportionate to those contraventions.]
- (2) The amount of [<sup>F199</sup>each penalty] imposed under section 96 as applied by this section is to be such amount not exceeding [<sup>F200</sup>£250,000] as OFCOM determine to be—
  - (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.
- (3) In making that determination OFCOM must have regard to—
  - (a) any representations made to them by the notified provider;
  - (b) any steps taken by him towards complying with the conditions contraventions of which have been notified to him under section 94 (as applied); and
  - (c) any steps taken by him for remedying the consequences of those contraventions.
- (4) The Secretary of State may by order amend this section so as to substitute a different maximum penalty for the maximum penalty for the time being specified in subsection (2).
- (5) No order is to be made containing provision authorised by subsection (4) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.

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#### Textual Amendments

- F198** S. 123(1A)(1B) inserted (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), **ss. 80(4)**, 100(5); S.I. 2015/1630, **art. 3(e)** (with **art. 7**)
- F199** Words in s. 123(2) substituted (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), **ss. 80(5)**, 100(5); S.I. 2015/1630, **art. 3(e)** (with **art. 7**)
- F200** Word in s. 123(2) substituted (30.12.2005) by [Communications Act 2003 \(Maximum Penalty and Disclosure of Information\) Order 2005 \(S.I. 2005/3469\)](#), **arts. 1(1), 2** (with **art. 2(2)**)

#### Commencement Information

- I180** S. 123 in force at 29.12.2003 by [S.I. 2003/3142](#), **art. 3(1)**, **Sch. 1** (with **art. 11**)

## 124 Suspending service provision for contraventions of s. 120 conditions

- (1) OFCOM may give a direction under this section to a person who is a communications provider (“the contravening provider”) if they are satisfied—
- that he is or has been in serious and repeated contravention of conditions set under section 120;
  - that an attempt, by the imposition of penalties or the giving of enforcement notifications under section 95 (as applied by section 123) or both, to secure compliance with the contravened conditions has failed;
  - that the giving of the direction is appropriate and proportionate to the seriousness (when repeated as they have been) of the contraventions; and
  - that the giving of the direction is required for reasons of public policy.
- (2) OFCOM may also give a direction under this section to a person who is a communications provider (“the contravening provider”) if they are satisfied—
- that he is, or has been, in contravention of conditions set under section 120 in respect of a premium rate service;
  - that the circumstances of the contravention make it appropriate for OFCOM to suspend or restrict the provision of premium rate services provided by the contravening provider without the conditions set out in subsection (1) being satisfied; and
  - that in those circumstances the giving of the direction is urgently required for reasons of public policy.
- (3) A direction under this section is—
- a direction to the contravening provider to secure the suspension of the provision of premium rate services provided by him; or
  - a direction requiring him to secure compliance with restrictions, set out in the direction, on the provision of such services.
- (4) A direction under this section—
- must specify the services to which it relates; and
  - except so far as it otherwise provides, takes effect for an indefinite period beginning with the time at which it is notified to the person to whom it is given.
- (5) A direction under this section—
- in providing for the effect of a suspension or restriction to be postponed, may provide for it to take effect only at a time determined by or in accordance with the terms of the direction; and

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- (b) in connection with the suspension or restriction contained in the direction or with the postponement of its effect, may impose such conditions on the contravening provider as appear to OFCOM to be appropriate for the purpose of protecting that provider's customers.
- (6) Those conditions may include a condition requiring the making of payments—
  - (a) by way of compensation for loss or damage suffered by the contravening provider's customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.
- (7) If OFCOM consider it appropriate to do so (whether or not in consequence of representations or proposals made to them), they may revoke a direction under this section or modify its conditions—
  - (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such services as they may determine.
- (8) Sections 102 and 103 apply in the case of a direction under this section as they apply in the case of a direction under section 100, but as if references in section 103(1) to an electronic communications network or electronic communications service were references to a premium rate service.
- (9) For the purposes of this section there are repeated contraventions by a person of conditions set under section 120 to the extent that—
  - (a) in the case of a previous notification given to that person under section section 94 (as applied by section 123), OFCOM have determined for the purposes of section 95(2) or 96(2) (as so applied) that such a contravention did occur; and
  - (b) in the period of twelve months following the day of the making of that determination, one or more further notifications have been given to that person in respect of contraventions of a condition set under section 120.
- (10) For the purposes of this section the seriousness of repeated contraventions of conditions set under section 120 has to be determined by reference to the seriousness of the contraventions of the approved code or order by reference to which the conditions have effect.

#### **Commencement Information**

**I181** S. 124 in force at 29.12.2003 by [S.I. 2003/3142](#), [art. 3\(1\)](#), [Sch. 1](#) (with [art. 11](#))

*<sup>F201</sup> Online infringement of copyright: obligations of internet service providers*

#### **Textual Amendments**

**F201** S. 124A and cross-heading inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), [ss. 3, 47\(1\)](#)

### **124A Obligation to notify subscribers of copyright infringement reports**

- (1) This section applies if it appears to a copyright owner that—

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- (a) a subscriber to an internet access service has infringed the owner's copyright by means of the service; or
  - (b) a subscriber to an internet access service has allowed another person to use the service, and that other person has infringed the owner's copyright by means of the service.
- (2) The owner may make a copyright infringement report to the internet service provider who provided the internet access service if a code in force under section 124C or 124D (an “initial obligations code”) allows the owner to do so.
- (3) A “copyright infringement report” is a report that—
  - (a) states that there appears to have been an infringement of the owner's copyright;
  - (b) includes a description of the apparent infringement;
  - (c) includes evidence of the apparent infringement that shows the subscriber's IP address and the time at which the evidence was gathered;
  - (d) is sent to the internet service provider within the period of 1 month beginning with the day on which the evidence was gathered; and
  - (e) complies with any other requirement of the initial obligations code.
- (4) An internet service provider who receives a copyright infringement report must notify the subscriber of the report if the initial obligations code requires the provider to do so.
- (5) A notification under subsection (4) must be sent to the subscriber within the period of 1 month beginning with the day on which the provider receives the report.
- (6) A notification under subsection (4) must include—
  - (a) a statement that the notification is sent under this section in response to a copyright infringement report;
  - (b) the name of the copyright owner who made the report;
  - (c) a description of the apparent infringement;
  - (d) evidence of the apparent infringement that shows the subscriber's IP address and the time at which the evidence was gathered;
  - (e) information about subscriber appeals and the grounds on which they may be made;
  - (f) information about copyright and its purpose;
  - (g) advice, or information enabling the subscriber to obtain advice, about how to obtain lawful access to copyright works;
  - (h) advice, or information enabling the subscriber to obtain advice, about steps that a subscriber can take to protect an internet access service from unauthorised use; and
  - (i) anything else that the initial obligations code requires the notification to include.
- (7) For the purposes of subsection (6)(h) the internet service provider must take into account the suitability of different protection for subscribers in different circumstances.
- (8) The things that may be required under subsection (6)(i), whether in general or in a particular case, include in particular—
  - (a) a statement that information about the apparent infringement may be kept by the internet service provider;

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- (b) a statement that the copyright owner may require the provider to disclose which copyright infringement reports made by the owner to the provider relate to the subscriber;
  - (c) a statement that, following such a disclosure, the copyright owner may apply to a court to learn the subscriber's identity and may bring proceedings against the subscriber for copyright infringement; and
  - (d) where the requirement for the provider to send the notification arises partly because of a report that has already been the subject of a notification under subsection (4), a statement that the number of copyright infringement reports relating to the subscriber may be taken into account for the purposes of any technical measures.
- (9) In this section “notify”, in relation to a subscriber, means send a notification to the electronic or postal address held by the internet service provider for the subscriber (and sections 394 to 396 do not apply).]

**[<sup>F202</sup> 124B] Obligation to provide copyright infringement lists to copyright owners**

- (1) An internet service provider must provide a copyright owner with a copyright infringement list for a period if—
  - (a) the owner requests the list for that period; and
  - (b) an initial obligations code requires the internet service provider to provide it.
- (2) A “copyright infringement list” is a list that—
  - (a) sets out, in relation to each relevant subscriber, which of the copyright infringement reports made by the owner to the provider relate to the subscriber, but
  - (b) does not enable any subscriber to be identified.
- (3) A subscriber is a “relevant subscriber” in relation to a copyright owner and an internet service provider if copyright infringement reports made by the owner to the provider in relation to the subscriber have reached the threshold set in the initial obligations code.]

**Textual Amendments**

**F202** S. 124B inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), ss. 4, 47(1)

**[<sup>F203</sup> 124C] Approval of code about the initial obligations**

- (1) The obligations of internet service providers under sections 124A and 124B are the “initial obligations”.
- (2) If it appears to OFCOM—
  - (a) that a code has been made by any person for the purpose of regulating the initial obligations; and
  - (b) that it would be appropriate for them to approve the code for that purpose, they may by order approve it, with effect from the date given in the order.
- (3) The provision that may be contained in a code and approved under this section includes provision that—



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- (a) specifies conditions that must be met for rights and obligations under the copyright infringement provisions or the code to apply in a particular case;
  - (b) requires copyright owners or internet service providers to provide any information or assistance that is reasonably required to determine whether a condition under paragraph (a) is met.
- (4) The provision mentioned in subsection (3)(a) may, in particular, specify that a right or obligation does not apply in relation to a copyright owner unless the owner has made arrangements with an internet service provider regarding—
- (a) the number of copyright infringement reports that the owner may make to the provider within a particular period; and
  - (b) payment in advance of a contribution towards meeting costs incurred by the provider.
- (5) The provision mentioned in subsection (3)(a) may also, in particular, provide that—
- (a) except as provided by the code, rights and obligations do not apply in relation to an internet service provider unless the number of copyright infringement reports the provider receives within a particular period reaches a threshold set in the code; and
  - (b) if the threshold is reached, rights or obligations apply with effect from the date when it is reached or from a later time.
- (6) OFCOM must not approve a code under this section unless satisfied that it meets the criteria set out in section 124E.
- (7) Not more than one approved code may have effect at a time.
- (8) OFCOM must keep an approved code under review.
- (9) OFCOM may by order, at any time, for the purpose mentioned in subsection (2)—
- (a) approve modifications that have been made to an approved code; or
  - (b) withdraw their approval from an approved code,
- with effect from the date given in the order, and must do so if the code ceases to meet the criteria set out in section 124E.
- (10) The consent of the Secretary of State is required for the approval of a code or the modification of an approved code.
- (11) An order made by OFCOM under this section approving a code or modification must set out the code or modification.
- (12) Section 403 applies to the power of OFCOM to make an order under this section.
- (13) A statutory instrument containing an order made by OFCOM under this section is subject to annulment in pursuance of a resolution of either House of Parliament.]

#### Textual Amendments

**F203** S. 124C inserted (8.4.2010) by [Digital Economy Act 2010 \(c. 24\)](#), ss. 5, 47(2)(a)

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**[<sup>F204</sup>124] Initial obligations code by OFCOM in the absence of an approved code**

- (1) For any period when sections 124A and 124B are in force but for which there is no approved initial obligations code under section 124C, OFCOM must by order make a code for the purpose of regulating the initial obligations.
- (2) OFCOM may but need not make a code under subsection (1) for a time before the end of—
  - (a) the period of six months beginning with the day on which sections 124A and 124B come into force, or
  - (b) such longer period as the Secretary of State may specify by notice to OFCOM.
- (3) The Secretary of State may give a notice under subsection (2)(b) only if it appears to the Secretary of State that it is not practicable for OFCOM to make a code with effect from the end of the period mentioned in subsection (2)(a) or any longer period for the time being specified under subsection (2)(b).
- (4) A code under this section may do any of the things mentioned in section 124C(3) to (5).
- (5) A code under this section may also—
  - (a) confer jurisdiction with respect to any matter (other than jurisdiction to determine appeals by subscribers) on OFCOM themselves;
  - (b) provide for OFCOM, in exercising such jurisdiction, to make awards of compensation, to direct the reimbursement of costs, or to do both;
  - (c) provide for OFCOM to enforce, or to participate in the enforcement of, any awards or directions made under the code;
  - (d) make other provision for the enforcement of such awards and directions;
  - (e) establish a body corporate, with the capacity to make its own rules and establish its own procedures, for the purpose of determining subscriber appeals;
  - (f) provide for a person with the function of determining subscriber appeals to enforce, or to participate in the enforcement of, any awards or directions made by the person;
  - (g) make other provision for the enforcement of such awards and directions; and
  - (h) make other provision for the purpose of regulating the initial obligations.
- (6) OFCOM must not make a code under this section unless they are satisfied that it meets the criteria set out in section 124E.
- (7) OFCOM must—
  - (a) keep a code under this section under review; and
  - (b) by order make any amendment of it that is necessary to ensure that while it is in force it continues to meet the criteria set out in section 124E.
- (8) The consent of the Secretary of State is required for the making or amendment by OFCOM of a code under this section.
- (9) Section 403 applies to the power of OFCOM to make an order under this section.
- (10) A statutory instrument containing an order made by OFCOM under this section is subject to annulment in pursuance of a resolution of either House of Parliament.]

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### Textual Amendments

**F204** S. 124D inserted (8.4.2010) by [Digital Economy Act 2010 \(c. 24\)](#), ss. 6, 47(2)(a)

## [<sup>F205</sup>124E] Contents of initial obligations code

- (1) The criteria referred to in sections 124C(6) and 124D(6) are—
  - (a) that the code makes the required provision about copyright infringement reports (see subsection (2));
  - (b) that it makes the required provision about the notification of subscribers (see subsections (3) and (4));
  - (c) that it sets the threshold applying for the purposes of determining who is a relevant subscriber within the meaning of section 124B(3) (see subsections (5) and (6));
  - (d) that it makes provision about how internet service providers are to keep information about subscribers;
  - (e) that it limits the time for which they may keep that information;
  - (f) that it makes any provision about contributions towards meeting costs that is required to be included by an order under section 124M;
  - (g) that the requirements concerning administration and enforcement are met in relation to the code (see subsections (7) and (8));
  - (h) that the requirements concerning subscriber appeals are met in relation to the code (see section 124K);
  - (i) that the provisions of the code are objectively justifiable in relation to the matters to which it relates;
  - (j) that those provisions are not such as to discriminate unduly against particular persons or against a particular description of persons;
  - (k) that those provisions are proportionate to what they are intended to achieve; and
  - (l) that, in relation to what those provisions are intended to achieve, they are transparent.
- (2) The required provision about copyright infringement reports is provision that specifies—
  - (a) requirements as to the means of obtaining evidence of infringement of copyright for inclusion in a report;
  - (b) the standard of evidence that must be included; and
  - (c) the required form of the report.
- (3) The required provision about the notification of subscribers is provision that specifies, in relation to a subscriber in relation to whom an internet service provider receives one or more copyright infringement reports—
  - (a) requirements as to the means by which the provider identifies the subscriber;
  - (b) which of the reports the provider must notify the subscriber of; and
  - (c) requirements as to the form, contents and means of the notification in each case.

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (4) The provision mentioned in subsection (3) must not permit any copyright infringement report received by an internet service provider more than 12 months before the date of a notification of a subscriber to be taken into account for the purposes of the notification.
- (5) The threshold applying in accordance with subsection (1)(c) may, subject to subsection (6), be set by reference to any matter, including in particular one or more of—
  - (a) the number of copyright infringement reports;
  - (b) the time within which the reports are made; and
  - (c) the time of the apparent infringements to which they relate.
- (6) The threshold applying in accordance with subsection (1)(c) must operate in such a way that a copyright infringement report received by an internet service provider more than 12 months before a particular date does not affect whether the threshold is met on that date; and a copyright infringement list provided under section 124B must not take into account any such report.
- (7) The requirements concerning administration and enforcement are—
  - (a) that OFCOM have, under the code, the functions of administering and enforcing it, including the function of resolving owner-provider disputes;
  - (b) that there are adequate arrangements under the code for OFCOM to obtain any information or assistance from internet service providers or copyright owners that OFCOM reasonably require for the purposes of administering and enforcing the code; and
  - (c) that there are adequate arrangements under the code for the costs incurred by OFCOM in administering and enforcing the code to be met by internet service providers and copyright owners.
- (8) The provision mentioned in subsection (7) may include, in particular—
  - (a) provision for the payment, to a person specified in the code, of a penalty not exceeding the maximum penalty for the time being specified in section 124L(2);
  - (b) provision requiring a copyright owner to indemnify an internet service provider for any loss or damage resulting from the owner's failure to comply with the code or the copyright infringement provisions.
- (9) In this section “owner-provider dispute” means a dispute that—
  - (a) is between persons who are copyright owners or internet service providers; and
  - (b) relates to an act or omission in relation to an initial obligation or an initial obligations code.]

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**Textual Amendments**

**F205** S. 124E inserted (8.4.2010) by [Digital Economy Act 2010 \(c. 24\)](#), ss. 7, 47(2)(a)

**[<sup>F206</sup>124F Progress reports**

- (1) OFCOM must prepare the following reports for the Secretary of State about the infringement of copyright by subscribers to internet access services.

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- (2) OFCOM must prepare a full report for—
  - (a) the period of 12 months beginning with the first day on which there is an initial obligations code in force; and
  - (b) each successive period of 12 months.
- (3) OFCOM must prepare an interim report for—
  - (a) the period of 3 months beginning with the first day on which there is an initial obligations code in force; and
  - (b) each successive period of 3 months, other than one ending at the same time as a period of 12 months under subsection (2).

But this is subject to any direction by the Secretary of State under subsection (4).
- (4) The Secretary of State may direct that subsection (3) no longer applies, with effect from the date given in the direction.
- (5) A full report under this section must include—
  - (a) an assessment of the current level of subscribers' use of internet access services to infringe copyright;
  - (b) a description of the steps taken by copyright owners to enable subscribers to obtain lawful access to copyright works;
  - (c) a description of the steps taken by copyright owners to inform, and change the attitude of, members of the public in relation to the infringement of copyright;
  - (d) an assessment of the extent of the steps mentioned in paragraphs (b) and (c);
  - (e) an assessment of the extent to which copyright owners have made copyright infringement reports;
  - (f) an assessment of the extent to which they have brought legal proceedings against subscribers in relation to whom such reports have been made;
  - (g) an assessment of the extent to which any such proceedings have been against subscribers in relation to whom a substantial number of reports have been made; and
  - (h) anything else that the Secretary of State directs OFCOM to include in the report.
- (6) An interim report under this section must include—
  - (a) the assessments mentioned in subsection (5)(a), (e) and (f); and
  - (b) anything else that the Secretary of State directs OFCOM to include in the report.
- (7) OFCOM must send a report prepared under this section to the Secretary of State as soon as practicable after the end of the period for which it is prepared.
- (8) OFCOM must publish every full report under this section—
  - (a) as soon as practicable after they send it to the Secretary of State, and
  - (b) in such manner as they consider appropriate for bringing it to the attention of persons who, in their opinion, are likely to have an interest in it.
- (9) OFCOM may exclude information from a report when it is published under subsection (8) if they consider that it is information that they could refuse to disclose in response to a request under the Freedom of Information Act 2000.]

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### **Textual Amendments**

**F206** S. 124F inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), **ss. 8, 47(1)**

## **[<sup>F207</sup>124C] Obligations to limit internet access: assessment and preparation**

- (1) The Secretary of State may direct OFCOM to—
  - (a) assess whether one or more technical obligations should be imposed on internet service providers;
  - (b) take steps to prepare for the obligations;
  - (c) provide a report on the assessment or steps to the Secretary of State.
- (2) A “technical obligation”, in relation to an internet service provider, is an obligation for the provider to take a technical measure against some or all relevant subscribers to its service for the purpose of preventing or reducing infringement of copyright by means of the internet.
- (3) A “technical measure” is a measure that—
  - (a) limits the speed or other capacity of the service provided to a subscriber;
  - (b) prevents a subscriber from using the service to gain access to particular material, or limits such use;
  - (c) suspends the service provided to a subscriber; or
  - (d) limits the service provided to a subscriber in another way.
- (4) A subscriber to an internet access service is “relevant” if the subscriber is a relevant subscriber, within the meaning of section 124B(3), in relation to the provider of the service and one or more copyright owners.
- (5) The assessment and steps that the Secretary of State may direct OFCOM to carry out or take under subsection (1) include, in particular—
  - (a) consultation of copyright owners, internet service providers, subscribers or any other person;
  - (b) an assessment of the likely efficacy of a technical measure in relation to a particular type of internet access service; and
  - (c) steps to prepare a proposed technical obligations code.
- (6) Internet service providers and copyright owners must give OFCOM any assistance that OFCOM reasonably require for the purposes of complying with any direction under this section.
- (7) The Secretary of State must lay before Parliament any direction under this section.
- (8) OFCOM must publish every report under this section—
  - (a) as soon as practicable after they send it to the Secretary of State, and
  - (b) in such manner as they consider appropriate for bringing it to the attention of persons who, in their opinion, are likely to have an interest in it.
- (9) OFCOM may exclude information from a report when it is published under subsection (8) if they consider that it is information that they could refuse to disclose in response to a request under the Freedom of Information Act 2000.]

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### Textual Amendments

**F207** S. 124G inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), **ss. 9, 47(1)**

## [<sup>F208</sup>124H] **Obligations to limit internet access**

- (1) The Secretary of State may by order impose a technical obligation on internet service providers if—
  - (a) OFCOM have assessed whether one or more technical obligations should be imposed on internet service providers; and
  - (b) taking into account that assessment, reports prepared by OFCOM under section 124F, and any other matter that appears to the Secretary of State to be relevant, the Secretary of State considers it appropriate to make the order.
- (2) No order may be made under this section within the period of 12 months beginning with the first day on which there is an initial obligations code in force.
- (3) An order under this section must specify the date from which the technical obligation is to have effect, or provide for it to be specified.
- (4) The order may also specify—
  - (a) the criteria for taking the technical measure concerned against a subscriber;
  - (b) the steps to be taken as part of the measure and when they are to be taken.
- (5) No order is to be made under this section unless—
  - (a) the Secretary of State has complied with subsections (6) to (10), and
  - (b) a draft of the order has been laid before Parliament and approved by a resolution of each House.
- (6) If the Secretary of State proposes to make an order under this section, the Secretary of State must lay before Parliament a document that—
  - (a) explains the proposal, and
  - (b) sets it out in the form of a draft order.
- (7) During the period of 60 days beginning with the day on which the document was laid under subsection (6) (“the 60-day period”), the Secretary of State may not lay before Parliament a draft order to give effect to the proposal (with or without modifications).
- (8) In preparing a draft order under this section to give effect to the proposal, the Secretary of State must have regard to any of the following that are made with regard to the draft order during the 60-day period—
  - (a) any representations, and
  - (b) any recommendations of a committee of either House of Parliament charged with reporting on the draft order.
- (9) When laying before Parliament a draft order to give effect to the proposal (with or without modifications), the Secretary of State must also lay a document that explains any changes made to the proposal contained in the document laid before Parliament under subsection (6).
- (10) In calculating the 60-day period, no account is to be taken of any time during which Parliament is dissolved or prorogued or during which either House is adjourned for more than 4 days.]

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#### Textual Amendments

**F208** S. 124H inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), **ss. 10**, 47(1)

#### [<sup>F209</sup>124I Code by OFCOM about obligations to limit internet access

- (1) For any period during which there are one or more technical obligations in force under section 124H, OFCOM must by order make a technical obligations code for the purpose of regulating those obligations.
- (2) The code may be made separately from, or in combination with, any initial obligations code under section 124D.
- (3) A code under this section may—
  - (a) do any of the things mentioned in section 124C(3) to (5) or section 124D(5) (a) to (g); and
  - (b) make other provision for the purpose of regulating the technical obligations.
- (4) OFCOM must not make a code under this section unless they are satisfied that it meets the criteria set out in section 124J.
- (5) OFCOM must—
  - (a) keep a code under this section under review; and
  - (b) by order make any amendment of it that is necessary to ensure that while it is in force it continues to meet the criteria set out in section 124J.
- (6) The consent of the Secretary of State is required for the making or amendment by OFCOM of a code under this section.
- (7) Section 403 applies to the power of OFCOM to make an order under this section.
- (8) A statutory instrument containing an order made by OFCOM under this section is subject to annulment in pursuance of a resolution of either House of Parliament.]

#### Textual Amendments

**F209** S. 124I inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), **ss. 11**, 47(1)

#### [<sup>F210</sup>124J Contents of code about obligations to limit internet access

- (1) The criteria referred to in section 124I(4) are—
  - (a) that the requirements concerning enforcement and related matters are met in relation to the code (see subsections (2) and (3));
  - (b) that the requirements concerning subscriber appeals are met in relation to the code (see section 124K);
  - (c) that it makes any provision about contributions towards meeting costs that is required to be included by an order under section 124M;
  - (d) that it makes any other provision that the Secretary of State requires it to make;
  - (e) that the provisions of the code are objectively justifiable in relation to the matters to which it relates;



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- (f) that those provisions are not such as to discriminate unduly against particular persons or against a particular description of persons;
  - (g) that those provisions are proportionate to what they are intended to achieve; and
  - (h) that, in relation to what those provisions are intended to achieve, they are transparent.
- (2) The requirements concerning enforcement and related matters are—
- (a) that OFCOM have, under the code, the functions of administering and enforcing it, including the function of resolving owner-provider disputes;
  - (b) that there are adequate arrangements under the code for OFCOM to obtain any information or assistance from internet service providers or copyright owners that OFCOM reasonably require for the purposes of administering and enforcing the code; and
  - (c) that there are adequate arrangements under the code for the costs incurred by OFCOM in administering and enforcing the code to be met by internet service providers and copyright owners.
- (3) The provision made concerning enforcement and related matters may also (unless the Secretary of State requires otherwise) include, in particular—
- (a) provision for the payment, to a person specified in the code, of a penalty not exceeding the maximum penalty for the time being specified in section 124L(2);
  - (b) provision requiring a copyright owner to indemnify an internet service provider for any loss or damage resulting from the owner's infringement or error in relation to the code or the copyright infringement provisions.
- (4) In this section “owner-provider dispute” means a dispute that—
- (a) is between persons who are copyright owners or internet service providers; and
  - (b) relates to an act or omission in relation to a technical obligation or a technical obligations code.]

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**Textual Amendments**

**F210** S. 124J inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), ss. 12, 47(1)

**[<sup>F211</sup>124KSubscriber appeals**

- (1) The requirements concerning subscriber appeals are—
- (a) for the purposes of section 124E(1)(h), the requirements of subsections (2) to (8); and
  - (b) for the purposes of section 124J(1)(b), the requirements of subsections (2) to (11).
- (2) The requirements of this subsection are—
- (a) that the code confers on subscribers the right to bring a subscriber appeal and, in the case of a technical obligations code, a further right of appeal to the First-tier Tribunal;
  - (b) that there is a person who, under the code, has the function of determining subscriber appeals;

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- (c) that that person is for practical purposes independent (so far as determining subscriber appeals is concerned) of internet service providers, copyright owners and OFCOM; and
  - (d) that there are adequate arrangements under the code for the costs incurred by that person in determining subscriber appeals to be met by internet service providers, copyright owners and the subscriber concerned.
- (3) The code must provide for the grounds of appeal (so far as an appeal relates to, or to anything done by reference to, a copyright infringement report) to include the following—
- (a) that the apparent infringement to which the report relates was not an infringement of copyright;
  - (b) that the report does not relate to the subscriber's IP address at the time of the apparent infringement.
- (4) The code must provide for the grounds of appeal to include contravention by the copyright owner or internet service provider of the code or of an obligation regulated by the code.
- (5) The code must provide that an appeal on any grounds must be determined in favour of the subscriber unless the copyright owner or internet service provider shows that, as respects any copyright infringement report to which the appeal relates or by reference to which anything to which the appeal relates was done (or, if there is more than one such report, as respects each of them)—
- (a) the apparent infringement was an infringement of copyright, and
  - (b) the report relates to the subscriber's IP address at the time of that infringement.
- (6) The code must provide that, where a ground mentioned in subsection (3) is relied on, the appeal must be determined in favour of the subscriber if the subscriber shows that—
- (a) the act constituting the apparent infringement to which the report relates was not done by the subscriber, and
  - (b) the subscriber took reasonable steps to prevent other persons infringing copyright by means of the internet access service.
- (7) The powers of the person determining subscriber appeals must include power—
- (a) to secure so far as practicable that a subscriber is not prejudiced for the purposes of the copyright infringement provisions by an act or omission in respect of which an appeal is determined in favour of the subscriber;
  - (b) to make an award of compensation to be paid by a copyright owner or internet service provider to a subscriber affected by such an act or omission; and
  - (c) where the appeal is determined in favour of the subscriber, to direct the copyright owner or internet service provider to reimburse the reasonable costs of the subscriber.
- (8) The code must provide that the power to direct the reimbursement of costs under subsection (7)(c) is to be exercised to award reasonable costs to a subscriber whose appeal is successful, unless the person deciding the appeal is satisfied that it would be unjust to give such a direction having regard to all the circumstances including the conduct of the parties before and during the proceedings.
- (9) In the case of a technical obligations code, the powers of the person determining subscriber appeals must include power—

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- (a) on an appeal in relation to a technical measure or proposed technical measure—
    - (i) to confirm the measure;
    - (ii) to require the measure not to be taken or to be withdrawn;
    - (iii) to substitute any other technical measure that the internet service provider has power to take;
  - (b) to exercise the power mentioned in paragraph (a)(ii) or (iii) where an appeal is not upheld but the person determining it is satisfied that there are exceptional circumstances that justify the exercise of the power;
  - (c) to take any steps that OFCOM could take in relation to the act or omission giving rise to the technical measure; and
  - (d) to remit the decision whether to confirm the technical measure, or any matter relating to that decision, to OFCOM.
- (10) In the case of a technical obligations code, the code must make provision—
- (a) enabling a determination of a subscriber appeal to be appealed to the First-tier Tribunal, including on grounds that it was based on an error of fact, wrong in law or unreasonable;
  - (b) giving the First-tier Tribunal, in relation to an appeal to it, the powers mentioned in subsections (7) and (9); and
  - (c) in relation to recovery of costs awarded by the Tribunal.
- (11) In the case of a technical obligations code, the code must include provision to secure that a technical measure is not taken against a subscriber until—
- (a) the period for bringing a subscriber appeal, or any further appeal to the First-tier Tribunal, in relation to the proposed measure has ended (or the subscriber has waived the right to appeal); and
  - (b) any such subscriber appeal or further appeal has been determined, abandoned or otherwise disposed of.]

#### Textual Amendments

**F211** S. 124K inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), ss. 13, 47(1)

#### [<sup>F212</sup>124I Enforcement of obligations

- (1) Sections 94 to 96 apply in relation to a contravention of an initial obligation or a technical obligation, or a contravention of an obligation under section 124G(6), as they apply in relation to a contravention of a condition set out under section 45.
- (2) The amount of the penalty imposed under section 96 as applied by this section is to be such amount not exceeding £250,000 as OFCOM determine to be—
  - (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.
- (3) In making that determination OFCOM must have regard to—
  - (a) any representations made to them by the internet service provider or copyright owner on whom the penalty is imposed;

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- (b) any steps taken by the provider or owner towards complying with the obligations contraventions of which have been notified to the provider or owner under section 94 (as applied); and
  - (c) any steps taken by the provider or owner for remedying the consequences of those contraventions.
- (4) The Secretary of State may by order amend this section so as to substitute a different maximum penalty for the maximum penalty for the time being specified in subsection (2).
- (5) No order is to be made containing provision authorised by subsection (4) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.]

#### Textual Amendments

**F212** S. 124L inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), **ss. 14**, 47(1)

#### [<sup>F213</sup>124M] Sharing of costs

- (1) The Secretary of State may by order specify provision that must be included in an initial obligations code or a technical obligations code about payment of contributions towards costs incurred under the copyright infringement provisions.
- (2) Any provision specified under subsection (1) must relate to payment of contributions by one or more of the following only—
- (a) copyright owners;
  - (b) internet service providers;
  - (c) in relation to a subscriber appeal or a further appeal by a subscriber to the First-tier Tribunal, the subscriber.
- (3) Provision specified under subsection (1) may relate to, in particular—
- (a) payment by a copyright owner of a contribution towards the costs that an internet service provider incurs;
  - (b) payment by a copyright owner or internet service provider of a contribution towards the costs that OFCOM incur.
- (4) Provision specified under subsection (1) may include, in particular—
- (a) provision about costs incurred before the provision is included in an initial obligations code or a technical obligations code;
  - (b) provision for payment in advance of expected costs (and for reimbursement of overpayments where the costs incurred are less than expected);
  - (c) provision about how costs, expected costs or contributions must be calculated;
  - (d) other provision about when and how contributions must be paid.
- (5) No order is to be made under this section unless a draft of the order has been laid before Parliament and approved by a resolution of each House.]

#### Textual Amendments

**F213** S. 124M inserted (8.4.2010) by virtue of [Digital Economy Act 2010 \(c. 24\)](#), **ss. 15**, 47(2)(a)

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## [<sup>F214</sup>124N] Interpretation

In sections 124A to 124M and this section—

“apparent infringement”, in relation to a copyright infringement report, means the infringement of copyright that the report states appears to have taken place;

“copyright infringement list” has the meaning given in section 124B(2);

“copyright infringement provisions” means sections 124A to 124M and this section;

“copyright infringement report” has the meaning given in section 124A(3);

“copyright owner” means—

(a) a copyright owner within the meaning of Part 1 of the Copyright, Designs and Patents Act 1988 (see section 173 of that Act); or

(b) someone authorised by that person to act on the person's behalf;

“copyright work” has the same meaning as in Part 1 of the Copyright, Designs and Patents Act 1988 (see section 1(2) of that Act);

“initial obligations” has the meaning given in section 124C(1);

“initial obligations code” has the meaning given in section 124A(2);

“internet access service” means an electronic communications service that—

(a) is provided to a subscriber;

(b) consists entirely or mainly of the provision of access to the internet; and

(c) includes the allocation of an IP address or IP addresses to the subscriber to enable that access;

“internet service provider” means a person who provides an internet access service;

“IP address” means an internet protocol address;

“subscriber”, in relation to an internet access service, means a person who—

(a) receives the service under an agreement between the person and the provider of the service; and

(b) does not receive it as a communications provider;

“subscriber appeal” means—

(a) in relation to an initial obligations code, an appeal by a subscriber on grounds specified in the code in relation to—

(i) the making of a copyright infringement report;

(ii) notification under section 124A(4);

(iii) the inclusion or proposed inclusion of an entry in a copyright infringement list; or

(iv) any other act or omission in relation to an initial obligation or an initial obligations code;

(b) in relation to a technical obligations code, an appeal by a subscriber on grounds specified in the code in relation to—

(i) the proposed taking of a technical measure; or

(ii) any other act or omission in relation to a technical obligation or a technical obligations code;

“technical measure” has the meaning given in section 124G(3);

“technical obligation” has the meaning given in section 124G(2);

“technical obligations code” means a code in force under section 124I.]

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#### **Textual Amendments**

**F214** S. 124N inserted (8.4.2010) by [Digital Economy Act 2010 \(c. 24\)](#), **ss. 16(1)**, 47(2)(a)

### *Offences relating to networks and services*

#### **125 Dishonestly obtaining electronic communications services**

- (1) A person who—
- (a) dishonestly obtains an electronic communications service, and
  - (b) does so with intent to avoid payment of a charge applicable to the provision of that service,
- is guilty of an offence.
- (2) It is not an offence under this section to obtain a service mentioned in section 297(1) of the Copyright, Designs and Patents Act 1988 (c. 48) (dishonestly obtaining a broadcasting <sup>F215</sup>... service provided from a place in the UK).
- (3) A person guilty of an offence under this section shall be liable—
- (a) on summary conviction, to imprisonment for a term not exceeding six months or to a fine not exceeding the statutory maximum, or to both;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding five years or to a fine, or to both.

#### **Textual Amendments**

**F215** Words in s. 125(2) repealed (31.10.2003) by [The Copyright and Related Rights Regulations 2003 \(S.I. 2003/2498\)](#), **reg. 1**, **Sch. 2** (with **regs. 31-40**)

#### **Commencement Information**

- I182** S. 125 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), **arts. 1(2)**, **2(1)**, **Sch. 1** (with **art. 3**) (as amended by [S.I. 2003/3142](#), **art. 1(3)**)
- I183** S. 125 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with **art. 11**)

#### **126 Possession or supply of apparatus etc. for contravening s. 125**

- (1) A person is guilty of an offence if, with an intention falling within subsection (3), he has in his possession or under his control anything that may be used—
- (a) for obtaining an electronic communications service; or
  - (b) in connection with obtaining such a service.
- (2) A person is guilty of an offence if—
- (a) he supplies or offers to supply anything which may be used as mentioned in subsection (1); and
  - (b) he knows or believes that the intentions in relation to that thing of the person to whom it is supplied or offered fall within subsection (3).
- (3) A person's intentions fall within this subsection if he intends—
- (a) to use the thing to obtain an electronic communications service dishonestly;

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*Status:* Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
*Changes to legislation:* Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

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- (b) to use the thing for a purpose connected with the dishonest obtaining of such a service;
  - (c) dishonestly to allow the thing to be used to obtain such a service; or
  - (d) to allow the thing to be used for a purpose connected with the dishonest obtaining of such a service.
- (4) An intention does not fall within subsection (3) if it relates exclusively to the obtaining of a service mentioned in section 297(1) of the Copyright, Designs and Patents Act 1988 (c. 48).
- (5) A person guilty of an offence under this section shall be liable—
- (a) on summary conviction, to imprisonment for a term not exceeding six months or to a fine not exceeding the statutory maximum, or to both; and
  - (b) on conviction on indictment, to imprisonment for a term not exceeding five years or to a fine, or to both.
- (6) In this section, references, in the case of a thing used for recording data, to the use of that thing include references to the use of data recorded by it.

#### **Commencement Information**

- I184** S. 126 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I185** S. 126 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## **127 Improper use of public electronic communications network**

- (1) A person is guilty of an offence if he—
- (a) sends by means of a public electronic communications network a message or other matter that is grossly offensive or of an indecent, obscene or menacing character; or
  - (b) causes any such message or matter to be so sent.
- (2) A person is guilty of an offence if, for the purpose of causing annoyance, inconvenience or needless anxiety to another, he—
- (a) sends by means of a public electronic communications network, a message that he knows to be false,
  - (b) causes such a message to be sent; or
  - (c) persistently makes use of a public electronic communications network.
- (3) A person guilty of an offence under this section shall be liable, on summary conviction, to imprisonment for a term not exceeding six months or to a fine not exceeding level 5 on the standard scale, or to both.
- (4) Subsections (1) and (2) do not apply to anything done in the course of providing a programme service (within the meaning of the Broadcasting Act 1990 (c. 42)).
- [<sup>F216</sup>(5) An information or complaint relating to an offence under this section may be tried by a magistrates' court in England and Wales or Northern Ireland if it is laid or made—
- (a) before the end of the period of 3 years beginning with the day on which the offence was committed, and

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- (b) before the end of the period of 6 months beginning with the day on which evidence comes to the knowledge of the prosecutor which the prosecutor considers sufficient to justify proceedings.
- (6) Summary proceedings for an offence under this section may be commenced in Scotland—
- (a) before the end of the period of 3 years beginning with the day on which the offence was committed, and
  - (b) before the end of the period of 6 months beginning with the day on which evidence comes to the knowledge of the prosecutor which the prosecutor considers sufficient to justify proceedings,
- and section 136(3) of the Criminal Procedure (Scotland) Act 1995 (date when proceedings deemed to be commenced) applies for the purposes of this subsection as it applies for the purposes of that section.
- (7) A certificate of a prosecutor as to the date on which evidence described in subsection (5)(b) or (6)(b) came to his or her knowledge is conclusive evidence of that fact.]

#### **Textual Amendments**

**F216** S. 127(5)-(7) inserted (13.4.2015) by [Criminal Justice and Courts Act 2015 \(c. 2\)](#), **ss. 51(1)**, 95(1) (with [s. 51\(2\)](#)); [S.I. 2015/778](#), [art. 3](#), [Sch. 1 para. 42](#)

#### **Commencement Information**

**I186** S. 127 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), [arts. 1\(2\)](#), [2\(1\)](#), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), [art. 1\(3\)](#))

**I187** S. 127 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

### *Persistent misuse of network or service*

## **128 Notification of misuse of networks and services**

- (1) Where OFCOM determine that there are reasonable grounds for believing that a person has persistently misused an electronic communications network or electronic communications services, they may give that person a notification under this section.
- (2) A notification under this section is one which—
  - (a) sets out the determination made by OFCOM;
  - (b) specifies the use that OFCOM consider constitutes persistent misuse; and
  - (c) specifies the period during which the person notified has an opportunity of making representations about the matters notified.
- (3) That period must not be less than the following—
  - (a) in an urgent case, seven days; and
  - (b) in any other case, one month.
- (4) A case is an urgent case for the purposes of subsection (3) if OFCOM consider—
  - (a) that the misuse in question is continuing; and
  - (b) that the harm it causes makes it necessary for it to be stopped as soon as possible.



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- (5) For the purposes of this Chapter a person misuses an electronic communications network or electronic communications service if—
- (a) the effect or likely effect of his use of the network or service is to cause another person unnecessarily to suffer annoyance, inconvenience or anxiety; or
  - (b) he uses the network or service to engage in conduct the effect or likely effect of which is to cause another person unnecessarily to suffer annoyance, inconvenience or anxiety.
- (6) For the purposes of this Chapter the cases in which a person is to be treated as persistently misusing a network or service include any case in which his misuse is repeated on a sufficient number of occasions for it to be clear that the misuse represents—
- (a) a pattern of behaviour or practice; or
  - (b) recklessness as to whether persons suffer annoyance, inconvenience or anxiety.
- (7) For the purpose of determining whether misuse on a number of different occasions constitutes persistent misuse for the purposes of this Chapter, each of the following is immaterial—
- (a) that the misuse was in relation to a network on some occasions and in relation to a service on others;
  - (b) that different networks or services were involved on different occasions; and
  - (c) that the persons who were or were likely to suffer annoyance inconvenience or anxiety were different on different occasions.
- (8) If he considers that appropriate alternative means of dealing with it exists, the Secretary of State may by order provide that a use of a description specified in the order is not to be treated for the purposes of this Chapter as a misuse of an electronic communications network or electronic communications service.

#### Commencement Information

**I188** S. 128 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I189** S. 128 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## 129 Enforcement notifications for stopping persistent misuse

- (1) This section applies where—
- (a) a person (“the notified misuser”) has been given a notification under section 128;
  - (b) OFCOM have allowed the notified misuser an opportunity of making representations about the matters notified; and
  - (c) the period allowed for the making of the representations has expired.
- (2) OFCOM may give the notified misuser an enforcement notification if they are satisfied—
- (a) that he has, in one or more of the notified respects, persistently misused an electronic communications network or electronic communications service; and

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- (b) that he has not, since the giving of the notification, taken all such steps as OFCOM consider appropriate for—
  - (i) securing that his misuse is brought to an end and is not repeated; and
  - (ii) remedying the consequences of the notified misuse.
- (3) An enforcement notification is a notification which imposes a requirement on the notified misuser to take all such steps for—
  - (a) securing that his misuse is brought to an end and is not repeated, and
  - (b) remedying the consequences of the notified misuse,
 as may be specified in the notification.
- (4) A decision of OFCOM to give an enforcement notification to a person must fix a reasonable period for the taking of the steps required by the notification.
- (5) It shall be the duty of a person to whom an enforcement notification has been given to comply with it.
- (6) That duty shall be enforceable in civil proceedings by OFCOM—
  - (a) for an injunction;
  - (b) for specific performance of a statutory duty under section 45 of the Court of Session Act 1988 (c. 36); or
  - (c) for any other appropriate remedy or relief.
- (7) References in this section to remedying the consequences of misuse include references to paying an amount to a person—
  - (a) by way of compensation for loss or damage suffered by that person; or
  - (b) in respect of annoyance, inconvenience or anxiety to which he has been put.

#### **Commencement Information**

**I190** S. 129 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), [arts. 1\(2\), 2\(1\)](#), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), [art. 1\(3\)](#))

**I191** S. 129 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

### **130 Penalties for persistent misuse**

- (1) This section applies (in addition to section 129) where—
  - (a) a person (“the notified misuser”) has been given a notification under section 128;
  - (b) OFCOM have allowed the notified misuser an opportunity of making representations about the matters notified; and
  - (c) the period allowed for the making of the representations has expired.
- (2) OFCOM may impose a penalty on the notified misuser if he has, in one or more of the notified respects, persistently misused an electronic communications network or electronic communications service.
- (3) OFCOM may also impose a penalty on the notified misuser if he has contravened a requirement of an enforcement notification given in respect of the notified misuse.
- (4) The amount of a penalty imposed is to be such amount not exceeding [<sup>F217</sup>£2,000,000] as OFCOM determine to be—

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- (a) appropriate; and
  - (b) proportionate to the misuse in respect of which it is imposed.
- (5) In making that determination OFCOM must have regard to—
- (a) any representations made to them by the notified misuser;
  - (b) any steps taken by him for securing that his misuse is brought to an end and is not repeated; and
  - (c) any steps taken by him for remedying the consequences of the notified misuse.
- (6) Where OFCOM impose a penalty on a person under this section, they shall—
- (a) notify the person penalised; and
  - (b) in that notification, fix a reasonable period after it is given as the period within which the penalty is to be paid.
- (7) A penalty imposed under this section—
- (a) must be paid to OFCOM; and
  - (b) if not paid within the period fixed by them, is to be recoverable by them accordingly.
- (8) It is to be possible for a person to be both liable for an offence under sections 125 to 127 and to have a penalty imposed on him under this section in respect of the same conduct.
- (9) The Secretary of State may by order amend this section so as to substitute a different maximum penalty for the maximum penalty for the time being specified in subsection (4).
- (10) No order is to be made containing provision authorised by subsection (9) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.

#### Textual Amendments

**F217** Word in s. 130(4) substituted (25.9.2010) by [Communications Act 2003 \(Maximum Penalty for Persistent Misuse of Network or Service\) Order 2010 \(S.I. 2010/2291\)](#), arts. 1, **2(1)** (with art. 2(2))

#### Commencement Information

**I192** S. 130 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I193** S. 130 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### 131 Statement of policy on persistent misuse

- (1) It shall be the duty of OFCOM to prepare and publish a statement of their general policy with respect to the exercise of their powers under sections 128 to 130.
- (2) OFCOM may from time to time revise that statement as they think fit.
- (3) Where OFCOM make or revise their statement of policy under this section, they must publish that statement or (as the case may be) the revised statement in such manner as they consider appropriate for bringing it to the attention of the persons who, in their opinion, are likely to be affected by it.

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (4) It shall be the duty of OFCOM, in exercising the powers conferred on them by sections 128 to 130, to have regard to the statement for the time being in force under this section.

#### **Commencement Information**

**I194** S. 131 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), [arts. 1\(2\), 2\(1\)](#), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), [art. 1\(3\)](#))

**I195** S. 131 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

### *Powers to deal with emergencies*

#### **132 Powers to require suspension or restriction of a provider's entitlement**

- (1) If the Secretary of State has reasonable grounds for believing that it is necessary to do so—
- (a) to protect the public from any threat to public safety or public health, or
  - (b) in the interests of national security,
- he may, by a direction to OFCOM, require them to give a direction under subsection (3) to a person (“the relevant provider”) who provides an electronic communications network or electronic communications service or who makes associated facilities available.
- (2) OFCOM must comply with a requirement of the Secretary of State under subsection (1) by giving to the relevant provider such direction under subsection (3) as they consider necessary for the purpose of complying with the Secretary of State's direction.
- (3) A direction under this section is—
- (a) a direction that the entitlement of the relevant provider to provide electronic communications networks or electronic communications services, or to make associated facilities available, is suspended (either generally or in relation to particular networks, services or facilities); or
  - (b) a direction that that entitlement is restricted in the respects set out in the direction.
- (4) A direction under subsection (3)—
- (a) must specify the networks, services and facilities to which it relates; and
  - (b) except so far as it otherwise provides, takes effect for an indefinite period beginning with the time at which it is notified to the person to whom it is given.
- (5) A direction under subsection (3)—
- (a) in providing for the effect of a suspension or restriction to be postponed, may provide for it to take effect only at a time determined by or in accordance with the terms of the direction; and
  - (b) in connection with the suspension or restriction contained in the direction or with the postponement of its effect, may impose such conditions on the relevant provider as appear to OFCOM to be appropriate for the purpose of protecting that provider's customers.
- (6) Those conditions may include a condition requiring the making of payments—

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- (a) by way of compensation for loss or damage suffered by the relevant provider's customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.
- (7) Where OFCOM give a direction under subsection (3), they shall, as soon as practicable after doing so, provide that person with an opportunity of—
- (a) making representations about the effect of the direction; and
  - (b) proposing steps for remedying the situation.
- (8) If OFCOM consider it appropriate to do so (whether in consequence of any representations or proposals made to them under subsection (3) or otherwise), they may, without revoking it, at any time modify the terms of a direction under subsection (3) in such manner as they consider appropriate.
- (9) If the Secretary of State considers it appropriate to do so, he may, by a direction to OFCOM, require them to revoke a direction under subsection (3).
- (10) Where OFCOM modify or revoke a direction they have given under subsection (3), they may do so—
- (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such networks, services or facilities, or parts of a network, service or facility, as they may determine.
- (11) It shall be the duty of OFCOM to comply with—
- (a) a requirement under subsection (9) to revoke a direction; and
  - (b) a requirement contained in that direction as to how they should exercise their powers under subsection (10) in the case of the required revocation.

#### Commencement Information

**I196** S. 132 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I197** S. 132 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### 133 Enforcement of directions under s. 132

- (1) A person is guilty of an offence if he provides an electronic communications network or electronic communications service, or makes available any associated facility—
- (a) while his entitlement to do so is suspended by a direction under section 132; or
  - (b) in contravention of a restriction contained in such a direction.
- (2) A person guilty of an offence under subsection (1) shall be liable—
- (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.
- (3) The duty of a person to comply with a condition of a direction under section 132 shall be a duty owed to every person who may be affected by a contravention of the condition.
- (4) Where a duty is owed by virtue of subsection (3) to a person—

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- (a) a breach of the duty that causes that person to sustain loss or damage, and
  - (b) an act which—
    - (i) by inducing a breach of the duty or interfering with its performance, causes that person to sustain loss or damage, and
    - (ii) is done wholly or partly for achieving that result,
 shall be actionable at the suit or instance of that person.
- (5) In proceedings brought against a person by virtue of subsection (4)(a) it shall be a defence for that person to show that he took all reasonable steps and exercised all due diligence to avoid contravening the condition in question.
- (6) Sections [<sup>F218</sup>96A] to 99 apply in relation to a contravention of conditions imposed by a direction under section 132 as they apply in relation to a contravention of conditions set under section 45 [<sup>F219</sup>, other than SMP apparatus conditions] .

#### Textual Amendments

**F218** Word in s. 133(6) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 78** (with Sch. 3 paras. 2, 17)

**F219** Words in s. 133(6) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 78** (with Sch. 3 paras. 2, 17)

#### Commencement Information

**I198** S. 133 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I199** S. 133 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### *Restrictions in leases and licences*

#### 134 Restrictions in leases and licences

- (1) This section applies where provision contained in a lease, licence or other agreement relating to premises has the effect of imposing on the occupier a prohibition or restriction under which his choice of—
- (a) the person from whom he obtains electronic communications services, or particular electronic communications services, or
  - (b) the person through whom he arranges to be provided with electronic communications services, or particular electronic communications services,
- is confined to a person with an interest in the premises, to a person selected by a person with such an interest or to persons who are one or the other.
- (2) This section also applies where—
- (a) provision contained in a lease for a year or more has the effect of imposing any other prohibition or restriction on the lessee with respect to an electronic communications matter; or
  - (b) provision contained in an agreement relating to premises to which a lease for a year or more applies has the effect of imposing a prohibition or restriction on the lessee with respect to such a matter.
- (3) A provision falling within subsection (1) shall have effect—

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- (a) as if the prohibition or restriction applied only where the lessor, licensor or other party to the agreement has not given his consent to a departure from the requirements imposed by the prohibition or restriction; and
  - (b) as if the lessor, licensor or other party were required not to withhold that consent unreasonably.
- (4) A provision falling within subsection (2)(a) or (b) shall have effect—
  - (a) in relation to things done inside a building occupied by the lessee under the lease, or
  - (b) for purposes connected with the provision to the lessee of an electronic communications service,as if the prohibition or restriction applied only where the lessor has not given his consent in relation to the matter in question and as if the lessor were required not to withhold that consent unreasonably.
- (5) Where (whether by virtue of this section or otherwise) a provision falling within subsection (1) or (2) imposes a requirement on a lessor, licensor or party to an agreement not unreasonably to withhold his consent—
  - (a) in relation to an electronic communications matter, or
  - (b) to the obtaining by the occupier of premises of an electronic communications service from or through a particular person,the question whether the consent is unreasonably withheld has to be determined having regard to all the circumstances and to the principle that no person should unreasonably be denied access to an electronic communications network or to electronic communications services.
- (6) OFCOM may by order provide for this section not to apply in the case of such provisions as may be described in the order.
- (7) References in this section to electronic communications matters are references to—
  - (a) the provision of an electronic communications network or electronic communications service;
  - (b) the connection of electronic communications apparatus to a relevant electronic communications network or of any such network to another; and
  - (c) the installation, maintenance, adjustment, repair, alteration or use for purposes connected with the provision of such a network or service of electronic communications apparatus.
- (8) In this section—
  - “alteration” has the same meaning as in the electronic communications code;
  - “lease” includes—
    - (a) a leasehold tenancy (whether in the nature of a head lease, sub-lease or under lease) and an agreement to grant such a tenancy, and
    - (b) in Scotland, a sub-lease and an agreement to grant a sub-lease,
  - and “lessor” and “lessee” are to be construed accordingly;
  - “relevant electronic communications network” means—
    - (a) a public electronic communications network that is specified for the purposes of this section in an order made by the Secretary of State; or
    - (b) an electronic communications network that is, or is to be, connected (directly or indirectly) to such a network.

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- (9) This section applies to provisions contained in leases, licences or agreements granted or entered into before the commencement of this section to the extent only that provision to that effect is contained in an order made by OFCOM.
- (10) This section is not to be construed as affecting the operation of paragraph 2(3) of the electronic communications code (lessees etc. bound by rights granted under code by owners).
- (11) The consent of the Secretary of State is required for the making by OFCOM of an order under this section.
- (12) Section 403 applies to the powers of OFCOM to make orders under this section.
- (13) A statutory instrument containing an order made by OFCOM under this section shall be subject to annulment in pursuance of a resolution of either House of Parliament.

#### **Commencement Information**

**I200** S. 134 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I201** S. 134 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

#### *<sup>F220</sup>Reports on infrastructure etc*

#### **Textual Amendments**

**F220** Ss. 134A-134C and cross-headings inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), [ss. 1\(1\), 47\(1\)](#)

### **134A OFCOM reports on infrastructure etc**

- (1) OFCOM must prepare reports in accordance with subsections (2) and (3) and each report must deal with—
  - (a) the electronic communications networks matters listed in section 134B(1), and
  - (b) the electronic communications services matters listed in section 134B(2).
- (2) The first report must—
  - (a) relate to the position on a day specified in the report which falls within the period of 12 months beginning with the day on which this section comes into force, and
  - (b) be sent to the Secretary of State by OFCOM not more than 2 months after the specified day.
- (3) A further report must—
  - (a) be prepared for each relevant period, and
  - (b) be sent to the Secretary of State by OFCOM as soon as practicable after the end of the relevant period.
- (4) “Relevant period” means—
  - (a) the period of 3 years beginning with the day specified in the first report, and



*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (b) each subsequent period of 3 years beginning with the end of the previous period.
- (5) Where there is a significant change in connection with a matter listed in section 134B(1) or (2) and OFCOM consider that the change should be brought to the attention of the Secretary of State, OFCOM must—
  - (a) prepare a report on the change, and
  - (b) send it to the Secretary of State as soon as practicable.
- (6) For the purposes of subsection (5), a change is significant if OFCOM consider that it has, or is likely to have, a significant adverse impact on—
  - (a) persons carrying on business in the United Kingdom or a part of the United Kingdom, or
  - (b) the general public in the United Kingdom or a part of the United Kingdom.
- (7) OFCOM must publish every report under this section—
  - (a) as soon as practicable after they send it to the Secretary of State, and
  - (b) in such manner as they consider appropriate for bringing it to the attention of persons who, in their opinion, are likely to have an interest in it.
- (8) OFCOM may exclude information from a report when it is published under subsection (7) if they consider that it is information that they could refuse to disclose in response to a request under the Freedom of Information Act 2000.

#### [<sup>F221</sup>134AA] **Additional OFCOM reports on infrastructure etc**

- (1) OFCOM may prepare reports dealing with—
  - (a) any of the electronic communications network matters listed in section 134B(1);
  - (b) any of the electronic communications services matters listed in section 134B(2).
- (2) OFCOM may publish a report under this section in such manner as they consider appropriate for bringing it to the attention of persons who, in their opinion, are likely to have an interest in it.
- (3) Before publishing a report under this section OFCOM must consider—
  - (a) whether any of the information to be contained in it is information that they could refuse to disclose in response to a request under the Freedom of Information Act 2000, and
  - (b) if so, whether that information should be excluded from the report.
- (4) This section does not affect OFCOM's duty to prepare reports under section 134A.

#### **Textual Amendments**

**F221** Ss. 134AA, 134AB inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), ss. **82(2)**, 118(2)

#### **134AB Publication of information required for purpose of preparing reports**

- (1) OFCOM may publish—
  - (a) any relevant section 135 information, and

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- (b) any information derived from relevant section 135 information.
- (2) Before publishing information under this section OFCOM must consider—
  - (a) whether any of the information that they propose to publish is information that they could refuse to disclose in response to a request under the Freedom of Information Act 2000, and
  - (b) if so, whether that information should be published.
- (3) In this section “relevant section 135 information” means information required by OFCOM under section 135 for the purpose of preparing a report under section 134A or 134AA.]

#### Textual Amendments

**F221** Ss. 134AA, 134AB inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), ss. **82(2)**, 118(2)

### 134B Networks and services matters

- (1) For the purposes of [<sup>F222</sup>sections 134A and 134AA], the electronic communications networks matters are—
  - (a) the different types of electronic communications network provided in the United Kingdom (“UK networks”),
  - (b) the geographic coverage of the different UK networks,
  - (c) the proportion of the population covered by the different UK networks,
  - (d) the extent to which UK networks share infrastructure,
  - (e) the capacity of the different UK networks,
  - (f) the extent to which the providers of the different UK networks allow other communications providers to use their networks to provide services,
  - (g) the amount of time for which the different UK networks are and are not available, including the steps that have been or are to be taken to maintain or improve the level of availability,
  - (h) the preparations made by providers of UK networks for responding to an emergency, including preparations for restoring normal operation of UK networks disrupted by the emergency, and
  - (i) the standard of the different UK networks in comparison with electronic communications networks provided in a range of other countries, having regard, in particular, to their coverage and capacity.
- (2) For the purposes of [<sup>F222</sup>sections 134A and 134AA], the electronic communications services matters are—
  - (a) the use of the electromagnetic spectrum for wireless telegraphy in the United Kingdom,
  - (b) the different types of electronic communications service provided in the United Kingdom (“UK services”),
  - (c) the geographic coverage of the different UK services,
  - (d) the proportion of the population covered by the different UK services,
  - (e) the amount of time for which the different UK services are and are not available, including the steps that have been or are to be taken to maintain or improve the level of availability,

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- (f) the preparations made by providers of UK services for responding to an emergency, including preparations for restoring normal operation of UK services disrupted by the emergency, and
  - (g) the standard of the different UK services in comparison with electronic communications services provided in a range of other countries.
- (3) The preparations referred to in subsections (1)(h) and (2)(f) include—
- (a) the steps taken to assess the risks of different types of emergency occurring,
  - (b) the steps taken to reduce or remove those risks, and
  - (c) the testing of proposed responses to different types of emergency.
- (4) In a report under section 134A [<sup>F223</sup>or 134AA], OFCOM are required to include only information about, and analysis of, such networks, services and providers as they consider appropriate.
- (5) In this section “emergency” means an event or situation that seriously disrupts a UK network or UK service.

#### Textual Amendments

**F222** Words in s. 134B(1)(2) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\), ss. 82\(3\)\(a\), 118\(2\)](#)

**F223** Words in s. 134B(4) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\), ss. 82\(3\)\(b\), 118\(2\)](#)

### *Reports on internet domain names*

#### **134C OFCOM reports on internet domain names**

- (1) OFCOM must, if requested to do so by the Secretary of State—
- (a) prepare a report on matters specified by the Secretary of State relating to internet domain names, and
  - (b) send the report to the Secretary of State as soon as practicable.
- (2) The specified matters may, in particular, include matters relating to—
- (a) the allocation and registration of internet domain names, and
  - (b) the misuse of internet domain names.
- (3) OFCOM must publish every report under this section—
- (a) as soon as practicable after they send it to the Secretary of State, and
  - (b) in such manner as they consider appropriate for bringing it to the attention of persons who, in their opinion, are likely to have an interest in it.
- (4) OFCOM may exclude information from a report when it is published under subsection (3) if they consider that it is information that they could refuse to disclose in response to a request under the Freedom of Information Act 2000.]

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## *[<sup>F224</sup>Comparative overviews*

### **Textual Amendments**

**F224** S. 134D and cross-heading inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 83(2), 118(2)**

### **134D Comparative overviews of quality and prices**

- (1) OFCOM may, in the interest of the end-users of public electronic communications services, carry out comparative overviews of the quality and prices of such services.
- (2) OFCOM may publish a comparative overview carried out under this section in such manner as they consider appropriate for bringing it to the attention of persons who, in their opinion, are likely to have an interest in it.]

### *Information provisions*

### **[<sup>F226</sup>135 Information required for purposes of [<sup>F225</sup>certain OFCOM] functions**

- (1) [<sup>F226</sup>OFCOM may require a person falling within subsection (2) to provide them with all such information as they consider necessary for the purpose of carrying out their functions under—
  - (a) section 14(1),
  - (b) section 26, so far as relating to matters in relation to which they have functions under this Chapter, or
  - (c) this Chapter.]
- (2) The persons falling within this subsection are—
  - (a) a communications provider;
  - (b) a person who has been a communications provider;
  - (c) a person who makes, or has made, any associated facilities available to others;
  - (d) a person, other than a communications provider, to whom a universal service condition applies or has applied;
  - (e) a person who supplies electronic communications apparatus;
  - (f) a person not falling within the preceding paragraphs who appears to OFCOM to have information required by them for the purpose of carrying out their functions under this Chapter.
- (3) The information that may be required by OFCOM under subsection (1) includes, in particular, information that they require for any one or more of the following purposes—
  - (a) ascertaining whether a contravention of a condition or other requirement set or imposed by or under this Chapter has occurred or is occurring;
  - (b) ascertaining or verifying the charges payable by a person under section 38;
  - (c) ascertaining whether a provision of a condition set under section 45 which is for the time being in force continues to be effective for the purpose for which it was made;
  - (d) ascertaining or verifying amounts payable by virtue of a condition falling within section 51(1)(d);

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- (e) making a designation in accordance with regulations made under section 66;
  - (f) carrying out a review under section 66 [<sup>F227</sup>, 70 or 72A ] ;
  - (g) identifying markets and carrying out market analyses in accordance with, or for the purposes of, any provision of this Chapter;
  - <sup>F228</sup>(h) .....
  - (i) considering a matter in exercise of that duty;
  - [<sup>F229</sup>(ia) preparing a report under section 124F;
  - (ib) carrying out an assessment, taking steps or providing a report under section 124G;]
  - [<sup>F230</sup>(ic) preparing a report under section 134A;
  - [<sup>F231</sup>(ica) preparing a report under section 134AA;]
  - (id) preparing a report under section 134C;]
  - [<sup>F232</sup>(ie) assessing the security of a public electronic communications network or a public electronic communications service;
  - (if) assessing the availability of a public electronic communications network;
  - (ig) identifying electronic communications apparatus that is suitable for shared use;]
  - (j) statistical purposes connected with the carrying out of any of OFCOM's functions under this Chapter.
- [<sup>F233</sup>(3A) The descriptions of information that a person may be required to provide under subsection (1) include, in particular—
- (a) information concerning future developments of an electronic communications network or electronic communications service that could have an impact on the wholesale services made available by the person to competitors, and
  - (b) if a market power determination made in relation to a wholesale market is in force in the person's case, accounting data relating to any retail market associated with the wholesale market.]
- (4) A person required to provide information under this section must provide it in such manner and within such reasonable period as may be specified by OFCOM.
- (5) The powers in this section are subject to the limitations in section 137.]

#### Textual Amendments

- F225** Words in s. 135 heading substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 85(3)**, 118(2)
- F226** S. 135(1) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 85(2)**, 118(2)
- F227** Words in s. 135(3)(f) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 1(8)**, 118(2)
- F228** S. 135(3)(h) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 79(a)(i)** (with Sch. 3 para. 2)
- F229** S. 135(3)(ia)(ib) inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), **ss. 16(2)**, 47(1)
- F230** S. 135(3)(ic)(id) inserted (8.6.2010) by [Digital Economy Act 2010 \(c. 24\)](#), **ss. 1(2)**, 47(1)
- F231** S. 135(3)(ica) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 82(4)**, 118(2)
- F232** S. 135(3)(ie)-(ig) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 79(a)(ii)** (with Sch. 3 para. 2)
- F233** S. 135(3A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 79(b)** (with Sch. 3 para. 2)

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#### Commencement Information

**I202** S. 135 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I203** S. 135 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

### 136 Information required for related purposes

- (1) OFCOM may require—
- (a) a communications provider, or
  - (b) a person who makes associated facilities available to others,
- to provide OFCOM with all such information as they consider necessary for the purpose [ <sup>F234</sup> of carrying out comparative overviews under section 134D ] .

<sup>F235</sup>(2) .....

- (3) OFCOM may also require—
- (a) a communications provider, or
  - (b) a person who makes associated facilities available to others,
- to provide them, for use for such statistical purposes as they think fit, with information relating to any electronic communications network, electronic communications service or associated facilities.
- (4) A person required to provide information under this section must provide it in such manner and within such reasonable period as may be specified by OFCOM.
- (5) The powers in this section are subject to the limitations in section 137.

#### Textual Amendments

**F234** Words in [s. 136\(1\)](#) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 83\(3\)\(a\)](#), 118(2)

**F235** [S. 136\(2\)](#) omitted (27.6.2017) by virtue of [Digital Economy Act 2017 \(c. 30\)](#), [ss. 83\(3\)\(b\)](#), 118(2)

#### Commencement Information

**I204** S. 136 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I205** S. 136 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

### 137 Restrictions on imposing information requirements

- (1) This section limits the purposes for which, and manner in which, information may be required under sections 135 and 136.
- (2) OFCOM are not to require the provision of information for the purpose of ascertaining whether a contravention of a general condition has occurred, or is occurring, unless—
- (a) the requirement is imposed for the purpose of investigating a matter about which OFCOM have received a complaint;
  - (b) the requirement is imposed for the purposes of an investigation that OFCOM have decided to carry out into whether or not the general condition in question has been complied with;

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- (c) the condition in question is one which OFCOM have reason to suspect is one that has been or is being contravened; <sup>F236</sup>...
  - (d) the condition in question is one falling within section 51(1)(d) <sup>F237</sup>; or
  - (e) the condition in question is one relating to the effective and efficient use of telephone numbers.]
- <sup>F238</sup>(2A) OFCOM are not to require the provision of information for a purpose specified in section 135(3)(ie) or (if) unless—
- (a) the requirement is imposed for the purpose of investigating a matter about which OFCOM have received a complaint;
  - (b) the requirement is imposed for the purposes of an investigation that OFCOM have decided to carry out into whether or not an obligation under section 105A has been complied with; or
  - (c) OFCOM have reason to suspect that an obligation under section 105A has been or is being contravened.]
- (3) OFCOM are not to require the provision of information under section 135 or 136 except—
- (a) by a demand for the information that describes the required information and sets out OFCOM’s reasons for requiring it; and
  - (b) where the making of a demand for the information is proportionate to the use to which the information is to be put in the carrying out of OFCOM’s functions.
- (4) The reasons for requiring information for statistical purposes under section 135 or 136 must set out the statistical purposes for which the information is required.
- (5) Except in the case of a demand made in the manner authorised by subsection (6), a demand for information required under section 135 or 136 must be contained in a notice served on the person from whom the information is required.
- (6) In the case of information required by OFCOM for the purpose of ascertaining who is liable to charges under section 38, the demand may—
- (a) be made by being published in such manner as OFCOM consider appropriate for bringing it to the attention of the persons who are described in the demand as the persons from whom the information is required; and
  - (b) take the form of a general demand for a person so described to provide information when specified conditions relevant to his liability to such charges are satisfied in his case.

#### Textual Amendments

**F236** Word in s. 137(2)(c) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 80\(a\)\(i\)](#) (with Sch. 3 para. 2)

**F237** S. 137(2)(e) and word inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 80\(a\)\(ii\)](#) (with Sch. 3 para. 2)

**F238** S. 137(2A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 80\(b\)](#) (with Sch. 3 para. 2)

#### Commencement Information

**I206** S. 137 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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**I207** S. 137 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with **art. 11**)

**[<sup>F239</sup>137A] Information required from communications providers**

- (1) OFCOM may require a communications provider—
  - (a) to publish any information held by the provider, or
  - (b) to provide any such information to OFCOM for publication by OFCOM.
- (2) The information that OFCOM may require the communications provider to publish or provide under subsection (1) includes information that OFCOM require the provider to produce, generate or obtain for that purpose.
- (3) For that purpose OFCOM may, in particular, require the communications provider—
  - (a) to collect or retain any information that the provider would not otherwise collect or retain,
  - (b) to process, collate or analyse any information held by the provider, or
  - (c) to answer any questions.
- (4) The power conferred by this section may be exercised only—
  - (a) in connection with OFCOM's functions—
    - (i) under Part 1, so far as relating to electronic communications, or
    - (ii) under this Chapter, and
  - (b) in such a way as is proportionate to the use to which the information is to be put in connection with those functions.
- (5) The power conferred by this section is to be exercised by a demand, contained in a notice served on the communications provider, that—
  - (a) describes the information required to be published or provided, and
  - (b) sets out OFCOM's reasons for requiring it to be published or provided.
- (6) Before serving the notice on the communications provider, OFCOM must—
  - (a) serve a draft of the notice on the provider and inform the provider of the period for making representations, and
  - (b) consider any representations made by the provider within that period which—
    - (i) identify restrictions on the disclosure or publication of information that would or might prevent the provider from complying with the notice, or
    - (ii) otherwise relate to the practicability of complying with it.
- (7) The communications provider must publish or provide the information required by the notice in such manner and form, in accordance with such other requirements, and within such reasonable period, as may be specified by OFCOM.
- (8) Where OFCOM publish anything provided to them pursuant to subsection (1)(b) they must do so in such manner and form as they consider appropriate.

**Textual Amendments**

**F239** Ss. 137A, 137B inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 86(2)**, 118(2)



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### 137B Section 137A: confidential matters

- (1) In exercising functions under section 137A, OFCOM must have regard to the need to exclude from publication, so far as that is practicable, the matters which are confidential in accordance with subsections (2) and (3).
- (2) A matter is confidential under this subsection if—
  - (a) it relates specifically to the affairs of a particular body, and
  - (b) publication of that matter would or might, in OFCOM's opinion, seriously and prejudicially affect the interests of that body.
- (3) A matter is confidential under this subsection if—
  - (a) it relates to the private affairs of an individual, and
  - (b) publication of that matter would or might, in OFCOM's opinion, seriously and prejudicially affect the interests of that individual.]

#### Textual Amendments

**F239** Ss. 137A, 137B inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), ss. **86(2)**, 118(2)

### 138 Notification of contravention of information requirements

- (1) Where OFCOM determine that there are reasonable grounds for believing that a person is contravening, or has contravened, a requirement imposed under section [F240 135, 136 or 137A], they may give that person a notification under this section.
- (2) A notification under this section is one which—
  - (a) sets out the determination made by OFCOM;
  - (b) specifies the requirement and contravention in respect of which that determination has been made; F241 ...
  - [F242(c) specifies the period during which the person notified has an opportunity to make representations;
  - [F243(d) specifies what the person must do in order to comply with the requirement;]
  - (e) specifies any penalty which OFCOM are minded to impose in accordance with section 139; and
  - (f) where the contravention is serious, specifies any direction which OFCOM are minded to give under section 140.]
- F244(3) .....
- F244(4) .....
- F244(5) .....
- F244(6) .....
- F244(7) .....
- (8) A notification under this section—
  - (a) may be given in respect of more than one contravention; and
  - (b) if it is given in respect of a continuing contravention, may be given in respect of any period during which the contravention has continued.

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- (9) Where a notification under this section has been given to a person in respect of a contravention of a requirement, OFCOM may give a further notification in respect of the same contravention of that requirement if, and only if—
- (a) the contravention is one occurring after the time of the giving of the earlier notification;
  - (b) the contravention is a continuing contravention and the subsequent notification is in respect of so much of a period as falls after a period to which the earlier notification relates; or
  - (c) the earlier notification has been withdrawn without a penalty having been imposed in respect of the notified contravention.

[<sup>F245</sup>(9A) OFCOM may not give a person a notification under this section in respect of a contravention of a requirement imposed under section 135(3)(ig) if the information required was previously provided by the person to OFCOM within the period of 6 months ending with the day on which the requirement was imposed.]

<sup>F246</sup>(10) . . . . .

**Textual Amendments**

- F240** Words in s. 138(1) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 86(3)(a)**, 118(2)
- F241** Word in s. 138(2)(b) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 81(a)** (with Sch. 3 paras. 2, 18)
- F242** S. 138(2)(c)-(f) substituted for s. 138(2)(c) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 81(a)** (with Sch. 3 paras. 2, 18)
- F243** S. 138(2)(d) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 86(3)(b)**, 118(2)
- F244** S. 138(3)-(7) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 81(b)** (with Sch. 3 paras. 2, 18)
- F245** S. 138(9A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 81(c)** (with Sch. 3 paras. 2, 18)
- F246** S. 138(10) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 81(b)** (with Sch. 3 paras. 2, 18)

**Modifications etc. (not altering text)**

- C4** Ss. 138-139A applied (with modifications) (31.7.2016) by [The Communications \(Access to Infrastructure\) Regulations 2016 \(S.I. 2016/700\)](#), regs. 1, **17(6)**, (7)

**Commencement Information**

- I208** S. 138 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I209** S. 138 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

**139 Penalties for contravention of information requirements**

[<sup>F247</sup>(1) This section applies where a person is given a notification under section 138 which specifies a proposed penalty.]

(2) OFCOM may [<sup>F248</sup>specify a penalty] if—

- <sup>F249</sup>(a) . . . . .
- <sup>F249</sup>(b) . . . . .

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (c) no proceedings for an offence under section 144 have been brought against the notified person in respect of the contravention.
- (3) Where a notification under section 138 relates to more than one contravention, a separate penalty may be [<sup>F250</sup>specified] in respect of each contravention.
- (4) Where such a notification relates to a continuing contravention, no more than one penalty may be [<sup>F251</sup>specified] in respect of the period of contravention specified in the notification.
- [<sup>F252</sup>(4A) But, in relation to a continuing contravention, a penalty may be specified in respect of each day on which the contravention continues after—
  - (a) the giving of a confirmation decision under section 139A(4)(c) which requires immediate action; or
  - (b) the expiry of any period specified in the confirmation decision for complying with a requirement so specified.
- (4B) The amount of a penalty under subsection (4A) is to be such amount not exceeding £500 per day as OFCOM determine to be—
  - (a) appropriate; and
  - (b) proportionate to the contravention in respect of which it is imposed.]
  - (5) The amount of [<sup>F253</sup>any other penalty notified] under this section is to be such amount not exceeding [<sup>F254</sup>£2,000,000] as OFCOM determine to be both—
    - (a) appropriate; and
    - (b) proportionate to the contravention in respect of which it is imposed.
  - <sup>F255</sup>(6) .....
  - <sup>F255</sup>(7) .....
  - <sup>F255</sup>(8) .....
  - (9) The Secretary of State may by order amend this section so as to substitute a different maximum penalty for the maximum penalty for the time being specified in subsection (5).
  - (10) No order is to be made containing provision authorised by subsection (9) unless a draft of the order has been laid before Parliament and approved by a resolution of each House.

#### Textual Amendments

- F247** S. 139(1) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 82(a)** (with Sch. 3 paras. 2, 18)
- F248** Words in s. 139(2) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 82(b)** (with Sch. 3 paras. 2, 18)
- F249** S. 139(2)(a)(b) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 82(c)** (with Sch. 3 paras. 2, 18)
- F250** Word in s. 139(3) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 82(d)** (with Sch. 3 paras. 2, 18)
- F251** Word in s. 139(4) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 82(d)** (with Sch. 3 paras. 2, 18)
- F252** S. 139(4A)(4B) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 82(e)** (with Sch. 3 paras. 2, 18)

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- F253** Words in s. 139(5) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 82(f)** (with Sch. 3 paras. 2, 18)
- F254** Word in s. 139(5) substituted (13.7.2011) by [The Communications Act 2003 \(Maximum Penalty for Contravention of Information Requirements\) Order 2011 \(S.I. 2011/1773\)](#), arts. 1, **2(1)** (with art. 2(2))
- F255** S. 139(6)-(8) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 82(g)** (with Sch. 3 paras. 2, 18)

**Modifications etc. (not altering text)**

- C4** Ss. 138-139A applied (with modifications) (31.7.2016) by [The Communications \(Access to Infrastructure\) Regulations 2016 \(S.I. 2016/700\)](#), regs. 1, **17(6)**, (7)

**Commencement Information**

- I210** S. 139 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I211** S. 139 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

**[<sup>F256</sup>139A] Enforcement of notification under section 138**

- (1) This section applies where—
- (a) a person has been given a notification under section 138;
  - (b) OFCOM have allowed the person an opportunity to make representations about the matters notified; and
  - (c) the period allowed for the making of representations has expired.
- (2) OFCOM may—
- (a) give the person a decision (a “confirmation decision”) confirming the imposition of requirements on the person, or the giving of a direction to the person, or both, in accordance with the notification under section 138; or
  - (b) inform the person that they are satisfied with the person’s representations and that no further action will be taken.
- (3) OFCOM may not give a confirmation decision to a person unless, after considering any representations, they are satisfied that the person has, in one or more of the respects notified, been in contravention of a requirement notified under section 138.
- (4) A confirmation decision—
- (a) must be given to the person without delay;
  - (b) must include reasons for the decision;
  - (c) may require immediate action by the person to comply with a requirement notified under section 138(2)(d), or may specify a period within which the person must comply with the requirement; and
  - (d) may require the person to pay—
    - (i) the penalty specified in the notification under section 138, or
    - (ii) such lesser penalty as OFCOM consider appropriate in the light of the person’s representations or steps taken by the person to comply with the condition or remedy the consequences of the contravention,
 and may specify the period within which the penalty is to be paid.
- (5) It is the duty of the person to comply with any requirement imposed by a confirmation decision.
- (6) That duty is enforceable in civil proceedings by OFCOM—

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- (a) for an injunction;
  - (b) for specific performance of a statutory duty under section 45 of the Court of Session Act 1988; or
  - (c) for any other appropriate remedy or relief.
- (7) A penalty imposed by a confirmation decision—
- (a) must be paid to OFCOM; and
  - (b) if not paid within the period specified by them, is to be recoverable by them accordingly.

#### Textual Amendments

**F256** Ss. 139A-139C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 83** (with Sch. 3 paras. 2, 18)

#### Modifications etc. (not altering text)

**C4** Ss. 138-139A applied (with modifications) (31.7.2016) by [The Communications \(Access to Infrastructure\) Regulations 2016 \(S.I. 2016/700\)](#), regs. 1, **17(6)**, (7)

### 139B. Power to deal with urgent cases

- (1) This section applies where OFCOM determine—
- (a) that they are entitled to give a notification under section 138 with respect to a contravention by a person (“P”) of a requirement imposed under section 135 or 136;
  - (b) that there are reasonable grounds for suspecting that the case is an urgent case; and
  - (c) that the urgency of the case makes it appropriate for OFCOM to take action under this section.
- (2) A case is an urgent case for the purposes of this section if the contravention has resulted in, or creates an immediate risk of—
- (a) a serious threat to the safety of the public, to public health or to national security;
  - (b) serious economic or operational problems for persons (other than P) who are communications providers or persons who make associated facilities available; or
  - (c) serious economic or operational problems for persons who make use of electronic communications networks, electronic communications services or associated facilities.
- (3) OFCOM may give P a direction—
- (a) that the entitlement of P to provide electronic communications networks or electronic communications services, or to make associated facilities available, is suspended (either generally or in relation to particular networks, services or facilities); or
  - (b) that that entitlement is restricted in the respects set out in the direction.
- (4) Subsections (3) to (6) of section 140 apply in relation to a direction under subsection (3) as they apply in relation to a direction under section 140.

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#### Textual Amendments

**F256** Ss. 139A-139C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 83** (with Sch. 3 paras. 2, 18)

### 139C. Confirmation of direction under section 139B

- (1) As soon as reasonably practicable after giving a direction under section 139B(3), OFCOM must give the person to whom it is given—
  - (a) an opportunity to make representations to them about the grounds on which it was given and its effect; and
  - (b) an opportunity to propose steps to remedy the situation.
- (2) As soon as practicable after the period allowed by OFCOM for making those representations has ended (and in any event within 3 months beginning with the day on which the direction was given), they must determine—
  - (a) whether the contravention providing the grounds for the giving of the direction did occur; and
  - (b) whether the circumstances made it an urgent case justifying the giving of the direction.
- (3) The period of 3 months mentioned in subsection (2) may be extended by up to 3 months if OFCOM—
  - (a) require additional time to consider representations received; or
  - (b) decide that it is necessary to obtain additional information from the person in order to make a determination under subsection (2).
- (4) If OFCOM decide that the contravention did occur and that the direction was justified, they may confirm the direction.
- (5) If not, they must exercise their power to revoke it.
- (6) As soon as reasonably practicable after deciding whether to confirm the direction, OFCOM must notify the person to whom it was given of their decision.]

#### Textual Amendments

**F256** Ss. 139A-139C inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 83** (with Sch. 3 paras. 2, 18)

### 140 Suspending service provision for information contraventions

- (1) OFCOM may give a direction under this section to a person who is a communications provider or who makes associated facilities available (“the contravening provider”) if they are satisfied—
  - (a) that he is or has been in [<sup>F257</sup>serious or repeated] contravention of requirements imposed under sections [<sup>F258</sup>135, 136 and 137A, or any] or either of them;
  - (b) the requirements are not requirements imposed for purposes connected with the carrying out of OFCOM’s functions in relation to SMP apparatus conditions;

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- (c) [<sup>F259</sup>in the case of a repeated contravention,] that an attempt, by the imposition of penalties [<sup>F260</sup>or the giving of notifications under section 138 and confirmation decisions under section 139A, or both,] or the bringing of proceedings for an offence under section 144, to secure compliance with the contravened requirements has failed; and
  - [<sup>F261</sup>(d) that the giving of the direction is appropriate and proportionate to the contravention in respect of which it is given.]
- (2) A direction under this section is—
- (a) a direction that the entitlement of the contravening provider to provide electronic communications networks or electronic communications services, or to make associated facilities available, is suspended (either generally or in relation to particular networks, services or facilities); or
  - (b) a direction that that entitlement is restricted in the respects set out in the direction.
- (3) A direction under this section—
- (a) must specify the networks, services and facilities to which it relates; and
  - (b) except so far as it otherwise provides, takes effect for an indefinite period beginning with the time at which it is notified to the person to whom it is given.
- (4) A direction under this section—
- (a) in providing for the effect of a suspension or restriction to be postponed, may provide for it to take effect only at a time determined by or in accordance with the terms of the direction; and
  - (b) in connection with the suspension or restriction contained in the direction or with the postponement of its effect, may impose such conditions on the contravening provider as appear to OFCOM to be appropriate for the purpose of protecting that provider's customers.
- (5) Those conditions may include a condition requiring the making of payments—
- (a) by way of compensation for loss or damage suffered by the contravening provider's customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.
- (6) If OFCOM consider it appropriate to do so (whether or not in consequence of any representations or proposals made to them), they may revoke a direction under this section or modify its conditions—
- (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such networks, services or facilities, or parts of a network, service or facility, as they may determine.
- (7) For the purposes of this section there are repeated contraventions by a person of requirements imposed under sections [<sup>F262</sup>135, 136 and 137A, or any] of them, to the extent that—
- [<sup>F263</sup>(a) in the case of a previous notification of a contravention given to that person under section 138, OFCOM have given a confirmation decision to that person under section 139A(2) in respect of the contravention; and

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- (b) in the period of 24 months following the giving of that confirmation decision, one or more further confirmation decisions have been given to the person in respect of contraventions of numbering conditions;]

and for the purposes of this subsection it shall be immaterial whether the notifications related to the same contravention or to different contraventions of the same or different requirements or of requirements under different sections.

#### Textual Amendments

- F257** Words in s. 140(1)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 84(a)(i)** (with Sch. 3 paras. 2, 18, 19)
- F258** Words in s. 140(1)(a) substituted (27.6.2017) by virtue of [Digital Economy Act 2017 \(c. 30\)](#), **ss. 86(4), 118(2)** (with s. 9(13))
- F259** Words in s. 140(1)(c) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 84(a)(ii)** (with Sch. 3 paras. 2, 18, 19)
- F260** Words in s. 140(1)(c) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 84(a)(ii)** (with Sch. 3 paras. 2, 18, 19)
- F261** S. 140(1)(d) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 84(a)(iii)** (with Sch. 3 paras. 2, 18, 19)
- F262** Words in s. 140(7) substituted (27.6.2017) by virtue of [Digital Economy Act 2017 \(c. 30\)](#), **ss. 86(4), 118(2)** (with s. 9(13))
- F263** S. 140(7)(a)(b) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 84(b)** (with Sch. 3 paras. 2, 18, 19)

#### Commencement Information

- I212** S. 140 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I213** S. 140 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 141 Suspending apparatus supply for information contraventions

- (1) OFCOM may give a direction under this section to a person who supplies electronic communications apparatus (“the contravening supplier”) if they are satisfied—
- (a) that he is or has been in serious and repeated contravention of requirements imposed under section 135;
  - (b) that an attempt, by the imposition of penalties under section 139 or the bringing of proceedings for an offence under section 144, to secure compliance with the contravened requirements has failed; and
  - (c) that the giving of the direction is appropriate and proportionate to the seriousness (when repeated as they have been) of the contraventions.
- (2) A direction under this section is—
- (a) a direction to the contravening supplier to cease to act as a supplier of electronic communications apparatus (either generally or in relation to apparatus of a particular description); or
  - (b) a direction imposing such restrictions as may be set out in the direction on the supply by that supplier of electronic communications apparatus (either generally or in relation to apparatus of a particular description).



*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (3) A direction under this section takes effect, except so far as it otherwise provides, for an indefinite period beginning with the time at which it is notified to the person to whom it is given.
- (4) A direction under this section—
  - (a) may provide for a prohibition or restriction to take effect only at a time determined by or in accordance with the terms of the direction; and
  - (b) in connection with a prohibition or restriction contained in the direction or with the postponement of its effect, may impose such conditions on the contravening supplier as appear to OFCOM to be appropriate for the purpose of protecting that supplier's customers.
- (5) Those conditions may include a condition requiring the making of payments—
  - (a) by way of compensation for loss or damage suffered by the contravening supplier's customers as a result of the direction; or
  - (b) in respect of annoyance, inconvenience or anxiety to which they have been put in consequence of the direction.
- (6) If OFCOM consider it appropriate to do so (whether or not in consequence of representations or proposals made to them), they may revoke a direction under this section or modify its conditions—
  - (a) with effect from such time as they may direct;
  - (b) subject to compliance with such requirements as they may specify; and
  - (c) to such extent and in relation to such apparatus or descriptions of apparatus as they may determine.
- (7) For the purposes of this section contraventions by a person of requirements imposed under section 135 are repeated contraventions if—
  - (a) in the case of a previous notification given to that person under section 138, OFCOM have determined for the purposes of section 139(2) that such a contravention did occur; and
  - (b) in the period of twelve months following the day of the making of that determination, one or more further notifications have been given to that person in respect of contraventions of such requirements;and for the purposes of this subsection it shall be immaterial whether the notifications related to the same contravention or to different contraventions of the same or different requirements.

#### Commencement Information

**I214** S. 141 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I215** S. 141 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

#### 142 Procedure for directions under ss. 140 and 141

- (1) Except in an urgent case, [<sup>F264</sup> or a case where a proposed direction has been notified to a person in accordance with section 138(2)(f)] OFCOM are not to give a direction under section 140 or 141 unless they have—

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

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- (a) notified the contravening provider or contravening supplier of the proposed direction and of the conditions (if any) which they are proposing to impose by that direction;
- (b) provided him with an opportunity of making representations about the proposals and of proposing steps for remedying the situation; and
- (c) considered every representation and proposal made to them during the period allowed by them for the contravening provider or the contravening supplier to take advantage of that opportunity.

[<sup>F265</sup>(2A) That period must be—

- (a) in relation to a direction under section 140, such reasonable period as OFCOM may determine, and
- (b) in relation to a direction under section 141, a period ending not less than one month after the day of the giving of the notification.]

(3) As soon as practicable after giving a direction under section 140 or 141 in an urgent case, OFCOM must provide the contravening provider or contravening supplier with an opportunity of—

- (a) making representations about the effect of the direction and of any of its conditions; and
- (b) proposing steps for remedying the situation.

[<sup>F266</sup>(3A) In relation to a direction under section 140 in an urgent case, as soon as practicable after the period allowed by OFCOM for making those representations has ended (and in any event within 3 months beginning with the day on which the direction was given), they must determine—

- (a) whether the contravention providing the grounds for the giving of the direction did occur; and
- (b) whether the circumstances made it an urgent case justifying the giving of the direction.

(3B) The period of 3 months mentioned in subsection (3A) may be extended by up to 3 months if OFCOM—

- (a) require additional time to consider representations received; or
- (b) decide that it is necessary to obtain additional information from the person in order to make a determination under subsection (3A).]

(4) A case is an urgent case for the purposes of this section if OFCOM—

- (a) consider that it would be inappropriate, because the contraventions in question fall within subsection (5), to allow time, before giving a direction under section 140 or 141, for the making and consideration of representations; and
- (b) decide for that reason to act in accordance with subsection (3), instead of subsection (1).

(5) The contraventions fall within this subsection if they have resulted in, or create an immediate risk of—

- (a) a serious threat to the safety of the public, to public health or to national security;
- (b) serious economic or operational problems for persons (apart from the contravening provider or contravening supplier) who are communications providers or persons who make associated facilities available; or

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- (c) serious economic or operational problems for persons who make use of electronic communications networks, electronic communications services or associated facilities.
- (6) In this section—  
“contravening provider” has the same meaning as in section 140; and  
“contravening supplier” has the same meaning as in section 141.

#### Textual Amendments

- F264** Words in s. 142(1) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 85(a)** (with Sch. 3 paras. 2, 18, 19)
- F265** S. 142(2A) substituted for s. 142(2) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 85(b)** (with Sch. 3 paras. 2, 18, 19)
- F266** S. 142(3A)(3B) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 85(c)** (with Sch. 3 paras. 2, 18, 19)

#### Commencement Information

- I216** S. 142 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I217** S. 142 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 143 Enforcement of directions under ss. 140 and 141

- (1) A person is guilty of an offence if he provides an electronic communications network or electronic communications service, or makes available any associated facility—  
(a) while his entitlement to do so is suspended by a direction under section 140; or  
(b) in contravention of a restriction contained in such a direction.
- (2) A person is guilty of an offence if he supplies electronic communications apparatus—  
(a) while prohibited from doing so by a direction under section 141; or  
(b) in contravention of a restriction contained in such a direction.
- (3) A person guilty of an offence under this section shall be liable—  
(a) on summary conviction, to a fine not exceeding the statutory maximum;  
(b) on conviction on indictment, to a fine.
- [<sup>F267</sup>(4) Sections 96A to 99 apply in relation to a contravention of conditions imposed by a direction under section 139B or 140 as they apply in relation to a contravention of conditions set under section 45, other than SMP apparatus conditions.
- (5) Sections 94 to 96 and 97 to 99 apply in relation to a contravention of conditions imposed by a direction under section 141 as they apply in relation to a contravention of SMP apparatus conditions.]

#### Textual Amendments

- F267** S. 143(4)(5) substituted for s. 143(4) (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 86** (with Sch. 3 paras. 2, 18, 19)

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

### Commencement Information

**I218** S. 143 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I219** S. 143 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

## 144 Offences in connection with information requirements

- (1) A person who fails to provide information in accordance with a requirement of OFCOM under section 135 or 136 [<sup>F268</sup>, or who contravenes a requirement imposed under section 137A,] is guilty of an offence and shall be liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.
- (2) In proceedings against a person for an offence under subsection (1) it shall be a defence for that person to show—
  - (a) that it was not reasonably practicable for him to comply with the requirement within the period specified by OFCOM; but
  - (b) that he has taken all reasonable steps to [<sup>F269</sup>comply with the requirement] after the end of that period.
- (3) A person is guilty of an offence if—
  - (a) in pursuance of any requirement under section [<sup>F270</sup>135, 136 or 137A], he provides [<sup>F271</sup>or publishes] any information that is false in any material particular; and
  - (b) at the time he provides [<sup>F271</sup>or publishes] it, he either knows it to be false or is reckless as to whether or not it is false.
- (4) A person guilty of an offence under subsection (3) shall be liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding two years or to a fine, or to both.
- (5) Proceedings for an offence under subsection (1) may be brought in respect of a contravention by a person of a requirement imposed under section 135 or 136 only if—
  - (a) OFCOM have given the person a notification under section 138 in respect of that contravention;
  - [<sup>F272</sup>(b) a confirmation decision has been given under section 139A in respect of that requirement and the period allowed under that decision has expired without the requirement have been complied with; and]
  - (c) OFCOM have not imposed a financial penalty under section 139 in respect of that contravention.

### Textual Amendments

**F268** Words in s. 144(1) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 86\(5\)\(a\)](#), 118(2)

**F269** Words in s. 144(2)(b) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 86\(5\)\(b\)](#), 118(2)

**F270** Words in s. 144(3) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 86\(5\)\(c\)\(i\)](#), 118(2)

**F271** Words in s. 144(3) inserted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 86\(5\)\(c\)\(ii\)](#), 118(2)

**F272** S. 144(5)(b) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 86\(5\)\(d\)](#), 118(2)

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

#### Commencement Information

- I220** S. 144 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I221** S. 144 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### 145 Statement of policy on information gathering

- (1) It shall be the duty of OFCOM to prepare and publish a statement of their general policy with respect to—
- the exercise of their powers under sections [<sup>F273</sup> 135, 136 and 137A ] ; and
  - the uses to which they are proposing to put information obtained under [<sup>F274</sup> sections 135 and 136 ] .
- (2) OFCOM may from time to time revise that statement as they think fit.
- (3) Where OFCOM make or revise their statement of policy under this section, they must publish that statement or (as the case may be) the revised statement in such manner as they consider appropriate for bringing it to the attention of the persons who, in their opinion, are likely to be affected by it.
- (4) It shall be the duty of OFCOM, in exercising the powers conferred on them by sections 135 to 144 to have regard to the statement for the time being in force under this section.

#### Textual Amendments

- F273** Words in s. 145(1)(a) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 86\(6\)\(a\)](#), 118(2)
- F274** Words in s. 145(1)(b) substituted (27.6.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 86\(6\)\(b\)](#), 118(2)

#### Commencement Information

- I222** S. 145 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I223** S. 145 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### 146 Provision of information by OFCOM

- (1) OFCOM must comply with a request made by a person for the purposes of this section—
- to notify the person whether or not a notification is required to be submitted by him under section 33;
  - to notify the person whether a notification submitted by him for the purposes of that section satisfies the requirements of this Chapter;
  - to provide the person with such information about his rights as may be necessary for the purpose of facilitating the negotiation by him of his right to network access; or
  - to provide the person with such information as they consider necessary to enable the applicant to apply for a direction under section 106 to be made in his case.

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (2) A request for the purposes of this section must be made in such manner as OFCOM may require.
- (3) OFCOM are not required to comply with a request under this section if (without having been asked to do so) they have already given that person the notification or information for which he is asking.
- (4) Any notification or information which under subsection (1) must be given or provided by OFCOM must be given or provided before the end of the period of one week beginning with the day on which the request for the notification or information was made to OFCOM.

#### Commencement Information

**I224** S. 146 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I225** S. 146 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))

#### [<sup>F275</sup>146] **Rights of third parties to use information without charge**

- (1) Any person has a right to use, free of charge, information published by a communications provider if the use is for the purpose specified in subsection (2).
- (2) That purpose is to sell or make available an interactive guide or other technique for evaluating the cost of alternative usage patterns in relation to electronic communications services.]

#### Textual Amendments

**F275** S. 146A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), [Sch. 1 para. 88](#) (with [Sch. 3 para. 2](#))

*Abolition of telecommunications licensing etc.*

#### 147 **Repeal of provisions of Telecommunications Act 1984**

The following provisions of the Telecommunications Act 1984 (c. 12) shall cease to have effect—

- (a) sections 5 to 8 (licensing provisions);
- (b) sections 9 to 11 (public telecommunications systems);
- (c) sections 12 to 15 (modification of licences);
- (d) sections 16 to 19 (enforcement of licences); and
- (e) sections 27A to 27L (standards of performance of designated public telecommunications operators).

#### Commencement Information

**I226** S. 147 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

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*Status:* Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
*Changes to legislation:* Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

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**I227** S. 147 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with [art. 11](#))

### *Local authority powers in relation to networks and services*

#### **148 Powers of local authorities in connection with networks**

- (1) A local authority may borrow money for the purpose of providing a public electronic communications network or public electronic communications service.
- (2) A local authority may—
  - (a) provide a public electronic communications network part of which is outside their area; and
  - (b) provide a public electronic communications service even if some of the persons to whom they provide the service are outside their area.
- (3) In this section, a “local authority” means—
  - (a) in relation to England, a London borough council or a district council;
  - (b) in relation to Wales, a county council or a county borough council;
  - (c) in Scotland, a council constituted under section 2 of the Local Government etc. (Scotland) Act 1994 (c. 39).

#### **Commencement Information**

- I228** S. 148 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), **arts. 1(2), 2(1), Sch. 1** (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), **art. 1(3)**)
- I229** S. 148 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with [art. 11](#))

### *Grants for networks and services in Northern Ireland*

#### **149 Grants by Department of Enterprise, Trade and Investment**

- (1) The Department of Enterprise, Trade and Investment may, in accordance with this section, make payments to persons engaged in, or in commercial activities connected with—
  - (a) the provision of electronic communications networks and electronic communications services in Northern Ireland; or
  - (b) improving the extent, quality and reliability of such networks or services.
- (2) A payment shall not be made under this section unless in the opinion of the Department of Enterprise, Trade and Investment—
  - (a) the making of the payment is likely to achieve—
    - (i) one or more of the purposes set out in subsection (1); and
    - (ii) any other purposes prescribed by regulations made by that Department with the approval of the Department of Finance and Personnel; and
  - (b) the amount of the payment is reasonable having regard to all the circumstances.
- (3) Payments under this section shall—

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*

*Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (a) be of such amounts, and
  - (b) be made subject to such conditions (including conditions as to repayment),
- as the Department of Enterprise, Trade and Investment may determine.

(4) This section extends only to Northern Ireland.

#### **Commencement Information**

**I230** S. 149 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I231** S. 149 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### **150 Grants by district councils**

(1) This section applies where a district council in Northern Ireland consider that it would be for the benefit of their area—

- (a) for a public electronic communications network or electronic communications service to be provided by a particular person;
- (b) for facilities to be made available by a particular person for the purposes of, or in connection with, the provision of such a network or service; or
- (c) for such a network or service that is being provided by a particular person, or for any such facilities that are being so made available by a particular person, to continue to be provided or made available.

(2) The district council may—

- (a) undertake to pay to that person, and
- (b) pay him,

whatever sums they think appropriate for, or towards, compensating him for losses sustained in the provision of the network or service or in making the facilities available.

(3) For the purposes of this section it is immaterial—

- (a) in the case of a network, whether any part of the network is situated in the council's area; and
- (b) in the case of a service or facility, whether any of the persons to whom the service or facility is provided or made available are in that area.

#### **Commencement Information**

**I232** S. 150 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I233** S. 150 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### *Interpretation of Chapter 1*

#### **[<sup>F276</sup>150A] Proposals of EU significance**

(1) This section applies in relation to a proposal—

- (a) to set, modify or revoke a condition under section 45;
- (b) to give a direction, approval or consent for the purposes of such a condition;



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- (c) to modify or withdraw such a direction, approval or consent;
  - (d) to identify a market for the purposes of making or reviewing a market power determination; or
  - (e) to make or review a market power determination.
- (2) The proposal is of EU significance for the purposes of this Chapter if—
- (a) paragraph (3) of Article 7 of the Framework Directive applies, or would apply but for paragraph (9) of that Article, in relation to it;
  - (b) (in a case within paragraph (a), (b) or (c) of subsection (1)) the condition is an access-related condition falling within section 73(2) or an SMP services condition;
  - (c) (in a case within paragraph (d) or (e) of subsection (1)) the market in question is a services market; and
  - (d) in OFCOM’s opinion it would affect trade between member States.]

#### Textual Amendments

**F276** S. 150A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 89** (with Sch. 3 para. 2)

## 151 Interpretation of Chapter 1

(1) In this Chapter—

“the Access Directive” means Directive [2002/19/EC](#) of the European Parliament and of the Council on access to, and interconnection of, electronic communications networks and associated facilities [<sup>F277</sup>, as amended by Directive [2009/140/EC](#) of the European Parliament and of the Council] ;

“access-related condition” means a condition set as an access-related condition under section 45;

“allocation” and “adoption”, in relation to telephone numbers, and cognate expressions, are to be construed in accordance with section 56;

“apparatus market”, in relation to a market power determination, is to be construed in accordance with section 46(9)(b);

“designated universal service provider” means a person who is for the time being designated in accordance with regulations under section 66 as a person to whom universal service conditions are applicable;

“electronic communications apparatus”—

- (a) in relation to SMP apparatus conditions and in section 141, means apparatus that is designed or adapted for a use which consists of or includes the sending or receiving of communications or other signals (within the meaning of section 32) that are transmitted by means of an electronic communications network; and
- (b) in all other contexts, has the same meaning as in the electronic communications code;

“the electronic communications code” has the meaning given by section 106(1);

“end-user”, in relation to a public electronic communications service, means—

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- (a) a person who, otherwise than as a communications provider, is a customer of the provider of that service;
- (b) a person who makes use of the service otherwise than as a communications provider; or
- (c) a person who may be authorised, by a person falling within paragraph (a), so to make use of the service;

“the Framework Directive” means Directive [2002/21/EC](#) of the European Parliament and of the Council on a common regulatory framework for electronic communications networks and services <sup>[<sup>F278</sup>]</sup>, as amended by Directive [2009/140/EC](#) of the European Parliament and of the Council];

“general condition” means a condition set as a general condition under section 45;

“interconnection” is to be construed in accordance with subsection (2);

“market power determination” means—

- (a) a determination, for the purposes of provisions of this Chapter, that a person has significant market power in an identified services market or an identified apparatus market, or
- (b) a confirmation for such purposes of a market power determination reviewed on a further analysis under section 84 or 85;

“misuse”, in relation to an electronic communications network or electronic communications service, is to be construed in accordance with section 128(5) and (8), and cognate expressions are to be construed accordingly;

“network access” is to be construed in accordance with subsection (3);

“persistent” and “persistently”, in relation to misuse of an electronic communications network or electronic communications service, are to be construed in accordance with section 128(6) and (7);

“premium rate service” is to be construed in accordance with section 120(7);

“privileged supplier condition” means a condition set as a privileged supplier condition under section 45;

“provider”, in relation to a premium rate service, is to be construed in accordance with section 120(9) to (12), and cognate expressions are to be construed accordingly;

“public communications provider” means—

- (a) a provider of a public electronic communications network;
- (b) a provider of a public electronic communications service; or
- (c) a person who makes available facilities that are associated facilities by reference to a public electronic communications network or a public electronic communications service;

“public electronic communications network” means an electronic communications network provided wholly or mainly for the purpose of making electronic communications services available to members of the public;

“public electronic communications service” means any electronic communications service that is provided so as to be available for use by members of the public;

“regulatory authorities” is to be construed in accordance with subsection (5);

“relevant international standards” means—

- (a) any standards or specifications from time to time drawn up and published in accordance with Article 17 of the Framework Directive;
- (b) the standards and specifications from time to time adopted by—

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- (i) the European Committee for Standardisation,
  - (ii) the European Committee for Electrotechnical Standardisation; or
  - (iii) the European Telecommunications Standards Institute; and
- (c) the international standards and recommendations from time to time adopted by—
- (i) the International Telecommunication Union;
  - (ii) the International Organisation for Standardisation; or
  - (iii) the International Electrotechnical Committee;

“service interoperability” means interoperability between different electronic communications services;

“services market”, in relation to a market power determination or market identification, is to be construed in accordance with section 46(8)(a);

“significant market power” is to be construed in accordance with section 78;

“SMP condition” means a condition set as an SMP condition under section 45, and “SMP services condition” and “SMP apparatus condition” are to be construed in accordance with subsections (8) and (9) of that section respectively;

“telephone number” has the meaning given by section 56(5);

“the Universal Service Directive” means Directive [2002/22/EC](#) of the European Parliament and of the Council on universal service and users' rights relating to electronic communications networks and services [<sup>F279</sup>], as amended by Directive [2009/136/EC](#) of the European Parliament and of the Council ;

“universal service condition” means a condition set as a universal service condition under section 45;

“the universal service order” means the order for the time being in force under section 65.

- (2) In this Chapter references to interconnection are references to the linking (whether directly or indirectly by physical or logical means, or by a combination of physical and logical means) of one public electronic communications network to another for the purpose of enabling the persons using one of them to be able—
- (a) to communicate with users of the other one; or
  - (b) to make use of services provided by means of the other one (whether by the provider of that network or by another person).
- (3) In this Chapter references to network access are references to—
- (a) interconnection of public electronic communications networks; or
  - (b) any services, facilities or arrangements which—
    - (i) are not comprised in interconnection; but
    - (ii) are services, facilities or arrangements by means of which [<sup>F280</sup>a person] is able, for the purposes of the provision of an electronic communications service (whether by him or by another), to make use of anything mentioned in subsection (4);
- and references to providing network access include references to providing any such services, making available any such facilities or entering into any such arrangements.
- (4) The things referred to in subsection (3)(b) are—
- (a) any electronic communications network or electronic communications service provided by another communications provider;

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- (b) any apparatus comprised in such a network or used for the purposes of such a network or service;
  - [<sup>F281</sup>(ba) any electronic communications apparatus;]
  - (c) any facilities made available by another that are associated facilities by reference to any network or service (whether one provided by that provider or by another);
  - (d) any other services or facilities which are provided or made available by another person and are capable of being used for the provision of an electronic communications service.
- [<sup>F282</sup>(4A) In subsections (3)(b)(ii) and (4)(d), the references to an electronic communications service include the conveyance by means of an electronic communications network of signals, including an information society service or content service so conveyed.
- (4B) In subsection (4A)—
- “content service” has the meaning given by section 32(7), and
  - “information society service” has the meaning given by Article 2(a) of Directive 2000/31/EC of the European Parliament and of the Council of 8 June 2000 on certain legal aspects of information society services, in particular electronic commerce, in the Internal Market.]
- (5) References in this Chapter to the regulatory authorities of member States are references to such of the authorities of the member States as have been notified to the European Commission as the regulatory authorities of those States for the purposes of the Framework Directive.
  - (6) For the purposes of this Chapter, where there is a contravention of an obligation that requires a person to do anything within a particular period or before a particular time, that contravention shall be taken to continue after the end of that period, or after that time, until that thing is done.
  - (7) References in this Chapter to remedying the consequences of a contravention include references to paying an amount to a person—
    - (a) by way of compensation for loss or damage suffered by that person; or
    - (b) in respect of annoyance, inconvenience or anxiety to which he has been put.
  - (8) In determining for the purposes of provisions of this Chapter whether a contravention is a repeated contravention for any purposes, a notification of a contravention under that provision shall be disregarded if it has been withdrawn before the imposition of a penalty in respect of the matters notified.
  - (9) For the purposes of this section a service is made available to members of the public if members of the public are customers, in respect of that service, of the provider of that service.

#### Textual Amendments

- F277** Words in s. 151(1) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 90(a)(i)** (with Sch. 3 para. 2, 20)
- F278** Words in s. 151(1) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 90(a)(ii)** (with Sch. 3 para. 2, 20)
- F279** Words in s. 151(1) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 90(a)(iii)** (with Sch. 3 para. 2, 20)

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- F280** Words in s. 151(3)(b)(ii) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 90(b)** (with Sch. 3 para. 2)
- F281** S. 151(4)(ba) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 90(c)** (with Sch. 3 para. 2)
- F282** S. 151(4A)(4B) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 90(d)** (with Sch. 3 para. 2)

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**Commencement Information**

- I234** S. 151 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I235** S. 151 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## CHAPTER 2

### SPECTRUM USE

#### *General functions relating to spectrum use*

<sup>F283</sup>**152 General functions of OFCOM in relation to radio spectrum**

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**Textual Amendments**

- F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

<sup>F283</sup>**153 United Kingdom Plan for Frequency Authorisation**

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**Textual Amendments**

- F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

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**Commencement Information**

- I236** S. 153 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I237** S. 153 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

<sup>F283</sup>**154 Duties of OFCOM when carrying out spectrum functions**

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
 Changes to legislation: Communications Act 2003, Part 2 is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I238** S. 154 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I239** S. 154 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

<sup>F283</sup>**155 Advisory service in relation to interference**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I240** S. 155 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

<sup>F283</sup>**156 Directions with respect to the radio spectrum**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I241** S. 156 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

<sup>F283</sup>**157 Procedure for directions under s. 156**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I242** S. 157 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

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### *Reservation of spectrum for multiplex use*

#### <sup>F283</sup> **158 Special duty in relation to television multiplexes**

.....

##### **Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

##### **Commencement Information**

**I243** S. 158 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), [Sch. 1](#) (with art. 11)

### *Recognised spectrum access*

#### <sup>F283</sup> **159 Grant of recognised spectrum access**

.....

##### **Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

##### **Commencement Information**

**I244** S. 159 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), [Sch. 1](#) (with art. 11)

#### <sup>F283</sup> **160 Effect of grant of recognised spectrum access**

.....

##### **Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

##### **Commencement Information**

**I245** S. 160 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), [Sch. 1](#) (with art. 11)

#### <sup>F283</sup> **161 Charges in respect of grants of recognised spectrum access**

.....

##### **Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

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**Commencement Information**

**I246** S. 161 in force at 29.12.2003 by [S.I. 2003/3142](#), [art. 3\(1\)](#), [Sch. 1](#) (with [art. 11](#))

<sup>F283</sup>**162 Conversion into and from wireless telegraphy licences**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I247** S. 162 in force at 29.12.2003 by [S.I. 2003/3142](#), [art. 3\(1\)](#), [Sch. 1](#) (with [art. 11](#))

*Crown use of the radio spectrum*

<sup>F283</sup>**163 Payments for use of radio spectrum by the Crown**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I248** S. 163 in force at 29.12.2003 by [S.I. 2003/3142](#), [art. 3\(1\)](#), [Sch. 1](#) (with [art. 11](#))

*Limitations and exemptions applied to spectrum use*

<sup>F283</sup>**164 Limitations on authorised spectrum use**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I249** S. 164 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), [arts. 1\(2\)](#), [2\(1\)](#), [Sch. 1](#) (with [art. 3](#)) (as amended by [S.I. 2003/3142](#), [art. 1\(3\)](#))

**I250** S. 164 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with [art. 11](#))



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*Status:* Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
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## <sup>F283</sup>165 Terms etc. of wireless telegraphy licences

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### Textual Amendments

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

### Commencement Information

**I251** S. 165 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I252** S. 165 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## <sup>F283</sup>166 Exemption from need for wireless telegraphy licence

.....

### Textual Amendments

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

### Commencement Information

**I253** S. 166 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I254** S. 166 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### *Award and transfer of licences*

## <sup>F283</sup>167 Bidding for wireless telegraphy licences

.....

### Textual Amendments

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

### Commencement Information

**I255** S. 167 in force at 18.9.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(2), [Sch. 2](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I256** S. 167 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## <sup>F283</sup>168 Spectrum trading

.....

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**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I257** S. 168 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

*Variation and revocation of licences*

**F283** **169 Variation and revocation of wireless telegraphy licences**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I258** S. 169 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I259** S. 169 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

*Wireless telegraphy register*

**F283** **170 Wireless telegraphy register**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I260** S. 170 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

*Information requirements*

**F283** **171 Information requirements in relation to wireless telegraphy licences**

.....

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
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**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I261** S. 171 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), **Sch. 1** (with art. 11)

*Criminal proceedings etc.*

**F283 172 Contraventions of conditions for use of wireless telegraphy**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I262** S. 172 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I263** S. 172 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

**F283 173 Meaning of “repeated contravention” in s. 172**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I264** S. 173 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I265** S. 173 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

**F283 174 Procedure for prosecutions of wireless telegraphy offences**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
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#### Commencement Information

- I266** S. 174 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I267** S. 174 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### <sup>F283</sup>175 Special procedure for contraventions by multiplex licence holders

.....

#### Textual Amendments

- F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006](#) (c. 36), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

#### Commencement Information

- I268** S. 175 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), [Sch. 1](#) (with art. 11)

### <sup>F283</sup>176 Amount of penalty under s. 175

.....

#### Textual Amendments

- F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006](#) (c. 36), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

#### Commencement Information

- I269** S. 176 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), [Sch. 1](#) (with art. 11)

### <sup>F283</sup>177 “Relevant amount of gross revenue” for the purposes of s. 176

.....

#### Textual Amendments

- F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006](#) (c. 36), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

#### Commencement Information

- I270** S. 177 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), [Sch. 1](#) (with art. 11)

### <sup>F283</sup>178 Proceedings for an offence relating to apparatus use

.....

*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
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#### Textual Amendments

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

#### Commencement Information

**I271** S. 178 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I272** S. 178 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### <sup>F283</sup>**179 Modification of penalties for certain wireless telegraphy offences**

.....

#### Textual Amendments

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

#### Commencement Information

**I273** S. 179 in force at 18.9.2003 by [S.I. 2003/1900](#), art. 2(2), **Sch. 2**

PROSPECTIVE

### <sup>F283</sup>**180 Fixed penalties for certain wireless telegraphy offences**

.....

#### Textual Amendments

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

### <sup>F283</sup>**181 Power of arrest**

.....

#### Textual Amendments

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 9 Pt. 1** (with [Sch. 8 Pt. 1](#))

#### Commencement Information

**I274** S. 181 in force at 18.9.2003 by [S.I. 2003/1900](#), art. 2(2), **Sch. 2**

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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.  
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<sup>F283</sup> **182 Forfeiture etc. of restricted apparatus**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I275** S. 182 in force at 29.12.2003 by [S.I. 2003/3142](#), art. 3(1), [Sch. 1](#) (with art. 11)

*Construction of 1949 Act*

<sup>F283</sup> **183 Modification of definition of “undue interference”**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I276** S. 183 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I277** S. 183 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

<sup>F283</sup> **184 Modification of definition of “wireless telegraphy”**

.....

**Textual Amendments**

**F283** Ss. 152-184 repealed (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), [Sch. 9 Pt. 1](#) (with [Sch. 8 Pt. 1](#))

**Commencement Information**

**I278** S. 184 in force at 18.9.2003 by [S.I. 2003/1900](#), art. 2(2), [Sch. 2](#)

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### CHAPTER 3

#### DISPUTES AND APPEALS

##### *Disputes*

#### **185 Reference of disputes to OFCOM**

- (1) This section applies in the case of a dispute relating to the provision of network access if it is—
- (a) a dispute between different communications providers;
  - (b) a dispute between a communications provider and a person who makes associated facilities available;
  - (c) a dispute between different persons making such facilities available;
  - <sup>F284</sup>(d) .....
  - <sup>F284</sup>(e) .....
- <sup>F285</sup>(1A) This section also applies in the case of a dispute relating to the provision of network access if—
- (a) it is a dispute between a communications provider and a person who is identified, or is a member of a class identified, in a condition imposed on the communications provider under section 45; and
  - (b) the dispute relates to entitlements to network access that the communications provider is required to provide to that person by or under that condition.]
- (2) This section also applies in the case of any other dispute if—
- (a) it relates to rights or obligations conferred or imposed by or under <sup>F286</sup>a condition set under section 45, or any of the enactments relating to the management of the radio spectrum] ;
  - (b) it is a dispute between different communications providers; and
  - (c) it is not an excluded dispute.
- (3) Any one or more of the parties to the dispute may refer it to OFCOM.
- (4) A reference made under this section is to be made in such manner as OFCOM may require.
- (5) The way in which a requirement under subsection (4)—
- (a) is to be imposed, or
  - (b) may be withdrawn or modified,
- is by a notice published in such manner as OFCOM consider appropriate for bringing the requirement, withdrawal or modification to the attention of the persons who, in their opinion, are likely to be affected by it.
- (6) Requirements imposed under subsection (4) may make different provision for different cases.
- (7) A dispute is an excluded dispute for the purposes of subsection (2) if it is about—
- (a) obligations imposed on a communications provider by SMP apparatus conditions;
  - <sup>F287</sup>(b) .....
  - <sup>F287</sup>(c) .....

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<sup>F287</sup>(d) .....

(8) For the purposes of this section—

- (a) the disputes that relate to the provision of network access include disputes as to the terms or conditions on which it is or may be provided in a particular case; and
- (b) the disputes that relate to an obligation include disputes as to the terms or conditions on which any transaction is to be entered into for the purpose of complying with that obligation.

#### Textual Amendments

- F284** S. 185(1)(d)(e) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 91(a)** (with Sch. 3 para. 2)
- F285** S. 185(1A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 91(b)** (with Sch. 3 para. 2)
- F286** Words in s. 185(2)(a) substituted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 91(c)** (with Sch. 3 para. 2)
- F287** S. 185(7)(b)-(d) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 91(d)** (with Sch. 3 para. 2)

#### Commencement Information

- I279** S. 185 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I280** S. 185 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### <sup>F288</sup>**185A Power of OFCOM to invite parties to refer dispute**

OFCCOM may invite any one or more of the parties to a dispute falling within section 185(1) to refer the dispute to OFCCOM under section 185(3).]

#### Textual Amendments

- F288** S. 185A inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 92** (with Sch. 3 para. 2)

### **186 Action by OFCOM on dispute reference**

- (1) This section applies where a dispute is referred to OFCOM under and in accordance with section 185.
- (2) OFCOM must decide whether or not it is appropriate for them to handle the dispute.

<sup>F289</sup>(2A) In relation to a dispute falling within subsection 185(1), OFCOM may in particular take into account their priorities and available resources in considering whether it is appropriate for them to handle the dispute.]

- (3) <sup>F290</sup>In relation to a dispute falling within section 185(1A) or (2),] Unless they consider—
  - (a) that there are alternative means available for resolving the dispute,



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*Status: Point in time view as at 31/07/2017. This version of this part contains provisions that are prospective.*  
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- (b) that a resolution of the dispute by those means would be consistent with the Community requirements set out in section 4, and
  - (c) that a prompt and satisfactory resolution of the dispute is likely if those alternative means are used for resolving it,
- their decision must be a decision that it is appropriate for them to handle the dispute.
- (4) As soon as reasonably practicable after OFCOM have decided—
    - (a) that it is appropriate for them to handle the dispute, or
    - (b) that it is not,they must inform each of the parties to the dispute of their decision and of their reasons for it.
  - (5) The notification must state the date of the decision.
  - (6) [<sup>F291</sup>In relation to a dispute falling within section 185(1A) or (2),] Where—
    - (a) OFCOM decide that it is not appropriate for them to handle the dispute, but
    - (b) the dispute is not resolved by other means before the end of the four months after the day of OFCOM's decision,the dispute may be referred back to OFCOM by one or more of the parties to the dispute.

#### Textual Amendments

- F289** S. 186(2A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 93(a)** (with Sch. 3 para. 2)
- F290** Words in s. 186(3) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 93(b)** (with Sch. 3 para. 2)
- F291** Words in s. 186(6) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 93(b)** (with Sch. 3 para. 2)

#### Commencement Information

- I281** S. 186 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I282** S. 186 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

### 187 Legal proceedings about referred disputes

- (1) Where a dispute is referred or referred back to OFCOM under this Chapter, the reference is not to prevent—
  - (a) the person making it,
  - (b) another party to the dispute,
  - (c) OFCOM, or
  - (d) any other person,from bringing, or continuing, any legal proceedings with respect to any of the matters under dispute.
- (2) Nor is the reference or reference back to OFCOM under this Chapter of a dispute to prevent OFCOM from—

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- (a) giving a notification in respect of something that they have reasonable grounds for believing to be a contravention of any obligation imposed by or under any an enactment;
  - (b) exercising any of their other powers under any enactment in relation to a contravention of such an obligation; or
  - (c) taking any other step in preparation for or with a view to doing anything mentioned in the preceding paragraphs.
- (3) If, in any legal proceedings with respect to a matter to which a dispute relates, the court orders the handling of the dispute by OFCOM to be stayed or sisted—
- (a) OFCOM are required to make a determination for resolving the dispute only if the stay or sist is lifted or expires; and
  - (b) the period during which the stay or sist is in force must be disregarded in determining the period within which OFCOM are required to make such a determination.
- (4) Subsection (1) is subject to section 190(8) and to any agreement to the contrary binding the parties to the dispute.
- (5) In this section “legal proceedings” means civil or criminal proceedings in or before a court.

#### **Commencement Information**

**I283** S. 187 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I284** S. 187 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

## **188 Procedure for resolving disputes**

- (1) This section applies where—
- (a) OFCOM have decided under section 186(2) that it is appropriate for them to handle a dispute; or
  - (b) a dispute is referred back to OFCOM under section 186(6).
- (2) OFCOM must—
- (a) consider the dispute; and
  - (b) make a determination for resolving it.
- (3) The procedure for the consideration and determination of the dispute is to be the procedure that OFCOM consider appropriate.
- (4) In the case of a dispute referred back to OFCOM under section 186(6), that procedure may involve allowing the continuation of a procedure that has already been begun for resolving the dispute by alternative means.
- (5) Except in exceptional circumstances and subject to section 187(3), OFCOM must make their determination no more than four months after the following day—
- (a) in a case falling within subsection (1)(a), the day of the decision by OFCOM that it is appropriate for them to handle the dispute; and
  - (b) in a case falling within subsection (1)(b), the day on which the dispute is referred back to them.

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- (6) Where it is practicable for OFCOM to make their determination before the end of the four month period, they must make it as soon in that period as practicable.
- (7) OFCOM must—
  - (a) send a copy of their determination, together with a full statement of their reasons for it, to every party to the dispute; and
  - (b) publish so much of their determination as (having regard, in particular, to the need to preserve commercial confidentiality) they consider it appropriate to publish.
- (8) The publication of information under this section must be in such manner as OFCOM consider appropriate for bringing it to the attention, to the extent that they consider appropriate, of members of the public.

#### Commencement Information

- I285** S. 188 in force at 25.7.2003 for specified purposes by S.I. 2003/1900, arts. 1(2), 2(1), Sch. 1 (with art. 3) (as amended by S.I. 2003/3142, art. 1(3))
- I286** S. 188 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, art. 3(2) (with art. 11)

## 189 Disputes involving other member States

- (1) This section applies where it appears to OFCOM that a dispute referred or referred back to them under this Chapter [<sup>F292</sup>(other than a dispute falling within section 185(1))] relates partly to a matter falling within the jurisdiction of the regulatory authorities of another member State.
- (2) A dispute relates to matters falling within the jurisdiction of the regulatory authorities of another member State to the extent that—
  - (a) it relates to the carrying on of activities by one or both of the parties to the dispute in more than one member State or to activities carried on by different parties to the dispute in different member States; and
  - (b) the activities to which the dispute relates, so far as they are carried on in another member State, are carried on in the member State for which those authorities are the regulatory authorities.
- (3) For the purposes of subsection (2) the activities that are carried on in a member State include anything done by means of an electronic communications network, or part of such a network, which is situated in that member State.
- (4) Before taking any steps under this Chapter in relation to the reference or the dispute, OFCOM [<sup>F293</sup>(a) must co-ordinate their efforts with the other regulatory authorities within whose jurisdiction the matter falls,  
(b) may consult BEREC in order to bring about a consistent resolution of the dispute, and  
(c) may request BEREC to adopt an opinion as to the action to be taken to resolve the dispute.]
- (5) It shall be the duty of OFCOM to secure that steps taken in relation to the reference or dispute (whether taken by them or by the other regulatory authorities) are, so far as practicable, agreed between OFCOM and those authorities.

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[<sup>F294</sup>(5A) Where an opinion is received from BEREC in relation to the reference or dispute, it shall be the duty of OFCOM to secure that steps taken in relation to the reference or dispute take account of the opinion (whether the opinion was requested by OFCOM or by the other regulatory authorities).]

(6) Accordingly, section 188 is to have effect in relation to the reference as if the period for making a determination which is specified in subsection (5) of that section were such period (if any) as may be agreed between—

- (a) OFCOM; and
- (b) the other regulatory authorities within whose jurisdiction the matter falls.

[<sup>F295</sup>(7) OFCOM must—

- (a) ensure, so far as practicable, that a period agreed under subsection (6) is long enough for BEREC to provide an opinion, if one has been requested by OFCOM or by the other regulatory authorities, and
- (b) agree to any necessary extension of the period if an opinion is requested from BEREC (by OFCOM or by the other regulatory authorities) after the period has been agreed.

(8) Subsection (7) does not apply if the dispute in question has resulted in, or creates an immediate risk of—

- (a) a serious threat to the safety of the public, to public health or to national security;
- (b) serious economic or operational problems for persons who are communications providers or persons who make associated facilities available; or
- (c) serious economic or operational problems for persons who make use of electronic communications networks, electronic communications services or associated facilities, or for other users of the radio spectrum.]

#### Textual Amendments

- F292** Words in s. 189(1) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 94(a)** (with Sch. 3 para. 2)
- F293** S. 189(4)(a)(b)(c) substituted for words (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 94(b)** (with Sch. 3 para. 2)
- F294** S. 189(5A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 94(c)** (with Sch. 3 para. 2)
- F295** S. 189(7)(8) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 94(d)** (with Sch. 3 para. 2)

#### Commencement Information

- I287** S. 189 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I288** S. 189 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 190 Resolution of referred disputes

(1) Where OFCOM make a determination for resolving a dispute referred to them under this Chapter, their only powers are those conferred by this section.

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- (2) Their main power (except in the case of a dispute relating to rights and obligations conferred or imposed by or under the enactments relating to the management of the radio spectrum) is to do one or more of the following—
- (a) to make a declaration setting out the rights and obligations of the parties to the dispute;
  - (b) to give a direction fixing the terms or conditions of transactions between the parties to the dispute;
  - (c) to give a direction imposing an obligation, enforceable by the parties to the dispute, to enter into a transaction between themselves on the terms and conditions fixed by OFCOM; and
  - (d) for the purpose of giving effect to a determination by OFCOM of the proper amount of a charge in respect of which amounts have been paid by one of the parties of the dispute to the other, to give a direction, enforceable by the party to whom the sums are to be paid, requiring the payment of sums by way of adjustment of an underpayment or overpayment.
- [<sup>F296</sup>(2A) In relation to a dispute falling within section 185(1), OFCOM must exercise their powers under subsection (2) in the way that seems to them most appropriate for the purpose of securing—
- (a) efficiency;
  - (b) sustainable competition;
  - (c) efficient investment and innovation; and
  - (d) the greatest possible benefit for the end-users of public electronic communications services.]

(3) Their main power in the excepted case is just to make a declaration setting out the rights and obligations of the parties to the dispute.

(4) Nothing in this section prevents OFCOM from exercising the following powers in consequence of their consideration under this Chapter of any dispute—

    - (a) their powers under Chapter 1 of this Part to set, modify or revoke general conditions, universal service conditions, access related conditions, privileged supplier conditions or SMP conditions;
    - (b) their powers to vary, modify or revoke wireless telegraphy licences or grants of recognised spectrum access;
    - (c) their power to make, amend or revoke [<sup>F297</sup>regulations under section 8 or 45 of the Wireless Telegraphy Act 2006] .

(5) In the case of a dispute referred back to OFCOM under section 186(6)—

    - (a) OFCOM may, in making their determination, take account of decisions already made by others in the course of an attempt to resolve the dispute by alternative means; and
    - (b) the determination made by OFCOM may include provision ratifying decisions so made.

(6) Where OFCOM make a determination for resolving a dispute, they may require a party to the dispute—

    - (a) to make payments to another party to the dispute in respect of costs and expenses incurred by that other party in consequence of the reference of the dispute to OFCOM, or in connection with it; and

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(b) to make payments to OFCOM in respect of costs and expenses incurred by them in dealing with the dispute.

[<sup>F298</sup>and may determine the amount of the costs and when the costs are to be paid.]

[<sup>F299</sup>(6A) OFCOM may not, under subsection (6)(a), require a party to the dispute to make payments to another party unless OFCOM have considered—

(a) the conduct of the party before and after the reference to OFCOM (including, in particular, whether any attempt has been made to resolve the dispute), and

(b) whether OFCOM has made a decision in the party's favour in respect of the whole or a part of the dispute.

(6B) OFCOM may not, under subsection (6)(b), require payments to be made to them by a party to the dispute unless—

(a) the dispute relates to the rights and obligations of the parties to the dispute under the enactments relating to the management of the radio spectrum, or

(b) they have considered the matters referred to in subsection (6A)(a) and (b).]

<sup>F300</sup>(7) . . . . .

(8) A determination made by OFCOM for resolving a dispute referred or referred back to them under this Chapter binds all the parties to the dispute.

(9) Subsection (8) is subject to section 192.

#### Textual Amendments

**F296** S. 190(2A) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 95(a)** (with Sch. 3 para. 2)

**F297** Words in s. 190(4)(c) substituted (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\)](#), s. 126(2), **Sch. 7 para. 27**

**F298** Words in s. 190(6) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 95(b)** (with Sch. 3 para. 2)

**F299** S. 190(6A)(6B) inserted (26.5.2011) by [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 95(c)** (with Sch. 3 para. 2)

**F300** S. 190(7) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 95(d)** (with Sch. 3 para. 2)

#### Commencement Information

**I289** S. 190 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I290** S. 190 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 191 OFCOM's power to require information in connection with dispute

(1) Where a dispute has been referred or referred back to OFCOM under this Chapter, they may require any person to whom subsection (2) applies to provide them with all such information as they may require for the purpose of—

(a) deciding whether it is appropriate for them to handle the dispute;

(b) determining whether it is necessary for them to consult the regulatory authorities of another member State; or

(c) considering the dispute and making a determination for resolving it.

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- (2) This subsection applies to—
- (a) a party to the dispute; and
  - (b) a person who is not a party to the dispute but appears to OFCOM to have information that is relevant to the matters mentioned in subsection (1)(a) to (c).
- (3) A person required to provide information under this section must provide it in such manner and within such reasonable period as may be specified by OFCOM.
- (4) In fixing the period within which information is to be provided in accordance with a requirement under this section OFCOM must have regard, in particular, to—
- (a) their obligation to make a determination for resolving the dispute within the period specified in section 188;
  - (b) the nature of the dispute; and
  - (c) the information that is required.
- (5) Sections 138 to 144 apply for the enforcement of a requirement under this section as they apply for the enforcement of requirements under section 135 or 136.

<sup>F301</sup>(6) .....

**Textual Amendments**

**F301** S. 191(6) omitted (26.5.2011) by virtue of [The Electronic Communications and Wireless Telegraphy Regulations 2011 \(S.I. 2011/1210\)](#), reg. 1(2), **Sch. 1 para. 96** (with Sch. 3 para. 2)

**Commencement Information**

**I291** S. 191 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))

**I292** S. 191 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

*Appeals*

**192 Appeals against decisions by OFCOM, the Secretary of State etc.**

- (1) This section applies to the following decisions—
- (a) a decision by OFCOM under this Part [<sup>F302</sup>or any of Parts 1 to 3 of the Wireless Telegraphy Act 2006] that is not a decision specified in Schedule 8;
  - (b) a decision (whether by OFCOM or another) to which effect is given by a direction, approval or consent given for the purposes of a provision of a condition set under section 45;
  - (c) a decision to which effect is given by the modification or withdrawal of such a direction, approval or consent;
  - (d) a decision by the Secretary of State to which effect is given by one of the following—
    - (i) a specific direction under section 5 that is not about the making of a decision specified in Schedule 8;
    - (ii) a restriction or condition set by regulations under section 109;
    - (iii) a direction to OFCOM under section 132;

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- (iv) a specific direction under [<sup>F303</sup>section 5 of the Wireless Telegraphy Act 2006] that is not about the making of a decision specified in Schedule 8.
- [<sup>F304</sup>(e) a decision by the CMA to which effect is given by an order made under section 193A.]
- (2) A person affected by a decision to which this section applies may appeal against it to the Tribunal.
- (3) The means of making an appeal is by sending the Tribunal a notice of appeal in accordance with Tribunal rules.
- (4) The notice of appeal must be sent within the period specified, in relation to the decision appealed against, in those rules.
- (5) The notice of appeal must set out—
- (a) the provision under which the decision appealed against was taken; and
  - (b) the grounds of appeal.
- (6) The grounds of appeal must be set out in sufficient detail to indicate—
- (a) to what extent (if any) the appellant contends that the decision appealed against was based on an error of fact or was wrong in law or both; and
  - (b) to what extent (if any) the appellant is appealing against the exercise of a discretion by OFCOM, by the Secretary of State [<sup>F305</sup>, by the CMA] or by another person.
- (7) In this section and Schedule 8 references to a decision under an enactment—
- (a) include references to a decision that is given effect to by the exercise or performance of a power or duty conferred or imposed by or under an enactment; but
  - (b) include references to a failure to make a decision, and to a failure to exercise a power or to perform a duty, only where the failure constitutes a failure to grant an application or to comply with any other form of request to make the decision, to exercise the power or to perform the duty;
- and references in the following provisions of this Chapter to a decision appealed against are to be construed accordingly.
- (8) For the purposes of this section and the following provisions of this Chapter a decision to which effect is given by the exercise or performance of a power or duty conferred or imposed by or under an enactment shall be treated, except where provision is made for the making of that decision at a different time, as made at the time when the power is exercised or the duty performed.

#### Textual Amendments

- F302** Words in s. 192(1)(a) substituted (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\), s. 126\(2\), Sch. 7 para. 28\(a\)](#)
- F303** Words in s. 192(1)(d)(iv) substituted (8.2.2007) by [Wireless Telegraphy Act 2006 \(c. 36\), s. 126\(2\), Sch. 7 para. 28\(b\)](#)
- F304** S. 192(1)(e) inserted (1.4.2014) by [Enterprise and Regulatory Reform Act 2013 \(c. 24\), s. 103\(3\), Sch. 15 para. 43\(2\)](#); S.I. 2014/416, art. 2(1)(f) (with Sch.)
- F305** Words in s. 192(6)(b) inserted (1.4.2014) by [Enterprise and Regulatory Reform Act 2013 \(c. 24\), s. 103\(3\), Sch. 15 para. 43\(3\)](#); S.I. 2014/416, art. 2(1)(f) (with Sch.)



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#### Commencement Information

- I293** S. 192 in force at 25.7.2003 for specified purposes by S.I. 2003/1900, arts. 1(2), 2(1), Sch. 1 (with art. 3) (as amended by S.I. 2003/3142, art. 1(3))
- I294** S. 192 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, art. 3(2) (with art. 11)

### 193 Reference of price control matters to the <sup>F306</sup>CMA

- (1) Tribunal rules must provide in relation to appeals under section 192(2) relating to price control that the price control matters arising in that appeal, to the extent that they are matters of a description specified in the rules, must be referred by the Tribunal to the <sup>F307</sup>CMA for determination.
- (2) Where a price control matter is referred in accordance with Tribunal rules to the <sup>F308</sup>CMA for determination, <sup>F309</sup>the determination of the matter is to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, and <sup>F310</sup>, having regard to the principles to be applied by the Tribunal under section 194A(2), is to be performed]]—
  - (a) in accordance with the provision made by the rules;
  - (b) in accordance with directions given to <sup>F311</sup>the CMA by the Tribunal in exercise of powers conferred by the rules; and
  - (c) subject to the rules and any such directions, using such procedure as the <sup>F312</sup>CMA consider appropriate.
- (3) The provision that may be made by Tribunal rules about the determination of a price control matter referred to the <sup>F313</sup>CMA in accordance with the rules includes provision about the period within which that matter is to be determined by <sup>F314</sup>the CMA .
- (4) Where the <sup>F315</sup>CMA determines a price control matter in accordance with Tribunal rules, they must notify the Tribunal of the determination they have made.
- (5) The notification must be given as soon as practicable after the making of the notified determination.
- (6) Where a price control matter arising in an appeal is required to be referred to the <sup>F316</sup>CMA under this section, the Tribunal, in deciding the appeal <sup>F317</sup>..., must decide that matter in accordance with the determination of <sup>F318</sup>the CMA .
- (7) Subsection (6) does not apply to the extent that the Tribunal decides, applying the principles applicable on an application for judicial review, that the determination of the <sup>F319</sup>CMA is a determination that would fall to be set aside on such an application.
- (8) Section 117 of the Enterprise Act 2002 (c. 40) (offences of supplying false or misleading information) shall have effect in relation to information supplied to the <sup>F319</sup>CMA in connection with their functions under this section as it has effect in relation to information supplied to them in connection with their functions under Part 3 of that Act.
- (9) For the purposes of this section an appeal relates to price control if the matters to which the appeal relates are or include price control matters.

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- (10) In this section [<sup>F320</sup>and section 193A] “price control matter” means a matter relating to the imposition of any form of price control by an SMP condition the setting of which is authorised by—
- (a) section 87(9);
  - (b) section 91; or
  - (c) section 93(3).

#### Textual Amendments

- F306** Word in s. 193 heading substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(8)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F307** Word in s. 193(1) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(2)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F308** Word in s. 193(2) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(3)(a)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F309** Words in s. 193(2) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(3)(b)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F310** Words in s. 193(2) substituted (31.7.2017) by Digital Economy Act 2017 (c. 30), **ss. 87(2)**, 118(6) (with s. 87(12)); S.I. 2017/765, reg. 2(w)
- F311** Words in s. 193(2)(b) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(3)(c)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F312** Word in s. 193(2)(c) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(3)(d)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F313** Word in s. 193(3) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(4)(a)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F314** Words in s. 193(3) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(4)(b)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F315** Word in s. 193(4) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(5)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F316** Word in s. 193(6) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(6)(a)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F317** Words in s. 193(6) omitted (31.7.2017) by virtue of Digital Economy Act 2017 (c. 30), **ss. 87(3)**, 118(6) (with s. 87(12)); S.I. 2017/765, reg. 2(w)
- F318** Words in s. 193(6) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(6)(b)**; S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F319** Word in s. 193(7)(8) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 6 para. 98(7)**; S.I. 2014/416, art. 2(1)(d) (with Sch.); S.I. 2014/416, art. 2(1)(d) (with Sch.)
- F320** Words in s. 193(10) inserted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), **Sch. 15 para. 44**; S.I. 2014/416, art. 2(1)(f) (with Sch.)

#### Commencement Information

- I295** S. 193 in force at 25.7.2003 for specified purposes by S.I. 2003/1900, arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by S.I. 2003/3142, art. 1(3))
- I296** S. 193 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, **art. 3(2)** (with art. 11)

#### [<sup>F321</sup>193A] Recovery of CMA's costs in respect of price control references

- (1) Where a determination is made on a price control matter referred by virtue of section 193, the CMA may make an order in respect of the costs incurred by it in connection with the reference (a “costs order”).

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- (2) A costs order may require the payment to the CMA of some or all of those costs by such parties to the appeal which gave rise to the reference, other than OFCOM, as the CMA considers appropriate.
- (3) A costs order must—
  - (a) set out the total costs incurred by the CMA in connection with the reference, and
  - (b) specify the proportion of those costs to be paid by each party to the appeal in respect of whom the order is made.
- (4) In deciding on the proportion of costs to be paid by a party to the appeal the CMA must, in particular, consider—
  - (a) the extent to which the determination on the reference upholds OFCOM's decision in relation to the price control matter in question,
  - (b) the extent to which the costs were attributable to the involvement in the appeal of the party, and
  - (c) the conduct of the party.
- (5) A costs order—
  - (a) must be made as soon as reasonably practicable after the making of the determination on the reference, but
  - (b) does not take effect unless the Tribunal, in deciding the appeal which gave rise to the reference, decides the price control matter which is the subject of the reference in accordance with the determination of the CMA (see section 193(6)).
- (6) In a case where the Tribunal decides the price control matter in question otherwise than as mentioned in subsection (5)(b), the CMA may make an order under this subsection in respect of the costs incurred by it in connection with the reference.
- (7) Subsections (2) to (4) apply in relation to an order under subsection (6) as they apply in relation to an order under subsection (1); but for that purpose the reference in subsection (4)(a) to the determination on the reference is to be read as a reference to the decision of the Tribunal mentioned in subsection (6).
- (8) An order under subsection (6) must be made as soon as reasonably practicable after the decision of the Tribunal mentioned in that subsection.
- (9) An amount payable to the CMA by virtue of an order made under this section is recoverable summarily as a civil debt (but this does not affect any other method of recovery).
- (10) The CMA must pay any sums it receives by virtue of this section into the Consolidated Fund.
- (11) The functions of the CMA under this section, other than those under subsections (9) and (10), are to be carried out on behalf of the CMA by the group constituted by the chair of the CMA in relation to the reference in question.]

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#### **Textual Amendments**

**F321** S. 193A inserted (1.4.2014) by [Enterprise and Regulatory Reform Act 2013 \(c. 24\)](#), ss. 54, 103(3); S.I. 2014/416, art. 2(1)(b) (with Sch.)

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### <sup>F322</sup>194 Composition of Competition Commission for price control references

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#### Textual Amendments

**F322** S. 194 omitted (1.4.2014) by virtue of [Enterprise and Regulatory Reform Act 2013 \(c. 24\)](#), s. 103(3), [Sch. 6 para. 99](#); S.I. 2014/416, art. 2(1)(d) (with Sch.)

#### Commencement Information

**I297** S. 194 in force at 25.7.2003 for specified purposes by S.I. 2003/1900, arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by S.I. 2003/3142, art. 1(3))

**I298** S. 194 in force at 29.12.2003 in so far as not already in force by S.I. 2003/3142, [art. 3\(2\)](#) (with art. 11)

### [<sup>F323</sup>194A] Disposal of appeals under section 192 (other than against certain decisions of Secretary of State)

- (1) This section applies to an appeal against a decision referred to in section 192(1)(a), (b), (c), (d)(iii) or (e).
- (2) The Tribunal must decide the appeal, by reference to the grounds of appeal set out in the notice of appeal, by applying the same principles as would be applied by a court on an application for judicial review.
- (3) The Tribunal may—
  - (a) dismiss the appeal or quash the whole or part of the decision to which it relates; and
  - (b) where it quashes the whole or part of that decision, remit the matter back to the decision-maker with a direction to reconsider and make a new decision in accordance with the ruling of the Tribunal.
- (4) The decision-maker must comply with a direction under subsection (3)(b).
- (5) In its application to a decision of the Tribunal under this section, paragraph 1(2)(b) of Schedule 4 to the Enterprise Act 2002 (exclusion of commercial information from documents recording Tribunal decisions) is to have effect as if, for the reference to the undertaking to which commercial information relates, there were substituted a reference to any person to whom it relates.
- (6) In this section “the decision-maker” means the person who made the decision appealed against.]

#### Textual Amendments

**F323** S. 194A inserted (31.7.2017) by [Digital Economy Act 2017 \(c. 30\)](#), [ss. 87\(4\)](#), 118(6) (with s. 87(12)); S.I. 2017/765, reg. 2(w)

### 195 [<sup>F324</sup>Disposal of appeals under section 192 against certain decisions of Secretary of State]

- [<sup>F325</sup>(1) This section applies to an appeal against a decision referred to in section 192(1)(d) (i), (ii), (iia) or (iv).]

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- (2) The Tribunal shall decide the appeal on the merits and by reference to the grounds of appeal set out in the notice of appeal.
- (3) The Tribunal’s decision must include a decision as to what (if any) is the appropriate action for [F326 the Secretary of State ] to take in relation to the subject-matter of the decision under appeal.
- (4) The Tribunal shall then remit the decision under appeal to [F326 the Secretary of State ] with such directions (if any) as the Tribunal considers appropriate for giving effect to its decision.
- (5) The Tribunal must not direct [F326 the Secretary of State ] to take any action which he would not otherwise have power to take in relation to the decision under appeal.
- (6) It shall be the duty of [F326 the Secretary of State ] to comply with every direction given under subsection (4).
- (7) In the case of an appeal against a decision given effect to by a restriction or condition set by regulations under section 109, the Tribunal must take only such steps for disposing of the appeal as it considers are not detrimental to good administration.
- (8) In its application to a decision of the Tribunal under this section, paragraph 1(2)(b) of Schedule 4 to the Enterprise Act 2002 (c. 40) (exclusion of commercial information from documents recording Tribunal decisions) is to have effect as if for the reference to the undertaking to which commercial information relates there were substituted a reference to any person to whom it relates.

F327 (9) .....

#### Textual Amendments

- F324** S. 195 title substituted (31.7.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 87(10)**, 118(6) (with s. 87(12)); [S.I. 2017/765](#), reg. 2(w)
- F325** S. 195(1) substituted (31.7.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 87(6)**, 118(6) (with s. 87(7)(12)); [S.I. 2017/765](#), reg. 2(w)
- F326** Words in s. 195(3)-(6) substituted (31.7.2017) by [Digital Economy Act 2017 \(c. 30\)](#), **ss. 87(8)**, 118(6) (with s. 87(12)); [S.I. 2017/765](#), reg. 2(w)
- F327** S. 195(9) omitted (31.7.2017) by virtue of [Digital Economy Act 2017 \(c. 30\)](#), **ss. 87(9)**, 118(6) (with s. 87(12)); [S.I. 2017/765](#), reg. 2(w)

#### Commencement Information

- I299** S. 195 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), **Sch. 1** (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I300** S. 195 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), **art. 3(2)** (with art. 11)

## 196 Appeals from the Tribunal

- (1) A decision of the Tribunal on an appeal under section 192(2) may itself be appealed.
- (2) An appeal under this section—
  - (a) lies to the Court of Appeal or to the Court of Session; and
  - (b) must relate only to a point of law arising from the decision of the Tribunal.
- (3) An appeal under this section may be brought by—

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- (a) a party to the proceedings before the Tribunal; or
  - (b) any other person who has a sufficient interest in the matter.
- (4) An appeal under this section requires the permission of the Tribunal or of the court to which it is to be made.
- (5) In this section references to a decision of the Tribunal include references to a direction given by it under section 195(4).

#### **Commencement Information**

- I301** S. 196 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I302** S. 196 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

### *Interpretation of Chapter 3*

#### **197 Interpretation of Chapter 3**

- (1) In this Chapter—
- [<sup>F328</sup>“the CMA” means the Competition and Markets Authority;]
  - “network access” has the same meaning as in Chapter 1 of this Part;
  - “the Tribunal” means the Competition Appeal Tribunal; and
  - “Tribunal rules” means rules made under section 15 of the Enterprise Act 2002.
- (2) References in this Chapter, in relation to a dispute, to the regulatory authorities of other member States are references to such of the authorities of the other member States as have been notified under the Framework Directive to the European Commission as the regulatory authorities of those States for the purposes of the matters to which the dispute relates.
- (3) In this section “the Framework Directive” has the same meaning as in Chapter 1 of this Part.

#### **Textual Amendments**

- F328** Words in s. 197 inserted (1.4.2014) by [Enterprise and Regulatory Reform Act 2013 \(c. 24\)](#), s. 103(3), [Sch. 6 para. 100](#); [S.I. 2014/416](#), art. 2(1)(d) (with Sch.)

#### **Commencement Information**

- I303** S. 197 in force at 25.7.2003 for specified purposes by [S.I. 2003/1900](#), arts. 1(2), 2(1), [Sch. 1](#) (with art. 3) (as amended by [S.I. 2003/3142](#), art. 1(3))
- I304** S. 197 in force at 29.12.2003 in so far as not already in force by [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

**Status:**

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**Changes to legislation:**

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