

Education and Inspections Act 2006

2006 CHAPTER 40

PART 8 E+W

INSPECTIONS

CHAPTER 1 E+W

THE OFFICE AND THE CHIEF INSPECTOR

The Office

112 The Office for Standards in Education, Children's Services and Skills E+W

- (1) There is to be a body corporate known as the Office for Standards in Education, Children's Services and Skills.
- (2) In this Part that body is referred to as "the Office".
- (3) The Office is to perform its functions on behalf of the Crown.
- (4) Schedule 11 makes further provision about the Office.

Commencement Information

- II S. 112(1)-(3) in force at 12.12.2006 by S.I. 2006/2990, art. 2(b)
- I2 S. 112(4) in force at 12.12.2006 for specified purposes by S.I. 2006/2990, art. 2(c)
- I3 S. 112(4) in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(r)

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The Chief Inspector and other inspectors

Her Majesty's Chief Inspector of Education, Children's Services and Skills E

- (1) Her Majesty may by Order in Council appoint a person to the office of Her Majesty's Chief Inspector of Education, Children's Services and Skills.
- (2) In this Part the holder of that office is referred to as "the Chief Inspector".
- (3) The Chief Inspector is to be a member of the Office (see paragraph 1 of Schedule 11).
- (4) The Chief Inspector holds and vacates office in accordance with the terms of his appointment.
- (5) Those terms are to be determined by the Secretary of State.
- (6) But the Chief Inspector—
 - (a) must not be appointed for a term of more than five years,
 - (b) may at any time resign by giving written notice to the Secretary of State, and
 - (c) may be removed from office by Her Majesty on the grounds that he is unable or unfit to carry out the duties of his office.
- (7) The previous appointment of a person as Chief Inspector does not affect his eligibility for appointment.
- (8) The office of Her Majesty's Chief Inspector of Schools in England is abolished.
- (9) But any person holding that office immediately before the appointed day is to become, as from that day, Her Majesty's Chief Inspector of Education, Children's Services and Skills.
- (10) As from the appointed day—
 - (a) the Order in Council by which such a person was appointed has effect as if it were an Order in Council under subsection (1) appointing him as Chief Inspector, and
 - (b) the terms of his appointment have effect as if determined under subsection (5).
- (11) In this section "the appointed day" means the day appointed under section 188 for the coming into force of this section.

Commencement Information

- S. 113 partly in force; s. 113 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- I5 S. 113 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(s)

Her Majesty's Inspectors of Education, Children's Services and Skills E+W

- (1) Her Majesty may by Order in Council appoint persons as Her Majesty's Inspectors of Education, Children's Services and Skills.
- (2) In this Part a person so appointed is referred to as an "HMI".

Chapter 1 – The Office and the Chief Inspector

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- (3) An HMI is to serve, in accordance with the terms of his appointment, as a member of the staff of the Office.
- (4) Those terms are to be determined by the Chief Inspector.
- (5) A person's appointment as HMI ends when he ceases to serve as a member of the staff of the Office.
- (6) Any person who—
 - (a) is one of Her Majesty's Inspectors of Schools in England immediately before the appointed day, and
 - (b) is then serving as member of the staff of Her Majesty's Chief Inspector of Schools in England or of the Adult Learning Inspectorate,

is to become, as from that day, one of Her Majesty's Inspectors of Education, Children's Services and Skills.

- (7) As from the appointed day—
 - (a) the Order in Council by which such a person was appointed has effect as if it were an Order in Council under subsection (1) appointing him as an HMI, and
 - (b) the terms of his appointment have effect as if determined under subsection (4).
- (8) In this section "the appointed day" means the day appointed under section 188 for the coming into force of this section.

Commencement Information

- I6 S. 114 partly in force; s. 114 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- I7 S. 114 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(s)

115 Further provision about Chief Inspector and other inspectors etc. E+W

Schedule 12 makes further provision about the Chief Inspector and persons acting on his behalf.

Commencement Information

I8 S. 115 in force at 1.4.2007 by S.I. 2007/935, art. 5(s)

Functions: the Office

116 Functions of the Office E+W

- (1) The Office has the following functions—
 - (a) to determine strategic priorities for the Chief Inspector in connection with the performance of his functions;
 - (b) to determine strategic objectives and targets relating to such priorities; and
 - (c) to secure that the Chief Inspector's functions are performed efficiently and effectively.

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(2) The Office is to have such other functions in connection with the performance of the Chief Inspector's functions as may be assigned to it by the Secretary of State.

Modifications etc. (not altering text)

C1 S. 116(1)(a) modified (temp.) (12.12.2006) by Office for Standards in Education, Children's Services and Skills (Transitional Provisions) Regulations 2006 (S.I. 2006/2991), regs. 1(1), 2(a)

Commencement Information

- I9 S. 116(1)(a)(b) in force at 12.12.2006 by S.I. 2006/2990, art. 2(d)
- I10 S. 116(1)(c)(2) in force at 1.4.2007 by S.I. 2007/935, art. 5(t)

117 Performance of Office's functions E+W

- (1) The Office is to perform its functions for the general purpose of encouraging—
 - (a) the improvement of activities within the Chief Inspector's remit,
 - (b) the carrying on of such activities as user-focused activities, and
 - (c) the efficient and effective use of resources in the carrying on of such activities.
- (2) In performing its functions the Office is to have regard to—
 - (a) the need to safeguard and promote the rights and welfare of children;
 - $[^{FI}(aa)]$ any matters raised by the Children's Commissioner with the Office or the Chief Inspector;]
 - (b) views expressed by relevant persons about activities within the Chief Inspector's remit;
 - (c) levels of satisfaction with such activities on the part of relevant persons;
 - (d) the need to promote the efficient and effective use of resources in the carrying on of such activities;
 - (e) the need to ensure that action by the Chief Inspector in relation to such activities is proportionate to the risks against which it would afford safeguards;
 - (f) any developments in approaches to inspection or regulatory action; and
 - (g) best practice amongst persons performing functions comparable to those of the Chief Inspector.
- (3) In performing its functions the Office must also have regard to such aspects of government policy as the Secretary of State may direct.
- (4) In this section—
 - (a) "children" means persons under the age of 18;
 - (b) "relevant persons", in relation to activities within the Chief Inspector's remit, means persons who have an interest in such activities, whether—
 - (i) as persons for whose benefit they are carried on, or
 - (ii) as parents (if they are carried on for the benefit of children), or
 - (iii) as employers;
 - (c) "parents" includes persons—
 - (i) who are not parents of children but have parental responsibility for them (within the meaning of the Children Act 1989 (c. 41)), or
 - (ii) who have care of children.

Chapter 1 – The Office and the Chief Inspector

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- (5) Subsection (6) provides for the interpretation, for the purposes of this Part, of references to activities within the Chief Inspector's remit and related expressions.
- (6) For those purposes—
 - (a) "activities" includes—
 - (i) the provision of any form of education, training or care,
 - (ii) the provision of any form of services or facilities, and
 - (iii) the performance of any function;
 - (b) activities are within the Chief Inspector's remit—
 - (i) if he exercises any inspection function in relation to them, or
 - (ii) if they are services of the kind provided by persons in respect of whom he is the registration authority by virtue of any enactment; and
 - (c) references to persons for whose benefit activities are carried on are, in relation to activities within paragraph (a)(i) or (ii), references to persons for whom the education, training or care is provided, or (as the case may be) for whom the services or facilities are provided.

Textual Amendments

F1 S. 117(2)(aa) inserted (1.4.2014) by Children and Families Act 2014 (c. 6), ss. 116(2)(a), 139(5)

Commencement Information

II1 S. 117 in force at 12.12.2006 by S.I. 2006/2990, art. 2(e)

Functions: the Chief Inspector

118 Functions of the Chief Inspector E+W

- (1) The Chief Inspector has the general duty of keeping the Secretary of State informed about—
 - (a) the quality of activities within the Chief Inspector's remit and (where appropriate) the standards achieved by those for whose benefit such activities are carried on,
 - (b) improvements in the quality of such activities and in any such standards,
 - (c) the extent to which such activities are being carried on as user-focused activities, and
 - (d) the efficient and effective use of resources in the carrying on of such activities and services.
- (2) If requested to do so by the Secretary of State, the Chief Inspector must provide the Secretary of State with information or advice on such matters relating to activities within the Chief Inspector's remit as are specified in the request.
- (3) The Chief Inspector may at any time give advice to the Secretary of State on any matter connected with any activities within his remit, including advice relating to a particular establishment, institution or agency.
- (4) The Chief Inspector is to have such other functions in connection with activities within his remit as may be assigned to him by the Secretary of State.

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- (5) Subsection (6) applies where the Chief Inspector is requested under subsection (2) to provide the Secretary of State with information or advice on matters relating to activities within the Chief Inspector's remit.
- (6) Any enactment by virtue of which—
 - (a) an inspection may be conducted by the Chief Inspector in relation to the activities in question (whether or not in pursuance of any duty), or
 - (b) any power of entry is exercisable by him in relation to those activities, is to have effect, with any necessary modifications, so as to enable him to conduct an inspection, or exercise any such power, for the purpose of complying with the request.
- (7) In subsection (6) any reference to a power of entry includes a reference to a power to inspect documents or a power conferred in connection with the inspection of documents.
- (8) Nothing in this section prejudices the operation of any other enactment relating to functions of the Chief Inspector.

Commencement Information

I12 S. 118 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

119 Performance of Chief Inspector's functions E+W

- (1) The Chief Inspector is to perform his functions for the general purpose of encouraging—
 - (a) the improvement of activities within the Chief Inspector's remit,
 - (b) the carrying on of such activities as user-focused activities, and
 - (c) the efficient and effective use of resources in the carrying on of such activities.
- (2) The Chief Inspector must ensure—
 - (a) that his functions are performed efficiently and effectively, and
 - (b) that, so far as practicable, those functions are performed in a way that responds
 - (i) the needs of persons for whose benefit activities within the Chief Inspector's remit are carried on, and
 - (ii) the views expressed by other relevant persons about such activities.
- (3) In performing his functions the Chief Inspector must have regard to—
 - (a) the matters mentioned in section 117(2);
 - [F2(aa) any matters raised by the Children's Commissioner with the Chief Inspector;] and
 - (b) such aspects of government policy as the Secretary of State may direct.
- (4) In this section "relevant persons" has the same meaning as in section 117.

Textual Amendments

F2 S. 119(3)(aa) inserted (1.4.2014) by Children and Families Act 2014 (c. 6), ss. 116(2)(b), 139(5)

Part 8 – Inspections

 ${\it Chapter~2-General~transfer~of~functions}$

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Commencement Information

II3 S. 119 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

The Children's Rights Director

F3120 Children's Rights Director E+W

Textual Amendments

F3 S. 120 repealed (1.4.2014) by Children and Families Act 2014 (c. 6), ss. 116(1), 139(5)

Annual reports etc.

121 Annual and other reports to Secretary of State E+W

- (1) The Chief Inspector must make an annual report to the Secretary of State.
- (2) The Secretary of State must lay a copy of any such report before each House of Parliament.
- (3) The Chief Inspector may make to the Secretary of State such other reports relating to matters which fall within the scope of the Chief Inspector's functions as he considers appropriate.
- (4) The Chief Inspector may arrange for any report made by him under this section to be published in such manner as he considers appropriate.

Commencement Information

I14 S. 121 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

CHAPTER 2 E+W

GENERAL TRANSFER OF FUNCTIONS

General transfer of functions to the Chief Inspector E+W

- (1) Subject to the following provisions of this Part, the functions of the existing Chief Inspector under or by virtue of any enactment are transferred to the new Chief Inspector.
- (2) In this section—

"the existing Chief Inspector" means Her Majesty's Chief Inspector of Schools in England, and

"the new Chief Inspector" means the Chief Inspector appointed under Chapter 1 of this Part.

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Commencement Information

I15 S. 122 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

CHAPTER 3 E+W

INSPECTION OF FURTHER EDUCATION AND TRAINING ETC.

Education and training to which this Chapter applies

Education and training to which this Chapter applies E+W

- (1) This Chapter applies to the following kinds of education and training—
 - (a) secondary education provided in institutions which are in England and are within the further education sector;
 - (b) further education for persons aged 16 or over but under 19 which is provided in such institutions and wholly or partly funded by the [F4Secretary of State]F5...;
 - [F6(ba) education provided in 16 to 19 Academies;]
 - (c) further education for persons aged 19 or over which is wholly or partly funded by the [F7Secretary of State]F8...;
 - (d) further education for persons aged under 19 which is provided by [F9local authorities] in England;
 - (e) further education for persons aged 19 or over which is funded by such authorities;
 - [F10(ea) further education for persons aged 19 or over which is wholly or partly funded by a combined authority;]
 - [FII(eb) further education for persons aged 19 or over which is wholly or partly funded by a combined county authority;]
 - (f) training for persons aged 16 or over which is funded by the Secretary of State under section 2 of the Employment and Training Act 1973 (c. 50);
 - (g) training for persons aged 16 or over if it is training the whole or part of which takes place at the premises of an employer and which is wholly or partly funded by the [F12 Secretary of State]F13...;
 - (h) such other education or training as may be prescribed by regulations made by the Secretary of State.
- (2) The training which may be prescribed by regulations under subsection (1)(h) includes training of or for teachers, lecturers, trainers or other persons engaged in the provision of education or training falling within subsection (1)(a) to (g).
- (3) If regulations made by the Secretary of State so provide—
 - (a) the provision of information, advice or guidance falling within [F14 section F15 ... 100(1)(j) of the Apprenticeships, Skills, Children and Learning Act 2009], or
 - (b) the provision of any description of such information, advice or guidance specified in the regulations,

is to be treated for the purposes of this Chapter as training to which it applies.

(4) In this Chapter—

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- (a) "further education" and "secondary education" have the same meanings as in EA 1996, and
- (b) any reference to institutions which are within the further education sector is to be read in accordance with section 91(3) of the Further and Higher Education Act 1992 (c. 13).

[F16(5) In this section—

"combined authority" means a combined authority established under section 103 of the Local Democracy, Economic Development and Construction Act 2009;

"combined county authority" means a combined county authority established under section 9(1) of the Levelling-up and Regeneration Act 2023.

Textual Amendments

- **F4** Words in s. 123(1)(b) substituted (1.4.2012) by Education Act 2011 (c. 21), s. 82(3), **Sch. 16 para. 30(2)**; S.I. 2012/924, art. 2
- F5 Words in s. 123(1)(b) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 51
- **F6** S. 123(1)(ba) inserted (1.4.2012) by Education Act 2011 (c. 21), s. 82(3), **Sch. 13 para. 16(6)**; S.I. 2012/924, art. 2
- F7 Words in s. 123(1)(c) substituted (1.4.2012) by Education Act 2011 (c. 21), s. 82(3), Sch. 16 para. 30(2); S.I. 2012/924, art. 2
- F8 Words in s. 123(1)(c) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 51
- F9 Words in s. 123(1)(d) substituted (5.5.2010) by The Local Education Authorities and Children's Services Authorities (Integration of Functions) Order 2010 (S.I. 2010/1158), art. 1, Sch. 2 para. 14(6)
- F10 S. 123(1)(ea) inserted (6.11.2018) by The Greater Manchester Combined Authority (Adult Education Functions) Order 2018 (S.I. 2018/1141), arts. 1(1), 9(2)
- F11 S. 123(1)(eb) inserted (26.12.2023) by Levelling-up and Regeneration Act 2023 (c. 55), s. 255(2)(c), Sch. 4 para. 161(2) (with s. 247)
- **F12** Words in s. 123(1)(g) substituted (1.4.2012) by Education Act 2011 (c. 21), s. 82(3), **Sch. 16 para. 30(2)**; S.I. 2012/924, art. 2
- **F13** Words in s. 123(1)(g) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), **Sch. 14 para. 51**
- F14 Words in s. 123(3)(a) substituted (1.4.2010) by The Apprenticeships, Skills, Children and Learning Act 2009 (Consequential Amendments) (England and Wales) Order 2010 (S.I. 2010/1080), art. 1(2)(a), Sch. 1 para. 61(c) (with art. 2(3))
- F15 Words in s. 123(3)(a) omitted (1.4.2012) by virtue of Education Act 2011 (c. 21), s. 82(3), Sch. 16 para. 30(3); S.I. 2012/924, art. 2
- F16 S. 123(5) substituted (26.12.2023) by Levelling-up and Regeneration Act 2023 (c. 55), s. 255(2)(c), Sch. 4 para. 161(3) (with s. 247)

Commencement Information

- 116 S. 123 partly in force; s. 123 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- I17 S. 123 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(u)

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Inspection

124 Inspection of education and training to which this Chapter applies E+W

- (1) The Chief Inspector must conduct—
 - (a) inspections of such education or training to which this Chapter applies as may be specified by the Secretary of State, and
 - (b) inspections of such class of education or training to which this Chapter applies as may be so specified.
- (2) The inspections are to be conducted at such intervals as may be specified by the Secretary of State.
- (3) On completing an inspection under this section, the Chief Inspector must make a written report on it.
- (4) The report—
 - (a) must state whether the Chief Inspector considers the education or training inspected to be of a quality adequate to meet the reasonable needs of those receiving it, and
 - (b) may deal with such other matters as he considers relevant.

(5)	The Ch	nief Inspector	must send	copies of	of the i	report to-	_
	(a)	the Secretar	v of State				

(4)	the secretary of state,
$^{F17}[^{F18}(b)]$	
^{F19} (ba)	

- (c) any [F20]local authority in England] providing funds for the education or training inspected, and
- (d) the provider of the education or training inspected.
- (6) Copies may also be sent to such other persons as the Chief Inspector considers appropriate.
- (7) The Chief Inspector must arrange for the report to be published in such manner as he considers appropriate.

Textual Amendments

- F17 S. 124(5)(b) omitted (1.4.2012) by virtue of Education Act 2011 (c. 21), s. 82(3), Sch. 16 para. 31; S.I. 2012/924, art. 2
- F18 S. 124(5)(b)(ba) substituted for s. 124(5)(b) (1.4.2010) by The Apprenticeships, Skills, Children and Learning Act 2009 (Consequential Amendments) (England and Wales) Order 2010 (S.I. 2010/1080), art. 1(2)(a), Sch. 1 para. 62 (with art. 2(3))
- F19 S. 124(5)(ba) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 52
- **F20** Words in s. 124(5)(c) substituted (5.5.2010) by The Local Education Authorities and Children's Services Authorities (Integration of Functions) Order 2010 (S.I. 2010/1158), art. 1, **Sch. 2 para. 14(7)**

Commencement Information

I18 S. 124 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

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125 Inspection of further education institutions E+W

- (1) The Chief Inspector must inspect all institutions within the further education sector [F21, and all 16 to 19 Academies,][F22] subject to subsection (1A)].
- [F23(1A) The Secretary of State may by regulations provide that the duty of the Chief Inspector in subsection (1) does not apply to prescribed categories of institution in prescribed circumstances.
 - (1B) An institution to which the duty in subsection (1) does not apply by virtue of regulations under subsection (1A) is an "exempt institution".]
 - (2) [F24Inspections under subsection (1)] are to be conducted at such intervals as may be specified by the Secretary of State.
 - (3) On completing an inspection under this section, the Chief Inspector must make a written report on it.
 - (4) The report—
 - (a) must state whether the Chief Inspector considers the education or training inspected to be of a quality adequate to meet the reasonable needs of those receiving it,
 - [F25(aa) must, in a case where it relates to an institution within the further education sector, comment on the careers guidance provided to relevant students at the institution,] and
 - (b) may deal with such other matters as he considers relevant.
 - (5) The Chief Inspector must send copies of the report to—
 - (a) the Secretary of State,

F26[F27(b)]

- (ba) F28 ... and
 - (c) the provider of the education or training inspected.
- (6) Copies may also be sent to such other persons as the Chief Inspector considers appropriate.
- (7) The Chief Inspector must arrange for the report to be published in such manner as he considers appropriate.
- [F29(8) In this section—

"careers guidance" includes guidance about undertaking any training, education, employment or occupation;

"relevant student" means a student—

- (a) who is aged under 19, or
- (b) who is aged 19 or over and is someone for whom an EHC plan is maintained.]

Textual Amendments

- **F21** Words in s. 125(1) inserted (1.4.2012) by Education Act 2011 (c. 21), s. 82(3), **Sch. 13 para. 16(7**); S.I. 2012/924, art. 2
- F22 Words in s. 125(1) inserted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(2)(a), 82(1)(d)
- F23 S. 125(1A)(1B) inserted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(2)(b), 82(1)(d)
- F24 Words in s. 125(2) substituted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(2)(c), 82(1)(d)

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- F25 S. 125(4)(aa) inserted (2.1.2018) by Technical and Further Education Act 2017 (c. 19), ss. 41(2), 47(2); S.I. 2017/1055, reg. 3(d)
- **F26** S. 125(5)(b) omitted (1.4.2012) by virtue of Education Act 2011 (c. 21), s. 82(3), **Sch. 16 para. 32**; S.I. 2012/924, art. 2
- F27 S. 125(5)(b)(ba) substituted for s. 125(5)(b) (1.4.2010) by The Apprenticeships, Skills, Children and Learning Act 2009 (Consequential Amendments) (England and Wales) Order 2010 (S.I. 2010/1080), art. 1(2)(a), Sch. 1 para. 63 (with art. 2(3))
- F28 S. 125(5)(ba) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 53
- **F29** S. 125(8) inserted (2.1.2018) by Technical and Further Education Act 2017 (c. 19), **ss. 41(3)**, 47(2); S.I. 2017/1055, reg. 3(d)

Commencement Information

I19 S. 125 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

126 Other inspections E+W

- (1) The Chief Inspector may inspect any education or training to which this Chapter applies (in a case where he is not required to do so by virtue of any provision of this Chapter).
- [F30(1A) The Chief Inspector must inspect an exempt institution if requested to do so by the Secretary of State.]
 - (2) The Chief Inspector may inspect any education or training to which this Chapter does not apply if—
 - (a) it is further education (whether for persons aged 16 or over but under 19, or for persons aged 19 or over) or training for persons aged 16 or over, and
 - (b) he is requested to conduct the inspection by the provider of the education or training.
- [F31(2A) On completing an inspection under subsection (1) or (1A) conducted in response to a request from the Secretary of State or any other person or body, the Chief Inspector must—
 - (a) make a written report on the inspection;
 - (b) arrange for the report to be published in such manner as the Chief Inspector considers appropriate.]
 - (3) On completing an inspection under this section [F32conducted in any other case], the Chief Inspector may—
 - (a) make a written report on it;
 - (b) arrange for the report to be published in such manner as he considers appropriate.
 - (4) If the Chief Inspector makes a report of an inspection conducted under subsection (1) [F33 or (1A)], he must send copies of the report to—
 - - (c) any [F37]local authority in England] providing funds for the education or training inspected, and
 - (d) the provider of the education or training inspected.

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Changes to legislation: Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

- (5) Copies may also be sent to such other persons as the Chief Inspector considers appropriate.
- [F38(5A) In the case of an inspection conducted under subsection (1) in response to a request from the provider of the education or training concerned, the Chief Inspector may charge the provider for the cost of the inspection.]
 - (6) In the case of an inspection conducted under subsection (2) the Chief Inspector may charge the provider of the education or training concerned for the cost of the inspection.
 - (7) For the purposes of that subsection it is immaterial whether the education or training concerned is provided in the United Kingdom or elsewhere.
 - [F39(8) In this section "exempt institution" has the meaning given by section 125(1B).]

Textual Amendments

- **F30** S. 126(1A) inserted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(4), 82(1)(d)
- F31 S. 126(2A) inserted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(5), 82(1)(d)
- **F32** Words in s. 126(3) inserted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(6), 82(1)(d)
- F33 Words in s. 126(4) inserted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(7), 82(1)(d)
- **F34** S. 126(4)(b) omitted (1.4.2012) by virtue of Education Act 2011 (c. 21), s. 82(3), **Sch. 16 para. 33**; S.I. 2012/924, art. 2
- F35 S. 126(4)(b)(ba) substituted for s. 126(4)(b) (1.4.2010) by The Apprenticeships, Skills, Children and Learning Act 2009 (Consequential Amendments) (England and Wales) Order 2010 (S.I. 2010/1080), art. 1(2)(a), Sch. 1 para. 64 (with art. 2(3))
- F36 S. 126(4)(ba) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 54
- F37 Words in s. 126(4)(c) substituted (5.5.2010) by The Local Education Authorities and Children's Services Authorities (Integration of Functions) Order 2010 (S.I. 2010/1158), art. 1, Sch. 2 para. 14(7)
- F38 S. 126(5A) inserted (1.2.2012) by Education Act 2011 (c. 21), ss. 42(8), 82(3); S.I. 2012/84, art. 3
- **F39** S. 126(8) inserted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(9), 82(1)(d)

Commencement Information

I20 S. 126 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

127 Action plans E+W

- (1) This section applies where the Chief Inspector publishes a report of an inspection conducted under section 124 or 125 or section 126(1) [F40] or (1A)].
- (2) The provider of the education or training which is the subject of the report must prepare a written statement of—
 - (a) the action which he proposes to take in the light of the report, and
 - (b) the period within which he proposes to take that action.
- (3) That person must—
 - (a) publish the statement within such period, and in such manner, as may be prescribed by regulations made by the Secretary of State; and
 - (b) send copies of it to such persons as may be so prescribed.
- (4) The requirements of subsection (2) may be waived by the Chief Inspector.

Chapter 3 – Inspection of Further Education and Training etc.

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Textual Amendments

F40 Words in s. 127(1) inserted (15.11.2011) by Education Act 2011 (c. 21), ss. 42(10), 82(1)(d)

Commencement Information

- I21 S. 127 partly in force; s. 127 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- I22 S. 127 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(u)

128 Area inspections E+W

- (1) If requested to do so by the Secretary of State, the Chief Inspector must inspect—
 - (a) the quality and availability of a specified description of education or training, in a specified area in England, for persons who are aged 15 or over but under 19:
 - (b) the standards achieved by those receiving that education or training; and
 - (c) whether the financial resources made available to those providing that education and training are managed efficiently and used in a way which provides value for money.
- (2) The Chief Inspector may conduct such an inspection without being requested to do so.
- (3) Subsection (4) applies if financial resources have been applied by—
 - [F41(a) the [F42Secretary of State],]
 - (aa) F43... or
 - (b) a [F44]local authority in England],

in respect of education or training which is being inspected under this section.

- (4) In such a case the inspection may extend to considering whether the application of those resources in that way—
 - (a) constituted an efficient and effective use of the resources for the purpose of meeting the needs of persons within subsection (1)(a) as regards education or training of the kind in question, and
 - (b) was appropriate to secure value for money.
- (5) The education or training that may be made the subject of an inspection under this section ("an area inspection") is—
 - (a) any education or training to which this Chapter applies, or
 - (b) any other education or training within the scope of the Chief Inspector's functions.
- (6) A provider of education or training which is the subject of an area inspection must provide the Chief Inspector with any information reasonably requested by him in connection with the inspection.
- (7) Any [F45] local authority in England] whose area is wholly or partly within the area which is the subject of an area inspection must provide the Chief Inspector with any information reasonably requested by him in connection with the inspection.
- (8) In subsection (1)(a) the reference to persons who are aged 15 includes persons—
 - (a) for whom education is being provided at a school, and

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(b) who will attain that age in the current school year; and for this purpose "school" and "school year" have the same meanings as in EA 1996.

Textual Amendments

- F41 S. 128(3)(a)(aa) substituted for s. 128(3)(a) (1.4.2010) by The Apprenticeships, Skills, Children and Learning Act 2009 (Consequential Amendments) (England and Wales) Order 2010 (S.I. 2010/1080), art. 1(2)(a), Sch. 1 para. 65 (with art. 2(3))
- **F42** Words in s. 128(3)(a) substituted (1.4.2012) by Education Act 2011 (c. 21), s. 82(3), **Sch. 16 para. 34**; S.I. 2012/924, art. 2
- F43 S. 128(3)(aa) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 55
- F44 Words in s. 128(3)(b) substituted (5.5.2010) by The Local Education Authorities and Children's Services Authorities (Integration of Functions) Order 2010 (S.I. 2010/1158), art. 1, Sch. 2 para. 14(7)
- F45 Words in s. 128(7) substituted (5.5.2010) by The Local Education Authorities and Children's Services Authorities (Integration of Functions) Order 2010 (S.I. 2010/1158), art. 1, Sch. 2 para. 14(7)

Modifications etc. (not altering text)

- C2 S. 128(3)(4) applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), Sch. para. 2
- C3 S. 128(6)(7) applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), Sch. para. 2

Commencement Information

I23 S. 128 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

129 Reports of area inspections E+W

- (1) On completing an area inspection conducted under section 128, the Chief Inspector must make a written report on it.
- (2) The Chief Inspector must send copies of the report to—
 - (a) the Secretary of State,

 $^{\text{F46}}\text{I}^{\text{F47}}\text{(b)}]$

- (ba) F48 ... and
- (c) each [^{F49}local authority in England] whose area is wholly or partly within the area subject to the inspection.
- (3) Copies may also be sent to such other persons as the Chief Inspector considers appropriate.
- (4) The Chief Inspector must arrange for the report to be published in such manner as he considers appropriate.

Textual Amendments

F46 S. 129(2)(b) omitted (1.4.2012) by virtue of Education Act 2011 (c. 21), s. 82(3), **Sch. 16 para. 35**; S.I. 2012/924, art. 2

Chapter 3 – Inspection of Further Education and Training etc.

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- F47 S. 129(2)(b)(ba) substituted for s. 129(2)(b) (1.4.2010) by The Apprenticeships, Skills, Children and Learning Act 2009 (Consequential Amendments) (England and Wales) Order 2010 (S.I. 2010/1080), art. 1(2)(a), Sch. 1 para. 66 (with art. 2(3))
- **F48** S. 129(2)(ba) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), **Sch. 14** para. 56
- F49 Words in s. 129(2)(c) substituted (5.5.2010) by The Local Education Authorities and Children's Services Authorities (Integration of Functions) Order 2010 (S.I. 2010/1158), art. 1, Sch. 2 para. 14(7)

Commencement Information

I24 S. 129 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

130 Action plans following area inspections E+W

(1) This section applies w	here the Chief Insp	ector publishes a re	eport of an area	inspection
conducted under section	on 128.			

F50	2)																																
,	_,	•	•	٠	•	•	٠	•	•	٠	•	•	٠	•	•	٠	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	

- (3) The Secretary of State may direct a [F51] local authority in England] whose area is wholly or partly within the area covered by the report to prepare a written statement of—
 - (a) the action which they propose to take in the light of the report, and
 - (b) the period within which they propose to take that action.
- (4) In preparing a statement under subsection^{F52}... (3) ^{F53}... the authority must consult such persons as the Secretary of State may direct.
- (5) The F54... authority must—
 - (a) publish the statement within such period, and in such manner, as may be prescribed by regulations made by the Secretary of State; and
 - (b) send copies of it to such persons as may be so prescribed.

F55(6)													

Textual Amendments

- **F50** S. 130(2) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), **Sch. 14 para. 57(2)**
- F51 Words in s. 130(3) substituted (5.5.2010) by The Local Education Authorities and Children's Services Authorities (Integration of Functions) Order 2010 (S.I. 2010/1158), art. 1, Sch. 2 para. 14(7)
- F52 Words in s. 130(4) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 57(3)(a)
- F53 Words in s. 130(4) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 57(3)(b)
- **F54** Words in s. 130(5) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), **Sch.** 14 para. 57(4)
- **F55** S. 130(6) omitted (1.4.2012) by virtue of Education Act 2011 (c. 21), s. 82(3), **Sch. 16 para. 36(4)**; S.I. 2012/924, art. 2

Commencement Information

- S. 130 partly in force; s. 130 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- I26 S. 130 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(u)

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Powers of entry etc.

131 Power of entry E+W

- (1) This section applies to an inspection conducted by the Chief Inspector under this Chapter, other than one conducted under section 126(2).
- (2) When conducting such an inspection, the Chief Inspector may, at any reasonable time, enter—
 - (a) any premises on which the education or training inspected is provided;
 - (b) any premises of the provider of that education or training which are used in connection with its provision.
- (3) In respect of education or training provided by an employer in the workplace, the power of entry conferred by subsection (2) may be exercised only if the employer has been given reasonable notice in writing.

Modifications etc. (not altering text)

C4 S. 131 applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), **Sch. para. 2**

Commencement Information

I27 S. 131 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

Power to inspect documents, etc. E+W

- (1) This section applies to any inspection conducted by the Chief Inspector under this Chapter, other than one conducted under section 126(2).
- (2) If the Chief Inspector considers it necessary or expedient for the purposes of the inspection, he may inspect, take copies of, or take away any documents relating to the education or training inspected which are on any premises in relation to which he exercises his power of entry under section 131.
- (3) The power in subsection (2) includes—
 - (a) power to require any person holding or accountable for any documents kept on the premises to produce them, and
 - (b) in relation to any such documents kept by means of a computer, power to require them to be produced in a form in which they are legible and can be taken away.
- (4) In connection with inspecting any such documents the Chief Inspector—
 - (a) may obtain access to, and inspect and check the operation of, any computer and associated apparatus or material which he considers is or has been in use in connection with the documents; and
 - (b) may require a person within subsection (5) to afford him such reasonable assistance as he may require for that purpose.
- (5) A person is within this subsection if he is—
 - (a) the person by whom or on whose behalf the computer is or has been used, or

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- (b) a person having charge of, or otherwise concerned with the operation of, the computer, apparatus or material.
- (6) The powers conferred by this section may be exercised by the Chief Inspector at reasonable times only; and a person may not be required to do anything in pursuance of any provision of this section otherwise than at a reasonable time.
- (7) Any person who without reasonable excuse—
 - (a) obstructs the exercise of any power conferred by section 131 or this section, or
 - (b) fails to comply with any requirement imposed under this section,

is guilty of an offence and liable on summary conviction to a fine not exceeding level 4 on the standard scale.

Modifications etc. (not altering text)

C5 S. 132 applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), **Sch. para. 2**

Commencement Information

I28 S. 132 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

Other provisions

133 Framework for inspections E+W

- (1) The Chief Inspector must devise—
 - (a) a common set of principles applicable to all inspections conducted under this Chapter, or
 - (b) two or more common sets of principles each of which is applicable to a particular description of such inspections.
- (2) A set of principles devised under subsection (1)(a) or (b) is referred to in this section as a "framework".
- (3) If the Chief Inspector devises two or more frameworks under subsection (1)(b), he must ensure that, taken together, they cover all inspections conducted under this Chapter.
- (4) The Chief Inspector must publish a framework in such manner as he considers appropriate.
- (5) The Chief Inspector may at any time revise a framework.
- (6) The Chief Inspector must publish a revised framework in such manner as he considers appropriate.

Commencement Information

I29 S. 133 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

Chapter 4 – Inspection ... of Local Authorities in England

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134 Abolition of Adult Learning Inspectorate E+W

- (1) The Adult Learning Inspectorate is abolished on the appointed day.
- (2) In this section "the appointed day" means the day appointed under section 188 for the coming into force of this section.

Commencement Information

I30 S. 134 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

CHAPTER 4 E+W

F56INSPECTION ... OF LOCAL AUTHORITIES IN ENGLAND

Textual Amendments

F56 Words in Pt. 8 Ch. 4 heading omitted (18.7.2012) by virtue of The Legislative Reform (Annual Review of Local Authorities) Order 2012 (S.I. 2012/1879), arts. 1, **4(2)**

Functions to which this Chapter applies

Functions to which this Chapter applies and related activities E+W

- (1) This Chapter applies to the following functions of a local authority in England—
 - (a) the functions conferred on the authority under Part 1 of the Childcare Act 2006 (c. 21),
 - [F57(b) the education functions of the authority (as defined in section 579(1) of EA 1996).]
 - (c) the functions conferred on the authority under sections 10, 12 and 17 to 19 of the Children Act 2004 (c. 31),
 - (d) the social services functions (within the meaning of the Local Authority Social Services Act 1970 (c. 42)) of the authority, so far as relating to persons aged under 18,
 - (e) (whether or not within paragraph (d)) functions conferred on or exercisable by the authority under the Children Act 1989 (c. 41), the Adoption (Intercountry Aspects) Act 1999 (c. 18) [F58], the Adoption and Children Act 2002 or section 2 of the Children and Social Work Act 2017] and functions continuing to be exercisable by the authority under the Adoption Act 1976 (c. 36), F59...
 - [F60(ea) any function conferred on the local authority under section 2 of the Childcare Act 2016; and]
 - (f) such other functions of the authority as may be prescribed by regulations made by the Secretary of State.
- (2) In this Chapter "related activity", in relation to a function to which this Chapter applies, means (subject to subsections (3) and (4)) anything done in any place by, or pursuant to arrangements made by, the authority under section 2(1)(a) or (b) of the Local Government Act 2000 (c. 22) (promotion of economic and social well-being) [F61] or section 1 of the Localism Act 2011 (local authority's general power of competence)]

Part 8 – Inspections Chapter 4 – Inspection ... of Local Authorities in England Document Generated: 2024-04-19

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which is similar in nature to anything which could be done by the authority in the performance of the function in question.

- (3) In relation to a function within subsection (1)(c), (d) or (e), anything done as mentioned in subsection (2) is a "related activity" only if it is done in relation to or for the benefit of—
 - (a) persons aged under 18,
 - (b) persons aged 18 or over in relation to whom the authority have functions under any of sections 23C to 24D of the Children Act 1989, or
 - (c) persons not within paragraph (a) or (b) in connection with adoption or special guardianship.

In paragraph (c) "special guardianship" means special guardianship under sections 14A to 14G of the Children Act 1989.

- (4) In relation to a function prescribed by regulations under subsection (1)(f), anything done as mentioned in subsection (2) is a "related activity" only if it is prescribed as such by the regulations.
- (5) On the coming into force of this Chapter the Commission for Social Care Inspection is to cease to have functions under Chapter 5 of Part 2 of the Health and Social Care (Community Health and Standards) Act 2003 (c. 43) in relation to anything which may be inspected by the Chief Inspector under this Chapter.

Textual Amendments

- F57 S. 135(1)(b) substituted (5.5.2010) by The Local Education Authorities and Children's Services Authorities (Integration of Functions) Order 2010 (S.I. 2010/1158), art. 1, Sch. 2 para. 14(8)
- **F58** Words in s. 135(1)(e) substituted (1.4.2018) by Children and Social Work Act 2017 (c. 16), s. 70(2), **Sch. 2 para. 3**; S.I. 2018/346, reg. 4(aa)
- F59 Word in s. 135(1)(e) omitted (10.1.2017) by virtue of The Childcare (Early Years Provision Free of Charge) (Extended Entitlement) Regulations 2016 (S.I. 2016/1257), regs. 1(2), 43(a)
- **F60** S. 135(1)(ea) inserted (10.1.2017) by The Childcare (Early Years Provision Free of Charge) (Extended Entitlement) Regulations 2016 (S.I. 2016/1257), regs. 1(2), **43(b)**
- **F61** Words in s. 135(2) inserted (28.3.2012) by The Localism Act 2011 (Consequential Amendments) Order 2012 (S.I. 2012/961), art. 1(2), **Sch. 1 para. 8**

Commencement Information

- I31 S. 135 partly in force; s. 135 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- I32 S. 135 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(u)

Inspections F62...

Textual Amendments

F62 Words in s. 136 cross-heading omitted (18.7.2012) by virtue of The Legislative Reform (Annual Review of Local Authorities) Order 2012 (S.I. 2012/1879), arts. 1, **4(3)**

Chapter 4 - Inspection ... of Local Authorities in England

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136 Inspection of local authorities in England E+W

- (1) The Chief Inspector may inspect—
 - (a) the overall performance by any local authority in England of the functions to which this Chapter applies, or
 - (b) the performance by any such authority of any particular function or functions comprised in the functions to which this Chapter applies.
- (2) An inspection under subsection (1) of the performance by an authority of any function must include an inspection of any related activity.
- (3) When requested to do so by the Secretary of State, the Chief Inspector must conduct an inspection under this section in relation to the local authority specified in the request.
- (4) Such a request may specify particular matters which the Chief Inspector must inspect.

Commencement Information

I33 S. 136 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

137 Reports of inspections under section 136 E+W

- (1) On completing an inspection under section 136, the Chief Inspector must make a written report on the matters which were the subject of the inspection.
- (2) The Chief Inspector must send copies of the report to—
 - (a) the local authority in England which was inspected, and
 - (b) the Secretary of State.
- (3) Where an authority receive a copy of a report under this section, they must prepare a written statement of—
 - (a) the action which they propose to take in the light of the report, and
 - (b) the period within which they propose to take that action.
- (4) The authority must publish—
 - (a) the report, and
 - (b) the statement prepared under subsection (3),

within such period, and in such manner, as may be prescribed by regulations made by the Secretary of State.

- (5) Such regulations may provide for the authority to charge a reasonable fee for providing a person with a copy of a document published under subsection (4).
- (6) The Chief Inspector may arrange for any report under this section to be published in such manner as he considers appropriate.

Commencement Information

- I34 S. 137 partly in force; s. 137 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- I35 S. 137 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(u)

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Textu F63	ual Amendments S. 138 repealed (18.7.2012) by The Legislative Reform (Annual Review of Local Authorities) Order 2012 (S.I. 2012/1879), arts. 1, 3
	Powers of entry etc.
139	Power of entry E+W
((1) This section applies to— (a) any inspection conducted by the Chief Inspector under section 136, F64 F64(b)
((2) The Chief Inspector may, at any reasonable time, enter any premises for the purposes of the inspection ^{F65} , other than any premises excluded by subsection (3).
	(3) The premises excluded by this subsection are any domestic premises that are not a school (within the meaning of EA 1996).
	ual Amendments
F64 F65	Local Authorities) Order 2012 (S.I. 2012/1879), arts. 1, 4(4)(a)
Modi C6	ifications etc. (not altering text) S. 139 applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), Sch. para. 1
Com I36	mencement Information S. 139 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)
140	Power to inspect documents, etc. E+W
((1) This section applies to—
	(a) any inspection conducted by the Chief Inspector under section 136, F66 F66(b)
((2) If the Chief Inspector considers it necessary or expedient for the purposes of the inspection ^{F67} , he may do any of the following—
	(a) inspect, take copies of, or take away any documents which—
	(i) relate to the performance by the local authority being inspected ^{F68}

of any function to which this Chapter applies, or to any related

activity, and

Chapter 4 - Inspection ... of Local Authorities in England

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- (ii) are on any premises in relation to which he exercises his power of entry under section 139,
- (b) inspect or take away any other item which is on the premises,
- (c) interview in private—
 - (i) any person working on the premises, or
 - (ii) (subject to subsection (3)) any person accommodated or cared for there, and
- (d) make any other examination into the state and management of the premises and treatment of persons accommodated or cared for there.
- (3) Subsection (2)(c)(ii) does not apply unless consent to the interview is given by or on behalf of the person concerned.
- (4) The power in subsection (2)(a) includes—
 - (a) power to require any person holding or accountable for any documents kept on the premises to produce them, and
 - (b) in relation to documents kept by means of a computer, power to require them to be produced in a form in which they are legible and can be taken away.
- (5) In connection with inspecting any such documents, the Chief Inspector—
 - (a) may obtain access to, and inspect and check the operation of, any computer and associated apparatus or material which he considers is or has been in use in connection with the documents, and
 - (b) may require a person within subsection (6) to afford him such reasonable assistance as he may require for that purpose.
- (6) A person is within this subsection if he is—
 - (a) the person by whom or on whose behalf the computer is or has been used, or
 - (b) a person having charge of, or otherwise concerned with the operation of, the computer, apparatus or material.
- (7) The Chief Inspector may—
 - (a) require any person to afford him such facilities and assistance with respect to matters within the person's control as are necessary to enable him to exercise his powers under section 139 or this section, and
 - (b) take such measurements and photographs and make such recordings as he considers necessary to enable him to exercise those powers.
- (8) The powers conferred by this section may be exercised by the Chief Inspector at reasonable times only; and a person may not be required to do anything in pursuance of any provision of this section otherwise than at a reasonable time.
- (9) Any person who without reasonable excuse—
 - (a) obstructs the exercise of any power conferred by section 139 or this section, or
 - (b) fails to comply with any requirement imposed under this section,

is guilty of an offence and liable on summary conviction to a fine not exceeding level 4 on the standard scale.

Textual Amendments

F66 S. 140(1)(b) and word omitted (18.7.2012) by virtue of The Legislative Reform (Annual Review of Local Authorities) Order 2012 (S.I. 2012/1879), arts. 1, 4(5)(a)

Chapter 4 – Inspection ... of Local Authorities in England Document Generated: 2024-04-19

Changes to legislation: Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

- **F67** Words in s. 140(2) omitted (18.7.2012) by virtue of The Legislative Reform (Annual Review of Local Authorities) Order 2012 (S.I. 2012/1879), arts. 1, 4(5)(b)(i)
- F68 Words in s. 140(2)(a)(i) omitted (18.7.2012) by virtue of The Legislative Reform (Annual Review of Local Authorities) Order 2012 (S.I. 2012/1879), arts. 1, 4(5)(b)(ii)

Modifications etc. (not altering text)

C7 S. 140 applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), **Sch. para. 1**

Commencement Information

I37 S. 140 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

Supplementary

141 Power to require information etc. E+W

- (1) The Chief Inspector may at any time require any person within subsection (2) to provide him with any information, documents or other items—
 - (a) which relates or relate to the performance by a local authority in England of any of the functions to which this Chapter applies or any related activity, and
 - (b) which the Chief Inspector considers it necessary or expedient to have for the purposes of, or in connection with, the performance by him of any function under this Chapter.
- (2) The persons within this subsection are—
 - (a) the local authority;
 - (b) any person with whom the authority have entered into arrangements—
 - (i) in the performance of any of the functions to which this Chapter applies, or
 - (ii) in connection with any related activity.
- (3) The power in subsection (1) includes, in relation to documents kept by means of a computer, power to require them to be produced in a form in which they are legible and can be taken away.
- (4) Any person who without reasonable excuse fails to comply with any requirement imposed by virtue of this section is guilty of an offence and liable on summary conviction to a fine not exceeding level 4 on the standard scale.

Modifications etc. (not altering text)

C8 S. 141 applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), Sch. para. 1

Commencement Information

I38 S. 141 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

Chapter 5 – Inspection of CAFCASS functions

Document Generated: 2024-04-19

Changes to legislation: Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

142 Interpretation etc. E+W

- (1) In this Chapter "related activity", in relation to a function of a local authority to which this Chapter applies, has the meaning given by section 135(2) to (4).
- (2) For the purposes of this Chapter, references to the performance by a local authority in England of any function include references to—
 - (a) anything done in any place by the authority in the performance of the function, and
 - (b) anything done in any place by another person pursuant to arrangements made by the authority in the performance of the function.
- (3) This Chapter applies in relation to the Isles of Scilly subject to such modifications as may be specified by order made by the Secretary of State.

Commencement Information

- I39 S. 142 partly in force; s. 142 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- 140 S. 142 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(u)

CHAPTER 5 E+W

INSPECTION OF CAFCASS FUNCTIONS

143 Inspection of CAFCASS functions E+W

- (1) The Chief Inspector must inspect the performance of CAFCASS functions.
- (2) On completing an inspection under this section, the Chief Inspector must make a written report on it.
- (3) The Chief Inspector must send copies of the report to—
 - (a) the Secretary of State, and
 - (b) CAFCASS.
- (4) The Chief Inspector must arrange for the report to be published in such manner as he considers appropriate.
- (5) In this Chapter—
 - (a) "CAFCASS" means the Children and Family Court Advisory and Support Service, and
 - (b) "CAFCASS functions" means the functions of CAFCASS and its officers.

Commencement Information

I41 S. 143 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

Changes to legislation: Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

144 Power of entry E+W

- (1) This section applies to an inspection conducted by the Chief Inspector under section 143.
- (2) The Chief Inspector may, at any reasonable time, enter for the purposes of the inspection—
 - (a) any premises occupied by CAFCASS,
 - (b) any premises occupied by any organisation with whom arrangements have been made under section 13 of the 2000 Act (other powers of CAFCASS) in respect of the performance of any CAFCASS functions, and so occupied in connection with the performance of any such functions, or
 - (c) any premises occupied by any individual in connection with the performance of functions of an officer of the Service in accordance with arrangements under that section.
- (3) Subsection (2) does not confer a power of entry to any part of any domestic premises.
- (4) In this section and section 145 "officer of the Service" is to be construed in accordance with section 11(3) of the 2000 Act (establishment of CAFCASS).
- (5) In this section "the 2000 Act" means the Criminal Justice and Court Services Act 2000 (c. 43).

Modifications etc. (not altering text)

C9 S. 144 applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), Sch. para. 4

Commencement Information

I42 S. 144 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

Power to inspect documents, etc. E+W

- (1) This section applies to any inspection conducted by the Chief Inspector under section 143.
- (2) If the Chief Inspector considers it necessary or expedient for the purposes of the inspection, he may inspect, take copies of, or take away any documents kept by CAFCASS, or otherwise relating to the performance of CAFCASS functions, which—
 - (a) are on any premises in relation to which he exercises his power of entry under section 144, or
 - (b) are kept by an officer of the Service on domestic premises.
- (3) The power in subsection (2) includes—
 - (a) power to require any person holding or accountable for any documents kept on the premises to produce them, and
 - (b) in relation to documents kept by means of a computer, power to require them to be produced in a form in which they are legible and can be taken away.
- (4) In connection with inspecting any such documents the Chief Inspector—

Chapter 6 – Further provisions relating to functions of Chief Inspector

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- (a) may obtain access to, and inspect and check the operation of, any computer and associated apparatus or material which he considers is or has been in use in connection with the documents; and
- (b) may require a person within subsection (6) to afford him such reasonable assistance as he may require for that purpose.
- (5) Where any such computer, apparatus or material is kept on domestic premises—
 - (a) subsection (4) does not apply, but
 - (b) the Chief Inspector may require a person within subsection (6) to give him possession of it for the purpose of inspecting it and checking its operation.
- (6) A person is within this subsection if he is—
 - (a) the person by whom or on whose behalf the computer is or has been used, or
 - (b) a person having charge of, or otherwise concerned with the operation of, the computer, apparatus or material.
- (7) Where the Chief Inspector takes possession of anything under subsection (5)—
 - (a) he may retain it for as long as he considers that it is necessary to retain it for the purposes of the inspection under section 143, but
 - (b) once he considers that it is no longer necessary to retain it for those purposes, he must arrange for it to be returned to the person from whose possession it was taken.
- (8) The powers conferred by this section may be exercised by the Chief Inspector at reasonable times only; and a person may not be required to do anything in pursuance of any provision of this section otherwise than at a reasonable time.

Modifications etc. (not altering text)

C10 S. 145 applied (9.11.2015) by The Children Act 2004 (Joint Area Reviews) Regulations 2015 (S.I. 2015/1792), reg. 1(1), **Sch. para. 4**

Commencement Information

I43 S. 145 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

CHAPTER 6 E+W

FURTHER PROVISIONS RELATING TO FUNCTIONS OF CHIEF INSPECTOR

Functions

146 Inspection of secure training centres [F69 and secure colleges] E+W

- (1) The Chief Inspector and the Secretary of State may make arrangements for the Chief Inspector to conduct inspections of secure training centres [F70] and secure colleges] in England.
- (2) Inspections under this section shall be on such terms, including terms as to payments to be made to the Chief Inspector in respect of such inspections, as the Chief Inspector and the Secretary of State may agree in the arrangements.

Chapter 6 – Further provisions relating to functions of Chief Inspector Document Generated: 2024-04-19

Changes to legislation: Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Textual Amendments

- **F69** Words in s. 146 heading inserted (20.3.2015) by Criminal Justice and Courts Act 2015 (c. 2), s. 95(1), **Sch. 9 para. 22(2)**; S.I. 2015/778, art. 2(1)(c)
- F70 Words in s. 146(1) inserted (20.3.2015) by Criminal Justice and Courts Act 2015 (c. 2), s. 95(1), Sch. 9 para. 22(3); S.I. 2015/778, art. 2(1)(c)
- F71 S. 146(3) omitted (20.3.2015) by virtue of Criminal Justice and Courts Act 2015 (c. 2), s. 95(1), Sch. 9 para. 22(4); S.I. 2015/778, art. 2(1)(c)

Commencement Information

I44 S. 146 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

Inspection of premises in connection with adoption and fostering functions E +W

- (1) The Secretary of State may by regulations require the Chief Inspector to arrange for premises which are used by a local authority in England in their performance of relevant functions to be inspected on such occasions or at such intervals as may be specified in the regulations.
- (2) An inspection under this section is to be regarded for all purposes as undertaken under section 136.
- (3) In this section "relevant functions", in relation to a local authority, has the same meaning as in Part 3 of the Care Standards Act 2000 (c. 14).

Commencement Information

- S. 147 partly in force; s. 147 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- I46 S. 147 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(u)

148 Transfer of certain CSCI functions to the Chief Inspector E+W

- (1) The functions of the CSCI under Part 2 of the Care Standards Act 2000 (registration and standards) as the registration authority in relation to—
 - (a) children's homes,
 - (b) residential family centres,
 - (c) fostering agencies,
 - (d) voluntary adoption agencies, and
 - (e) adoption support agencies,

are transferred to the Chief Inspector.

(2) The Chief Inspector shall make available to the public information about services of the kind provided by persons for whom he is [F72(in accordance with subsection (1))] the registration authority under Part 2 of the Care Standards Act 2000.

Chapter 6 – Further provisions relating to functions of Chief Inspector

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Changes to legislation: Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

- (3) The functions of the CSCI under sections 65 and 87 to 87D of the Children Act 1989 (c. 41) (functions relating to children's homes, boarding schools and colleges) are transferred to the Chief Inspector.
- (4) In this section "the CSCI" means the Commission for Social Care Inspection.

Textual Amendments

F72 Words in s. 148(2) repealed (1.4.2011 for E.) by Children and Young Persons Act 2008 (c. 23), ss. 4(4), 44(4), **Sch. 4** (with s. 6); S.I. 2010/2981, art. 4(1)

Commencement Information

I47 S. 148 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

149 Interaction with other authorities E+W

Schedule 13 contains provisions authorising or requiring the Chief Inspector to take certain action in relation to other authorities, including the carrying out of inspections under arrangements with them.

Commencement Information

I48 S. 149 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

General provisions

150 Evidence of authority E+W

- (1) This section applies to any person exercising (in accordance with paragraph 9 of Schedule 12)—
 - (a) any power of entry conferred on the Chief Inspector by virtue of any enactment,
 - (b) any power to inspect documents so conferred, or
 - (c) any power so conferred in connection with the inspection of documents.
- (2) Any such person must, if so required, produce a duly authenticated document showing his authority to exercise the power concerned.
- (3) Nothing in this section applies in relation to any exercise of the power conferred by section 141(1).

Commencement Information

I49 S. 150 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

151 Publication of inspection reports E+W

(1) For the purposes of the law of defamation, a report made by the Chief Inspector which—

Chapter 6 – Further provisions relating to functions of Chief Inspector Document Generated: 2024-04-19

Changes to legislation: Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

- (a) is published under any enactment, or
- (b) is not so published but is made in pursuance of his functions under any enactment.

is privileged unless its publication is shown to have been made with malice.

- (2) Where by virtue of any enactment the Chief Inspector has power to arrange for a report made by him to be published in a manner determined by him, he may (if he considers it appropriate to do so) arrange for the report to be published by electronic means only.
- (3) Nothing in this section—
 - (a) limits any privilege subsisting apart from subsection (1), or
 - (b) prejudices the generality of any power of the Chief Inspector subsisting apart from subsection (2).

Modifications etc. (not altering text)

C11 S. 151 applied by 2005 c. 18, s. 10A(4) (as inserted (12.1.2010) by Apprenticeships, Skills, Children and Learning Act 2009 (c. 22), ss. 225(2), 269(4); S.I. 2009/3317, art. 2, Sch.)

Commencement Information

I50 S. 151 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

152 Combined reports E+W

- (1) Nothing in any enactment prevents the Chief Inspector from—
 - (a) combining in a single document two or more reports which are required to be made by him under any enactment or enactments, or
 - (b) combining in a single document one or more such reports and one or more reports which are required to be made by one or more other persons under any enactment or enactments,

and (in either case) combining the substantive reports to such extent as he considers appropriate.

- (2) In this section such a document is referred to as a "combined report".
- (3) Where a combined report is made, any reference in any enactment—
 - (a) to the publication of a report, or
 - (b) to the giving or making available to any person of a copy of a report,

is to be read, so far as necessary, as a reference to the publication of the combined report, or to the giving or making available to that person of a copy of the combined report.

- (4) The Chief Inspector may arrange for a combined report to be published in any manner he considers appropriate, but this subsection does not limit any duty as to publication imposed by any enactment.
- (5) The provisions of section 151 apply to a combined report (whether or not they would otherwise so apply).

Part 8 - Inspections

Chapter 7 – Miscellaneous and supplementary

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Changes to legislation: Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Commencement Information

I51 S. 152 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

153 Use of information E+W

Information obtained by the Chief Inspector in connection with any of his functions may be used by him in connection with any of his other functions.

Commencement Information

I52 S. 153 in force at 1.4.2007 by S.I. 2007/935, art. 5(u)

CHAPTER 7 E+W

MISCELLANEOUS AND SUPPLEMENTARY

Miscellaneous

F73154	Duty to report on contribution of certain schools to community cohesion	E+W
	al Amendments	
F73	S. 154 repealed (15.11.2011) by Education Act 2011 (c. 21), ss. 41(2), 82(1)(c)	

Payment of annual fee to the Chief Inspector by local authorities E+W

- (1) Regulations made by the Secretary of State may require a local authority in England to pay to the Chief Inspector an annual fee in respect of the discharge by the authority of any of their relevant functions specified in the regulations.
- (2) The regulations must specify—
 - (a) the amount of the fee, and
 - (b) the time at which it is to be paid.
- (3) The Chief Inspector may make a scheme under subsection (4) that is to have effect at a time when no regulations are in force under subsection (1).
- (4) A scheme under this subsection ("a scheme") may provide for a local authority in England to be required to pay to the Chief Inspector an annual fee in respect of the discharge by the authority of any of their relevant functions specified in the scheme.
- (5) The amount of the fee payable by virtue of a scheme is to be such as may be specified in, or calculated or determined under, the scheme.
- (6) A scheme may include provision—
 - (a) for different fees to be paid in different cases or classes of case;

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- (b) for the amount of a fee to be determined by the Chief Inspector in accordance with specified factors;
- (c) for the time by which a fee must be paid;
- (d) for varying or revoking a previous scheme.
- (7) Before making a scheme the Chief Inspector must consult such persons as he considers appropriate.
- (8) The Chief Inspector must arrange for a scheme to be published in such manner as he considers appropriate.
- (9) A local authority in England must provide the Chief Inspector with such information as he requires for the purpose of determining the amount of a fee payable by the authority by virtue of a scheme.
- (10) A fee payable by virtue of this section may be recovered summarily as a civil debt.
- (11) But subsection (10) is not to be read as prejudicing any other method of recovery.
- (12) In this section "relevant functions", in relation to a local authority, has the same meaning as in Part 3 of the Care Standards Act 2000 (c. 14).

Commencement Information

- 153 S. 155 partly in force; s. 155 in force at 8.11.2006 in so far as it confers power to make subordinate legislation see s. 188(1)
- **I54** S. 155 in force at 1.4.2007 in so far as not already in force by S.I. 2007/935, art. 5(v)

Removal of HMICA's duty to inspect performance of Assembly's functions relating to family proceedings E+W

Textual Amendments

F74 S. 156 repealed (18.9.2012) by The Public Bodies (Abolition of Her Majesty's Inspectorate of Courts Administration and the Public Guardian Board) Order 2012 (S.I. 2012/2401), art. 1(2)(3), Sch. 1 para. 21 (with art. 2)

Supplementary

157 Minor and consequential amendments E+W

Schedule 14 contains minor and consequential amendments relating to the provision made by this Part.

Commencement Information

I55 S. 157 in force at 1.4.2007 by S.I. 2007/935, art. 5(w)

Part 8 – Inspections

Chapter 7 – Miscellaneous and supplementary

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158 Transitional provisions and savings E+W

Schedule 15 contains—

- (a) provision for the transfer of staff, property, rights and liabilities in connection with the establishment of the Office, and
- (b) other transitional provisions and savings.

Commencement Information

56 S. 158 in force at 12.12.2006 for specified purposes by S.I. 2006/2990, art. 2(f)

157 S. 158 in force at 1.4.2007 for specified purposes by S.I. 2007/935, art. 5(x)

159 Interpretation of Part 8 E+W

(1) In this Part—

"activities within the Chief Inspector's remit" and related expressions are to be construed in accordance with section 117(6);

"the Chief Inspector" means Her Majesty's Chief Inspector of Education, Children's Services and Skills;

"document" means anything in which information of any description is recorded, including personal records as defined by section 12 of the Police and Criminal Evidence Act 1984 (c. 60);

"domestic premises" means premises which are used wholly or mainly as a private dwelling;

"functions" includes powers and duties;

an "HMI" means one of Her Majesty's Inspectors of Education, Children's Services and Skills;

"local authority in England" means—

- (a) a county council in England;
- (b) a metropolitan district council;
- (c) a non-metropolitan district council for an area for which there is no county council;
- (d) a London borough council;
- (e) the Common Council of the City of London (in their capacity as a local authority);
- (f) the Council of the Isles of Scilly;

"the Office" means the Office for Standards in Education, Children's Services and Skills;

"the registration authority" means the person exercising functions relating to registration.

F77

(2) In this Part any reference to the carrying on of activities as "user-focused" activities is a reference to the carrying on of the activities in a way that focuses on the needs of those for whose benefit the activities are carried on.

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Textual Amendments

- F75 Words in s. 159(1) omitted (26.5.2015) by virtue of Deregulation Act 2015 (c. 20), s. 115(3)(g), Sch. 14 para. 58
- F76 Words in s. 159(1) repealed (1.4.2010) by The Apprenticeships, Skills, Children and Learning Act 2009 (Consequential Amendments) (England and Wales) Order 2010 (S.I. 2010/1080), art. 1(2)(a)(b), Sch. 1 para. 68(a), Sch. 2 Pt. 1 (with art. 2(3))
- F77 Words in s. 159(1) omitted (1.4.2012) by virtue of Education Act 2011 (c. 21), s. 82(3), Sch. 16 para. 37; S.I. 2012/924, art. 2

Commencement Information

I58 S. 159 in force at 12.12.2006 by S.I. 2006/2990, art. 2(g)

Changes to legislation:

Education and Inspections Act 2006, Part 8 is up to date with all changes known to be in force on or before 19 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. View outstanding changes

Changes and effects yet to be applied to:

- specified provision(s) amendment to earlier commencing SI 2006/2990 art. 4 by S.I. 2008/54 art. 2
- specified provision(s) amendment to earlier commencing SI 2007/935 art. 7(n) by S.I. 2007/1271 art. 4
- specified provision(s) transitional provisions for earlier commencing SI 2007/935 by
 S.I. 2007/1271 art. 5

Changes and effects yet to be applied to the whole Act associated Parts and Chapters: Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- s. 11A inserted by 2010 c. 26 s. 7
- s. 88(A1) inserted by 2015 c. 20 Sch. 16 para. 1(2)
- s. 93A inserted by 2009 c. 22 s. 246
- s. 93A(7) words inserted by S.I. 2016/413 reg. 235 (This amendment comes into force on the day that section 93A of the Education and Inspections Act 2006 (c. 40)comes into force. That provision is still prospective)
- s. 162(5A)(aa) inserted by 2010 nawm 1 Sch. 1 para. 20(a)