

SCHEDULES

SCHEDULE 2

SPECIFIED PERSONS, DESCRIPTIONS OF DISCLOSURES
ETC FOR THE PURPOSES OF SECTION 948

Modifications etc. (not altering text)

C1 Sch. 2 extended (1.7.2009) to Isle of Man by The Companies Act 2006 (Extension of Takeover Panel Provisions) (Isle of Man) Order 2009 (S.I. 2009/1378), art. 2

[^{F1} PART 2

SPECIFIED DESCRIPTIONS OF DISCLOSURES

Textual Amendments

F1 Sch. 2 substituted (1.7.2009) by virtue of The Companies Act 2006 (Amendment of Schedule 2) (No. 2) Order 2009 (S.I. 2009/1208), art. 2, Sch.

Modifications etc. (not altering text)

C1 Sch. 2 Pt. 2 applied (with modifications) (8.2.2011) by The Investment Bank Special Administration Regulations 2011 (S.I. 2011/245), reg. 27, Sch. 6 Pt. 2 para. 5(5)

(A) UNITED KINGDOM

- 1 A disclosure for the purpose of enabling or assisting a person authorised under section 457 of this Act (revision of defective accounts: persons authorised to apply to court) to exercise their functions.
- 2 A disclosure for the purpose of enabling or assisting an inspector appointed under Part 14 of the Companies Act 1985 (c. 6) (investigation of companies and their affairs, etc) to exercise their functions.
- 3 A disclosure for the purpose of enabling or assisting a person authorised under section 447 of the Companies Act 1985 (power to require production of documents) or section 84 of the Companies Act 1989 (c. 40) (exercise of powers by officer etc) to exercise their functions.
- 4 A disclosure for the purpose of enabling or assisting a person appointed under section 167 of the Financial Services and Markets Act 2000 (c. 8) (general investigations) to conduct an investigation to exercise their functions.
- 5 A disclosure for the purpose of enabling or assisting a person appointed under section 168 of the Financial Services and Markets Act 2000 (investigations in particular cases) to conduct an investigation to exercise their functions.

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- 6 A disclosure for the purpose of enabling or assisting a person appointed under section 169(1)(b) of the Financial Services and Markets Act 2000 (investigation in support of overseas regulator) to conduct an investigation to exercise their functions.
- 7 A disclosure for the purpose of enabling or assisting the body corporate responsible for administering the scheme referred to in section 225 of the Financial Services and Markets Act 2000 (the ombudsman scheme) to exercise its functions.
- 8 A disclosure for the purpose of enabling or assisting a person appointed under paragraph 4 or 5 of Schedule 17 to the Financial Services and Markets Act 2000 (the panel of ombudsmen or the Chief Ombudsman) to exercise their functions.
- 9 A disclosure for the purpose of enabling or assisting a person appointed under regulations made under section 262(1) and (2)(k) of the Financial Services and Markets Act 2000 (investigations into open-ended investment companies) to conduct an investigation to exercise their functions.
- 10 A disclosure for the purpose of enabling or assisting a person appointed under section 284 of the Financial Services and Markets Act 2000 (investigations into affairs of certain collective investment schemes) to conduct an investigation to exercise their functions.
- 11 A disclosure for the purpose of enabling or assisting the investigator appointed under [^{F2}section 84 of the Financial Services Act 2012] (arrangements for investigation of complaints) to exercise their functions.

Textual Amendments

- F2** Words in Sch. 2 Pt. 2 Section (A) para. 11 substituted (1.4.2013) by [Financial Services Act 2012 \(c. 21\)](#), s. 122(3), Sch. 18 para. 123(3)(a) (with Sch. 20); [S.I. 2013/423](#), art. 3, Sch.

- 12 A disclosure for the purpose of enabling or assisting a person appointed by the Treasury to hold an inquiry into matters relating to financial services (including an inquiry under [^{F3}section 69 of the Financial Services Act 2012]) to exercise their functions.

Textual Amendments

- F3** Words in Sch. 2 Pt. 2 Section (A) para. 12 substituted (1.4.2013) by [Financial Services Act 2012 \(c. 21\)](#), s. 122(3), Sch. 18 para. 123(3)(b) (with Sch. 20); [S.I. 2013/423](#), art. 3, Sch.

- 13 A disclosure for the purpose of enabling or assisting the Secretary of State or the Treasury to exercise any of their functions under any of the following—
 - (a) the Companies Acts;
 - (b) the Insolvency Act 1986 (c. 45);
 - (c) the Company Directors Disqualification Act 1986 (c. 46);
 - (d) Part 3 (investigations and powers to obtain information) or 7 (financial markets and insolvency) of the Companies Act 1989 (c. 40);
 - (e) Part 5 of the Criminal Justice Act 1993 (c. 36) (insider dealing);
 - (f) the Financial Services and Markets Act 2000;
 - (g) Part 42 of this Act (statutory auditors).

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Modifications etc. (not altering text)

- C1** Sch. 2 Pt. 2 Section (A) para. 13 modified (8.7.2021) by [The Payment and Electronic Money Institution Insolvency Regulations 2021 \(S.I. 2021/716\)](#), reg. 2, **Sch. 3 para. 5(d)(i)** (with reg. 5) (as amended (4.1.2024) by [S.I. 2023/1399](#), regs. 1(2), 4)

- 14 A disclosure for the purpose of enabling or assisting the Scottish Ministers to exercise their functions under the enactments relating to insolvency.
- 15 A disclosure for the purpose of enabling or assisting the Department of Enterprise, Trade and Investment for Northern Ireland to exercise any powers conferred on it by the enactments relating to companies or insolvency.
- 16 A disclosure for the purpose of enabling or assisting a person appointed or authorised by the Department of Enterprise, Trade and Investment for Northern Ireland under the enactments relating to companies or insolvency to exercise their functions.
- 17 A disclosure for the purpose of enabling or assisting an official receiver (including the Accountant in Bankruptcy in Scotland and the Official Assignee in Northern Ireland) to exercise their functions under the enactments relating to insolvency.
- ^{F4} 18

Textual Amendments

- F4** Sch. 2 Pt. 2 Section (A) para. 18 omitted (1.10.2015) by virtue of [Deregulation Act 2015 \(c. 20\)](#), s. 115(7), **Sch. 6 para. 22(15)(a)**; [S.I. 2015/1732](#), art. 2(e)(vi) (with art. 7)

- 19 A disclosure for the purpose of enabling or assisting a body that is for the time being a recognised professional body for the purposes of section 391 of the Insolvency Act 1986 (recognised professional bodies) to exercise its functions as such.
- 20 A disclosure for the purpose of enabling or assisting the Pensions Regulator to exercise the functions conferred on it by or by virtue of any of the following—
- (a) the Pension Schemes Act 1993 (c. 48);
 - (b) the Pensions Act 1995 (c. 26);
 - (c) the Welfare Reform and Pensions Act 1999 (c. 30);
 - (d) the Pensions Act 2004 (c. 35);
 - (e) any enactment in force in Northern Ireland corresponding to any of those enactments.
- 21 A disclosure for the purpose of enabling or assisting the Board of the Pension Protection Fund to exercise the functions conferred on it by or by virtue of Part 2 of the Pensions Act 2004 or any enactment in force in Northern Ireland corresponding to that Part.
- 22 A disclosure for the purpose of enabling or assisting the Bank of England to exercise its functions.
- 23 A disclosure for the purpose of enabling or assisting the Commissioners for Her Majesty's Revenue and Customs to exercise their functions.

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- 24 A disclosure for the purpose of enabling or assisting organs of the Society of Lloyd's (being organs constituted by or under the Lloyd's Act 1982 (c. xiv)) to exercise their functions under or by virtue of the Lloyd's Acts 1871 to 1982.
- 25 A disclosure for the purpose of enabling or assisting the [^{F5}Competition and Markets Authority] to exercise its functions under any of the following—
- (a) the Fair Trading Act 1973 (c. 41);
 - (b) ^{F6}
 - ^{F7} (c)
 - (d) the Competition Act 1980 (c. 21);
 - (e) the Competition Act 1998 (c. 41);
 - (f) the Financial Services and Markets Act 2000 (c. 8);
 - (g) the Enterprise Act 2002 (c. 40);
 - ^{F8} (h)
 - (i) the Business Protection from Misleading Marketing Regulations 2008 (S.I. 2008/1276);
 - (j) the Consumer Protection from Unfair Trading Regulations 2008 (S.I. 2008/1277).
 - [^{F9}(k) Schedule 3 to the Consumer Rights Act 2015]
 - [^{F10}(k) Parts 3 and 4 of the Enterprise and Regulatory Reform Act 2013.]

Textual Amendments

- F5** Words in Sch. 2 Pt. 2 Section (A) para. 25 substituted (1.4.2014) by [The Enterprise and Regulatory Reform Act 2013 \(Competition\) \(Consequential, Transitional and Saving Provisions\) Order 2014 \(S.I. 2014/892\)](#), art. 1(1), [Sch. 1 para. 168\(a\)\(i\)](#) (with art. 3)
- F6** Sch. 2 Pt. 2 Section (A) para. 25(b) omitted (26.7.2013 for specified purposes and 1.4.2014 otherwise) by virtue of [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) \(No.2\) Order 2013 \(S.I. 2013/1881\)](#), arts. 1(2)(6), [Sch. para. 11\(a\)](#)
- F7** Sch. 2 Pt. 2 Section (A) para. 25(c) omitted (31.3.2014) by virtue of [The Public Bodies \(Abolition of the National Consumer Council and Transfer of the Office of Fair Trading's Functions in relation to Estate Agents etc\) Order 2014 \(S.I. 2014/631\)](#), art. 1(5), [Sch. 2 para. 5\(2\)\(a\)](#) (with Sch. 1 para. 28, 2 paras. 13-15)
- F8** Sch. 2 Pt. 2 Section (A) para. 25(h) omitted (1.10.2015) by virtue of [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 4 para. 37\(2\)\(a\)](#); S.I. 2015/1630, art. 3(g) (with art. 6(1))
- F9** Sch. 2 Pt. 2 Section (A) para. 25(k) inserted (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 4 para. 37\(2\)\(b\)](#); S.I. 2015/1630, art. 3(g) (with art. 6(1))
- F10** Sch. 2 Pt. 2 Section (A) para. 25(k) inserted (1.4.2014) by [The Enterprise and Regulatory Reform Act 2013 \(Competition\) \(Consequential, Transitional and Saving Provisions\) Order 2014 \(S.I. 2014/892\)](#), art. 1(1), [Sch. 1 para. 168\(a\)\(ii\)](#) (with art. 3)

Modifications etc. (not altering text)

- C2** Sch. 2 para. 25 Section (A) modified (21.2.2009) by [The Banking Act 2009 \(Parts 2 and 3 Consequential Amendments\) Order 2009 \(S.I. 2009/317\)](#), [art. 6\(1\)\(5\)\(a\)](#)

^{F11} 26

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Textual Amendments

- F11** Sch. 2 Pt. 2 Section (A) para. 26 omitted (1.4.2014) by virtue of [The Enterprise and Regulatory Reform Act 2013 \(Competition\) \(Consequential, Transitional and Saving Provisions\) Order 2014 \(S.I. 2014/892\)](#), art. 1(1), [Sch. 1 para. 168\(b\)](#) (with art. 3)

- 27 A disclosure with a view to the institution of, or otherwise for the purposes of, proceedings before the Competition Appeal Tribunal.
- 28 A disclosure for the purpose of enabling or assisting an enforcer under Part 8 of the Enterprise Act 2002 (enforcement of consumer legislation) to exercise their functions under that Part.
- 29 A disclosure for the purpose of enabling or assisting the Charity Commission to exercise its functions.
- 30 A disclosure for the purpose of enabling or assisting the Attorney General to exercise their functions in connection with charities.
- 31 A disclosure for the purpose of enabling or assisting the [^{F12} Gambling Commission] to exercise its functions under sections 5 to 10 and 15 of the National Lottery etc. Act 1993 (c. 39) (licensing and power of Secretary of State to require information).

Textual Amendments

- F12** Words in Sch. 2 Pt. 2 Section (A) para. 31 substituted (1.10.2013) by [The Public Bodies \(Merger of the Gambling Commission and the National Lottery Commission\) Order 2013 \(S.I. 2013.2329\)](#), art. 4, Sch. para. 29(a)(i)

- 32 A disclosure by the [^{F13} Gambling Commission] to [^{F14} the Comptroller and Auditor General] for the purpose of enabling or assisting the Comptroller and Auditor General to carry out an examination under Part 2 of the National Audit Act 1983 (c. 44) into the economy, effectiveness and efficiency with which the [^{F13} Gambling Commission] has used its resources in discharging its functions under sections 5 to 10 of the National Lottery etc. Act 1993.

Textual Amendments

- F13** Words in Sch. 2 Pt. 2 Section (A) para. 32 substituted (1.10.2013) by [The Public Bodies \(Merger of the Gambling Commission and the National Lottery Commission\) Order 2013 \(S.I. 2013/2329\)](#), art. 4, [Sch. para. 29\(a\)\(ii\)](#)
- F14** Words in Sch. 2 Section (A) para. 32 substituted (1.4.2012) by [Budget Responsibility and National Audit Act 2011 \(c. 4\)](#), ss. 26, 29, [Sch. 5 para. 31](#); S.I. 2011/2576, art. 5

- [^{F15}33 A disclosure for the purposes of enabling or assisting a regulator under Schedule 3 to the Consumer Rights Act 2015 other than the Competition and Markets Authority to exercise its functions under that Schedule.]

Textual Amendments

- F15** Sch. 2 Pt. 2 Section (A) para. 33 substituted (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 4 para. 37\(3\)](#); S.I. 2015/1630, art. 3(g) (with art. 6(1))

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- 34 A disclosure for the purpose of enabling or assisting an enforcement authority under ^[F16]the Consumer Contracts (Information, Cancellation and Additional Charges) Regulations 2013] to exercise its functions under those Regulations.

Textual Amendments

- F16** Words in Sch. 2 Pt. 2 Section (A) para. 34 substituted (with application in accordance with reg. 1(2) of the amending S.I.) by [The Consumer Contracts \(Information, Cancellation and Additional Charges\) Regulations 2013 \(S.I. 2013/3134\)](#), reg. 1(1), **Sch. 4 para. 6(a)** (with reg. 6)

- 35 A disclosure for the purpose of enabling or assisting an enforcement authority under the Financial Services (Distance Marketing) Regulations 2004 (S.I. 2004/2095) to exercise its functions under those Regulations.

- ^[F17]36A. A disclosure for the purpose of enabling or assisting the lead enforcement authority (as defined in section 33(1) of the Estate Agents Act 1979) to exercise its functions under the Estate Agents Act 1979.]

Textual Amendments

- F17** Sch. 2 Pt. 2 Section (A) para. 36A inserted (31.3.2014) by [The Public Bodies \(Abolition of the National Consumer Council and Transfer of the Office of Fair Trading's Functions in relation to Estate Agents etc\) Order 2014 \(S.I. 2014/631\)](#), art. 1(5), **Sch. 2 para. 5(2)(b)** (with Sch. 1 para. 28, 2 paras. 13-15)

- 36 A disclosure for the purpose of enabling or assisting a local weights and measures authority in England and Wales to exercise its functions under section 230(2) of the Enterprise Act 2002 (c. 40) (notice of intention to prosecute, etc).

- 37 A disclosure for the purpose of enabling or assisting the ^[F18]Financial Conduct Authority or the Prudential Regulation Authority] to exercise its functions under any of the following—

- (a) the legislation relating to friendly societies ^{F19}...;
- ^[F20](aa) the Consumer Credit Act 1974;]
- ^[F21](ab) the Credit Unions Act 1979;]
- (b) the Building Societies Act 1986 (c. 53);
- (c) Part 7 of the Companies Act 1989 (c. 40) (financial markets and insolvency);
- (d) the Financial Services and Markets Act 2000 (c. 8).
- ^[F22](e) the Co-operative and Community Benefit Societies Act 2014.]

Textual Amendments

- F18** Words in Sch. 2 Pt. 2 Section (A) para. 37 substituted (1.4.2013) by [Financial Services Act 2012 \(c. 21\)](#), s. 122(3), Sch. 18 para. 123(3)(c) (with Sch. 20); [S.I. 2013/423](#), art. 3, Sch.
- F19** Words in Sch. 2 Pt. 2 Section (A) para. 37(a) omitted (1.8.2014) by virtue of [Co-operative and Community Benefit Societies Act 2014 \(c. 14\)](#), s. 154, **Sch. 4 para. 102(2)** (with Sch. 5)
- F20** Sch. 2 Pt. 2 Section (A) para. 37(aa) inserted (26.7.2013 for specified purposes, 1.4.2014 in so far as not already in force) by [The Financial Services Act 2012 \(Consumer Credit\) Order 2013 \(S.I. 2013/1882\)](#), arts. 1(1), **10(4)(a)**
- F21** Sch. 2 Pt. 2 Section (A) para. 37(ab) inserted (1.8.2014) by [Co-operative and Community Benefit Societies Act 2014 \(c. 14\)](#), s. 154, **Sch. 4 para. 102(3)** (with Sch. 5)

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F22 Sch. 2 Pt. 2 Section (A) para. 37(e) inserted (1.8.2014) by [Co-operative and Community Benefit Societies Act 2014 \(c. 14\)](#), s. 154, [Sch. 4 para. 102\(4\)](#) (with [Sch. 5](#))

Modifications etc. (not altering text)

C3 [Sch. 2 Pt. 2](#) Section (A) para. 37 modified (8.7.2021) by [The Payment and Electronic Money Institution Insolvency Regulations 2021 \(S.I. 2021/716\)](#), reg. 2, [Sch. 3 para. 5\(d\)\(ii\)](#) (with [reg. 5](#)) (as amended (4.1.2024) by [S.I. 2023/1399](#), regs. 1(2), 4)

^{F23}38

Textual Amendments

F23 Sch. 2 Pt. 2 para. 38 repealed (1.4.2013) by [Financial Services Act 2012 \(c. 21\)](#), s. 122(3), Sch. 19 (with Sch. 20); [S.I. 2013/423](#), art. 3, Sch.

39 A disclosure for the purpose of enabling or assisting a body corporate established in accordance with section 212(1) of the Financial Services and Markets Act 2000 (compensation scheme manager) to exercise its functions.

40 (1) A disclosure for the purpose of enabling or assisting a recognised investment exchange [^{F24}, a recognised clearing house or a recognised CSD] to exercise its functions as such.

(2) In sub-paragraph (1) “ recognised investment exchange ” [^{F25}, “recognised clearing house” and “recognised CSD”] ” have the same meaning as in section 285 of the Financial Services and Markets Act 2000.

Textual Amendments

F24 Words in Sch. 2 para. 40(1) substituted (28.11.2017) by [The Central Securities Depositories Regulations 2017 \(S.I. 2017/1064\)](#), reg. 1, [Sch. para. 11\(3\)\(a\)](#) (with [regs. 7\(4\)](#), 9(1))

F25 Words in Sch. 2 para. 40(2) substituted (28.11.2017) by [The Central Securities Depositories Regulations 2017 \(S.I. 2017/1064\)](#), reg. 1, [Sch. para. 11\(3\)\(b\)](#) (with [regs. 7\(4\)](#), 9(1))

41 A disclosure for the purpose of enabling or assisting a person [^{F26}who is an operator of a relevant system for the purposes of the Uncertificated Securities Regulations 2001 ([SI 2001/3755](#))] to exercise their functions.

Textual Amendments

F26 Words in Sch. 2 Pt. 2 Section (A) para. 41 substituted (27.3.2019) by [The Uncertificated Securities \(Amendment and EU Exit\) Regulations 2019 \(S.I. 2019/679\)](#), regs. 1(2), [2\(2\)](#) (with [regs. 10-12](#))

42 A disclosure for the purpose of enabling or assisting a body designated under section 326(1) of the Financial Services and Markets Act 2000 (designated professional bodies) to exercise its functions in its capacity as a body designated under that section.

43 A disclosure with a view to the institution of, or otherwise for the purposes of, civil proceedings arising under or by virtue of the Financial Services and Markets Act 2000.

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- 44 A disclosure for the purpose of enabling or assisting a body designated by order under section 1252 of this Act (delegation of functions of Secretary of State) to exercise its functions under Part 42 of this Act (statutory auditors).
- 45 A disclosure for the purpose of enabling or assisting a recognised supervisory or qualifying body, within the meaning of Part 42 of this Act, to exercise its functions as such.
- 46 A disclosure for the purpose of enabling or assisting the Regulator of Community Interest Companies to exercise functions under the Companies (Audit, Investigations and Community Enterprise) Act 2004 (c. 27).

Modifications etc. (not altering text)

- C4** Sch. 2 Section (A) para. 46 modified (21.2.2009) by [The Banking Act 2009 \(Parts 2 and 3 Consequential Amendments\) Order 2009 \(S.I. 2009/317\)](#), **art. 6(1)(5)(b)**

- 47 A disclosure for the purpose of enabling or assisting a person authorised by the Secretary of State under Part 2, 3 or 4 of the Proceeds of Crime Act 2002 (c. 29) to exercise their functions.
- 48 A disclosure with a view to the institution of, or otherwise for the purposes of, proceedings on an application under section 6, 7 or 8 of the Company Directors Disqualification Act 1986 (c. 46) (disqualification for unfitness).
- [^{F27}49 A disclosure with a view to the institution of, or otherwise for the purposes of, proceedings before the Upper Tribunal in respect of—
- [^{F28}(a) a decision of the Financial Services Authority;
- (aa) a decision of the Prudential Regulation Authority;]
- (b) a decision of the Bank of England; or
- (c) a decision of a person relating to the assessment of any compensation or consideration under the Banking (Special Provisions) Act 2008 [^{F29}, the Banking Act 2009 or the Financial Services and Markets Act 2023].]

Textual Amendments

- F27** Sch. 2 Pt. 2 Section (A) para. 49 substituted (6.4.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), arts. 1(2)(e), 5(1), **Sch. 2 para. 142(a)**
- F28** Sch. 2 Pt. 2 Pt. A para. 49(a)(aa) substituted for Sch. 2 Pt. 2 Pt. A para. 49(a) (1.4.2013) by [Financial Services Act 2012 \(c. 21\)](#), s. 122(3), Sch. 18 para. 123(3)(d) (with Sch. 20); [S.I. 2013/423](#), art. 3, Sch.
- F29** Words in [Sch. 2 Pt. 2 para. 49\(c\)](#) substituted (31.12.2023) by [Financial Services and Markets Act 2023 \(c. 29\)](#), s. 86(3), **Sch. 11 para. 161(2)**; [S.I. 2023/1382](#), reg. 8(b)

- 50 A disclosure for the purposes of proceedings before [^{F30}a tribunal in relation to a decision of the Pensions Regulator]

Textual Amendments

- F30** Words in Sch. 2 Pt. 2 Section (A) para. 50 substituted (N.I.) (6.4.2010) by [Pensions Regulator Tribunal \(Transfer of Functions\) Act \(Northern Ireland\) 2010 \(c. 4 \(N.I.\)\)](#), ss. 3(1), 5(2), **Sch. 1 para. 26** (with Sch. 2); [S.R. 2010/101](#), **art. 2**; and (E.W.S.) (6.4.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), arts. 1(2)(e)(5)(a), 5(1), **Sch. 2 para. 142(b)**

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- 51 A disclosure for the purpose of enabling or assisting a body appointed under section 14 of the Companies (Audit, Investigations and Community Enterprise) Act 2004 (supervision of periodic accounts and reports of issuers of listed securities) to exercise functions mentioned in subsection (2) of that section.
- 52 (1) A disclosure with a view to the institution of, or otherwise for the purposes of, disciplinary proceedings relating to the performance by a lawyer, auditor, accountant, valuer or actuary of their professional duties.
- (2) In sub-paragraph (1) “lawyer” means—
- (a) a person who for the purposes of the Legal Services Act 2007 (c. 29) is an authorised person in relation to an activity that constitutes a reserved legal activity (within the meaning of that Act),
 - (b) a solicitor or barrister in Northern Ireland,
 - (c) a solicitor or advocate in Scotland, or
 - (d) a person who is a member, and entitled to practise as such, of a legal profession regulated in a jurisdiction outside the United Kingdom.
- (3) Until the coming into force of section 18 of the Legal Services Act 2007, the following is substituted for paragraph (a) of sub-paragraph (2) above—
- (a) a solicitor or barrister in England and Wales,
- .
- 53 (1) A disclosure with a view to the institution of, or otherwise for the purposes of, disciplinary proceedings relating to the performance by a public servant of their duties.
- (2) In sub-paragraph (1) “public servant” means—
- (a) an officer or employee of the Crown, or
 - (b) an officer or employee of any public or other authority for the time being designated for the purposes of this paragraph by the Secretary of State by order subject to negative resolution procedure.]

Changes to legislation:

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act amendment to earlier affecting provision S.I. 1989/638, Sch. 4 by [S.I. 2024/410 Sch. 2 para. 1](#)
- Act amendment to earlier affecting provision S.I. 2008/373 reg. 11(1) by [S.I. 2013/1971 reg. 9\(a\)](#) (This amendment not applied to legislation.gov.uk. Amending Regulations revoked (1.10.2013) without ever being in force by S.I. 2013/2224, reg. 2)
- Act amendment to earlier affecting provision S.I. 2008/373 reg. 3(4) by [S.I. 2013/1971 reg. 4](#) (This amendment not applied to legislation.gov.uk. Amending Regulations revoked (1.10.2013) without ever being in force by S.I. 2013/2224, reg. 2)

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- s. 156A-156C inserted by [2015 c. 26 s. 87\(4\)](#)
- s. 156B(5) omitted by [2023 c. 56 Sch. 2 para. 26](#)
- s. 156C(2) words substituted by [2023 c. 56 s. 41\(2\)\(a\)](#)
- s. 156C(2A) inserted by [2023 c. 56 s. 41\(2\)\(b\)](#)
- s. 156C(3) substituted for s. 156C(3)-(5) by [2023 c. 56 Sch. 2 para. 27](#)
- s. 479A(2)(c)(zi) inserted by [S.I. 2019/177 reg. 4\(b\)\(i\)](#) (This amendment not applied to legislation.gov.uk. Reg. 4 substituted by regs. 4, 4A immediately before IP completion day by S.I. 2019/1392, regs. 1(2), 4)
- s. 479B(a)(iii) amendment to earlier affecting provision S.I.2008/1991 reg.34 by [S.R. 2024/78 reg. 31\(4\)](#)
- s. 479B(a)(iii) amendment to earlier affecting provision S.I.2008/1991 reg.34A by [S.R. 2024/78 reg. 31\(5\)](#)
- s. 1047(4)(i)(j) inserted by [2023 c. 56 s. 21\(2\)](#)
- s. 1087(da) substituted by [2023 c. 56 s. 52\(2\)](#)
- s. 1087A-1807C applied by S.I. 2009/2436, Sch. 1 para. 20(1)(ca) (as substituted) by [S.I. 2024/410 Sch. 2 para. 5\(d\)\(ii\)](#)
- s. 1110E-110G applied by S.I. 2009/1804, reg. 60 (as amended) by [S.I. 2024/234 reg. 26](#)
- s. 11989A applied (with modifications) by S.I. 2009/1804, reg. 79A (as inserted) by [S.I. 2024/234 reg. 46](#)
- Sch. 10 para. 6(2D) inserted by [S.I. 2019/177 reg. 28\(e\)](#) (This amendment not applied to legislation.gov.uk. Reg. 28(e) omitted immediately before IP completion day by virtue of S.I. 2020/523, regs. 1(2), 14(e)(iv))
- Sch. 10 para. 7(2A) inserted by [S.I. 2019/177 reg. 29\(b\)](#) (This amendment not applied to legislation.gov.uk. Reg. 29 substituted immediately before IP completion day by S.I. 2020/523, regs. 1(2), 14(f))