

SCHEDULES

SCHEDULE 2

SPECIFIED PERSONS, DESCRIPTIONS OF DISCLOSURES ETC FOR THE PURPOSES OF SECTION 948

Modifications etc. (not altering text)

- C1** Sch. 2 extended (1.7.2009) to Isle of Man by [The Companies Act 2006 \(Extension of Takeover Panel Provisions\) \(Isle of Man\) Order 2009 \(S.I. 2009/1378\)](#), **art. 2**

[^{F1} PART 2

SPECIFIED DESCRIPTIONS OF DISCLOSURES

Textual Amendments

- F1** Sch. 2 substituted (1.7.2009) by virtue of [The Companies Act 2006 \(Amendment of Schedule 2\) \(No. 2\) Order 2009 \(S.I. 2009/1208\)](#), **art. 2, Sch.**

Modifications etc. (not altering text)

- C1** Sch. 2 Pt. 2 applied (with modifications) (8.2.2011) by [The Investment Bank Special Administration Regulations 2011 \(S.I. 2011/245\)](#), **reg. 27, Sch. 6 Pt. 2 para. 5(5)**

(D) ISLE OF MAN

- 1 A disclosure for the purpose of enabling or assisting an inspector appointed by the High Court of the Isle of Man under the enactments of the Isle of Man relating to companies to discharge their functions.
- 2 A disclosure for the purpose of enabling or assisting a person conducting an investigation under—
 - (a) section 16 of the Collective Investment Schemes Act 2008 (an Act of Tynwald: c. 7);
 - (b) Schedule 2 to the Financial Services Act 2008 (an Act of Tynwald: c. 8); or
 - (c) Schedule 5 to the Insurance Act 2008 (an Act of Tynwald: c. 16),
 to exercise their functions.
- 3 A disclosure for the purpose of enabling or assisting the Financial Supervision Commission of the Isle of Man to exercise any of its functions.
- 4 A disclosure for the purpose of enabling or assisting an auditor of a permitted person (within the meaning of the Financial Services Act 2008 (an Act of Tynwald)) to exercise their functions.

Changes to legislation: There are currently no known outstanding effects for the Companies Act 2006, (D) ISLE OF MAN. (See end of Document for details)

- 5 A disclosure for the purpose of enabling or assisting the Office of Fair Trading of the Isle of Man to exercise its functions under Schedule 4 to the Financial Services Act 2008 (an Act of Tynwald) in relation to a financial services dispute within the meaning of paragraph 1(1) of that Schedule.
- 6 A disclosure for the purpose of enabling or assisting an adjudicator appointed under paragraph 4 of Schedule 4 to the Financial Services Act 2008 (an Act of Tynwald) to exercise their functions.
- 7 A disclosure for the purpose of enabling or assisting the body administering a scheme under section 25 of the Financial Services Act 2008 (an Act of Tynwald) (compensation schemes) to exercise its functions under the scheme.
- 8 A disclosure with a view to the institution of, or otherwise for the purposes of, civil proceedings arising under or by virtue of the Financial Services Act 2008 (an Act of Tynwald).
- 9 A disclosure for the purpose of enabling or assisting—
(a) the Insurance and Pensions Authority of the Isle of Man; or
(b) the Retirement Benefits Schemes Supervisor of the Isle of Man,
to exercise its functions under the Retirement Benefits Schemes Act 2000 (an Act of Tynwald: c 14).
- 10 A disclosure for the purpose of enabling or assisting the Assessor of Income Tax to exercise their functions under enactments of the Isle of Man relating to income tax.
- 11 A disclosure for the purpose of enabling or assisting the Office of Fair Trading of the Isle of Man to exercise its functions under any of the following—
(a) the Unsolicited Goods and Services (Isle of Man) Act 1974 (an Act of Tynwald: c. 5);
(b) the Moneylenders Act 1991 (an Act of Tynwald: c. 6);
(c) the Consumer Protection Act 1991 (an Act of Tynwald: c. 11);
(d) the Fair Trading Act 1996 (an Act of Tynwald: c. 15).
- 12 A disclosure for the purpose of enabling or assisting the Department of Local Government and the Environment of the Isle of Man to exercise its functions under the Estate Agents Act 1975 (an Act of Tynwald: c. 6) or the Estate Agents Act 1999 (an Act of Tynwald: c. 7).
- 13 A disclosure for the purpose of enabling or assisting Her Majesty's Attorney General of the Isle of Man to exercise their functions in connection with charities.
- 14 A disclosure for the purpose of enabling or assisting the Treasury of the Isle of Man to exercise its functions under the enactments of the Isle of Man relating to companies, insurance companies or insolvency.
- 15 A disclosure for the purpose of enabling or assisting an official receiver appointed in the Isle of Man to exercise their functions under the enactments of the Isle of Man relating to insolvency.
- 16 (1) A disclosure with a view to the institution of, or otherwise for the purposes of, disciplinary proceedings relating to the performance by an advocate, registered legal practitioner, auditor, accountant, valuer or actuary of their professional duties.
- (2) In sub-paragraph (1)—

Changes to legislation: There are currently no known outstanding effects for the Companies Act 2006, (D) ISLE OF MAN. (See end of Document for details)

“ advocate ” means a person who is qualified to act as an advocate in any court in the Island in accordance with section 7 of the Advocates Act 1976 (an Act of Tynwald: c. 27);

“ registered legal practitioner ” means a legal practitioner within the meaning of section 10 of the Legal Practitioners Registration Act 1986 (an Act of Tynwald: c. 15) who is registered within the meaning of that Act.

- 17 (1) A disclosure with a view to the institution of, or otherwise for the purposes of, disciplinary proceedings relating to the performance by a public servant of their duties.
- (2) In sub-paragraph (1) “ public servant ” means—
- (a) an officer or employee of the Crown, or
 - (b) an officer or employee of any public or other authority for the time being designated for the purposes of this paragraph by order made by the Council of Ministers of the Isle of Man.]

Changes to legislation:

There are currently no known outstanding effects for the Companies Act 2006, (D) ISLE OF MAN.