
Changes to legislation: There are currently no known outstanding effects for the Legal Services Act 2007, Cross Heading: Requirement to identify non-authorised persons. (See end of Document for details)

SCHEDULES

SCHEDULE 13

OWNERSHIP OF LICENSED BODIES

PART 2

APPROVAL OF RESTRICTED INTERESTS ON APPLICATION FOR LICENCE

Requirement to identify non-authorised persons

- 10 (1) Where a body applies to a licensing authority for a licence, it must identify in its application—
- (a) any non-authorised person who holds a restricted interest in the body, or whom the body expects to hold such an interest when the licence is issued, and
 - (b) the kind of restricted interest held, or expected to be held, by that person.
- (2) If, before the licence is issued, there is any change in—
- (a) the identity of the non-authorised persons within sub-paragraph (1)(a), or
 - (b) the kind of restricted interest held, or expected to be held, by a person identified to the licensing authority under that sub-paragraph,
- the applicant must inform the relevant licensing authority within such period as may be specified by order made by the Lord Chancellor on the recommendation of the Board.

Commencement Information

I1 Sch. 13 para. 10 in force at 1.10.2011 by S.I. 2011/2196, art. 2(1)(d)

- 11 (1) It is an offence for a person to fail to comply with a requirement imposed on the person by paragraph 10.
- (2) A person who is guilty of an offence under sub-paragraph (1) is liable on summary conviction to a fine not exceeding level 5 on the standard scale.
- (3) It is a defence for a person charged with an offence under sub-paragraph (1) to show that at the time of the alleged offence the person had no knowledge of the facts by virtue of which the duty to notify arose.

Commencement Information

I2 Sch. 13 para. 11 in force at 1.10.2011 by S.I. 2011/2196, art. 2(1)(d)

- 12 (1) This paragraph applies if a person under a duty to notify imposed by paragraph 10—

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- (a) had no knowledge of the facts by virtue of which that duty arose, but
 - (b) subsequently becomes aware of those facts.
- (2) The person must give the licensing authority the required notification within such period, after the person becomes so aware, as may be specified by order made by the Lord Chancellor on the recommendation of the Board.
- (3) A person who fails to comply with the duty to notify imposed by sub-paragraph (2) is guilty of an offence.
- (4) A person who is guilty of an offence under sub-paragraph (3) is liable on summary conviction to a fine not exceeding level 5 on the standard scale.

Commencement Information

I3 Sch. 13 para. 12 in force at 1.10.2011 by S.I. 2011/2196, art. 2(1)(d)

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