

Status: Point in time view as at 01/04/2013.

Changes to legislation: Financial Services Act 2012, Paragraph 7 is up to date with all changes known to be in force on or before 28 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

SCHEDULES

SCHEDULE 12

AMENDMENTS OF PARTS 11 AND 23 OF FSMA 2000

PART 1

PART 11 OF FSMA 2000: INFORMATION GATHERING AND INVESTIGATIONS

- 7 (1) Section 167 (appointment of investigator in general cases) is amended as follows.
- (2) In subsection (1), for “the Authority or the Secretary of State (“the investigating authority”)” substitute “an investigating authority”.
- (3) After subsection (5) insert—
- “(5A) Investigating authority” means—
- (a) in relation to a recognised investment exchange, the Secretary of State or the FCA;
 - (b) in relation to an authorised person or former authorised person, the FCA or the PRA;
 - (c) in relation to an appointed representative or former appointed representative, the FCA or the PRA.”

Commencement Information

II Sch. 12 para. 7 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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