

**Changes to legislation:** Financial Services Act 2012, SCHEDULE 8 is up to date with all changes known to be in force on or before 18 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

## SCHEDULES

### SCHEDULE 8 **U.K.**

Section 35

#### SECTIONS 28 TO 34: MINOR AND CONSEQUENTIAL AMENDMENTS

1 FSMA 2000 is amended as follows.

##### Commencement Information

- I1** Sch. 8 para. 1 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I2** Sch. 8 para. 1 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 2 (1) Section 286 (qualification for recognition) is amended as follows.  
(2) In subsection (1)(a), for “the Authority” substitute “ the appropriate regulator ”.  
(3) In subsections (4A), (4C) and (6), for “the Authority” substitute “ the FCA ”.

##### Commencement Information

- I3** Sch. 8 para. 2 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I4** Sch. 8 para. 2 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 3 (1) Section 287 (application by an investment exchange) is amended as follows.  
(2) In subsections (1) and (2) for “the Authority”, in each place, substitute “ the FCA ”.  
(3) In subsection (3)—  
(a) in paragraph (a), after “provision” insert “ by another person ”,  
(b) in paragraph (b), for “clearing services” substitute “ services falling within section 285(2)(b) ”, and  
(c) in paragraphs (d) and (e), for “the Authority” substitute “ the FCA ”.

##### Commencement Information

- I5** Sch. 8 para. 3 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I6** Sch. 8 para. 3 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 4 (1) Section 288 (application by a clearing house) is amended as follows.  
(2) In subsection (1), for “the Authority” substitute “ the Bank of England ”.  
(3) In subsection (2)—  
(a) in the opening words, for “the Authority” substitute “ the Bank of England ”, and  
(b) in paragraph (d), for “the Authority” substitute “ the Bank ”.

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- (4) In subsection (3)(b), after “clearing services” insert “ or services falling within section 285(3)(b) ”.

**Commencement Information**

- I7** Sch. 8 para. 4 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I8** Sch. 8 para. 4 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 5 In section 289 (applications: supplementary), in subsections (1), (2) (in both places) and (3), for “the Authority” substitute “ the appropriate regulator ”.

**Commencement Information**

- I9** Sch. 8 para. 5 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I10** Sch. 8 para. 5 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 6 (1) Section 290 (recognition orders) is amended as follows.
- (2) In subsection (1)—
- (a) for “the Authority”, in the first place, substitute “ the appropriate regulator ”, and
  - (b) for “the Authority”, in the second place, substitute “ the regulator concerned ”.
- (3) In subsection (1B), for “the Authority” substitute “ the FCA ”.
- (4) Omit subsection (2).
- (5) In subsection (3), for “the Authority” substitute “ the appropriate regulator ”.
- (6) Omit subsection (6).

**Commencement Information**

- I11** Sch. 8 para. 6 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I12** Sch. 8 para. 6 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 7 (1) Section 290A (refusal of recognition on ground of excessive regulatory provision) is amended as follows.
- (2) In subsection (1)—
- (a) for “The Authority must” substitute “ The appropriate regulator must ”,
  - (b) for “the Authority that” substitute “ it that ”,
  - (c) omit the “or” following paragraph (a), and
  - (d) at the end of paragraph (b) insert “or
    - (c) the provision by the applicant of services falling within section 285(2)(b) or (3)(b),”.
- (3) In subsection (3), for “Authority” substitute “ appropriate regulator ”.

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- I13** Sch. 8 para. 7 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I14** Sch. 8 para. 7 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 8 In section 292 (overseas investment exchanges and overseas clearing house), in subsections (2), (3)(c) and (d), (4) and (5)(c), for “the Authority” substitute “ the appropriate regulator ”.

**Commencement Information**

- I15** Sch. 8 para. 8 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I16** Sch. 8 para. 8 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 9 In section 292A (publication of information by recognised investment exchange), in subsections (1), (3), (5) and (6), for “Authority” substitute “ FCA ”.

**Commencement Information**

- I17** Sch. 8 para. 9 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I18** Sch. 8 para. 9 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 10 (1) Section 293 (notification requirements) is amended as follows.
- (2) In subsections (1) to (3) and (5), for “Authority” substitute “ appropriate regulator ”.
- (3) In subsection (6)—
- (a) in paragraph (a), after “provision” insert “ by another person ”,
- (b) in paragraph (b), for “clearing services” substitute “ services falling within section 285(2)(b) ”, and
- (c) for “the Authority” substitute “ the FCA and the Bank of England ”.
- (4) In subsection (7)—
- (a) in paragraph (a), after “clearing services” insert “ or services falling within section 285(3)(b) ”,
- (b) in paragraph (b), after “clearing services” insert “ or services falling within section 285(3)(b) ”, and
- (c) for “the Authority” substitute “ the Bank of England and the FCA ”.
- (5) In subsection (9), for “the Authority’s” substitute “the appropriate regulator’s”.

**Commencement Information**

- I19** Sch. 8 para. 10 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I20** Sch. 8 para. 10 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 11 For section 293A substitute—

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### “293A Information: compliance with EU requirements

The appropriate regulator may require a recognised body to give the appropriate regulator such information as the appropriate regulator reasonably requires in order to satisfy itself that the body is complying with any qualifying EU provision that is specified, or of a description specified, for the purposes of this section by the Treasury by order.”

#### Commencement Information

- I21** Sch. 8 para. 11 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(b), **Sch. Pt. 2**
- I22** Sch. 8 para. 11 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), **Sch. Pt. 3**
- I23** Sch. 8 para. 11 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, **Sch.**

- 12 In section 294 (modification or waiver of rules), in subsections (1), (2), (4) and (6), for “Authority” substitute “ appropriate regulator ”.

#### Commencement Information

- I24** Sch. 8 para. 12 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), **Sch. Pt. 3**
- I25** Sch. 8 para. 12 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, **Sch.**

- 13 (1) Section 295 (notification: overseas investment exchanges and overseas clearing houses) is amended as follows.
- (2) In subsection (1), for “the Authority” substitute “ the appropriate regulator ”.
- (3) In subsection (2), for the words from “likely” to the end substitute “ likely to affect the appropriate regulator's assessment of whether it is satisfied as to the requirements set out in section 292(3) ”.
- (4) In subsection (3), for “the Authority” substitute “ the appropriate regulator ”.
- (5) Omit subsection (4).

#### Commencement Information

- I26** Sch. 8 para. 13 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), **Sch. Pt. 3**
- I27** Sch. 8 para. 13 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, **Sch.**

- 14 (1) Section 296 (power to give directions) is amended as follows.
- (2) In subsection (1), for “the Authority” substitute “ the appropriate regulator ”.
- (3) In subsection (1A)—
- (a) for the words from “in the case of a recognised body which is a recognised investment” to “the body” substitute “ if it appears to the appropriate regulator that a recognised body ”, and
  - (b) for the words from “directly applicable” to the end substitute “ directly applicable EU regulation specified (or of a description specified) in an order made by the Treasury ”.
- (4) In subsection (2), for “The Authority” substitute “ The regulator concerned ”.

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- (5) In subsection (2A)—
- (a) in the opening words, for “a recognised investment exchange other than an overseas investment exchange” substitute “ a recognised body other than an overseas investment exchange or overseas clearing house ”,
  - (b) in paragraph (a)—
    - (i) for “the Authority”, in both places, substitute “ the regulator concerned ”, and
    - (ii) for “the exchange” substitute “ the body ”, and
  - (c) in paragraph (b), for “the exchange” substitute “ the body ”.
- (6) In subsection (3), for “the Authority” substitute “ the regulator concerned ”.
- (7) In subsection (4), for “the Authority” substitute “ an appropriate regulator ”.
- (8) In the heading, for “Authority's” substitute “Appropriate regulator's”.

#### Commencement Information

- I28** Sch. 8 para. 14 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3
- I29** Sch. 8 para. 14 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(b), Sch. Pt. 2
- I30** Sch. 8 para. 14 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 15 (1) Section 297 (revoking recognition) is amended as follows.
- (2) In subsections (1) and (2), for “the Authority” substitute “ the appropriate regulator ”.
- (3) In subsection (2A)—
- (a) in the opening words—
    - (i) for “the Authority” substitute “ the appropriate regulator ”, and
    - (ii) omit “which is a recognised investment exchange”,
  - (b) in paragraphs (a) and (b), after “exchange” insert “ or (as the case may be) of a clearing house ”, and
  - (c) in paragraph (c), for the words from “directly applicable” to the end substitute “ directly applicable EU regulation specified (or of a description specified) in an order made by the Treasury ”.
- (4) In subsection (2C), at the end insert “ or overseas clearing house ”.
- (5) In subsections (5) and (6), for “the Authority” substitute “ the appropriate regulator ”.

#### Commencement Information

- I31** Sch. 8 para. 15 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3
- I32** Sch. 8 para. 15 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(b), Sch. Pt. 2
- I33** Sch. 8 para. 15 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 16 In section 298 (directions and revocation: procedure)—
- (a) in subsections (1), (6) and (7), after “section 296” insert “ or 296A ”, and
  - (b) in subsections (1), (2)(a), (3), (5), (6), (7) (in both places) and (8), for “the Authority” substitute “ the appropriate regulator ”.

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**Commencement Information**

**I34** Sch. 8 para. 16 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I35** Sch. 8 para. 16 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 17 In section 299 (complaints about recognised bodies), in subsections (1) and (2), for “Authority” substitute “ appropriate regulator ”.

**Commencement Information**

**I36** Sch. 8 para. 17 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I37** Sch. 8 para. 17 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 18 In section 300A (power to disallow excessive regulatory provision)—
- (a) in subsection (1), for the words from “with” to the end substitute “with—
    - (a) its business as an investment exchange,
    - (b) the provision by it of clearing services, or
    - (c) the provision by it of services falling within section 285(2)(b) or (3)(b).”, and
  - (b) in subsections (2) (in both places) and (4), and in the heading, for “Authority” substitute “ appropriate regulator ”.

**Commencement Information**

**I38** Sch. 8 para. 18 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I39** Sch. 8 para. 18 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 19 In section 300B (duty to notify proposal to make regulatory provision) for “Authority” (in each place) substitute “ appropriate regulator ”.

**Commencement Information**

**I40** Sch. 8 para. 19 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I41** Sch. 8 para. 19 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 20 In section 300C (restriction on making provision before Authority decides whether to act), in subsections (1), (2)(a), (3) (in both places), (4)(a) and (b), and in the heading, for “Authority” substitute “ appropriate regulator ”.

**Commencement Information**

**I42** Sch. 8 para. 20 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I43** Sch. 8 para. 20 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 21 (1) Section 300D (consideration by Authority whether to disallow proposed provision) is amended as follows.
- (2) In subsections (1) to (4) and (5)(a) and (b), for “Authority” substitute “ appropriate regulator ”.
  - (3) In subsection (6)—

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- (a) in the opening words, for “the Authority” substitute “ the appropriate regulator ”,
- (b) in paragraph (b)—
  - (i) for “the Authority's” substitute “the appropriate regulator's”, and
  - (ii) for “the Authority” substitute “ the regulator concerned ”, and
- (c) in paragraph (c)(i) and (ii), for “the Authority” substitute “ the appropriate regulator ”.

(4) In the heading, for “Authority” substitute “ appropriate regulator ”.

#### Commencement Information

**I44** Sch. 8 para. 21 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I45** Sch. 8 para. 21 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 22 (1) Section 301 (supervision of certain contracts) is amended as follows.
- (2) In subsection (2), for “the Authority” substitute “ the Bank of England ”.
- (3) In subsection (3)—
- (a) for “the Authority”, in the first place, substitute “ the FCA or the Bank of England ”, and
  - (b) for “the Authority”, in the second place, substitute “ the Bank ”.
- (4) In subsections (4)(a), (6)(a), (7) and (9), for “Authority” substitute “ Bank of England ”.

#### Commencement Information

**I46** Sch. 8 para. 22 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I47** Sch. 8 para. 22 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 23 In section 301A (obligation to notify the Authority: acquisitions of control), in subsections (1) and (2), and in the heading, for “the Authority” substitute “ the FCA ”.

#### Commencement Information

**I48** Sch. 8 para. 23 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I49** Sch. 8 para. 23 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 24 In section 301B (requirements for s.301A notices), in subsections (1) to (3), for “Authority” substitute “ FCA ”.

#### Commencement Information

**I50** Sch. 8 para. 24 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

**I51** Sch. 8 para. 24 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 25 In section 301C (acknowledgement of receipt), in subsections (1) and (2), for “Authority” substitute “ FCA ”.

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**Commencement Information**

- I52** Sch. 8 para. 25 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I53** Sch. 8 para. 25 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 26 In section 301F (assessment: general), in subsections (1) to (3), for “Authority” substitute “ FCA ”.

**Commencement Information**

- I54** Sch. 8 para. 26 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I55** Sch. 8 para. 26 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 27 (1) Section 301G (assessment: procedure) is amended as follows.  
 (2) In subsections (1) (in both places) and (2) to (5), for “Authority” substitute “ FCA ”.  
 (3) In subsection (6), for “the Authority's” substitute “the FCA's”.

**Commencement Information**

- I56** Sch. 8 para. 27 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I57** Sch. 8 para. 27 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 28 In section 301H (duration of approval), in subsections (1), (2) and (3) (in both places), for “the Authority” substitute “ the FCA ”.

**Commencement Information**

- I58** Sch. 8 para. 28 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I59** Sch. 8 para. 28 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 29 In section 301I (objections by the Authority), in subsections (1) to (5), and in the heading, for “Authority” substitute “ FCA ”.

**Commencement Information**

- I60** Sch. 8 para. 29 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I61** Sch. 8 para. 29 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 30 In section 301J (restriction notices), in subsections (1), (2)(b), (3) and (7), for “Authority” substitute “ FCA ”.

**Commencement Information**

- I62** Sch. 8 para. 30 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I63** Sch. 8 para. 30 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 31 In section 301K (order for sale of shares), in subsection (1), for “the Authority” substitute “ the FCA ”.



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- I64** Sch. 8 para. 31 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I65** Sch. 8 para. 31 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 32 (1) Section 301L (offences under Chapter) is amended as follows.
- (2) In subsections (1) and (2) (in both places), for “the Authority” substitute “ the FCA ”.
- (3) In subsection (4), for “the Authority’s” substitute “the FCA’s”.
- (4) In subsections (5) and (9), for “the Authority” substitute “ the FCA ”.

#### Commencement Information

- I66** Sch. 8 para. 32 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I67** Sch. 8 para. 32 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 33 In section 312A (exercise of passport rights by EEA market operator), in subsection (1)(b), for “the Authority” substitute “ the FCA ”.

#### Commencement Information

- I68** Sch. 8 para. 33 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I69** Sch. 8 para. 33 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 34 In section 312B (removal of passport rights from EEA market operator), in subsections (1) (in each place), (3), (4)(b), (5), (6), (7)(a) and (b), (8)(b), (9) to (11), (12) (in both places) and (13) (in the first place), for “Authority” substitute “ FCA ”.

#### Commencement Information

- I70** Sch. 8 para. 34 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I71** Sch. 8 para. 34 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 35 In section 312C (exercise of passport rights by recognised investment exchange), in subsections (2) to (6), for “Authority” substitute “ FCA ”.

#### Commencement Information

- I72** Sch. 8 para. 35 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3  
**I73** Sch. 8 para. 35 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

- 36 (1) Section 313 (interpretation) is amended as follows.
- (2) In subsection (1)—
- (a) after the definition of “applicant” insert—
- ““central counterparty clearing services” has the same meaning as in section 155 of the Companies Act 1989 (see subsection (3A) of that section);” and
- (b) at the end insert—

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““UK clearing house” means a clearing house—

- (a) which has its head office or its registered office (or both) in the United Kingdom,
- (b) which provides central counterparty clearing services, and
- (c) in relation to which a recognition order is in force.”

(3) In subsection (4), after “clearing services” insert “ or services falling within section 285(3)(b) ”.

**Commencement Information**

- I74** [Sch. 8 para. 36](#) in force at 24.1.2013 for specified purposes by [S.I. 2013/113](#), art. 2(1)(c), [Sch. Pt. 3](#)  
**I75** [Sch. 8 para. 36](#) in force at 1.4.2013 in so far as not already in force by [S.I. 2013/423](#), art. 3, [Sch.](#)

37 In section 392 (warning and decisions notices: application of provisions relating to third party rights and access to evidence)—

- (a) in paragraph (a), after “section 280(1),” insert “ section 312G(1), ”, and
- (b) in paragraph (b), after “section 280(2),” insert “ section 312H(1), ”.

**Commencement Information**

- I76** [Sch. 8 para. 37](#) in force at 24.1.2013 for specified purposes by [S.I. 2013/113](#), art. 2(1)(c), [Sch. Pt. 3](#)  
**I77** [Sch. 8 para. 37](#) in force at 1.4.2013 in so far as not already in force by [S.I. 2013/423](#), art. 3, [Sch.](#)

38 In section 412A (approval and monitoring of trade-matching and reporting systems), in subsections (1), (2), (4), (5) (in both places), (6) (in both places) and (7), for “Authority” substitute “ FCA ”.

**Commencement Information**

- I78** [Sch. 8 para. 38](#) in force at 24.1.2013 for specified purposes by [S.I. 2013/113](#), art. 2(1)(c), [Sch. Pt. 3](#)  
**I79** [Sch. 8 para. 38](#) in force at 1.4.2013 in so far as not already in force by [S.I. 2013/423](#), art. 3, [Sch.](#)

39 In section 412B (procedure for approval and suspension or withdrawal of approval), in subsections (1) to (6), (7) (in both places), (8) and (9), for “Authority” substitute “ FCA ”.

**Commencement Information**

- I80** [Sch. 8 para. 39](#) in force at 24.1.2013 for specified purposes by [S.I. 2013/113](#), art. 2(1)(c), [Sch. Pt. 3](#)  
**I81** [Sch. 8 para. 39](#) in force at 1.4.2013 in so far as not already in force by [S.I. 2013/423](#), art. 3, [Sch.](#)

**Changes to legislation:**

Financial Services Act 2012, SCHEDULE 8 is up to date with all changes known to be in force on or before 18 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to the whole Act associated Parts and Chapters:**

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)