
Changes to legislation: Financial Services Act 2012, Paragraph 35 is up to date with all changes known to be in force on or before 24 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 9

DISCIPLINE AND ENFORCEMENT

PART 6

NOTICE PROCEDURES

- 35 (1) Section 396 (statements under s.395: consultation) is amended as follows.
- (2) In subsection (1)—
- (a) after “a statement of” insert “ its ”,
 - (b) for “the Authority”, in the first place, substitute “ the regulator ”,
 - (c) for “the Authority”, in the second place, substitute “ it ”, and
 - (d) for “it” substitute “ the draft ”.
- (3) In subsection (2), for “Authority” substitute “ regulator publishing the draft ”.
- (4) In subsection (3), for “Before issuing the proposed statement of procedure, the Authority” substitute “ Before a regulator issues the proposed statement of its procedure, it ”.
- (5) In subsection (4), for “Authority issues the proposed statement of procedure” substitute “ regulator issues the proposed statement of its procedure, ”.
- (6) In subsection (5)—
- (a) for “statement of procedure differs from the draft published” substitute “ statement of the regulator’s procedure differs from the draft published by it ”,
 - (b) for “, in the opinion of the Authority,” substitute “ , in its opinion, ”, and
 - (c) for “the Authority must” substitute “ it must ”.
- (7) In subsection (6)—
- (a) for “The Authority” substitute “ The regulator publishing a draft under subsection (1) ”, and
 - (b) for “a draft published under subsection (1)” substitute “ the draft ”.

Commencement Information

- I1** Sch. 9 para. 35 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3
- I2** Sch. 9 para. 35 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)