
STATUTORY INSTRUMENTS

2001 No. 3083

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act 2000
(Transitional Provisions and Savings) (Civil Remedies,
Discipline, Criminal Offences etc.) (No. 2) Order 2001

Made - - - - 11th September 2001

Laid before Parliament 11th September 2001

Coming into force in accordance with article 1

THE FINANCIAL SERVICES AND MARKETS
ACT 2000 (TRANSITIONAL PROVISIONS AND
SAVINGS) (CIVIL REMEDIES, DISCIPLINE,
CRIMINAL OFFENCES ETC.) (NO. 2) ORDER 2001

PART 1

GENERAL

1. Citation, commencement and interpretation

PART 2

CIVIL REMEDIES

2. Remedial injunctions and restitution orders in respect of pre-commencement conduct
3. Restitution by the Authority
4. Injunctions to prevent disposal of assets
5. Unenforceable contracts

PART 3

DISCIPLINARY POWERS

6. Public statements in respect of pre-commencement contraventions of the Financial Services Act
7. Public statements in respect of pre-commencement contraventions of the rules of self-regulating organisations

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

8. Imposition of penalties in respect of pre-commencement contraventions of the rules of self-regulating organisations
9. Disciplinary powers in relation to persons registered with self-regulating organisations

PART 4

PROVISIONS RELATING TO THOSE FORMERLY AUTHORISED IN RELATION TO DEPOSIT TAKING

10. Saving of sections 19 and 20 of the Banking Act
11. Saving of sections 43A and 43B of the Building Societies Act
12. Winding-up of former authorised institutions etc.

PART 5

CRIMINAL OFFENCES

13. Offences committed before commencement

PART 6

INFORMATION GATHERING AND INVESTIGATIONS

14. Regulated persons
15. Power to require information
16. Reports by skilled persons
17. Appointment of persons to carry out general investigations
18. Appointment of persons to carry out investigations in particular cases

PART 7

MISCELLANEOUS

19. Auditors
 20. Recognised professional bodies
 21. Information from former recognised professional bodies
 22. Tribunal proceedings that relate to the contravention of a rule
 23. Revocation and amendments
- Signature
Explanatory Note