
STATUTORY INSTRUMENTS

2004 No. 3154

ELECTRONIC COMMUNICATIONS

The Wireless Telegraphy (Spectrum Trading) Regulations 2004

Made - - - - 30th November 2004

Coming into force - - 23rd December 2004

Whereas the Office of Communications (“OFCOM”) have given notice of their proposal to make these Regulations in accordance with section 403(4)(a) of the Communications Act 2003⁽¹⁾ (“the 2003 Act”) and published notice of their proposal in accordance with section 403(4)(b) of the 2003 Act and have considered the representations made to them before the time specified in the notice:

Now, therefore, OFCOM, in exercise of the powers conferred upon them by section 168(1) and (3) and section 403(7) of the 2003 Act hereby make the following Regulations:

Citation, commencement and extent

1. These Regulations may be cited as the Wireless Telegraphy (Spectrum Trading) Regulations 2004 and shall come into force on 23rd December 2004.
2. These Regulations shall not extend to the Bailiwick of Guernsey.

Interpretation

3. In these Regulations “concurrent holders” means persons who concurrently hold the rights and obligations under a wireless telegraphy licence by virtue of a transfer authorised by these Regulations which has that effect.

Transfer of all of the rights and obligations arising by virtue of a wireless telegraphy licence

4.—(1) Subject to regulation 7, a transfer by the holder of a wireless telegraphy licence to which this paragraph applies of all of the rights and obligations arising by virtue of that wireless telegraphy licence is authorised if it satisfies one of the two conditions set out in paragraph (2).

(2) Those conditions are —

- (a) that the rights and obligations of the person making the transfer become rights and obligations of the transferee to the exclusion of the person making the transfer;

- (b) that the transferred rights and obligations become rights and obligations of the transferee while continuing, concurrently, to be rights and obligations of the person making the transfer.

5. Paragraph (1) of regulation 4 shall apply to wireless telegraphy licences within the licence classes specified in Column 1 of each Part of the Schedule which apply to a station or apparatus operating within any of the frequency bands specified in Column 2 of the same Part.

Partial transfer of rights and obligations arising by virtue of a wireless telegraphy licence

6. Subject to regulation 7, transfers satisfying one of the two conditions set out in regulation 4(2) are also authorised where the transfer is of —

- (a) all of the rights arising by virtue of a wireless telegraphy licence which relate to —
 - (i) whole frequency channels under one of the licences of a class specified in Column 1 of Part 1 or Column 1 of Part 6 of the Schedule which apply to a station or apparatus operating within any of the frequency bands specified in Column 2 of the same Part;
 - (ii) part frequency channels which have in each case a bandwidth of 12.5 kHz or a multiple thereof and the same start or end frequency limit as a licensed frequency channel under one of the licences of a class specified in Column 1 of Part 1 or Column 1 of Part 6 of the Schedule which apply to a station or apparatus operating within any of the frequency bands specified in Column 2 of the same Part;
 - (iii) whole wireless telegraphy links designed for use between two fixed points under one of the licences of a class specified in Column 1 of Part 5 of the Schedule which apply to a station or apparatus operating within any of the frequency bands specified in Column 2 of that Part;
 - (iv) a part of the range of frequencies under one of the licences of a class specified in Column 1 of Part 2 or Column 1 of Part 4 of the Schedule which apply to a station or apparatus operating within any of the frequency bands specified in Column 2 of the same Part;
 - (v) a geographical area being part of the total geographical area in which the holder is authorised to establish, install and use radio transmitting and receiving stations or apparatus under one of the licences specified in Column 1 of Part 2 or Column 1 of Part 4 of the Schedule which apply to a station or apparatus operating within any of the frequency bands specified in Column 2 of the same Part; or
 - (vi) both of the situations set out in sub-paragraphs (iv) and (v); and
- (b) the corresponding part of each of the obligations under the licence.

Circumstances in which a transfer is not authorised

7. A transfer of rights and obligations arising under a wireless telegraphy licence is not authorised where —

- (a) any of the licence holder, or all of the concurrent holders, and the transferee have not consented to the transfer;
- (b) any sum payable under the Wireless Telegraphy (Licence Charges) Regulations 2002(2) in respect of that licence is owing to OFCOM because it has not been paid by the time it became due;
- (c) any instalment payment is to be paid to OFCOM under regulation 4(8)(b) of the Wireless Telegraphy (Licence Charges) Regulations 2002 in respect of that licence;

- (d) OFCOM has served notice under section 1E(1) of the Wireless Telegraphy Act 1949(3) on the holder, or the concurrent holders, of that licence of a proposal to revoke or vary that licence but that revocation or variation has not yet been made;
- (e) the holder has, or all of the concurrent holders have, requested OFCOM to revoke or vary the licence or have consented to a revocation or variation proposed by OFCOM but that revocation or variation has not yet been made; or
- (f) OFCOM have not given their consent, under regulation 8(3)(a), to the transfer being made.

Transfer procedure

8.—(1) The holder, or concurrent holders, of a wireless telegraphy licence who wishes, or who wish, to make a transfer authorised by regulations 4(1) or 6 must provide to OFCOM –

- (a) the reference number of the wireless telegraphy licence under which rights and obligations are to be transferred;
- (b) the name and address of the holder or concurrent holders of the wireless telegraphy licence;
- (c) the name and address of the proposed transferee;
- (d) a description of which type of transfer authorised by regulation 4(1) or regulation 6 is proposed;
- (e) a document signed by or on behalf of the holder, or each concurrent holder, of the licence and signed by or on behalf of the transferee, under which each of those persons warrants to OFCOM that he has consented to the proposed transfer;
- (f) all information necessary for OFCOM to determine whether or not they shall consent to the transfer; and
- (g) in the case of a transfer authorised by regulation 6, a description of which rights and obligations under the licence are to be transferred.

(2) OFCOM shall, after determining that the requirements of paragraph (1) have been met, publish a notice stating:

- (a) the name of the wireless telegraphy licence holder or concurrent holders and the name of the transferee to whom it is proposed that the rights and obligations arising under the licence shall be transferred;
- (b) the date when OFCOM determined that the requirements of paragraph (1) were met;
- (c) the licence class and the reference number of the wireless telegraphy licence under which rights and obligations are to be transferred; and
- (d) in the case of a transfer authorised by regulation 6, a description of which rights under the licence are proposed to be transferred.

(3) After publishing a notice under paragraph (2) OFCOM shall decide –

- (a) if they consent to the transfer in accordance with regulation 9; and
- (b) if they shall give any directions under regulation 10.

(4) OFCOM shall notify the parties to the proposed transfer of their decisions under paragraph (3).

(5) A transfer shall be effected by the holder or concurrent holders of the wireless telegraphy licence under which rights and obligations are to be transferred surrendering that licence and by OFCOM granting a new one to the transferee and –

- (a) in the case of a transfer which satisfies the condition set out in regulation 4(2)(b), the holder or concurrent holders who made the transfer; and

(b) in the case of a transfer authorised by regulation 6, to the holder or concurrent holders who made the transfer.

(6) OFCOM shall publish the information specified in paragraph (2) in relation to transfers that have been effected pursuant to paragraph (5).

Consent by OFCOM

9. In determining whether or not to consent to a proposed transfer OFCOM shall take into account whether –

- (a) the holder is, or the concurrent holders are, in breach of the terms of the wireless telegraphy licence under which the rights and obligations are to be transferred;
- (b) the transferee is able to meet the terms, provisions and limitations of the wireless telegraphy licence which is to be granted as a result of the transfer;
- (c) in the case of a transfer authorised by regulation 6 the transferor is able to meet the terms, provisions and limitations of the wireless telegraphy licence which is to be granted as a result of the transfer;
- (d) the transferee is able to meet any criteria relating to the persons to whom a wireless telegraphy licence of the class under which rights and obligations are to be transferred may be granted; and
- (e) it is requisite or expedient to refuse consent to the transfer –
 - (i) in the interests of national security;
 - (ii) for the purposes of complying with a Community obligation of the United Kingdom or with any international agreement or arrangements to which the United Kingdom is party; or
 - (iii) for the purposes of complying with a direction by the Secretary of State given to OFCOM under section 5 or section 156 of the Communications Act 2003.

Directions by OFCOM

10.—(1) If OFCOM consent to a transfer they may also direct that a transfer shall only be put into effect in accordance with regulation 8(5) after compliance with conditions which may relate to any matter mentioned in any of the paragraphs of regulation 9.

(2) A transfer may not be put into effect in accordance with regulation 8(5) until after compliance with the conditions set out in any such direction.

30th November 2004

Stephen Carter
Chief Executive of the Office of
Communications For and by authority of the
Office of Communications

SCHEDULE

Regulations 4 and 6

LICENCE CLASSES AND FREQUENCY BANDS

PART 1

| <i>Column 1</i> | <i>Column 2</i> |
|--|------------------------|
| Licence classes | Frequency bands |
| Public Mobile Operator for Public Wide-Area Paging | 55.75 – 87.50 MHz |
| Public Mobile Operator for Public Mobile Data, Non-Voice Only Operation | 136 – 208 MHz |
| Public Mobile Operator for Public Access Mobile Radio | 450 – 470 MHz |
| Public Mobile Operator for CDMA Spread Spectrum Data/Asset Tracking Systems | 133 – 134 kHz |
| National and Regional Private Business Radio Common Base Station Operator | 146 – 147 kHz |

PART 2

| <i>Column 1</i> | <i>Column 2</i> |
|---|-----------------------|
| Licence class | Frequency band |
| Fixed Wireless Access (including point-to-multipoint systems) | 3480 – 3600 MHz |

PART 3

| <i>Column 1</i> | <i>Column 2</i> |
|---|-----------------------|
| Licence class | Frequency band |
| Fixed Wireless Access (including point-to-multipoint systems) | 3605 – 4009 MHz |

PART 4

| <i>Column 1</i> | <i>Column 2</i> |
|---------------------------------|------------------------|
| Licence class | Frequency bands |
| Broadband Fixed Wireless Access | 28.0525 – 28.4445 GHz |
| | 29.0605 – 29.4525 GHz |

Status: This is the original version (as it was originally made).

PART 5

| <i>Column 1</i> | <i>Column 2</i> |
|----------------------------|------------------------|
| Licence class | Frequency bands |
| Point to Point Fixed Links | 1350 – 1530 MHz |
| | 1672 – 1690 MHz |
| | 3600 – 4200 MHz |
| | 5925 – 6425 MHz |
| | 6425 – 7125 MHz |
| | 7425 – 7900 MHz |
| | 10.7 – 11.7 GHz |
| | 12.75 – 13.25 GHz |
| | 14.25 – 14.5 GHz |
| | 14.5 – 15.35 GHz |
| | 17.3 – 17.7 GHz |
| | 17.7 – 19.7 GHz |
| | 21.2 – 22 GHz |
| | 22 – 23.6 GHz |
| | 24.5 – 26.5 GHz |
| | 32.319 – 32.571 GHz |
| | 33.131 – 33.383 GHz |
| | 37 – 39.5 GHz |
| | 49.2 – 50.2 GHz |
| | 51.4 – 52.6 GHz |
| | 55.78 – 57 GHz |

PART 6

| <i>Column 1</i> | <i>Column 2</i> |
|----------------------|-----------------------|
| Licence class | Frequency band |
| Scanning Telemetry | 457.5 – 464 MHz |

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations are made under section 168(1) and (3) and section 403(7) of the Communications Act 2003. Section 168 implements Article 9(3) and (4) of the Directive of the European Parliament and of the Council of 7th March 2002 on a common regulatory framework for electronic communications networks and services (Framework Directive) (2002/21/EC).

Regulation 4(1) (subject to the exceptions in regulation 7) authorises the transfer of all rights and obligations arising by virtue of the wireless telegraphy licences of the classes listed in Column 1 of each Part of the Schedule which apply to a station or apparatus operating within any of the frequency bands specified in Column 2 of the same Part. This includes licences granted for the Public Mobile Operator sector, the Fixed Wireless sector, Point to Point Fixed Links licences and Scanning Telemetry licences.

Under regulation 6 (subject to the exceptions in regulation 7) certain transfers of rights and obligations relating to parts of the licensed range of frequencies are authorised for certain licences granted for the Public Mobile Operator sector, the Fixed Wireless Access sector, Point to Point Fixed Links licences and Scanning Telemetry licences. The transfer of the rights and obligations relating to a part of the licensed geographical area is authorised for certain licences within the Fixed Wireless Access class and the Broadband Fixed Wireless Access class.

Two types of transfer are authorised by regulation 4(1) and 6. Firstly, a transfer may be one in which the rights and obligations of the person making the transfer become rights and obligations of the transferee to the exclusion of the person making the transfer. Secondly, a transfer may be one in which the transferred rights and obligations become rights and obligations of the transferee while continuing, concurrently, to be rights and obligations of the person making the transfer.

Regulation 7 specifies circumstances in which transfers are not authorised which include where OFCOM has not given its consent. Regulation 9 sets out the matters which OFCOM shall take into account in determining whether or not to consent. If OFCOM consents to a transfer they may also direct that a transfer shall only be put into effect after compliance with conditions. Regulation 8 sets out the procedure for making transfers.

A full regulatory impact assessment of the effect that these Regulations will have on the costs to business is available to the public from the OFCOM Library at Riverside House, 2a Southwark Bridge Road, London SE1 9HA (Tel: 020 7981 3000) or on the Office of Communications Internet web site at www.ofcom.org.uk. Copies of the report have also been placed in the libraries of both Houses of Parliament.