

SCHEDULE 3

MISCELLANEOUS AMENDMENTS

Companies (Audit, Investigations and Community Enterprise) Act 2004 (c. 27)

5. In section 14 of the Companies (Audit, Investigations and Community Enterprise) Act 2004 (supervision of periodic accounts and reports of issuers of listed securities), in subsection (12), for the definition of “issuer”, “listing rules” and “security” substitute—

““listed securities” and “listing rules” have the meaning given by section 103(1) of the Financial Services and Markets Act 2000 (c. 8) (interpretation of Part 6);

““issuer”, in relation to listed securities, has the meaning given by section 102A(6)(b) of that Act;”.

6. In section 16 of that Act (grants to bodies concerned with accounting standards etc.), in subsection (5), for the definition of “issuer”, “listing rules” and “security” substitute—

““listed securities” and “listing rules” have the meaning given by section 103(1) of the Financial Services and Markets Act 2000 (c. 8) (interpretation of Part 6);

““issuer”, in relation to listed securities, has the meaning given by section 102A(6)(b) of the Financial Services and Markets Act 2000 (meaning of “securities” etc.);”.