
STATUTORY INSTRUMENTS

2006 No. 3289

**The Waste Electrical and Electronic
Equipment Regulations 2006**

PART 8

APPROVAL OF AUTHORISED TREATMENT FACILITIES AND EXPORTERS

Reporting

52.—(1) Subject to paragraph (2), an operator of an AATF or an approved exporter shall provide reports to the appropriate authority —

- (a) on or before 1st May in a relevant approval period in respect of the first quarter period in that approval period;
- (b) on or before 1st August in a relevant approval period in respect of the second quarter period in that approval period;
- (c) on or before 1st November in a relevant approval period in respect of the third quarter period in that approval period; and
- (d) on or before 1st February in the year immediately following the end of a relevant approval period in respect of the fourth quarter period in that approval period.

(2) An operator of an AATF or an approved exporter shall provide reports to the appropriate authority —

- (a) on or before 1st November 2007 in respect of the period commencing on 1st July 2007 and ending with 30th September 2007; and
- (b) on or before 1st February 2008 in respect of the period commencing on 1st October 2007 and ending with 31st December 2007.

(3) The reports referred to in paragraphs (1) and (2) shall include details of—

- (a) in the case of an AATF—
 - (i) the total amount in tonnes of WEEE received for treatment under these Regulations; and
 - (ii) the total amount in tonnes of WEEE treated under these Regulations at that AATF;
 - (iii) the total amount in tonnes of WEEE delivered to another ATF for treatment under these Regulations;
 - (iv) where sub-paragraph (a)(iii) applies,
 - (aa) the name and address of the operator of the ATF referred to in that sub-paragraph; and
 - (bb) the address of the ATF where the treatment referred to in that sub-paragraph was carried out;
 - (v) the amount in tonnes of WEEE delivered to a reprocessor for recovery or recycling under these Regulations;

- (vi) where sub-paragraph (a)(v) applies,
 - (aa) the name and address of the reprocessor referred to in that sub-paragraph;
and
 - (bb) the address of the site where the recovery or recycling referred to in that sub-paragraph was carried out;
- (vii) the amount in tonnes of WEEE delivered to an approved exporter for treatment, recovery or recycling outside the United Kingdom under these Regulations;
- (viii) where sub-paragraph (a)(vii) applies, the name and address of the exporter referred to in that sub-paragraph; and
- (ix) where sub-paragraph (a)(i), (ii), (iii), (v) or (vii) applies, details of the amount in tonnes of WEEE shall be provided by reference to the following categories—
 - (aa) each of the categories listed in Schedule 1 (excluding display equipment, cooling appliances containing refrigerants and gas discharge lamps),
 - (bb) display equipment,
 - (cc) cooling appliances containing refrigerants, and
 - (dd) gas discharge lamps,and in the case of each category shall specify whether WEEE was intended for use by private households or by users other than private households;
- (b) in the case of an approved exporter, the total amount of WEEE in tonnes exported for treatment, recovery and recycling by reference to the following categories—
 - (i) each of the categories listed in Schedule 1 (excluding display equipment, cooling appliances containing refrigerants and gas discharge lamps),
 - (ii) display equipment,
 - (iii) cooling appliances containing refrigerants, and
 - (iv) gas discharge lamps,and in the case of each category shall specify whether WEEE was intended for use by private households or by users other than private households; and
- (c) the total number of evidence notes issued.
- (4) In addition to the requirements in paragraph (3), the report referred to in—
 - (a) paragraph (1)(d) shall include all of the information provided in the quarterly reports that relate to the relevant approval period; and
 - (b) paragraph (2)(b) shall include all of the information provided in the report referred to in paragraph (2)(a).
- (5) An operator of an AATF or an approved exporter shall also provide a report to the appropriate authority on or before 28th February in the year immediately following the end of the relevant approval period which shall—
 - (a) be from an independent auditor; and
 - (b) demonstrate to the satisfaction of the appropriate authority that the evidence notes issued by the operator of the AATF or the approved exporter during the relevant approval period are consistent with the amount of WEEE in tonnes received or exported for treatment, recovery or recycling in that relevant approval period.
- (6) For the purposes of paragraph (5), an “independent auditor” means—

- (a) an auditor who would be eligible for appointment as the company auditor of the operator of the AATF or the approved exporter under Part II of the Companies Act 1989⁽¹⁾; or
- (b) an auditor who is—
 - (i) independent of the operator of the AATF;
 - (ii) independent of any operator of a scheme; and
 - (iii) a member of a professional body for auditors that is recognised as such by an appropriate authority.

⁽¹⁾ 1989 c. 40.