

---

STATUTORY INSTRUMENTS

---

**2006 No. 511**

**EDUCATION, ENGLAND**

**The Education (Budget Statements) (England) Regulations 2006**

*Made* - - - - 27th February 2006  
*Laid before Parliament* 6th March 2006  
*Coming into force* - - 27th March 2006

The Secretary of State for Education and Skills makes the following Regulations in exercise of the powers conferred upon the Secretary of State by sections 52(1), (1A), (3) and (4) and 138(7) of the School Standards and Framework Act 1998(1).

**Citation, commencement and application**

1.—(1) These Regulations may be cited as the Education (Budget Statements) (England) Regulations 2006 and come into force on 27th March 2006.

(2) These Regulations apply only in relation to England, and only in relation to budget statements prepared for the period prescribed in regulation 3.

**Interpretation**

2.—(1) In these Regulations—

“the 1996 Act” means the Education Act 1996(2);

“the 1998 Act” means the School Standards and Framework Act 1998;

“the 2000 Act” means the Learning and Skills Act 2000(3);

“the 2002 Act” means the Education Act 2002(4);

“the 2006 Regulations” means the School Finance (England) Regulations 2006(5);

“allocation formula” means the LEA’s formula under regulation 9 of the 2006 Regulations used to determine schools’ budget shares;

---

(1) 1998 c. 31. Section 52 was amended by section 117 of, and paragraphs 2 and 10 of Schedule 18 to, the Education Act 2005 (c. 18). By virtue of the National Assembly for Wales (Transfer of Functions) Order 1999 (S.I. 1999/672) the powers conferred by these provisions are exercisable by the Secretary of State only in relation to England. For the meaning of “prescribed” and “regulations” see section 142(1).

(2) 1996 c. 56.

(3) 2000 c. 21.

(4) 2002 c. 32.

(5) S.I. 2006/468.

“AWPU” means age-weighted pupil unit;

“budget statement” means the statement referred to in section 52(1) of the 1998 Act;

“capital expenditure” means expenditure of a local authority which falls to be capitalised in accordance with proper practices, or expenditure which shall be treated as capital expenditure by virtue of any regulations or directions made under section 16 of the Local Government Act 2003<sup>(6)</sup>;

“central expenditure” means the total amount deducted by a LEA from their schools budget;

“central expenditure limit” means the limit on central expenditure imposed by Schedule 3 to the 2006 Regulations;

“CERA” means capital expenditure which a local authority expect to charge to a revenue account of the authority within the meaning of section 22 of the Local Government Act 2003;

“Dedicated Schools Grant” is the grant of that name paid to a LEA by the Secretary of State under section 14 of the 2002 Act;

“expenditure on the school specific contingency” is expenditure—

- (a) which it would not be reasonable to expect a governing body to meet from the school’s budget share;
- (b) on increases to a school’s budget share to which the school is entitled by virtue of the LEA’s allocation formula or the determination of budget shares under the authority of the schools forum or the Secretary of State; and
- (c) on the correction of errors;

“factor” means one of the factors or criteria set out in Schedule 4 to the 2006 Regulations which may be taken into account in a LEA’s allocation formula under regulation 17 of those Regulations;

“FE” means further education;

“formula” means the formula referred to in regulation 9 of the 2006 Regulations;

“FTE” means full time equivalent;

“ghost-funding” means the funding of places in infant classes under paragraph 35 of Schedule 4 to the 2006 Regulations<sup>(7)</sup>;

“funding period 1” is the financial year beginning on 1st April 2006;

“funding period 2” is the financial year beginning on 1st April 2007;

“ISB” means the individual schools budget;

“KS” means a key stage as defined in section 82 of the 2002 Act;

“LEA” means a local education authority;

“LSC” means the Learning and Skills Council for England<sup>(8)</sup>;

“Performance Reward Grant” is the grant of that name paid under section 31 of the Local Government Act 2003 to reward local authorities for improvements in local services;

“place-led funding” means the funding of places in maintained schools by a LEA under regulation 15 of the 2006 Regulations;

---

(6) 2003 c. 26. Regulations made under this section are the Local Authorities (Capital Finance and Accounting) (England) Regulations 2003 (S.I. 2003/3146), as amended by S.I. 2004/534 and 2004/3055.

(7) Regulation 17 and paragraph 35 of Schedule 4 to the 2006 Regulations enable LEAs to fund vacant places in infant classes by taking them into account as an additional factor in determining schools budget shares for funding period 1 and 2 where these places would not fall to be funded under any other provision in those Regulations.

(8) The Learning and Skills Council for England was established under section 1 of the 2000 Act.

“primary or secondary school” means a primary or secondary school which is a community, foundation, voluntary or maintained nursery school;

“proper practices” means those accounting practices which a local authority are required to follow by virtue of any enactment, or which, so far as they are consistent with any such enactment are generally regarded, whether by reference to any generally recognised published code or otherwise, as proper accounting practices to be followed in the keeping of the accounts of local authorities, either generally or of the description concerned<sup>(9)</sup>;

“prudential borrowing” means borrowing money for the purpose of facilitating the modernisation and rationalisation of the school estate, where the revenue savings that will be achieved are equal to or more than the expenditure that will be incurred in borrowing the money;

“prudential borrowing costs” means expenditure incurred on prudential borrowing which may be deducted from a LEA’s schools budget under paragraph 35(a) of Schedule 2 to the 2006 Regulations, with authorisation of the schools forum under regulation 13 of those Regulations;

“pupil-led funding” means the funding of pupils in maintained schools under regulation 14 of the 2006 Regulations;

“SB” means schools budget;

“school” means a maintained school<sup>(10)</sup>;

“School Development Grant” is the grant of that name paid to a LEA by the Secretary of State under section 14 of the 2002 Act;

“School Meals Grant Devolved” is the grant of that name paid to a LEA by the Secretary of State under section 14 of the 2002 Act;

“School Standards Grant” is the grant of that name paid to a LEA by the Secretary of State under section 14 of the 2002 Act;

“SEN” means special educational needs;

“SEN transport expenditure” means the costs of home to school transport for pupils with SEN in schools maintained by the LEA, where the revenue savings that will be achieved by placing such children in a school maintained by the authority are equal to, or greater than, the cost of such transport;

“special educational needs” and “special educational provision” have the meanings given to those expressions by section 312 of the 1996 Act;

“specific formula grant” means the grant known as specific formula grant paid in accordance with section 31 of the Local Government Act 2003<sup>(11)</sup>;

“Standards Fund Grant” is the grant of that name paid to a LEA by the Secretary of State under section 14 of the 2002 Act;

“statement” in relation to a pupil with special educational needs means a statement made under section 324 of the 1996 Act;

“support for schools in financial difficulty” has the meaning used in paragraph 31 of Schedule 2 to the 2006 Regulations;

“targeted School Meals Grant” is the grant of that name paid to a LEA by the Secretary of State under section 14 of the 2002 Act;

---

<sup>(9)</sup> Section 2 of the Local Government Act 2003 (c. 32) and regulations 25 and 26 of the Local Authorities (Capital Finance and Accounting) (England) Regulations 2003 (S.I. 2003/3146), as amended by S.I. 2004/534.

<sup>(10)</sup> The definition of “maintained school” for the purposes of these Regulations is that in subsection (1A) of section 45 of the 1998 Act, which was inserted by paragraph 99 of Schedule 21 to the 2002 Act.

<sup>(11)</sup> 2003 c. 26.

“termination of employment costs”, for the purposes of the schools budget, means expenditure in respect of the dismissal or premature retirement of, or for the purpose of securing the resignation of, any person employed in a maintained school where—

- (a) the dismissal, premature retirement or resignation occurs after the beginning of funding period 1, and
  - (b) the revenue savings that will be achieved are equal to or greater than the costs incurred;
- “Threshold and Performance Pay Grant” means a sum of money paid under that name to a LEA by the Secretary of State under section 14 of the 2002 Act;

a reference to threshold and performance pay relates to the threshold and performance pay elements of the salaries of teachers in schools;

“youth service” means services provided by the LEA under sections 15B and 508 of the 1996 Act and section 114 of the 2000 Act.

(2) In these Regulations—

- (a) references to the number, or numbers, of registered pupils at one or more schools are references to the number, or numbers, of such pupils required under the allocation formula to be used for the initial determination of that school’s budget share or those schools’ budget shares for funding periods 1 and 2, but ignoring any weighting in accordance with paragraphs (4) or (7) of regulation 14 of the 2006 Regulations or any adjustment under paragraph (9) of that regulation (permanent exclusions); and
- (b) a reference to a particular class or description of expenditure in relation to maintained schools and to pupils registered at such schools shall include any expenditure of that class or description as the LEA may incur in relation to Academies<sup>(12)</sup>.

### **Period to which a budget statement must relate**

3. For the purposes of section 52(1) of the 1998 Act, the period prescribed in accordance with section 52(1A) is—

- (a) funding periods 1 and 2; and
- (b) the funding period comprising the financial year beginning on 1st April 2005<sup>(13)</sup>.

### **Form of, and information to be contained in, a budget statement**

4. For the purposes of section 52(3)(a) of the 1998 Act, a budget statement is prepared in four parts and contain the information prescribed for the purposes of section 52(1) of that Act, as follows—

- (a) Part 1 is in the form prescribed in Schedule 1, and contains information in respect of the prescribed period relating to the level of funding available to a LEA for expenditure on schools;
- (b) Part 2 is in the form prescribed in Schedule 2, and contains information in respect of the prescribed period relating to a LEA’s planned expenditure as follows—
  - (i) for funding period 1, information relating to such expenditure from both the LEA budget and the schools budget, information relating to the LEA’s central expenditure within the schools budget and information relating to expenditure on the LEA’s youth service; and

---

<sup>(12)</sup> Academies are established under section 482 of the 1996 Act. Section 482 was substituted by section 65 of the 2002 Act.

<sup>(13)</sup> Information in relation to this period was previously required for the purposes of section 52(1) of the 1998 Act under the Education (Budget Statements)(England) Regulations 2005 (S.I.2005/345).

- (ii) for funding period 2, information relating to such expenditure from the schools budget and the LEA's central expenditure within the schools budget;
- (c) Part 3 is in the form prescribed in Schedule 3, and contains information in respect of the prescribed period relating to the calculation of the budget shares of each of a LEA's schools, being information relating to the amount allocated in respect of each school, and the amount allocated in respect of each pupil and each place in that school; and
- (d) Part 4 is in the form prescribed in Schedule 4 and contains information in respect of the prescribed period relating to a LEA's allocation formula or formulae.

### **Manner and time of publication of a budget statement**

- 5.—(1) For the purposes of section 52(3)(b) of the 1998 Act, a budget statement is published by—
- (a) supplying a copy to the Secretary of State for Education and Skills; and
  - (b) making a copy available for inspection at all reasonable times and free of charge—
    - (i) at the offices of the LEA; and
    - (ii) on a website maintained by the LEA and accessible to the public.
- (2) A budget statement in respect of the prescribed period must be published before the beginning of that period.
- (3) A budget statement published under paragraph (1) may not be revised during the prescribed period, except—
- (a) to correct errors contained in it; or
  - (b) to reflect any redetermination of budget shares which is made pursuant to regulation 11(1) of the 2006 Regulations (redetermination of schools budgets and individual schools budgets).
- (4) Where a LEA revise any budget statement under paragraph (3), they must ensure that the revised budget statement is in the form prescribed by regulation 4, and that it is published in the manner prescribed by paragraph (1).
- (5) Subject to paragraph (6), a LEA must furnish the governing body and head teacher of each school with a copy of Parts 1, 2 and 4 of the budget statement, and with a copy of any parts of Part 3 of that statement which relate to their school.
- (6) Where the governing body or the head teacher of a school so request, a LEA must furnish them with a complete copy of Part 3 of the budget statement.

27th February 2006

*Jacqui Smith*  
Minister of State,  
Department for Education and Skills

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

SCHEDULE 1

Regulation 4(a)

FORM OF PART 1 OF A BUDGET STATEMENT

Part 1 of a budget statement is in the following form.  
FUNDING PERIOD 1 AND FUNDING PERIOD 2

SECTION 52 EDUCATION BUDGET STATEMENT		SCHOOL BUDGET SUMMARY TABLE					
YEAR	2006-07 to 2007-08	Local Authority Name		LEA No		E-Mail Address:	
CONTACT		TEL.		Version No		Completion Date:	

This table provides an account of the main sources of funding available to LEAs to support their Schools Budget, including any additional funding provided by the authority.

	2005-06 DSG Baseline (Actuals) (a)	2006-07 (b)	2007-08 (c)
1 Dedicated Schools Grant - LEA's estimate of pupil numbers (from 2006-07)	<input type="text"/>	<input type="text"/>	<input type="text"/>
2 Dedicated Schools Grant - Guaranteed Unit of Funding Per Pupil (from 2005-07)	<input type="text"/>	<input type="text"/>	<input type="text"/>
3 Estimated Dedicated Schools Grant (from 2006-07)	<input type="text"/>	<input type="text"/>	<input type="text"/>
4 School Standards Grant	<input type="text"/>	<input type="text"/>	<input type="text"/>
5 School Development Grant	<input type="text"/>	<input type="text"/>	<input type="text"/>
6 Other Standards Fund Grants	<input type="text"/>	<input type="text"/>	<input type="text"/>
7 LSC funding	<input type="text"/>	<input type="text"/>	<input type="text"/>
8 LEA additional contribution	<input type="text"/>	<input type="text"/>	<input type="text"/>
9 Total funding supporting the Schools Budget (lines 1 to 8)	<input type="text"/>	<input type="text"/>	<input type="text"/>

SCHEDULE 2

Regulation 4(b)

FORM OF PART 2 OF BUDGET STATEMENT

Part 2 of a budget statement is in the following forms.  
FUNDING PERIOD 1 AND FUNDING PERIOD 2

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

**TABLE 1: FUNDING PERIOD 1 2006-07**

SECTION 52 EDUCATION BUDGET STATEMENT				Table 1 - LEA level information					
YEAR	2006-07	Local Authority Name	LEA No	E-Mail Address					
CONTACT		TEL	Version No	Completion Date					
1 SCHOOLS BUDGET									
			Nursery (a)	Primary (b)	Secondary (c)	Special (d)	Gross (e)	Income (f)	Net (g)
1.0.1 Individual Schools Budget									
1.0.2 School Standards Grant - Maintained Schools									
1.0.3 School Standards Grant - Pupil Referral Units									
1.0.4 School Development Grant									
1.0.5 Other Standards Fund Allocation - Devolved									
1.0.6 Devolved School Meals Grant									
1.0.7 Targeted School Meals Grant - Devolved									
1.0.8 Threshold and Performance Pay (Devolved)									
1.1.1 Support for schools in financial difficulty									
1.1.2 School-specific contingencies									
1.1.3 14 - 16 More Practical Learning Options									
1.2.1 Provisions for pupils with SEN, with and without statements									
1.2.2 Provisions for pupils with SEN, with and without statements, not included in line 1.2.1									
1.2.3 Support for inclusion									
1.2.4 Fees for pupils at independent special schools & abroad									
1.2.5 Fees to independent schools for pupils without statements of SEN									
1.2.6 SEN transport									
1.2.7 Contribution to combined budgets									
1.2.8 Inter-authority recruitment									
1.3.1 Pupil Referral Units									
1.3.2 Behaviour Support Services									
1.3.3 Education out of school									
1.3.4 Private/voluntary/independent fees for education of children under 5									
1.4.1 School Meals - nursery, primary and special schools									
1.4.2 Free School Meals - eligibility									
1.4.3 MK									
1.4.4 School Kitchens - repair and maintenance									
1.5.1 Insurance									
1.5.2 Museum Services									
1.5.3 Library Services - nursery, primary and special schools									
1.5.4 School addresses									
1.5.5 Licences/subscriptions									
1.5.6 Miscellaneous (not more than 0.1% total net SB)									
1.5.7 Servicing of schools forums									
1.5.8 Staff costs - supply cover (not sickness)									
1.5.9 Supply cover - long term sickness									
1.5.10 Termination of Employment Costs									
1.6.1 School Development Grant - Non-Devolved									
1.6.2 Other Standards Fund Allocation - Non-Devolved									
1.6.3 Non-Standards Fund specific grant									
1.6.4 Targeted School Meals Grant - Non-Devolved									
1.6.5 Performance Reward Grant									
1.7.1 Capital Expenditure from Revenue (CERA) (Schools)									
1.7.2 Prudential borrowing costs									
1.8.1 TOTAL SCHOOLS BUDGET									

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

1. LSC BUDGET			
<b>STRATEGIC MANAGEMENT</b>			
2.0.1	Specialist Support Units		
2.0.2	Specialist Support Units - Additional		
2.0.3	Specialist Support Units - Additional (included in 2.0.1)		
2.0.4	Specialist Support Units - Additional (included in 2.0.1)		
2.0.5	Specialist Support Units - Additional (included in 2.0.1)		
2.0.6	Specialist Support Units - Additional (included in 2.0.1)		
2.0.7	Specialist Support Units - Additional (included in 2.0.1)		
2.0.8	Total Strategic Management		
<b>SPECIAL EDUCATION AND SPECIFIC FORMULA GRANTS</b>			
2.1.1	Specialist Support Units - Additional		
2.1.2	Specialist Support Units - Additional		
2.1.3	Specialist Support Units - Additional		
2.1.4	Total Specific Grants		
<b>SPECIAL EDUCATION</b>			
2.2.1	Educational Psychology Services		
2.2.2	SEN Support - Additional		
2.2.3	SEN Support - Additional (included in 2.2.2)		
2.2.4	SEN Support - Additional (included in 2.2.2)		
2.2.5	SEN Support - Additional (included in 2.2.2)		
2.2.6	SEN Support - Additional (included in 2.2.2)		
2.2.7	SEN Support - Additional (included in 2.2.2)		
2.2.8	Total Special Education		
<b>ONGOING IMPLEMENTATION</b>			
2.3.1	School Improvement		
<b>RESOURCES</b>			
2.4.1	Additional Staff		
2.4.2	Additional Staff - Additional		
2.4.3	Additional Staff - Additional (included in 2.4.1)		
2.4.4	Additional Staff - Additional (included in 2.4.1)		
2.4.5	Additional Staff - Additional (included in 2.4.1)		
2.4.6	Additional Staff - Additional (included in 2.4.1)		
2.4.7	Additional Staff - Additional (included in 2.4.1)		
2.4.8	Additional Staff - Additional (included in 2.4.1)		
2.4.9	Additional Staff - Additional (included in 2.4.1)		
2.4.10	Additional Staff - Additional (included in 2.4.1)		
2.4.11	Additional Staff - Additional (included in 2.4.1)		
2.4.12	Additional Staff - Additional (included in 2.4.1)		
2.4.13	Additional Staff - Additional (included in 2.4.1)		
2.4.14	Additional Staff - Additional (included in 2.4.1)		
2.4.15	Additional Staff - Additional (included in 2.4.1)		
2.4.16	Additional Staff - Additional (included in 2.4.1)		
2.4.17	Additional Staff - Additional (included in 2.4.1)		
2.4.18	Additional Staff - Additional (included in 2.4.1)		
2.4.19	Additional Staff - Additional (included in 2.4.1)		
2.4.20	Additional Staff - Additional (included in 2.4.1)		
2.4.21	Additional Staff - Additional (included in 2.4.1)		
2.4.22	Additional Staff - Additional (included in 2.4.1)		
2.4.23	Additional Staff - Additional (included in 2.4.1)		
2.4.24	Additional Staff - Additional (included in 2.4.1)		
2.4.25	Additional Staff - Additional (included in 2.4.1)		
2.4.26	Additional Staff - Additional (included in 2.4.1)		
2.4.27	Additional Staff - Additional (included in 2.4.1)		
2.4.28	Additional Staff - Additional (included in 2.4.1)		
2.4.29	Additional Staff - Additional (included in 2.4.1)		
2.4.30	Additional Staff - Additional (included in 2.4.1)		
2.5.1	Capital Projects - Additional (included in 2.4.1)		
2.5.2	Total LSC Expenditure - Resources		
<b>NON-RESOURCES COMMUNITY</b>			
2.6.1	Non-Resources Community		
2.6.2	Additional Staff - Additional (included in 2.4.1)		
2.6.3	Additional Staff - Additional (included in 2.4.1)		
2.6.4	Additional Staff - Additional (included in 2.4.1)		
2.6.5	Additional Staff - Additional (included in 2.4.1)		
2.6.6	Additional Staff - Additional (included in 2.4.1)		
2.6.7	Additional Staff - Additional (included in 2.4.1)		
2.6.8	Additional Staff - Additional (included in 2.4.1)		
2.6.9	Additional Staff - Additional (included in 2.4.1)		
2.6.10	Additional Staff - Additional (included in 2.4.1)		
2.6.11	Additional Staff - Additional (included in 2.4.1)		
2.6.12	Additional Staff - Additional (included in 2.4.1)		
2.6.13	Additional Staff - Additional (included in 2.4.1)		
2.6.14	Additional Staff - Additional (included in 2.4.1)		
2.6.15	Additional Staff - Additional (included in 2.4.1)		
2.6.16	Additional Staff - Additional (included in 2.4.1)		
2.6.17	Additional Staff - Additional (included in 2.4.1)		
2.6.18	Additional Staff - Additional (included in 2.4.1)		
2.6.19	Additional Staff - Additional (included in 2.4.1)		
2.6.20	Additional Staff - Additional (included in 2.4.1)		
2.6.21	Additional Staff - Additional (included in 2.4.1)		
2.6.22	Additional Staff - Additional (included in 2.4.1)		
2.6.23	Additional Staff - Additional (included in 2.4.1)		
2.6.24	Additional Staff - Additional (included in 2.4.1)		
2.6.25	Additional Staff - Additional (included in 2.4.1)		
2.6.26	Additional Staff - Additional (included in 2.4.1)		
2.6.27	Additional Staff - Additional (included in 2.4.1)		
2.6.28	Additional Staff - Additional (included in 2.4.1)		
2.6.29	Additional Staff - Additional (included in 2.4.1)		
2.6.30	Additional Staff - Additional (included in 2.4.1)		
2.7.1	TOTAL LSC BUDGET		
2.8.1	TOTAL EDUCATION RESOURCES EXPENDITURE		
2.9.1	TOTAL LSC BUDGET (including 2.7.1)		

  

MEMORANDUM ITEM			
3. Expenditure covered by LSC Grants - include within the part of the capital budget included in individual lines of expenditure budget that is supported by the Learning and Skills Council.			
3.1	SENTH FORM - Allocation from LSC for 15+ funding for secondary schools (included in expenditure 1.0.1 column (a) and 3.0.1 column (a))		
3.2	SENTH FORM - Allocation from LSC for 15+ funding for special schools (included in expenditure 1.0.1 column (a))		
3.3	SENTH FORM - Allocation from LSC for 15+ funding for pupils with and without statements		
3.4	SENTH FORM - Allocation from LSC for 15+ funding for pupils with and without statements		
3.5	SENTH FORM - Allocation from LSC for 15+ funding for pupils at independent special schools and ahead		
3.6	SENTH FORM - Allocation from LSC for 15+ funding for pupils at independent special schools (pupils without statements)		
3.7	LSC Threshold and Performance Pay Costs (included in expenditure 1.0.1 column (c) and (d))		
3.8	LSC Threshold and Performance Pay Costs (included in expenditure 1.0.1 column (c) and (d))		



**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

**TABLE 1: FUNDING PERIOD 2 2007-08**

SECTION 42 EDUCATION BUDGET STATEMENT				Table 1 - LEA level information			
YEAR	2007-08	Local Authority Name		LEA No		E-Mail Address	
CONTACT		TEL		Version No		Completion Date	

  

	Nursery	Primary	Secondary	Special	Other	Income	Net
	(H)	(G)	(N)	(E)	(H)	(F)	(D)
<b>1 SCHOOLS BUDGET</b>							
1.01 Individual Schools Budget							
1.02 School Standards Grant - Maintained Schools							
1.03 School Standards Grant - Pupil Referral Units							
1.04 School Development Grant							
1.05 Other Standards Fund Allocation - Devolved							
1.06 Devolved School Meals Grant							
1.07 Targeted School Meals Grant - Devolved							
1.08 Threshold and Performance Pay (Devolved)							
1.11 Support for schools in financial difficulty							
1.12 School-specific contingencies							
1.13 14-16 More Practical Learning Options							
1.21 Provision for pupils with SEN, with and without statements							
1.22 Provision for pupils with SEN, with and without statements, not included in line 1.2.1							
1.23 Support for Inclusion							
1.24 Fees for pupils at independent special schools & abroad							
1.25 Fees to independent schools for pupils without statements of SEN							
1.26 SEN Surveys							
1.27 Contribution to combined budgets							
1.28 Inter-authority equipment							
1.31 Pupil Referral Units							
1.32 Behaviour Support Services							
1.33 Education out of school							
1.34 Private/voluntary/independent fees for education of children under 5							
1.41 School Meals - nursery, primary and special schools							
1.42 Free School Meals - eligibility							
1.43 SAs							
1.44 School/Colleges - repair and maintenance							
1.51 Insurance							
1.52 Museum Services							
1.53 Library Services - nursery, primary and special schools							
1.54 School subscriptions							
1.55 Licences/subscriptions							
1.56 Miscellaneous (not more than 0.1% total net BS)							
1.57 Banding of schools income							
1.58 Staff costs - supply cover (not sickness)							
1.59 Supply cover - long term sickness							
1.510 Termination of Employment Costs							
1.61 School Development Grant - Non-Devolved							
1.62 Other Standards Fund allocation - Non-Devolved							
1.63 Non-standards Fund specific grant							
1.64 Targeted School Meals Grant - non-Devolved							
1.65 Performance Reward Grant							
1.71 Capital Expenditure from Revenue (CERA) (Schools)							
1.72 Prudent borrowing costs							
<b>1.81 TOTAL SCHOOLS BUDGET</b>							
<b>2 CAPITAL EXPENDITURE (incl. CERA)</b>							
<b>MEMORANDUM ITEMS</b>							
3 Expenditure covered by LSC Grant - include below the part of the expenditure recorded in individual lines of section 52 budget that is supported by the Learning and Skills Council.							
3a.1 500TH FOFBS - Allocation from LSC for 16+ funding for secondary schools (included in expenditure 1.8.1 column (c))							
3a.2 500TH FOFBS - Allocation from LSC for 16+ funding for special schools (included in expenditure 1.2.1 column (c))							
3b.1 Sixth form element included at 1.2.1 above for pupils with and without statements							
3b.2 Sixth form element included at 1.2.2 above for pupils with and without statements							
3b.3 Sixth form element included at 1.2.4 above for pupils at independent special schools and abroad							
3b.4 Sixth form element included at 1.2.5 above for pupils at independent schools (pupils without statements)							
3c.1 LSC Threshold and Performance Pay Costs (included in expenditure at 1.6.1 columns c and d)							
3c.2 LSC Threshold and Performance Pay Costs (included in expenditure at 1.6.8 columns c and d)							

*Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.*

**CEL ANNEX AND CALCULATOR: FUNDING PERIOD 1 2006-07**

SECTION 52 EDUCATION BUDGET STATEMENT				Annex to Table 1: Central Expenditure Limit			
YEAR	2006-07	Local Authority Name		LEA No		E-Mail Address	
CONTACT		TEL		Version No		Completion Date	

**CENTRAL EXPENDITURE LIMIT**

*All LSC grant-funded expenditure to be excluded*

**Calculation of adjustment to Central Expenditure**

- 1.0 2005-06 adjusted Central Expenditure (or legal limit if lower) (A)
  
- Increase in adjusted ISB 2005-06 to 2006-07**
- 2.1 Adjusted ISB 2006-07 (B)
- 2.2 Adjusted ISB 2005-06 (C)
  
- Calculation of Central Expenditure Limit**
- 3.1 Value of A × (B/C)
- 3.2 Approved addition to limit
- 3.3 Approved higher limit (if applicable)
  
- 4.1 2006-07 Central Expenditure to which limit applies
  
- 5.1 Is the Limit breached?

NOTE:  
If approved higher limit granted please enter reference to Schools Forum approval (minute number/date of meeting etc)

**CEL ANNEX AND CALCULATOR: FUNDING PERIOD 2 2007-08**

SECTION 52 EDUCATION BUDGET STATEMENT				Annex to Table 1: Central Expenditure Limit			
YEAR	2007-08	Local Authority Name		LEA No		E-Mail Address	
CONTACT		TEL		Version No		Completion Date	

**CENTRAL EXPENDITURE LIMIT**

*All LSC grant-funded expenditure to be excluded*

**Calculation of adjustment to Central Expenditure**

- 1.0 2006-07 adjusted Central Expenditure (or legal limit if lower) (F)
  
- Increase in adjusted ISB 2006-07 to 2007-08**
- 2.1 Adjusted ISB 2007-08 (G)
- 2.2 Adjusted ISB 2006-07 (H)
  
- Calculation of Central Expenditure Limit**
- 3.1 Value of F × (G/H)
- 3.2 Approved addition to limit
- 3.3 Approved higher limit (if applicable)
  
- 4.1 2007-08 Central Expenditure to which limit applies
  
- 5.1 Is the Limit breached?

NOTE: If approved higher limit granted please enter reference to Schools Forum approval (minute number/date of meeting etc)

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

**YOUTH SERVICE ANNEX: FUNDING PERIOD 1 2006-07**

SECTION 52 EDUCATION BUDGET STATEMENT				Annex to Table 1: Youth Service			
YEAR	2006-07	Local Authority Name		LEA No		E-Mail Address	
CONTACT		TEL		Version No		Completion Date	

YOUTH SERVICE (NET)	LEA Direct Spend	Contracted with Voluntary Organisations	Contracted with Other Organisations	Voluntary Organisations Grant Aid	TOTAL
<b>Management</b>					
Full Time					
Part Time					
<b>Youth Workers</b>					
Full Time					
Part Time					
<b>Support Staff</b>					
Full Time					
Part Time					
<b>Staff Training</b>					
<b>Non-Staff Costs</b>					
<b>Total Running Costs (Table 1, Line 2.7.1, Net)</b>					
Capital (Table 1, Line 2.7.6, Net, Youth Service element)					
<b>YOUTH SERVICE TOTAL</b>					

SCHEDULE 3

Regulation 4(c)

FORM OF PART 3 OF A BUDGET STATEMENT

Part 3 of a budget statement is in the following forms.  
FUNDING PERIOD 1 AND FUNDING PERIOD 2















**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

Pupil-led funding			Site-specific factors				School-specific factors				Budget Adjustments			(13) TOTAL BUDGET SHARE
		(4a) Total Pupil-led funding £				(9) Total Site-specific factors £				(10) Total School-specific factors £	Transitional provision	(10) Total budget adjustments	(12) Minimum Funding Guarantee	
£	£	£				£				£	£	£	£	

SCHEDULE 4

Regulation 4(d)

FORM OF PART 4 OF A BUDGET STATEMENT

Part 4 of a budget statement is in the following form.  
 FUNDING PERIOD 1 AND FUNDING PERIOD 2

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

**TABLE 4: FUNDING PERIOD 1 2006-07**

SBC EDUCATION BUDGET STATEMENT Table 4: Funding Factors

YEAR	LINE #	Account Authority Name	LEA No	NAME Address
2006-07	11			

**Nursery, Primary and Secondary Schools**

**PUPILS FUNDED (1)**

**PUPIL COUNT ARRANGEMENTS (2)**

Supply Method of pupil count, count dates and worked example(s) where appropriate:

Start, Period or End (3)	Unit Value (4)	Total amount through year (5)	% of Nursery, Primary & Secondary Budget (6)

**PRE-SCHOOL PLACED FUNDING TREATED AS PUPILS (SUBJECT TO CLASSES) (7)**

Nursery 3 year olds

Nursery 4 year olds

Nursery	Primary	Secondary

Method and worked example(s) where appropriate:

**KS 1 - ALTERNATIVE FUNDING ROUTES FROM 1983 (as set by SPPS) (8)**

Class based

Class based

Class based

Class based

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Method and worked example(s) where appropriate:

**AGE-WEIGHTED FUNDING (9)**

Key Stage	School Year	Age group (male/female or at 31/ August 2006)	Primary	Secondary	Pupil numbers (10)
-	Reception	4			
1	1	5			
	2	6			
	3	7			
	4	8			
2	5	9			
	6	10			
	7	11			
3	8	12			
	9	13			
4	10	14			
	11	15			

Difference in funding pupils educated additionally at colleges of FE:

Method and worked example(s) where appropriate:

**LSC GRANT ALLOCATION FUNDING SIXTH FORM PUPILS (11)**

PTE Pupils (LSC Funded)

Method and worked example(s) where appropriate:

**FUNDING OF SIXTH FORM PUPILS FROM LEA FUNDS (12)**

"Top-up" Pupils

Method and worked example(s) where appropriate:

**OTHER PLACED FUNDING TREATED AS PUPIL LED such as in-leasing aids and hotels (13)**

Method and worked example(s) where appropriate:

**SEN - pupils with or without statements (pupil-led) (14)**

**SEN - pupils with or without statements (pupil-led) Non-st pupil individually Assigned Resources (14a)**

Nursery

Primary

Secondary

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Nursery	Primary	Secondary

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

NAME	STATUS	DATE	DESCRIPTION
<b>Section 1: [Illegible Title]</b>			
Name	[Illegible]	[Illegible]	[Illegible]
Family	[Illegible]	[Illegible]	[Illegible]
Address	[Illegible]	[Illegible]	[Illegible]
[Illegible Text]			
<b>Section 2: [Illegible Title]</b>			
Name	[Illegible]	[Illegible]	[Illegible]
Family	[Illegible]	[Illegible]	[Illegible]
Address	[Illegible]	[Illegible]	[Illegible]
[Illegible Text]			
<b>Section 3: [Illegible Title]</b>			
Name	[Illegible]	[Illegible]	[Illegible]
Family	[Illegible]	[Illegible]	[Illegible]
Address	[Illegible]	[Illegible]	[Illegible]
[Illegible Text]			
<b>Section 4: [Illegible Title]</b>			
Name	[Illegible]	[Illegible]	[Illegible]
Family	[Illegible]	[Illegible]	[Illegible]
Address	[Illegible]	[Illegible]	[Illegible]
[Illegible Text]			
<b>Section 5: [Illegible Title]</b>			
Name	[Illegible]	[Illegible]	[Illegible]
Family	[Illegible]	[Illegible]	[Illegible]
Address	[Illegible]	[Illegible]	[Illegible]
[Illegible Text]			

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

				No. of the Group, Company & Association Number, etc.
<b>BUSINESS OPERATIONS EXPENSES (1)</b>				
Division				
Priority				
Category				
Method and period of measurement of expenses:				
<b>OPERATIONAL EXPENSES (2)</b>				
Division				
Priority				
Category				
Method and period of measurement of expenses:				
<b>BUDGET ADMINISTRATION (3)</b>				
<b>PERSONNEL EXPENSES (4)</b>				
Method and period of measurement of expenses:				
<b>PROPERTY EXPENSES (5)</b>				
Method and period of measurement of expenses:				
<b>TRAVEL EXPENSES (6)</b>				
Method and period of measurement of expenses:				
<b>OTHER EXPENSES (7)</b>				
Method and period of measurement of expenses:				





Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

	Band Range (1-4)	OFM Value (5)	Total allocated through local PPs	% of Pupils (Primary & Secondary) Budgets (6)
<b>SEN - pupils with or without statements (pupil-led) Other (14b)</b>				
Nursery			Nursery	
Primary			Primary	
Secondary			Secondary	
Method and worked example(s) where appropriate:				
<b>SEN - Pupils with or without statements (pupil-led treated as pupil-led) (15)</b>				
<b>SEN - pupils with or without statements (pupil-led treated as pupil-led) Named Pupil Individually Assigned Resources (15a)</b>				
Nursery			Nursery	
Primary			Primary	
Secondary			Secondary	
Method and worked example(s) where appropriate:				
<b>SEN - pupils with or without statements (pupil-led treated as pupil-led) Other (15b)</b>				
Nursery			Nursery	
Primary			Primary	
Secondary			Secondary	
Method and worked example(s) where appropriate:				
<b>NON-PUPIL-LED FUNDING (16)</b>				
<b>SOCIAL DEPRIVATION FACTORS (17)</b>				
Nursery			Nursery	
Primary			Primary	
Secondary			Secondary	
Method and worked example(s) where appropriate:				
<b>SEN - NON-STATEMENTED (non pupil-led) SPECIAL EDUCATIONAL NEEDS (18)</b>				
Nursery			Nursery	
Primary			Primary	
Secondary			Secondary	
Method and worked example(s) where appropriate:				







**Status:** *This is the original version (as it was originally made). This item of legislation is currently only available in its original format.*

---

## EXPLANATORY NOTE

*(This note is not part of the Regulations)*

These Regulations prescribe the form and content of the budget statement of a local education authority in England for the financial years 2006–07 and 2007–08 (referred to as funding periods 1 and 2) and for the earlier funding period comprising the financial year 2005–06.