
STATUTORY INSTRUMENTS

2006 No. 599

HEALTH AND SAFETY

**The Railways and Other Guided Transport
Systems (Safety) Regulations 2006**

<i>Made</i>	- - - - -	<i>9th March 2006</i>
<i>Laid before Parliament</i>		<i>17th March 2006</i>
<i>Coming into force</i>		
<i>except for</i>		
<i>regulations 19, 23 to</i>		
<i>26, 29 and 34</i>		<i>10th April 2006</i>
<i>regulations 19, 23 to</i>		
<i>26, 29, and 34</i>		<i>1st October 2006</i>

**THE RAILWAYS AND OTHER GUIDED TRANSPORT
SYSTEMS (SAFETY) REGULATIONS 2006**

PART 1

INTRODUCTION

1. Citation and commencement
2. Interpretation and application

PART 2

SAFETY MANAGEMENT, CERTIFICATION AND AUTHORISATION

3. Use of infrastructure on the mainline railway
4. Use of infrastructure on other transport systems
5. Safety management system for the mainline railway
6. Safety management system for other transport systems
7. Safety certificate
8. Amended safety certificate
9. Further safety certificate
10. Safety authorisation
11. Amended safety authorisation
12. Further safety authorisation
13. Notice of changes by holder of a safety certificate or a safety authorisation

Status: This is the original version (as it was originally made).

14. Direction to apply for an amended safety certificate or safety authorisation
15. Revocation of safety certificate
16. Revocation of safety authorisation
17. General provisions relating to safety certificates and safety authorisations
18. Notification to the European Railway Agency regarding safety certificates and safety authorisations relating to the mainline railway

PART 3

GENERAL DUTIES

19. Risk assessment
20. Annual safety reports
21. Sending, issuing, and keeping of documents and making them available for public inspection
22. Co-operation

PART 4

SAFETY CRITICAL WORK

23. Interpretation and application of Part 4
24. Competence and fitness
25. Fatigue
26. Co-operation requirements for safety critical work

PART 5

MISCELLANEOUS

27. Appeals
28. Offences
29. Transitional provisions and savings
30. Exemptions
31. Defence of due diligence
32. Amendment of ROTS
33. Consequential amendments
34. Revocation
Signature

SCHEDULE 1 — SAFETY MANAGEMENT SYSTEM

1. Requirements on the safety management system
2. Basic elements of the safety management system

SCHEDULE 2 — APPLICATION FOR A SAFETY CERTIFICATE

PART 1 — INFORMATION TO BE INCLUDED FOR A MAINLINE APPLICATION

1. The following information shall be included in relation to Part...
2. The following information shall be included in relation to Part...

PART 2 — INFORMATION TO BE INCLUDED FOR A NON-MAINLINE APPLICATION

3. Particulars of the type and extent of the operation in...
4. Particulars of how the safety management system of the applicant...

5. Information on the— (a) relevant statutory provisions which make provision...
6. Information on the different types of work or voluntary work...
7. Information on the different types of rolling stock used for...

SCHEDULE 3 — COMMON SAFETY INDICATORS

1. Indicators relating to accidents
2. Indicators relating to incidents and near-misses
3. Indicators relating to consequences of accidents
4. Indicators relating to technical safety of infrastructure and its implementation
5. Indicators relating to the management of safety

SCHEDULE 4 — WRITTEN SAFETY VERIFICATION SCHEME REQUIREMENTS

INFORMATION TO BE INCLUDED IN A SAFETY VERIFICATION SCHEME

1. (1) The arrangements for the selection, appointment and retention of...

SCHEDULE 5 — TRANSITIONAL PROVISIONS AND SAVINGS—SAFETY CERTIFICATES AND SAFETY AUTHORISATIONS

1. Subject to the following paragraphs of this Schedule—
2. In paragraph 1 a notification of acceptance shall be construed...
3. Notwithstanding their revocation the 2000 Regulations shall continue in force...
4. A deemed safety certificate or safety authorisation shall—
5. A deemed safety certificate or safety authorisation shall be valid until—...
6. Where the date of the periodic review referred to in...
7. Where a transport operator— (a) holds a deemed safety certificate...
8. The holder of a deemed safety certificate or safety authorisation...
9. Where the revision referred to in paragraph 8 renders the...
10. Where a holder of a deemed safety certificate or safety...
11. Where a person— (a) was granted an exemption, which has...
12. The 2000 Regulations shall apply in relation to—
13. Notwithstanding the revocation of the 2000 Regulations, regulation 10 of...
14. For the purposes of this Schedule “the 2000 Regulations” means...

SCHEDULE 6 — CONSEQUENTIAL AMENDMENTS

1. Amendment to the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 1995
2. Amendment to the Railway Safety (Miscellaneous Provisions) Regulations 1997
3. Amendment to the Railway Safety Regulations 1999
4. Amendment to the Enterprise Act 2002 (Part 9 Restrictions on Disclosure of Information) (Amendment and Specification) Order 2003

SCHEDULE 7 — REVOCATION

Explanatory Note