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STATUTORY INSTRUMENTS

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**2007 No. 209**

**The Compensation (Exemptions) Order 2007**

**Certain providers of referrals**

**12.**—(1) In this article, “legal practitioner” has the meaning given by article 4(2).

(2) Section 4(1) of the Act does not prevent the provision of the regulated claims management service of referring details of potential claims or potential claimants to another person if—

- (a) the person who refers those details (“the introducer”) provides no other regulated claims management service;
- (b) the provision of the service is incidental to the introducer’s main business;
- (c) the details are referred to authorised persons or legal practitioners or firms of legal practitioners;
- (d) the introducer refers such details only to persons of those kinds;
- (e) of the cases that the introducer refers to such persons, he is paid, in money or money’s worth, for no more than 25 cases per calendar quarter; and
- (f) subject to paragraph (3), the persons to which the details are referred must be satisfied that the introducer obtains those details in a way consistent with the rules prescribed by the Regulator under paragraph 8 of the Schedule to the Act.

(3) Paragraph (2)(f) does not apply in the case of a referral to a legal practitioner or firm of legal practitioners.