

---

STATUTORY INSTRUMENTS

---

**2007 No. 3298**

**FINANCIAL SERVICES**

**The Transfer of Funds (Information  
on the Payer) Regulations 2007**

	<i>22nd November</i>
<i>Made</i> - - - -	<i>2007</i>
<i>Laid before Parliament</i>	<i>23rd November 2007</i>
<i>Coming into force</i>	<i>15th December 2007</i>

**THE TRANSFER OF FUNDS (INFORMATION  
ON THE PAYER) REGULATIONS 2007**

PART 1

1. Citation, commencement etc.
2. Interpretation

PART 2

3. Supervisory authorities
4. Duties of supervisory authorities
5. Costs of supervision

PART 3

*Powers of supervisory authorities*

6. Interpretation
7. Power to require information from, and attendance of, relevant and connected persons
8. Entry, inspection without a warrant etc.
9. Entry to premises under warrant
10. Failure to comply with information requirement

*Civil penalties, review and appeals*

11. Power to impose civil penalties
12. Review procedure

*Status: This is the original version (as it was originally made).*

13. Appeals

*Criminal offences*

- 14. Offences
- 15. Prosecution of offences
- 16. Offences by bodies corporate etc.

PART 4

- 17. Recovery of charges and penalties through the court
- 18. Transfers between the United Kingdom and the Channel Islands and the Isle of Man
- 19. Consequential amendments  
Signature

---

SCHEDULE 1 — CONNECTED PERSONS

*Corporate bodies*

- 1. If the payment service provider is a body corporate (“BC”),...

*Partnerships*

- 2. If the payment service provider is a partnership, a person...

*Unincorporated associations*

- 3. If the payment service provider is an unincorporated association of...

*Individuals*

- 4. If the payment service provider is an individual, a person...

SCHEDULE 2 — MODIFICATIONS IN RELATION TO APPEALS

- 1. The Financial Services and Markets Act 2000
- 2. The Value Added Tax Act 1994

SCHEDULE 3 — CONSEQUENTIAL AMENDMENTS

- 1. The Northern Ireland Act 1998
- 2. The Criminal Justice and Police Act 2001
- 3. In Part 1 of Schedule 1 to the Criminal Justice...

Explanatory Note