
STATUTORY INSTRUMENTS

2009 No. 853

The Financial Markets and Insolvency Regulations 2009

Citation, commencement and transitional provision

1.—(1) These Regulations may be cited as the Financial Markets and Insolvency Regulations 2009 and shall come into force on 15th June 2009.

(2) Regulation 2 paragraphs (4), (5), (6), (7), (8), (9), (10) and (12) apply to insolvency proceedings which relate to any of the insolvency events set out in paragraph (3) which take place on or after the date on which these Regulations come into force.

(3) The insolvency events are—

- (a) an application for an administration order;
- (b) an application for a bank administration order under Part 3 of the Banking Act 2009;⁽¹⁾
- (c) the filing of a notice of intention to appoint an administrator for an appointment under paragraph 14 or 22 of Schedule B1 to the Insolvency Act 1986⁽²⁾;
- (d) where no notice of intention to appoint is filed, the appointment of an administrator under paragraph 14 or 22 of Schedule B1 to the Insolvency Act 1986;
- (e) the presentation of a bankruptcy petition;
- (f) the presentation of a petition for sequestration of a person's estate;
- (g) the presentation of a winding up petition;
- (h) an application for a bank insolvency order under Part 2 of the Banking Act 2009;
- (i) the passing of a resolution for voluntary winding up;
- (j) the appointment of an administrative receiver;
- (k) the making of an order appointing an interim receiver.

(1) 2009 c.1.

(2) 1986 c. 45; Schedule B1 was inserted by the Enterprise Act 2002 (c. 40), section 248(2) and Schedule 16.