

## SCHEDULE 2

### CONSEQUENTIAL AMENDMENTS TO THE OPEN-ENDED INVESTMENT COMPANIES REGULATIONS 2001

27. In regulation 75 (inspection etc of records kept by the Authority)—
- (a) for paragraph (1) substitute—
- “(1) Any person may inspect the register kept by the Authority for the purposes of this Part of these Regulations, and may require a copy of any material on the register.
- (1A) The Authority may specify the form and manner in which an application is to be made for inspection or a copy under paragraph (1).
- (1B) Copies of documents required to be registered under regulation 4 must be provided in hard copy or electronic form, as the applicant requests.
- (1C) The Authority is not obliged by paragraph (1B) to provide copies in electronic form of a document that was delivered to the Authority in hard copy form if the document was delivered to the Authority on or before 31st December 2006 and ten years or more elapsed between the date of delivery and the date of receipt of the first application for a copy.
- (1D) Subject to paragraphs (1B) and (1C), the Authority may determine the form and manner in which copies are to be provided.
- (1E) Copies provided under paragraph (1) in hard copy form must be certified as true copies unless the applicant dispenses with such certification.
- (1F) Copies provided under paragraph (1) in electronic form must not be certified as true copies unless the applicant expressly requests such certification.”;
- (b) in paragraph (2), for “in legible form” substitute “in hard copy form”;
- (c) in paragraph (3), for “a copy of or extract from a record kept by the Authority under these Regulations” substitute “a copy provided under this regulation”.