
STATUTORY INSTRUMENTS

2011 No. 1613

FINANCIAL SERVICES AND MARKETS

The Undertakings for Collective Investment
in Transferable Securities Regulations 2011

Made - - - - - *30th June 2011*

Coming into force *1st July 2011*

THE UNDERTAKINGS FOR COLLECTIVE INVESTMENT
IN TRANSFERABLE SECURITIES REGULATIONS 2011

PART 1

Citation and Commencement

1. These Regulations may be cited as the Undertakings for Collective...

PART 2

Amendments to the Financial Services and Markets Act 2000

2. Amendment of the Financial Services and Markets Act 2000

PART 3

Amendments to secondary legislation

3. Amendment of the Open-Ended Investment Companies Regulations 2001
4. Amendment of the Financial Services and Markets Act 2000
(Compensation Scheme: Electing Participants) Regulations 2001
5. Amendment of the Financial Services and Markets Act 2000 (Disclosure of
Confidential Information) Regulations 2001
6. Amendment of the Financial Services and Markets Act 2000 (Collective
Investment Schemes Constituted in other EEA States) Regulations 2001

PART 4

MERGERS

7. Interpretation
8. (1) This Part applies to any reconstruction or amalgamation involving...
9. Application for authorisation

Status: This is the original version (as it was originally made).

10. Modification of information
11. Report by depositary or auditor
12. Right of redemption
13. Consequences of a merger
14. Publication of a merger

PART 5

DIVISIONS

15. Division of a master UCITS

PART 6

CONSEQUENTIAL AMENDMENTS AND REVIEW

16. Consequential amendments
17. Review
Signature

SCHEDULE — CONSEQUENTIAL AMENDMENTS

1. The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
2. The Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001
3. The Electronic Commerce Directive (Financial Services and Markets) Regulations 2002
4. The Reporting of Savings Income Information Regulations 2003
5. The Financial Conglomerates and Other Financial Groups Regulations 2004
6. The Montserrat Reporting of Savings Income Information Order 2005
7. The Financial Services and Markets Act 2000 (Financial Promotion) Order 2005
8. The Financial Services and Markets Act 2000 (Controllers) (Exemption) Order 2009

Explanatory Note