
STATUTORY INSTRUMENTS

2011 No. 245

FINANCIAL SERVICES AND MARKETS

The Investment Bank Special Administration Regulations 2011

Made - - - - 7th February 2011

Coming into force in accordance with regulation 1

**THE INVESTMENT BANK SPECIAL ADMINISTRATION
REGULATIONS 2011 THE INVESTMENT BANK
SPECIAL ADMINISTRATION REGULATIONS 2011**

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- 1. This Schedule provides for a procedure known as special administration...
- 2. A special administration (bank insolvency) order is an order appointing...
- 3. A special administration (bank insolvency) order is to be treated...
- 4. (1) An administrator appointed under a special administration (bank insolvency)...
- 5. (1) The provisions of the Insolvency Act as applied by...
- 6. (1) The provisions of Part 2 of the Act (bank...
- 7. Section 120 of the Act is applied with the following...
- 8. (1) Section 135 of the Insolvency Act is applied with...
- 9. In this Schedule— “eligible depositor” has the meaning set out...

SCHEDULE 2 — Special administration (bank administration)

- 1. General provisions
- 2. A special administration (bank administration) order is an order appointing...
- 3. (1) An administrator appointed under a special administration (bank administration)...
- 4. An administrator appointed under a special administration (bank administration) order...
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- 7. Statement of proposals
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- 11. Revision to the statement of proposals (Objective A not yet achieved)

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17. Interpretation

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1. In this Schedule, “the 2001 Regulations” means the Limited Liability...
2. These Regulations apply where the investment bank is a limited...
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4. (1) The provisions of the Disqualification Act shall apply with...
5. Application to Scotland
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SCHEDULE 4 — Application of these Regulations to partnerships

1. In this Schedule, “the 1994 Order” means the Insolvent Partnerships...
2. These Regulations apply where the investment bank is a partnership...
3. In the application of these Regulations and the Disqualification Act...
4. (1) The provisions of Schedule B1 as applied by regulation...
5. (1) The provisions of the Insolvency Act other than those...

SCHEDULE 5 — Table of enactments referred to in these Regulations together with the equivalent enactment having effect in relation to Northern Ireland

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PART 1

1. (1) Where this Part of this Schedule applies, the enactments...

Primary Legislation

Taxes Management Act 1970
Prescription and Limitation (Scotland) Act 1973
Companies Act 1985
Finance Act 1986
Companies (Northern Ireland) Order 1986
Debtors (Scotland) Act 1987
Companies Act 1989
Companies (No. 2) (Northern Ireland) Order 1990
Taxation of Chargeable Gains Act 1992
Pension Schemes Act 1993
Pension Schemes (Northern Ireland) Act 1993
Pensions Act 1995
Pensions (Northern Ireland) Order 1995
Proceeds of Crime (Scotland) Act 1995
Employment Rights Act 1996
Employment Rights (Northern Ireland) Order 1996
Terrorism Act 2000
Finance Act 2000

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International Criminal Court Act 2001
International Criminal Court (Scotland) Act 2001
Proceeds of Crime Act 2002
Debt Arrangement and Attachment (Scotland) Act 2002
Finance Act 2003
Pensions Act 2004
Pensions (Northern Ireland) Order 2005
Companies Act 2006 (but not including section 1078 (documents subject...
Bankruptcy and Diligence (Scotland) Act 2007
Finance Act 2008
Dormant Bank and Building Society Accounts Act 2008
Corporation Tax Act 2009
Corporation Tax Act 2010
Taxation (International and other Provisions) Act 2010

Secondary Legislation

Statutory Maternity Pay (General) Regulations 1986
Statutory Maternity Pay (General) (Northern Ireland) Regulations 1987
Insolvent Companies (Disqualification of Unfit Directors) Proceedings Rules 1987
Financial Markets and Insolvency Regulations 1991
Financial Markets and Insolvency Regulations (Northern Ireland) 1991
Insolvency Regulations 1994
Non-Domestic Rating (Unoccupied Property) (Scotland) Regulations 1994
Insolvent Companies (Reports on Conduct of Directors) Rules 1996
Financial Markets and Insolvency Regulations 1996
Financial Markets and Insolvency Regulations (Northern Ireland) 1996
Individual Savings Account Regulations 1998
Corporation Tax (Simplified Arrangements for Group Relief) Regulations 1999
Financial Markets and Insolvency (Settlement Finality) Regulations 1999
Statutory Paternity Pay and Statutory Adoption Pay (General) Regulations 2002...
Statutory Paternity Pay and Statutory Adoption Pay (General) (Northern Ireland)...
Financial Collateral Arrangements (No. 2) Regulations 2003
Insolvent Companies (Reports on Conduct of Directors) Rules (Northern Ireland)...
Insolvent Companies (Disqualification of Unfit Directors) Proceedings Rules (Northern Ireland)...
Credit Institutions (Reorganisation and Winding Up) Regulations 2004
Insolvency Practitioners Regulations 2005
Pension Protection Fund (Entry Rules) Regulations 2005
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Gender Recognition (Disclosure of Information) (England, Wales and Northern Ireland)...
Gender Recognition (Disclosure of Information) (Scotland) Order 2005
Financial Assistance Scheme Regulations 2005
Insolvency Practitioners Regulations (Northern Ireland) 2006
Land Registration (Scotland) Rules 2006
Companies (Cross-Border Mergers) Regulations 2007
Regulated Covered Bonds Regulations 2008

- Company, Limited Liability Partnership and Business (Names and Trading Disclosures)...
- Land Registration (Network Access) Rules 2008
- Limited Liability Partnerships (Accounts and Audit) (Application of Companies Act...
- Registrar of Companies (Fees) (Companies, Overseas Companies and Limited Liability...
- Payment Services Regulations 2009
- Companies (Disclosure of Address) Regulations 2009
- Additional Statutory Paternity Pay (General) Regulations 2010
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- 2. Where this Part of this Schedule applies, the enactments set...
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- 4. Pensions Act 2004
- 5. Companies Act 2006
- 6. Land Registration Rules 2003
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- PART 3 — Consequential amendments
- 8. Companies Act 1985
- 9. Finance Act 1986

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Explanatory Note

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Changes and effects yet to be applied to :

- Sch. 1 para. 8(1)(b)(iii) words inserted by S.I. 2017/400 reg. 10(7)
- Sch. 1 para. 8(2)(c) words inserted by S.I. 2017/400 reg. 10(7)
- Sch. 2 para. 16(3)(b) word omitted by S.I. 2018/208 reg. 13(6)(d)
- Sch. 5 entry inserted by 2020 c. 12 s. 17(2)
- Sch. 5 word substituted by S.I. 2017/400 reg. 10(8)(d)(i)
- Sch. 5 word substituted by S.I. 2017/400 reg. 10(8)(d)(ii)
- Sch. 5 words inserted by S.I. 2017/400 reg. 10(8)(a)
- Sch. 5 words inserted by S.I. 2017/400 reg. 10(8)(c)
- Sch. 5 table words inserted by S.I. 2018/1244 art. 46
- Sch. 5 words substituted by S.I. 2017/400 reg. 10(8)(b)
- Sch. 6 word substituted by S.I. 2017/752 Sch. 8 para. 11
- Sch 6 pt 1 words inserted by S.R. 2022/168 reg. 17(2)
- Sch. 6 Pt. 1 words inserted by S.R. 2023/157 reg. 17(2)
- Sch. 6 Pt. 1 words inserted by S.R. 2023/56 reg. 17(2)
- Sch. 6 Pt. 1 words inserted by S.I. 2020/354 reg. 23(2)
- Sch. 6 Pt. 1 words omitted by S.I. 2019/348 Sch. 3 para. 32
- Regulations amendment to earlier affecting provision S.I. 2019/710, reg. 39 by S.I. 2020/1301 reg. 3Sch. para. 43(l)
- Regulations modified (temp.) by S.I. 2019/710 reg. 39
- reg. 2(1) words inserted by S.I. 2017/1064 Sch. para. 36(2)(a)(i)
- reg. 2(1) words inserted by S.I. 2017/1064 Sch. para. 36(2)(a)(ii)
- reg. 2(1) words inserted by S.I. 2017/1064 Sch. para. 36(2)(b)
- reg. 2(1) words omitted by S.I. 2019/662 reg. 24(a)
- reg. 2(1) words omitted by S.I. 2019/662 reg. 24(b)
- reg. 4(2) words inserted by S.I. 2017/400 reg. 10(2)
- reg. 8(8) amendment to earlier affecting provision S.I. 2021/716, reg. 5 by S.I. 2023/1399 reg. 4
- reg. 8(8) words inserted by S.I. 2021/716 Sch. 4 para. 5(2)(b)
- reg. 10G(1)(b)(c) words substituted by S.I. 2017/1064 Sch. para. 36(3)
- reg. 15 Table 1 words inserted by S.I. 2017/1064 Sch. para. 36(4)
- reg. 15 Table 1 words inserted by S.I. 2017/400 reg. 10(4)(a)
- reg. 15 Table 1 words inserted by S.I. 2017/400 reg. 10(4)(b)
- reg. 15 Table 2 words inserted by S.I. 2017/400 reg. 10(5)(b)
- reg. 15 Table 2 words inserted by S.I. 2017/400 reg. 10(5)(c)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(a)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(b)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(c)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(d)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(e)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(f)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(g)(i)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(g)(ii)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(h)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(i)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(j)(i)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(j)(ii)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(k)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(l)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(m)(i)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(m)(ii)

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- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(o)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(p)(i)
- reg. 15 Table 1 words inserted by S.I. 2018/208 reg. 13(3)(p)(ii)
- reg. 15 Table 2 words inserted by S.I. 2018/208 reg. 13(4)(a)
- reg. 15 Table 2 words inserted by S.I. 2018/208 reg. 13(4)(b)
- reg. 15 Table 2 words inserted by S.I. 2018/208 reg. 13(4)(c)(i)
- reg. 15 Table 2 words inserted by S.I. 2018/208 reg. 13(4)(c)(ii)
- reg. 15 Table 2 words inserted by S.I. 2018/208 reg. 13(4)(d)
- reg. 15 Table 2 words substituted by S.I. 2017/400 reg. 10(5)(a)
- reg. 15 Table 2 words substituted by S.I. 2017/400 reg. 10(5)(d)
- reg. 15 Table 2 words substituted by S.I. 2017/400 reg. 10(5)(e)
- reg. 15(6)(i) words inserted by S.I. 2017/400 reg. 10(3)
- reg. 22(1) amendment to earlier affecting provision S.I. 2021/716, reg. 5 by S.I. 2023/1399 reg. 4
- reg. 22(1) comma substituted for word by S.I. 2021/716 Sch. 4 para. 5(3)(a)
- reg. 22(1) words inserted by S.I. 2021/716 Sch. 4 para. 5(3)(b)
- reg. 23(3) words substituted by S.I. 2017/400 reg. 10(6)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 2 para. 10(7) inserted by S.I. 2018/208 reg. 13(6)(a)
- Sch. 2 para. 11(9) inserted by S.I. 2018/208 reg. 13(6)(b)
- Sch. 2 para. 12(5) inserted by S.I. 2018/208 reg. 13(6)(c)
- Sch. 2 para. 16(3)(ba) inserted by S.I. 2018/208 reg. 13(6)(d)
- Sch. 2 para. 16(3)(ba) word substituted by 2020 c. 12 Sch. 3 para. 48(b)
- Sch. 2 para. 16(3)(ba) words omitted by 2020 c. 12 Sch. 3 para. 48(a)
- reg. 8(1A) amendment to earlier affecting provision S.I. 2021/716, reg. 5 by S.I. 2023/1399 reg. 4
- reg. 8(1A) inserted by S.I. 2021/716 Sch. 4 para. 5(2)(a)
- reg. 15(7) inserted by S.I. 2018/208 reg. 13(2)
- reg. 21(5A) inserted by S.I. 2018/208 reg. 13(5)
- reg. 21(5A) word substituted by 2020 c. 12 Sch. 3 para. 47(b)
- reg. 21(5A) words omitted by 2020 c. 12 Sch. 3 para. 47(a)