

SCHEDULE 6

Modifications and consequential amendments

PART 1

1.—(1) Where this Part of this Schedule applies, the enactments set out below apply with the following modifications.

(2) References to—

- (a) an administrator appointed in respect of a Schedule B1 administration include a reference to an administrator appointed under a special administration order;
- (b) administration under Schedule B1 or “insolvent administration” include a reference to special administration;
- (c) “administration order” include a reference to a special administration order;
- (d) “insolvency legislation”, the “general law of insolvency”, the “enactments relating to insolvency” and similar expressions include special administration and the provisions of the Insolvency Act as applied by these Regulations;
- (e) becoming insolvent, or an “insolvency event” occurring in respect of the investment bank includes being put into special administration and “insolvency proceedings” or an “insolvency procedure” include special administration;
- (f) “winding up”, being “wound up”, “wound up by the court” “going into liquidation” or “compulsory liquidation” include being put into special administration, and a “winding-up order” include a special administration order (and, in this context, “liquidator” shall be read as “administrator”);
- (g) a person acting as an insolvency practitioner within the meaning of section 388 of the Insolvency Act include a person acting as an administrator under these Regulations;
- (h) the provisions of the Insolvency Act include those provisions as applied and modified by these Regulations; and
- (i) the provisions of the Insolvency Rules 1986 ^{M1}, the Insolvency Rules (Northern Ireland) 1991 ^{M2} and the Insolvency (Scotland) Rules 1986 ^{M3} include the provisions of insolvency rules made under section 411 of the Insolvency Act as applied by regulation 15(6).

(3) A reference to insolvency or liquidation within the meaning of section 247 of the Insolvency Act includes a reference to special administration.

(4) A reference to the “purposes of the Insolvency Act 1986” includes a reference to the purposes of these Regulations.

Marginal Citations

M1 S.I. 1986/1925.

M2 S.R. 1991 No. 364.

M3 S.I. 1986/1915.

Primary Legislation

Taxes Management Act 1970 ^{M4}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M4 1970 c. 9.

Marginal Citations

M4 1970 c. 9.

Prescription and Limitation (Scotland) Act 1973 ^{M5}

Marginal Citations

M5 1973 c. 52.

Marginal Citations

M5 1973 c. 52.

Companies Act 1985 ^{M6}

Marginal Citations

M6 1985 c. 6.

Marginal Citations

M6 1985 c. 6.

Finance Act 1986 ^{M7}

Marginal Citations

M7 1986 c.41.

Marginal Citations

M7 1986 c.41.

Companies (Northern Ireland) Order 1986 ^{M8}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M8 [S.I. 1986 No. 1032](#) (N.I. 6).

Marginal Citations

M8 [S.I. 1986 No. 1032](#) (N.I. 6).

Debtors (Scotland) Act 1987 ^{M9}

Marginal Citations

M9 [1987 c.18](#).

Marginal Citations

M9 [1987 c.18](#).

Companies Act 1989

Companies (No. 2) (Northern Ireland) Order 1990 ^{M10}

Marginal Citations

M10 [S.I. 1990/1504](#) (N.I. 10).

Marginal Citations

M10 [S.I. 1990/1504](#) (N.I. 10).

Taxation of Chargeable Gains Act 1992 ^{M11}

Marginal Citations

M11 [1992 c.12](#).

Marginal Citations

M11 [1992 c.12](#).

Pension Schemes Act 1993 ^{M12}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M12 1993 c. 48.

Marginal Citations

M12 1993 c. 48.

Pension Schemes (Northern Ireland) Act 1993 ^{M13}

Marginal Citations

M13 1993 c. 49.

Marginal Citations

M13 1993 c. 49.

Pensions Act 1995 ^{M14}

Marginal Citations

M14 1995 c.26.

Marginal Citations

M14 1995 c.26.

Pensions (Northern Ireland) Order 1995 ^{M15}

Marginal Citations

M15 S.I. 1995/3213 (N.I. 22).

Marginal Citations

M15 S.I. 1995/3213 (N.I. 22).

Proceeds of Crime (Scotland) Act 1995 ^{M16}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M16 1995 c.43.

Marginal Citations

M16 1995 c.43.

Employment Rights Act 1996 ^{M17}

Marginal Citations

M17 1996 c.18.

Marginal Citations

M17 1996 c.18.

Employment Rights (Northern Ireland) Order 1996 ^{M18}

Marginal Citations

M18 S.I. 1996/1919 (N.I. 16).

Marginal Citations

M18 S.I. 1996/1919 (N.I. 16).

Terrorism Act 2000 ^{M19}

Marginal Citations

M19 2000 c.11.

Marginal Citations

M19 2000 c.11.

Finance Act 2000 ^{M20}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M20 2000 c.17.

Marginal Citations

M20 2000 c.17.

International Criminal Court Act 2001 ^{M21}

Marginal Citations

M21 2001 c.17.

Marginal Citations

M21 2001 c.17.

International Criminal Court (Scotland) Act 2001 ^{M22}

Marginal Citations

M22 2001 asp 13.

Marginal Citations

M22 2001 asp 13.

Proceeds of Crime Act 2002 ^{M23}

Marginal Citations

M23 2002 c. 29.

Marginal Citations

M23 2002 c. 29.

Debt Arrangement and Attachment (Scotland) Act 2002 ^{M24}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M24 [2002 asp 17](#).

Marginal Citations

M24 [2002 asp 17](#).

Finance Act 2003 ^{M25}

Marginal Citations

M25 [2003 c.14](#).

Marginal Citations

M25 [2003 c.14](#).

Pensions Act 2004 ^{M26}

Marginal Citations

M26 [2004 c. 35](#).

Marginal Citations

M26 [2004 c. 35](#).

Pensions (Northern Ireland) Order 2005 ^{M27}

Marginal Citations

M27 [S.I. 2005/255 \(N.I. 1\)](#).

Marginal Citations

M27 [S.I. 2005/255 \(N.I. 1\)](#).

Companies Act 2006 (but not including section 1078 (documents subject to Directive disclosure requirements))

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Bankruptcy and Diligence (Scotland) Act 2007 ^{M28}

Marginal Citations

M28 [2007 asp 3.](#)

Marginal Citations

M28 [2007 asp 3.](#)

Finance Act 2008 ^{M29}

Marginal Citations

M29 [2008 c. 9.](#)

Marginal Citations

M29 [2008 c. 9.](#)

Dormant Bank and Building Society Accounts Act 2008 ^{M30}

Marginal Citations

M30 [2008 c. 31.](#)

Marginal Citations

M30 [2008 c. 31.](#)

Corporation Tax Act 2009 ^{M31}

Marginal Citations

M31 [2009 c. 4.](#)

Marginal Citations

M31 [2009 c. 4.](#)

Corporation Tax Act 2010 ^{M32}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M32 2010 c. 4.

Marginal Citations

M32 2010 c. 4.

Taxation (International and other Provisions) Act 2010 ^{M33}

Marginal Citations

M33 2010 c. 8.

Marginal Citations

M33 2010 c. 8.

Secondary Legislation

Statutory Maternity Pay (General) Regulations 1986 ^{M34}

Marginal Citations

M34 S.I. 1986/1960.

Marginal Citations

M34 S.I. 1986/1960.

Statutory Maternity Pay (General) (Northern Ireland) Regulations 1987 ^{M35}

Marginal Citations

M35 S.R. 1987 No. 30.

Marginal Citations

M35 S.R. 1987 No. 30.

Insolvent Companies (Disqualification of Unfit Directors) Proceedings Rules 1987 ^{M36}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M36 [S.I. 1987/2023.](#)

Marginal Citations

M36 [S.I. 1987/2023.](#)

Financial Markets and Insolvency Regulations 1991 ^{M37}

Marginal Citations

M37 [S.I. 1991/880.](#)

Marginal Citations

M37 [S.I. 1991/880.](#)

Financial Markets and Insolvency Regulations (Northern Ireland) 1991 ^{M38}

Marginal Citations

M38 [S.R. 1991 No. 443.](#)

Marginal Citations

M38 [S.R. 1991 No. 443.](#)

Insolvency Regulations 1994 ^{M39}

Marginal Citations

M39 [S.I. 1994/2507.](#)

Marginal Citations

M39 [S.I. 1994/2507.](#)

Non-Domestic Rating (Unoccupied Property) (Scotland) Regulations 1994 ^{M40}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M40 [S.I. 1994/3200](#).

Marginal Citations

M40 [S.I. 1994/3200](#).

Insolvent Companies (Reports on Conduct of Directors) Rules 1996 ^{M41}

Marginal Citations

M41 [S.I. 1996/1909](#).

Marginal Citations

M41 [S.I. 1996/1909](#).

Financial Markets and Insolvency Regulations 1996 ^{M42}

Marginal Citations

M42 [S.I. 1996/1469](#).

Marginal Citations

M42 [S.I. 1996/1469](#).

Financial Markets and Insolvency Regulations (Northern Ireland) 1996 ^{M43}

Marginal Citations

M43 [S.R. 1996 No. 252](#).

Marginal Citations

M43 [S.R. 1996 No. 252](#).

Individual Savings Account Regulations 1998 ^{M44}

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Marginal Citations

M44 [S.I. 1998/1870](#).

Marginal Citations

M44 [S.I. 1998/1870](#).

Corporation Tax (Simplified Arrangements for Group Relief) Regulations 1999 ^{M45}

Marginal Citations

M45 [S.I. 1999/2975](#).

Marginal Citations

M45 [S.I. 1999/2975](#).

Financial Markets and Insolvency (Settlement Finality) Regulations 1999

Statutory Paternity Pay and Statutory Adoption Pay (General) Regulations 2002 ^{M46}

Marginal Citations

M46 [S.I. 2002/2822](#).

Marginal Citations

M46 [S.I. 2002/2822](#).

Statutory Paternity Pay and Statutory Adoption Pay (General) (Northern Ireland) Regulations 2002 ^{M47}

Marginal Citations

M47 [S.R. 2002 No. 378](#).

Marginal Citations

M47 [S.R. 2002 No. 378](#).

Financial Collateral Arrangements (No. 2) Regulations 2003

Insolvent Companies (Reports on Conduct of Directors) Rules (Northern Ireland) 2003 ^{M48}

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Marginal Citations

M48 S.R. [2003 No. 357](#).

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Marginal Citations

M48 S.R. [2003 No. 357](#).

Insolvent Companies (Disqualification of Unfit Directors) Proceedings Rules (Northern Ireland) 2003 ^{M49}

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Marginal Citations

M49 S.R. [2003 No. 358](#).

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Marginal Citations

M49 S.R. [2003 No. 358](#).

Credit Institutions (Reorganisation and Winding Up) Regulations 2004 ^{M50}

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Marginal Citations

M50 S.I. [2004/1045](#).

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Marginal Citations

M50 S.I. [2004/1045](#).

Insolvency Practitioners Regulations 2005 ^{M51}

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Marginal Citations

M51 S.I. [2005/524](#).

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Marginal Citations

M51 S.I. [2005/524](#).

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Pension Protection Fund (Entry Rules) Regulations 2005 ^{M52}

Marginal Citations
M52 [S.I. 2005/590](#).

Marginal Citations
M52 [S.I. 2005/590](#).

Pension Protection Fund (Entry Rules) Regulations (Northern Ireland) 2005 ^{M53}

Marginal Citations
M53 [S.R. 2005 No. 126](#).

Marginal Citations
M53 [S.R. 2005 No. 126](#).

Gender Recognition (Disclosure of Information) (England, Wales and Northern Ireland) (No. 2) Order 2005 ^{M54}

Marginal Citations
M54 [S.I. 2005/916](#).

Marginal Citations
M54 [S.I. 2005/916](#).

Gender Recognition (Disclosure of Information) (Scotland) Order 2005 ^{M55}

Marginal Citations
M55 [S.S.I. 2005/125](#).

Marginal Citations
M55 [S.S.I. 2005/125](#).

Financial Assistance Scheme Regulations 2005 ^{M56}

Marginal Citations
M56 [S.I. 2005/1986](#).

Marginal Citations
M56 [S.I. 2005/1986](#).

Insolvency Practitioners Regulations (Northern Ireland) 2006 ^{M57}

Marginal Citations
M57 [S.R. 2006 No. 33](#).

Marginal Citations
M57 [S.R. 2006 No. 33](#).

Land Registration (Scotland) Rules 2006 ^{M58}

Marginal Citations
M58 [S.S.I. 2006/485](#).

Marginal Citations
M58 [S.S.I. 2006/485](#).

Companies (Cross-Border Mergers) Regulations 2007 ^{M59}

Marginal Citations
M59 [S.I. 2007/2974](#).

Marginal Citations
M59 [S.I. 2007/2974](#).

Regulated Covered Bonds Regulations 2008 ^{M60}

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Marginal Citations

M60 [S.I. 2008/346](#).

Marginal Citations

M60 [S.I. 2008/346](#).

[^{F1}Company, Limited Liability Partnership and Business (Names and Trading Disclosures) Regulations 2015]

Textual Amendments

F1 Words in Sch. 6 para. 1 substituted (31.1.2015) by [The Company, Limited Liability Partnership and Business \(Names and Trading Disclosures\) Regulations 2015 \(S.I. 2015/17\)](#), reg. 1(1), **Sch. 6 para. 6**

Textual Amendments

F1 Words in Sch. 6 para. 1 substituted (31.1.2015) by [The Company, Limited Liability Partnership and Business \(Names and Trading Disclosures\) Regulations 2015 \(S.I. 2015/17\)](#), reg. 1(1), **Sch. 6 para. 6**

Land Registration (Network Access) Rules 2008 ^{M61}

Marginal Citations

M61 [S.I. 2008/1748](#).

Marginal Citations

M61 [S.I. 2008/1748](#).

Limited Liability Partnerships (Accounts and Audit) (Application of Companies Act 2006) Regulations 2008 ^{M62}

Marginal Citations

M62 [S.I. 2008/1911](#).

Marginal Citations

M62 [S.I. 2008/1911](#).

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Registrar of Companies (Fees) (Companies, Overseas Companies and Limited Liability Partnerships) Regulations 2009 ^{M63}

Marginal Citations
M63 [S.I. 2009/2101](#).

Marginal Citations
M63 [S.I. 2009/2101](#).

Payment Services Regulations 2009 ^{M64}

Marginal Citations
M64 [S.I. 2009/209](#).

Marginal Citations
M64 [S.I. 2009/209](#).

Companies (Disclosure of Address) Regulations 2009 ^{M65}

Marginal Citations
M65 [S.I. 2009/214](#).

Marginal Citations
M65 [S.I. 2009/214](#).

Additional Statutory Paternity Pay (General) Regulations 2010 ^{M66}

Marginal Citations
M66 [S.I. 2010/1056](#).

Marginal Citations
M66 [S.I. 2010/1056](#).

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Investment Bank Special Administration Regulations 2011. Any changes that have already been made by the team appear in the content and are referenced with annotations.

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Changes and effects yet to be applied to :

- Sch 6 pt 1 words inserted by [S.R. 2022/168 reg. 17\(2\)](#)
- Sch. 6 Pt. 1 words inserted by [S.R. 2023/157 reg. 17\(2\)](#)
- Sch. 6 Pt. 1 words inserted by [S.R. 2023/56 reg. 17\(2\)](#)
- Sch. 6 Pt. 1 words inserted by [S.I. 2020/354 reg. 23\(2\)](#)
- Sch. 6 Pt. 1 words omitted by [S.I. 2019/348 Sch. 3 para. 32](#)
- Regulations amendment to earlier affecting provision [S.I. 2019/710, reg. 39](#) by [S.I. 2020/1301 reg. 3Sch. para. 43\(l\)](#)
- Regulations modified (temp.) by [S.I. 2019/710 reg. 39](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 2 para. 10(7) inserted by [S.I. 2018/208 reg. 13\(6\)\(a\)](#)
- Sch. 2 para. 11(9) inserted by [S.I. 2018/208 reg. 13\(6\)\(b\)](#)
- Sch. 2 para. 12(5) inserted by [S.I. 2018/208 reg. 13\(6\)\(c\)](#)
- Sch. 2 para. 16(3)(ba) inserted by [S.I. 2018/208 reg. 13\(6\)\(d\)](#)
- Sch. 2 para. 16(3)(ba) word substituted by [2020 c. 12 Sch. 3 para. 48\(b\)](#)
- Sch. 2 para. 16(3)(ba) words omitted by [2020 c. 12 Sch. 3 para. 48\(a\)](#)
- [reg. 8\(1A\)](#) amendment to earlier affecting provision [S.I. 2021/716, reg. 5](#) by [S.I. 2023/1399 reg. 4](#)
- [reg. 8\(1A\)](#) inserted by [S.I. 2021/716 Sch. 4 para. 5\(2\)\(a\)](#)
- [reg. 15\(7\)](#) inserted by [S.I. 2018/208 reg. 13\(2\)](#)
- [reg. 21\(5A\)](#) inserted by [S.I. 2018/208 reg. 13\(5\)](#)
- [reg. 21\(5A\)](#) word substituted by [2020 c. 12 Sch. 3 para. 47\(b\)](#)
- [reg. 21\(5A\)](#) words omitted by [2020 c. 12 Sch. 3 para. 47\(a\)](#)