

SCHEDULE

PART 2

Provisions of the Act coming into force on 24th January 2013 for the purposes of making orders or regulations

Section 4 (financial stability strategy and Financial Policy Committee), for the purpose of inserting sections 9I(2), 9L and 9N of the 1998 Act

Section 6 (the new Regulators) for the purpose of inserting section 1L of the 2000 Act and for the purpose of introducing paragraph 20 of Schedule 1ZA to the 2000 Act

Section 11 (permission to carry on regulated activities) for the purpose of inserting section 55C of the 2000 Act

Section 12 (passporting: exercise of EEA rights and Treaty rights) for the purpose of introducing paragraphs 7 and 24 of Schedule 4 to the Act

Section 15 (further amendments relating to performance of regulated activities) for the purpose of introducing paragraph 14 of Schedule 5 to the Act

Section 27 (powers of regulators in relation to parent undertakings)

Section 29 and Schedule 7 (powers in relation to recognised investment exchanges and clearing houses)

Section 30 (recognition requirements: power of FCA and Bank to make rules)

Section 33 (power to take disciplinary measures against recognised bodies)

Section 35 (sections 28 to 34 of the Act: minor and consequential amendments) for the purposes of introducing paragraphs 11, 14 and 15 of Schedule 8 to the Act

Section 37 (discipline and enforcement) for the purpose of introducing paragraphs 10, 19, 21 and 23 of Schedule 9 to the Act

Section 38 (the Financial Services Compensation Scheme) for the purpose of introducing paragraph 3 of Schedule 10 to the Act

Section 41 (information, investigations, disclosure etc.) for the purpose of introducing paragraph 8 of Schedule 12 to the Act

Section 43 (provisions about consumer protection and competition) for the purpose of inserting section 234C of the 2000 Act

Section 110 (payment to Treasury of penalties received by Bank of England)

Section 112 (evidencing and transfer of title to securities without written instrument)

Section 114(1) (further minor and consequential amendments and repeals) for the purpose of introducing paragraph 5 of Schedule 18 to the Act