#### STATUTORY INSTRUMENTS

### 2013 No. 3113

### **ENVIRONMENTAL PROTECTION**

# The Waste Electrical and Electronic Equipment Regulations 2013

Made - - - 7th December 2013

Laid before Parliament 10th December 2013

Coming into force in accordance with

Coming into force in accordance with regulation 1(2) to (4)

# THE WASTE ELECTRICAL AND ELECTRONIC EQUIPMENT REGULATIONS 2013

#### PART 1

#### **GENERAL**

- 1. Citation, commencement and extent
- 2. Interpretation
- 2A Modification of the Directive
- 2B Modification of the Waste Directive
- 2C Meaning of "the Basic Safety Standards Directive" and "the Industrial Emissions Directive"
- 3. Interpretation of documents
- 4. Service of documents

#### PART 2

- 5. Application during the transitional period
- 6. Application from 1st January 2019
- 7. Exemptions
- 8. Exclusions
- 9. First compliance period
- 10. Retained EU law etc

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- 11. Financing: WEEE from private households
- 12. Financing: WEEE from users other than private households

- 13. Producers supplying EEE by means of distance communication
- 14. Obligation to join a scheme
- 15. Registration of small producers
- 16. Application to register as a small producer
- 17. Small producers: Conditions of registration
- 18. Information provided to operators of schemes
- 19. Declaration of Compliance
- 20. Record keeping
- 21. Declaration of EEE producer registration number
- 22. Marking EEE with the crossed out wheeled bin symbol
- 23. Marking EEE with a date mark
- 24. Information on new types of EEE

#### PART 4

#### SCHEME OBLIGATIONS

- 25. Registration of producers
- 26. Application to register producers and authorised representatives
- 27. Notification of new scheme member
- 27A Notification of scheme member in liquidation etc. and obligations in relation to that scheme member
- 28. Financing: WEEE from private households
- 29. Financing: WEEE from users other than private households
- 30. Prioritisation of the reuse of whole appliances
- 31. Treatment
- 32. Recovery
- 33. Payment of a compliance fee
- 34. Collection Arrangements
- 34A PBS: procedure for approval
- 34B Obligation to join a PBS
- 35. Reporting: WEEE during the transitional period
- 36. Reporting: WEEE from 1st January 2019
- 37. Reporting: EEE placed on the market during the transitional period
- 38. Reporting: EEE placed on the market from 1st January 2019
- 39. Declaration of compliance
- 40. Record keeping: transitional period
- 41. Record keeping: From 1st January 2019

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#### **WEEE**

- 42. Takeback
- 43. Returns
- 44. Information
- 45. Record keeping
- 46. Exemption for distributors who are current members of a distributor take back scheme

#### PART 6

- 47. Financing obligation: Collection of WEEE from users other than private households
- 48. Obligation to optimise the reuse and recycling of WEEE

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- 49. WEEE from private households which presents a health and safety risk
- 50. Take back: WEEE from private households
- 51. Prohibition on showing the costs of financing the collection, treatment and environmentally sound disposal of WEEE from private households
- 52. Final holder right of return: WEEE from private households
- 53. Notification of an intention to retain WEEE falling within certain WEEE streams
- 54. Shipments of used EEE

#### PART 7

# APPROVAL OF PROPOSED SCHEMES AND WITHDRAWAL OF APPROVAL OF SCHEMES

- 55. Application for approval of a proposed scheme
- 56. Notification of a decision to refuse to approve a proposed scheme
- 57. Conditions of approval
- 58. Withdrawal of approval of a scheme
- 58A Voluntary withdrawal of the approval of a scheme
- 59. Charges

#### PART 8

- 60. Requirement for approval
- 61. Application for approval
- 62. Application for extension of approval of an exporter to an additional site
- 63. Conditions of approval
- 64. Suspension and cancellation of approval
- 65. Charges
- 66. Reporting
- 67. Record keeping

#### PART 9

- 68. Distributor take back scheme
- 69. Take back: Application to use existing collection facilities
- 70. Approval of designated collection facilities
- 71. Withdrawal of approval of designated collection facilities
- 72. Code of Practice
- 73. Evidence notes
- 74. Product Design
- 75. Duties of the appropriate authority in relation to registration of small producers
- 76. Approval of compliance fee; methodology and administration

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- 77. Registration of producers and authorised representatives
- 78. Monitoring
- 79. Approval of schemes
- 80. Information
- 81. Approval of authorised treatment facilities and exporters

#### PART 11

#### 82. Disclosure of information

#### **PART 12**

- 83. Right of appeal
- 84. Procedure of appeals
- 85. Determination of appeals
- 86. Status of a decision pending appeal

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- 87. Enforcement
- 88. Enforcement notice
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- 90. Offences
- 91. Penalties
- 92. Commencement of proceedings
- 93. Review
- 94. Consequential amendments
- 95. Transitional provisions
- 96. Revocations and Savings Signature

#### **SCHEDULES**

SCHEDULE 1 — Categories of EEE covered by these Regulations during the transitional period

SCHEDULE 2 — Indicative list of types of EEE which fall under the categories in Schedule 1

### SCHEDULE 3 — Categories of EEE applying from 1st January 2019

- 1. Large household appliances
- 2. Small household appliances
- 3. IT and telecommunications equipment
- 4. Consumer equipment and photovoltaic panels
- 5. Lighting equipment
- 6. Electrical and electronic tools (with the exception of large-scale stationary...
- 7. Toys, leisure and sports equipment
- 8. Medical devices (with the exception of all devices that are...
- 9. Monitoring and control instruments
- 10. Automatic dispensers.

SCHEDULE 4 — Non-exhaustive list of types of EEE which fall within the categories set out in Schedule 3

#### SCHEDULE 5 — First compliance period

- 1. The following amendments apply in relation to the first compliance...
- 2. Record Keeping
- 3. Take back: Application to use existing collection facilities

#### SCHEDULE 6 — Crossed out wheeled bin symbol

#### SCHEDULE 7 — Declaration of compliance

PART 1 — Information to be included in a declaration of compliance by a producer or authorised representative

- 1. The name and address of the producer or authorised representative...
- 2. The name and title of the person who issues the...
- 3. The date of the declaration.
- 4. The relevant compliance period to which the declaration relates.
- 5. In relation to the WEEE from private households in respect...
- 6. In relation to the WEEE from users other than private...

PART 2 — Information to be included in a declaration of compliance by an operator of a scheme

- 7. The name and address of the operator of the scheme...
- 8. The name and title of the person who issues the...
- 9. The date of the declaration.
- 10. The relevant compliance period to which the declaration relates.
- 11. In relation to the WEEE from private households in respect...
- 12. In relation to the WEEE from users other than private...

#### SCHEDULE 8 —

PART 1 — Information to be included in an application for registration of producers or authorised representatives and notification of new scheme members

- 1. The compliance period in respect of which the application for...
- 2. The name of the producer joining the scheme or the...
- 3. The name of the scheme.
- 4. The name of the operator of that scheme and, where...
- 5. The name, postal address, telephone number, email address and fax...
- 6. Where a scheme member is an authorised representative, the contact...
- 7. The national identification code of the producer, including the European...
- 8. The categories of EEE (set out in Schedule 1 or...
- 9. The type of EEE (household or other than household EEE)...
- 10. The brand name under which EEE placed onto the market...
- 11. The way in which the producer meets their obligations.
- 12. The selling technique used.
- 13. The address for service of notices if different from the...
- 14. The business name of a scheme member if different from...
- 15. Where a scheme member is a partnership, the names of...
- 16. In the case of a claim that a scheme member...
- 17. In the case of a claim that a scheme member...
- 18. In relation to each scheme member, confirmation of whether the...
- The Standard Industrial Classification ("SIC") code for any scheme member
- 19A Where a producer is joining a scheme for the first...
  - PART 2 Reporting information to be supplied by a producer or authorised representative during the transitional period
- 20. The national identification code of the producer.

- 21. The compliance period to which the reporting information relates.
- 22. The amount of EEE by reference to—
- 23. For each category referred to in paragraph 22, specify the...
- 24. For each category referred to in paragraph 22, specify the...
- 25. For each category referred to in paragraph 24, specify the...
- 26. For each category referred to in paragraph 22, specify the...

PART 3 — Reporting information to be supplied by a producer or authorised representative from 1st January 2019

- 27. The national identification code of the producer.
- 28. The compliance period to which the reporting information relates.
- 29. The amount of EEE by reference to each of the...
- 30. For each category referred to in paragraph 29, specify the...
- 31. For each category referred to in paragraph 29, specify the...

  PART 4 Requirements for registration as a small producer
- 32. The date of the application for registration.
- 33. The name of the producer and any brand name under...
- 34. The following contact details for the producer—
- 35. An address for service of notices on the producer if...
- 36. The name and telephone number of a contact person for...
- 37. If the producer has been registered under these Regulations within...
- 38. A signed declaration of the truth of the information provided...

#### SCHEDULE 8A — Approval of a PBS

PART 1 — Information to be included in a proposal for a PBS

- 1. The name of the PBS.
- 2. The name of the operator or proposed operator of the...
- 3. The address, telephone number and email address of the operator...
- 4. Where the operator or proposed operator of the PBS is...
- 5. Where the operator or proposed operator of the PBS is...
- 6. Confirmation of whether or not any person who is the...
- 7. The estimated cost of setting up (in the case of...
- 8. Qualifications and relevant experience of the operator or proposed operator...
- 9. In the case of a proposal under regulation 34A(6)(b)(i), details...

### PART 2 — PBS Requirements

- 10. That the rules of the PBS provide for the operator...
- 11. That the rules of the PBS provide that the costs...
- 12. That the rules of the PBS provide for termination in...
- 13. In the case of a PBS approved under regulation 34A(6)(b)(i),...
- 14. That the Secretary of State is satisfied that the PBS...
- 15. That the Secretary of State is satisfied that the operator...
- 16. That the Secretary of State is satisfied that the PBS...
- 17. In this Schedule—(a) "scheme operator" has the same meaning...

### SCHEDULE 9 — Minimum requirements for shipments of used EEE suspected to be WEEE

- 1. In order to distinguish between EEE and WEEE, where the...
- 2. By way of derogation, paragraph 1(a) and (b) and paragraph...
- 3. In order to demonstrate that the items being shipped constitute...
- 4. In addition to the documentation requested in paragraphs 1, 2...
- 5. In the absence of proof that an object is used...

#### SCHEDULE 10 — Approval of proposed schemes and schemes

#### PART 1 — Information to be included in an application for approval

- 1. The name of the proposed scheme.
- 2. The name of the operator of the proposed scheme.
- 3. The address and telephone number and, where available, email address...
- 4. Where the operator of the proposed scheme is a partnership,...
- 5. The address for service of notices if different from that...
- 6. Confirmation of whether or not the operator of the proposed...
  - PART 2 Information to be included in the constitution
- 7. The nature of the operator of the scheme's legal personality....
- 8. The type of relationship between the operator of the proposed...
- 9. Details of how membership fees and any other sums payable...
- 10. Details of any rules or regulations to be observed by...
- 11. Details of the procedures under which the operator of the...
- 12. Whether there is a minimum or maximum number of scheme...
- 13. Details of the eligibility criteria for membership of the proposed...
- 14. Details of how evidence notes acquired by the operator of...
- 15. Details of any arbitration procedure that will apply for the...
- 16. Details of the arrangements in place for disseminating important information...

# PART 3 — Requirements for approval of a proposed scheme and for continued approval of a scheme

- 17. That the rules or regulations of the proposed scheme or...
- 18. That the proposed scheme or scheme has the necessary resources...
- 19. That the operator of the proposed scheme or scheme must...
- 19A That the proposed scheme or scheme, if it has been...
- 20. That the operator of the proposed scheme or scheme must...
- 21. That the operator of the proposed scheme or scheme is...
- 22. That the proposed scheme or scheme is likely to assist...
- 23. In the event that the operator of the proposed scheme...

## SCHEDULE 11 — Approval of authorised treatment facilities and exporters PART 1 — Information to be included in an application for approval

- 1. The name of the operator of the ATF or the...
- 2. The registered office address and telephone number and, where available...
- 3. Where the operator of the ATF or exporter is a...
- 4. Where the operator of the ATF or exporter is not...
- 5. The address for service of notices if different from that...
- 6. In the case of an application made by the operator...
- 7. In the case of an application made by an exporter—...
- 8. Confirmation of whether or not the operator of the ATF...

#### PART 2 — Conditions of approval of authorised treatment facilities

- 9. An evidence note for treatment must only be issued with...
- 10. An evidence note for reuse as a whole appliance must...
- 11. The amount of WEEE recorded on an evidence note must...
- 12. Where— (a) the result of rounding up under paragraph 11(a)...
- 13. Duplicate copies of any evidence note issued by the operator...
- 14. An evidence note must not be issued by an operator...
- 15. An evidence note must not be issued by an operator...
- 16. An evidence note for reuse as a whole appliance must...
- 17. An evidence note must only be issued by an AATF...
- 18. An AATF must not issue an evidence note in relation...
- 19. An evidence note must only be issued by an operator...
- 20. An evidence note which relates to—(a) WEEE received for...

- 21. Where WEEE is treated at an AATF and is subsequently...
- 22. From 1st January 2014 until 31st December 2015 WEEE treated...
- 23. From 1st January 2016 until 31st December 2018 WEEE treated...
- 24. From 1st January 2019 WEEE treated at the relevant ATTF...
- 25. The operator of the AATF must comply with the requirements...
- 26. The operator of the AATF has systems and procedures in...
- 27. Where the operator of an ATF has submitted an application... PART 3 Conditions of approval of exporters
- 28. An evidence note may be issued only in relation to...
- 29. The amount of WEEE recorded on an evidence note must...
- 30. Where—(a) the result of rounding up under paragraph 29(a)...
- 31. Duplicate copies of an evidence note issued under these Regulations...
- 32. An evidence note must not be issued by an approved...
- 33. An evidence note must only be issued by an approved...
- 34. An evidence note must only be issued by an approved...
- 35. An evidence note must not be issued by an approved...
- 36. The approved exporter must comply with the requirements of regulation...
- 37. The approved exporter has systems and procedures in place to...
- 38. The approved exporter must comply with the requirements of Schedule...
- 39. Where an exporter has submitted an application for approval under...

#### SCHEDULE 12 — Criteria for approval as a designated collection facility

- 1. The facility is likely to subsist for at least one...
- 2. That—(a) the operator of the collection facility complies with...
- 3. The operator of the collection facility is willing to work...
- 4. The operator of the collection facility holds a relevant authorisation....
- 5. Where an operator of a collection facility finances the costs...
- 6. The information referred to in paragraph 5 will—

### SCHEDULE 13 — Public register

- 1. The producer's or authorised representative's name.
- 2. The address of the registered office or, where the producer...
- 3. The telephone number and email address and fax number of...
- 4. The producer's EEE producer registration number.
- 5. The name of the scheme that the producer or authorised...
- 6. The name of the operator of that scheme and the...

#### SCHEDULE 14 — Procedure of appeals

- 1. (1) Where a person wishes to appeal to an appeal...
- 2. (1) Subject to sub-paragraph (2), notice of appeal must be...
- 3. Where under regulation 84(2) the appeal is by way of...
- 4. (1) The appeal body or other person determining an appeal...

**Explanatory Note** 

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#### Changes and effects yet to be applied to:

- Sch. 11 para. 23 word substituted by S.I. 2014/1771 reg. 3(2)Sch. 1 para. 31
- reg. 2 words substituted by S.I. 2024/221 reg. 24

# Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 8 Pt. 3 para. 31 word substituted by S.I. 2015/1968 reg. 2(43)(b)(i)
- Sch. 8 Pt. 3 para. 31 word substituted by S.I. 2015/1968 reg. 2(43)(b)(ii)