
STATUTORY INSTRUMENTS

2013 No. 441

The Financial Services Act 2012 (Transitional Provisions) (Enforcement) Order 2013

PART 6

Collective investment schemes

Disciplinary measures: auditors of unit trust schemes

12.—(1) Paragraph (2) applies if a failure for the purposes of section 249(1) (disciplinary measures) of an auditor to comply with a duty imposed on the auditor by trust scheme rules occurred (and ceased to occur) before the commencement date.

(2) Section 249(1) applies as if sub-paragraphs (b) and (c) were omitted.