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STATUTORY INSTRUMENTS

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**2013 No. 441**

The Financial Services Act 2012 (Transitional Provisions) (Enforcement) Order 2013

PART 6

Collective investment schemes

**Disciplinary measures: auditors of unit trust schemes**

**12.**—(1) Paragraph (2) applies if a failure for the purposes of section 249(1) (disciplinary measures) of an auditor to comply with a duty imposed on the auditor by trust scheme rules occurred (and ceased to occur) before the commencement date.

(2) Section 249(1) applies as if sub-paragraphs (b) and (c) were omitted.