

SCHEDULE

Consequential Amendments of Enactments

Companies Act 2006

9.—(1) The Companies Act 2006⁽¹⁾ is amended as follows.

(2) In section 472A(1) (meaning of “corporate governance statement” etc)⁽²⁾, for “issued by the Financial Services Authority” substitute “made by the Financial Conduct Authority”.

(3) In section 474(1) (minor definitions), in the definition of “UCITS management company”, for “Financial Services Authority” substitute “Financial Conduct Authority”.

(4) In section 497A (auditor’s report on separate corporate governance statement)⁽³⁾—

(a) in subsection (1), for “issued by the Financial Services Authority” substitute “made by the Financial Conduct Authority”, and

(b) omit subsection (2).

(5) In section 538A (meaning of “corporate governance statement” etc)⁽⁴⁾—

(a) in subsection (1), for “issued by the Financial Services Authority” substitute “made by the Financial Conduct Authority”, and

(b) omit subsection (2).

(6) In section 539 (minor definitions), in the definition of “UCITS management company”, for “Financial Services Authority” substitute “Financial Conduct Authority”.

(7) In section 855(4) (contents of annual return: general)⁽⁵⁾, in the definition of “DTR5 issuer”, for “issued by the Financial Services Authority” substitute “made by the Financial Conduct Authority”.

(1) 2006 c.46.

(2) Section 472A was inserted by S.I. 2009/1581.

(3) Section 497A was inserted by S.I. 2009/1581.

(4) Section 538A was inserted by S.I. 2009/1581.

(5) Section 855(4) was inserted by S.I. 2008/3000 and the definition of “DTR5 issuer” was inserted by S.I. 2011/1487.