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## STATUTORY INSTRUMENTS

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# 2015 No. 1755

## The Transparency Regulations 2015

### Amendments to Part 6 of the Act: transparency rules

- 2.—(1) In section 89A of the Act(1) (transparency rules)—
- (a) in subsection (3) omit paragraph (b);
  - (b) in subsection (4)(b) omit “, or treated by virtue of subsection (3)(b) as held,”.
- (2) In section 89C of the Act(2) (provision of information by issuers of transferable securities), in subsection (2)—
- (a) at the end of paragraph (aa) insert “and”;
  - (b) omit “and” at the end of paragraph (b);
  - (c) omit paragraph (c).
- (3) Omit section 89E of the Act(3) (notification of proposed amendment of issuer’s constitution).
- (4) In section 89F of the Act(4) (transparency rules: interpretation etc.)—
- (a) in subsection (1)—
    - (i) at the end of paragraph (a) insert “and”;
    - (ii) in paragraph (b) for sub-paragraph (iii) substitute—
      - “(iii) if he holds, directly or indirectly, a financial instrument which satisfies the conditions set out in Article 13(1)(a) or (b) of the transparency obligations directive(5).”;
    - (iii) omit “and” at the end of paragraph (b);
    - (iv) omit paragraph (c);
  - (b) omit subsection (2);
  - (c) in subsection (4) omit the definitions of “Article 13 instrument” and “financial instrument”.
- (5) In section 89J of the Act(6) (power to call for information: supplementary provisions) in subsection (3), in the definition of “voteholder”, omit paragraph (b) (and the “or” before it).

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### Commencement Information

**II** Reg. 2 in force at 1.11.2015 for specified purposes, see [reg. 1\(2\)](#)

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- (1) Section 89A was inserted by the Companies Act 2006 (c.46), section 1266(1) and amended by the Financial Services Act 2012, section 16.
- (2) Section 89C was inserted by the Companies Act 2006, section 1266(1) and amended by the Financial Services Act 2012, section 16 and [S.I. 2014/3293](#).
- (3) Section 89E was inserted by the Companies Act 2006, section 1266(1) and amended by the Financial Services Act 2012, section 16.
- (4) Section 89F was inserted by the Companies Act 2006, section 1266(1) and amended by [S.I. 2008/3053](#).
- (5) OJ L 390, 31.12.2004, p.38.
- (6) Section 89J was inserted by the Companies Act 2006, section 1267 and amended by the Financial Services Act 2012, section 16.

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**Changes to legislation:** *There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Transparency Regulations 2015. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)*

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**I2** Reg. 2 in force at 26.11.2015 in so far as not already in force, see [reg. 1\(3\)](#)

**Changes to legislation:**

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**Changes and effects yet to be applied to :**

- Regulations power to modify conferred by [2023 c. 29 s. 3 Sch. 1 Pt. 2](#)
- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)
- reg. 2 coming into force by [S.I. 2015/1755 reg. 1\(3\)](#)