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STATUTORY INSTRUMENTS

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**2016 No. 1024**

**The Insolvency (England and Wales) Rules 2016**

**PART 15**

**DECISION MAKING**

**CHAPTER 9**

**Exclusions from meetings**

**Complaint**

- 15.38.**—(1) A person may make a complaint who—
- (a) is, or claims to be, an excluded person; or
  - (b) attends the meeting and claims to have been adversely affected by the actual, apparent or claimed exclusion of another person.
- (2) The complaint must be made to the appropriate person who is —
- (a) the chair, where the complaint is made during the course of the meeting; or
  - (b) the convener, where it is made after the meeting.
- (3) The complaint must be made as soon as reasonably practicable and, in any event, no later than 4pm on the business day following—
- (a) the day on which the person was, appeared or claimed to be excluded; or
  - (b) where an indication is sought under rule 15.37, the day on which the complainant received the indication.
- (4) The appropriate person must, as soon as reasonably practicable following receipt of the complaint,—
- (a) consider whether there is an excluded person;
  - (b) where satisfied that there is an excluded person, consider the complaint; and
  - (c) where satisfied that there has been prejudice, take such action as the appropriate person considers fit to remedy the prejudice.
- (5) Paragraph (6) applies where the appropriate person is satisfied that the complainant is an excluded person and—
- (a) a resolution was voted on at the meeting during the period of the person's exclusion; and
  - (b) the excluded person asserts how the excluded person intended to vote on the resolution.
- (6) Where the appropriate person is satisfied that if the excluded person had voted as that person intended it would have changed the result of the resolution, then the appropriate person must, as soon as reasonably practicable,—
- (a) count the intended vote as having been cast in that way;
  - (b) amend the record of the result of the resolution;

- (c) where notice of the result of the resolution has been delivered to those entitled to attend the meeting, deliver notice to them of the change and the reason for it; and
  - (d) where notice of the result of the resolution has yet to be delivered to those entitled to attend the meeting, the notice must include details of the change and the reason for it.
- (7) Where satisfied that more than one complainant is an excluded person, the appropriate person must have regard to the combined effect of the intended votes.
- (8) The appropriate person must deliver notice to the complainant of any decision as soon as reasonably practicable.
- (9) A complainant who is not satisfied by the action of the appropriate person may apply to the court for directions and any application must be made no more than two business days from the date of receiving the decision of the appropriate person.