
STATUTORY INSTRUMENTS

2016 No. 1093

**CONSUMER PROTECTION
HEALTH AND SAFETY**

The Lifts Regulations 2016

Made - - - - *15th November 2016*
Laid before Parliament *16th November 2016*
Coming into force *8th December 2016*

THE LIFTS REGULATIONS 2016

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10. Labelling and instructions
11. Monitoring of lifts placed on the market
12. Duty to take action in respect of lifts placed on the market which are considered not to be in conformity
13. Provision of information and cooperation

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67. Enforcement action in respect of lifts and safety components for lifts which are in conformity, but present a risk
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1. Devices for locking landing doors.
2. Devices to prevent falls referred to in paragraph 4, sub-paragraphs...

3. Overspeed limitation devices.
4. (a) Energy-accumulating buffers: (i) non-linear, or (ii) with damping of...
5. Safety devices fitted to jacks of hydraulic power circuits where...
6. Electric safety devices in the form of safety circuits containing...

SCHEDULE 4 — Approved body requirements

1. A conformity assessment body must be established in the United...
2. (1) A conformity assessment body must be a third party...
3. (1) A conformity assessment body, its top level management and...
4. A conformity assessment body, its top level management and the...
5. A conformity assessment body, its top level management and the...
6. A conformity assessment body must ensure that the activities of...
7. A conformity assessment body and its personnel must carry out...
8. A conformity assessment body must be capable of carrying out...
9. A conformity assessment body must have at its disposal—
10. A conformity assessment body must have the means necessary to...
11. The personnel responsible for carrying out conformity assessment activities must...
12. A conformity assessment body must be able to demonstrate the...
13. The remuneration of the top level management and the personnel...
14. A conformity assessment body must have, and must satisfy the...
15. A conformity assessment body must ensure that its personnel observe...
16. Paragraph 15 does not prevent the personnel from providing information...
17. A conformity assessment body must participate in, or ensure that...

SCHEDULE 5 — EU declaration of conformity

PART 1 — EU declaration of conformity for lifts

1. The ... declaration of conformity for lifts must be drafted...

PART 2 — EU declaration of conformity for safety components for lifts

2. The ... declaration of conformity for safety components for lifts...

SCHEDULE 6 — Operational obligations of approved bodies

1. A notified An approved body must carry out conformity assessments...
2. A notified An approved body must carry out conformity assessments...
3. A notified An approved body must perform its activities taking...
4. A notified An approved body must respect the degree of...
5. Where a notified body finds that essential health and safety...
6. Where, in the course of the monitoring of conformity following...
7. Where the approved body has required an installer or a...
8. Paragraph 9 applies where a notified body is minded to—...
9. Where this paragraph applies, the approved body must—
10. A notified An approved body must inform the Secretary of...
11. A notified An approved body must make provision in its...
12. An approved body must provide other bodies approved by the...
13. An approved body must participate in the work of any...

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1. Enforcement powers under the 1987 Act
2. Modifications to the 1987 Act

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1. Enforcement powers under the 1974 Act
2. Modifications to the 1974 Act

SCHEDULE 9 — Enforcement powers of the Department under the 1978 Order

1. Enforcement powers under the 1978 Order
2. Modifications to the 1978 Order

SCHEDULE 10 — Compliance, withdrawal and recall notices

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1. A. Type examination of safety components for lifts
2. The application for Type examination shall be lodged by the ...
3. The technical documentation shall make it possible to assess whether ...
4. The approved body shall: examine the technical documentation and the ...
5. Where the type of the safety component for lifts meets ...
6. The approved body shall keep itself apprised of any changes ...
7. The manufacturer shall inform the approved body that holds the ...
8. Each approved body shall inform the Secretary of State concerning ...
9. The other approved bodies may, on request, obtain a copy ...
10. The manufacturer shall keep with the technical documentation a copy ...
11. Authorised representative The manufacturer's authorised representative may lodge the application ...
 1. B. Type examination of lifts
 2. The application for Type examination shall be lodged by the ...
 3. The technical documentation shall make it possible to assess the ...
 4. The approved body shall: examine the technical documentation and supporting ...
 5. The approved body shall draw up an evaluation report that ...
 6. Where the type meets the essential health and safety requirements ...
 7. The approved body shall keep itself apprised of any changes ...
 8. The installer shall inform the approved body of any modifications ...
 9. Each approved body shall inform the Secretary of State concerning ...
 10. The other approved bodies may, on request, obtain a copy ...
 11. The installer shall keep with the technical documentation a copy ...
 12. Authorised representative The installer's authorised representative may lodge the application ...

SCHEDULE 12 — FINAL INSPECTION FOR LIFTS (Annex V to the Directive)

1. Final inspection is the part of a conformity assessment procedure...
2. Obligations of the installer
3. Final inspection
 - 3.1 The installer shall lodge an application for final inspection with...
 - 3.2 The examinations shall include at least one of the following:...
 - 3.3 The tests of the lift shall include at least the...
4. If the lift satisfies the essential health and safety requirements...
5. UK marking and declaration of conformity

- 5.1 The installer shall affix the UK marking in the car...
- 5.2 The installer shall draw up a written declaration of conformity...
6. Authorised representative

SCHEDULE 13 — CONFORMITY TO TYPE BASED ON PRODUCT QUALITY ASSURANCE FOR SAFETY COMPONENTS FOR LIFTS (Annex VI to the Directive)

MODULE E

1. Conformity to type based on product quality assurance for safety...
2. Obligations of the manufacturer
3. Quality system
 - 3.1 The manufacturer shall lodge an application for assessment of his...
 - 3.2 Under the quality system, each safety component for lifts shall...
 - 3.3 The approved body shall assess the quality system to determine...
 - 3.4 The manufacturer shall undertake to fulfil the obligations arising from...
 - 3.5 The manufacturer or his authorised representative shall keep the approved...
4. Surveillance under the responsibility of the approved body
 - 4.1 The purpose of surveillance is to make sure that the...
 - 4.2 The manufacturer shall for assessment purposes allow the approved body...
 - 4.3 The approved body shall periodically carry out audits to ensure...
 - 4.4 Additionally, the approved body may pay unexpected visits to the...
5. UK marking and Declaration of conformity
 - 5.1 The manufacturer shall affix the UK marking, and, under the...
 - 5.2 The manufacturer shall draw up a written declaration of conformity...
6. The manufacturer shall for a period ending 10 years after...
7. Each approved body shall inform the Secretary of State of...
8. Authorised representative

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1. Conformity based on full quality assurance for safety components for...
2. Obligations of the manufacturer
3. Quality system
 - 3.1 The manufacturer shall lodge an application for assessment of his...
 - 3.2 The quality system shall ensure compliance of the safety components...
 - 3.3 The approved body shall assess the quality system to determine...
 - 3.4 The manufacturer shall undertake to fulfil the obligations arising from...
 - 3.5 The manufacturer shall keep the approved body which has approved...
4. Surveillance under the responsibility of the approved body
 - 4.1 The purpose of surveillance is to make sure that the...
 - 4.2 The manufacturer shall for assessment purposes allow the approved body...
 - 4.3 The approved body shall carry out periodic audits to make...
 - 4.4 Additionally, the approved body may pay unexpected visits to the...
5. UK marking and Declaration of conformity
 - 5.1 The manufacturer shall affix the UK marking, and, under the...
 - 5.2 The manufacturer shall draw up a written declaration of conformity...
6. The manufacturer shall, for a period ending 10 years after...
7. Each approved body shall inform the Secretary of State of...
8. Authorised representative

SCHEDULE 15 — CONFORMITY BASED ON UNIT VERIFICATION FOR LIFTS (Annex VIII to the Directive)

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MODULE G

1. Conformity based on unit verification is the conformity assessment procedure...
2. Obligations of the installer
- 2.1 The installer shall take all measures necessary so that the...
- 2.2 The installer shall apply to a single approved body of...
3. The technical documentation shall allow an assessment of the conformity...
4. Verification
5. UK marking and Declaration of conformity
- 5.1 The installer shall affix the UK marking in the car...
- 5.2 The installer shall draw up a written declaration of conformity...
6. The installer shall keep with the technical documentation a copy...
7. Authorised representative

SCHEDULE 16 — CONFORMITY TO TYPE WITH RANDOM CHECKING FOR SAFETY COMPONENTS FOR LIFTS (Annex IX to the Directive)

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1. Conformity to type with random checking is the part of...
2. Manufacturing
3. The manufacturer shall lodge an application for random checking with...
4. The approved body shall carry out or have carried out...
5. UK marking and Declaration of conformity
- 5.1 The manufacturer shall affix the UK marking, and, under the...
- 5.2 The manufacturer shall draw up a written declaration of conformity...
6. Authorised representative

SCHEDULE 17 — CONFORMITY TO TYPE BASED ON PRODUCT QUALITY ASSURANCE FOR LIFTS (Annex X to the Directive)

MODULE E

1. Conformity to type based on product quality assurance is the...
2. Obligations of the installer
3. Quality system
- 3.1 The installer shall lodge an application for assessment of his...
- 3.2 Under the quality system, each lift shall be examined and...
- 3.3 The approved body shall assess the quality system to determine...
- 3.4 The installer shall undertake to fulfil the obligations arising from...
- 3.4.1 The installer shall keep the approved body which has approved...
- 3.4.2 The approved body shall assess the modifications proposed and decide...
4. Surveillance under the responsibility of the approved body
- 4.1 The purpose of surveillance is to make sure that the...
- 4.2 The installer shall, for assessment purposes, allow the approved body...
- 4.3 The approved body shall periodically carry out audits to ensure...
- 4.4 Additionally, the approved body may pay unexpected visits to the...
5. The installer shall, for 10 years after the last lift...
6. Each approved body shall inform the Secretary of State of...
7. UK marking and declaration of conformity
- 7.1 The installer shall affix the UK marking in the car...
- 7.2 The installer shall draw up a written Declaration of conformity...
8. Authorised representative

SCHEDULE 18 — CONFORMITY BASED ON FULL QUALITY ASSURANCE PLUS DESIGN EXAMINATION FOR LIFTS (Annex XI to the Directive)

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3. Quality system
 - 3.1 The installer shall lodge an application for assessment of his...
 - 3.2 The quality system shall ensure compliance of the lifts with...
 - 3.3 Design examination
 - 3.3.1 When the design is not entirely in accordance with designated...
 - 3.3.2 Where the design does not satisfy the applicable essential health...
 - 3.3.3 The installer shall keep the approved body that has issued...
 - 3.3.4 Each approved body shall inform the Secretary of State of...
 - 3.3.5 The installer shall keep a copy of the design examination...
 - 3.4 Assessment of the quality system The approved body shall assess...
 - 3.5 The installer shall undertake to fulfil the obligations arising from...
4. Surveillance under the responsibility of the approved body
 - 4.1 The purpose of surveillance is to make sure that the...
 - 4.2 The installer shall, for assessment purposes, allow the approved body...
 - 4.3 The approved body shall carry out periodic audits to make...
 - 4.4 Additionally, the approved body may pay unexpected visits to the...
 5. The installer shall, keep at the disposal of the enforcing...
 6. Each approved body shall inform the Secretary of State of...
7. UK marking and Declaration of conformity
 - 7.1 The installer shall affix the UK marking in the car...
 - 7.2 The installer shall draw up a written declaration of conformity...
8. Authorised representative

SCHEDULE 19 — CONFORMITY TO TYPE BASED ON PRODUCTION QUALITY ASSURANCE FOR LIFTS (Annex XII to the Directive)

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 - 3.1 The installer shall lodge an application for assessment of his...
 - 3.2 The quality system shall ensure compliance of the lifts with...
 - 3.3 The approved body shall assess the quality system to determine...
 - 3.4 The installer shall undertake to fulfil the obligations arising from...
 - 3.4.1 The installer shall keep the approved body that has approved...
 - 3.4.2 The approved body shall assess the modifications proposed and decide...
4. Surveillance under the responsibility of the approved body
 - 4.1 The purpose of surveillance is to make sure that the...
 - 4.2 The installer shall, for assessment purposes, allow the approved body...
 - 4.3 The approved body shall carry out periodic audits to make...
 - 4.4 Additionally, the approved body may pay unexpected visits to the...
 5. The installer shall, keep at the disposal of the enforcing...
 6. Each approved body shall inform the Secretary of State of...
7. UK marking and Declaration of conformity
 - 7.1 The installer shall affix the UK marking in the car...
 - 7.2 The installer shall draw up a written Declaration of conformity...
8. Authorised representative

Explanatory Note

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Changes and effects yet to be applied to :

- Sch. 4 para. 1 substituted by [S.I. 2024/504 reg. 9](#)
- reg. 2(1) words substituted by [S.I. 2019/696 Sch. 22 para. 2\(2\)\(d\)](#) (This amendment not applied to legislation.gov.uk. Sch. 22 para. 2(2)(d) omitted immediately before IP completion day by virtue of S.I. 2020/1460, reg. 1(4), Sch. 3 para. 3)
- reg. 2(1) words substituted by [S.I. 2019/696 Sch. 22 para. 2\(2\)\(n\)](#) (This amendment not applied to legislation.gov.uk. Sch. 22 para. 2(2)(n) substituted immediately before IP completion day by S.I. 2020/676, regs. 1(1), 4(10)(a))
- reg. 2(1) words substituted by [S.I. 2019/696 Sch. 22 para. 2\(2\)\(r\)](#) (This amendment not applied to legislation.gov.uk. Sch. 22 para. 2(2)(r) substituted immediately before IP completion day by S.I. 2020/676, regs. 1(1), 4(10)(b))
- reg. 2(1) words substituted in earlier amending provision S.I. 2019/696, Sch. 22 para. 2(2)(d) by [S.I. 2020/852 reg. 4\(2\) Sch. 1 para. 1\(k\)\(i\)](#) (This amendment not applied to legislation.gov.uk. Sch. 1 para. 1(k)(i) omitted immediately before it comes into force by virtue of S.I. 2020/1460, regs. 1(3), Sch. 4 para. 1(3))
- reg. 50 word substituted by [S.I. 2019/696 Sch. 22 para. 30\(3\)](#) (This amendment not applied to legislation.gov.uk. Sch. 22 para. 30(3) substituted immediately before IP completion day by S.I. 2020/1460, reg. 1(4), Sch. 3 para. 14(4)(a))