

STATUTORY INSTRUMENTS

2019 No. 1043

**The Financial Services and Markets Act
2000 (Prospectus) Regulations 2019**

PART 1

General

Citation, commencement and interpretation

1.—(1) These Regulations may be cited as the Financial Services and Markets Act 2000 (Prospectus) Regulations 2019 and come into force on 21st July 2019.

(2) In these Regulations—

“FSMA” means the Financial Services and Markets Act 2000^{M1};

“the FCA” means the Financial Conduct Authority;

“the EU Prospectus Regulation” means Regulation (EU) No 2017/1129 of the European Parliament and of the Council of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC^{M2}.

Commencement Information

I1 Reg. 1 in force at 21.7.2019, see [reg. 1\(1\)](#)

Marginal Citations

M1 2000 c. 8.

M2 OJ No. L168 30.06.2017, p.12.

Designation of competent authority

2. The FCA is designated as the competent authority for the purposes of the EU Prospectus Regulation.

Commencement Information

I2 Reg. 2 in force at 21.7.2019, see [reg. 1\(1\)](#)

Designation of supervisory authority for the purposes of Article 1(6a)(b) of the EU Prospectus Regulation

^{F1}**2A.**

Changes to legislation: There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019, PART 1. (See end of Document for details)

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Textual Amendments

- F1** Reg. 2A omitted (31.12.2020) by virtue of [The Prospectus \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/1234), regs. 1(4), **30** (as substituted by S.I. 2020/628, regs. 1(3), **17(2)**); 2020 c. 1, **Sch. 5 para. 1(1)**

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019, PART 1.