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STATUTORY INSTRUMENTS

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**2019 No. 1043**

The Financial Services and Markets Act  
2000 (Prospectus) Regulations 2019

**PART 5**

Transitional provisions

**40.**—(1) This regulation applies to a prospectus (“relevant prospectus”) which is approved by the FCA, in accordance with Part 6 of FSMA, before 21st July 2019.

(2) This regulation ceases to apply to a relevant prospectus on 21st July 2020 or, if earlier, the date on which, in accordance with prospectus rules, the period of validity of the prospectus expires.

(3) In relation to a relevant prospectus—

- (a) FSMA continues to have effect without the amendments made by Part 2 of these Regulations;
- (b) the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005 and the Financial Services and Markets Act 2000 (Qualifying EU Provisions) Order 2013 continue to have effect without the amendments made by Part 4 of these Regulations; and
- (c) prospectus rules continue to have effect without any amendments made in consequence of, or otherwise in connection with, Part 2 of these Regulations.

(4) In this regulation—

“prospectus” includes a supplementary prospectus (within the meaning given in section 87G of FSMA without the amendments made by Part 2 of these Regulations); and

(5) “prospectus rules” has the meaning given in section 73A of FSMA.

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**Commencement Information**

**11** Reg. 40 in force at 21.7.2019, see [reg. 1\(1\)](#)

**Changes to legislation:**

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019, Section 40.