

### SCHEDULE 3

Amendments made under the European Union (Withdrawal) Act 2018: secondary legislation

**20.** In regulation 32 (minor definitions), in the modified version of section 474(1) applied by that regulation (minor definitions)—

- (a) in the definition of “MiFID investment firm”—
  - (i) in the opening words, for “Article 4.1.1 of Directive 2014/65/EU” substitute “ Article 2.1A of Regulation (EU) No. 600/2014 ”;
  - (ii) in paragraph (a), for the words from “to which that Directive” to the end substitute “ which is exempted from the definition of “investment firm” by Schedule 3 to the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 <sup>M1</sup>, ”;
- (b) in the definition of “traded company”, for “regulated market” substitute “ UK regulated market ”.

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#### Commencement Information

**II** Sch. 3 para. 20 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see **reg. 1(2)(c)**

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#### Marginal Citations

**M1** S.I. 2001/544.

**Changes to legislation:**

There are currently no known outstanding effects for the The Accounts and Reports (Amendment) (EU Exit) Regulations 2019, Paragraph 20.