

STATUTORY INSTRUMENTS

2019 No. 707

The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019

PART 2

Amendments of primary legislation

CHAPTER 1

The Financial Services and Markets Act 2000

Penalties for breach of Part 6 rules

30.—(1) Section 91 (penalties for breach of Part 6 rules) is amended as follows.

^{F1}(2)

(3) In subsection (1B)(a)(i) ^{M1}, for the words from “transparency rules” to “directive” substitute “qualifying transparency legislation”.

Textual Amendments

F1 Reg. 30(2) omitted (6.9.2019) by virtue of [The Prospectus \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1234\)](#), [regs. 1\(2\), 17](#) (with savings in [S.I. 2019/680](#), [reg. 11](#) (as amended by [S.I. 2019/1234](#), [reg. 29\(2\)](#)))

Commencement Information

11 Reg. 30 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

Marginal Citations

M1 Subsection (1B) was inserted by the Companies Act 2006 Schedule 15, paragraph 6, and was amended by the [Financial Services Act 2012 \(c. 21\)](#) section 16.

Changes to legislation:

The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019, Section 30 is up to date with all changes known to be in force on or before 24 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)