
STATUTORY INSTRUMENTS

2019 No. 855

The Russia (Sanctions) (EU Exit) Regulations 2019

PART 5

Trade

CHAPTER 1

Interpretation

Interpretation of this Part

21.—(1) In this Part—

[^{F1}“aviation and space goods” means—

- (a) any thing specified in Schedule 2C, other than any thing which is aviation and space technology, and
- (b) any tangible storage medium on which aviation and space technology is recorded or from which it can be derived;

“aviation and space technology” means any thing described in Schedule 2C as software or technology;]

“brokering service” means any service to secure, or otherwise in relation to, an arrangement, including (but not limited to)—

- (a) the selection or introduction of persons as parties or potential parties to the arrangement,
- (b) the negotiation of the arrangement,
- (c) the facilitation of anything that enables the arrangement to be entered into, and
- (d) the provision of any assistance that in any way promotes or facilitates the arrangement;

[^{F2}“coal and coal products” means any thing specified in Schedule 3H;]

[^{F3}“critical-industry goods” means—

- (a) any thing specified in Schedule 2A, other than—
 - (i) any thing which is critical-industry technology, or
 - (ii) any thing for the time being specified in—
 - (aa) Schedule 2 ^{F4}... to the Export Control Order 2008, ^{F5}...
 - (bb) Annex I of the Dual-Use Regulation, [^{F6}or]
 - (cc) [^{F7}Part 3 of Schedule 3C, and]
- (b) any tangible storage medium on which critical-industry technology is recorded or from which it can be derived;

“critical-industry technology” means any thing described in Schedule 2A as software or technology, other than any thing for the time being specified in—

(a) Schedule 2 ^{F8}... to the Export Control Order 2008, ^{F9}...

(b) Annex I of the Dual-Use Regulation; [^{F10}or]

(c) [^{F11}Part 3 of Schedule 3C,]

[^{F12}“defence and security goods” means—

(a) interception and monitoring goods,

(b) internal repression goods, and

(c) goods relating to chemical and biological weapons;]

[^{F12}“defence and security technology” means—

(a) interception and monitoring technology,

(b) internal repression technology, and

(c) technology relating to chemical and biological weapons;]

“dual-use goods” means—

(a) any thing for the time being specified in Annex I of the Dual-Use Regulation, other than any thing which is dual-use technology, and

(b) any tangible storage medium on which dual-use technology is recorded or from which it can be derived;

“the Dual-Use Regulation” means Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items;

“dual-use technology” means any thing for the time being specified in Annex I of the Dual-Use Regulation which is described as software or technology;

“energy-related goods” means any thing falling within Part 2 of Schedule 3;

[^{F13}“G7 dependency and further goods” means any thing specified in Schedule 3E other than any thing for the time being specified in—

(a) Schedule 2 ^{F14}... to the Export Control Order 2008,

(b) Annex 1 of the Dual-Use Regulation, or

(c) Schedule 2A;]

[^{F2}“gold” means the gold and products related to gold specified in [^{F15}Part 2 of] Schedule 3G;]

[^{F16}“gold jewellery” means the gold products specified in Part 3 of Schedule 3G;]

[^{F12}“goods relating to chemical and biological weapons” means—

(a) any thing specified in Part 4 of Schedule 3C, other than technology relating to chemical and biological weapons (but see paragraph (4A)), and

(b) any tangible storage medium on which technology relating to chemical and biological weapons is recorded or from which it can be derived [^{F17},]

[^{F18}other than any thing for the time being specified in Schedule 2 to the Export Control Order 2008 or in Annex 1 of the Dual-Use Regulation;]

“infrastructure-related goods” means any thing falling within Part 3 of Schedule 3;

[^{F12}“interception and monitoring goods” means any item mentioned in paragraph (a) or (b), provided that it may be used for interception and monitoring services—

(a) a relevant Part 2 item,

- (b) any tangible storage medium on which interception and monitoring technology is recorded or from which it can be derived;]

[^{F12}“interception and monitoring technology” means any thing—

- (a) which is described as software in paragraph 2 of Part 2 of Schedule 3C provided that it may be used for interception and monitoring services, and
- (b) which is described as other software or other technology in paragraph 3 of Part 2 of Schedule 3C (but see paragraph (4C));]

[^{F12}“internal repression goods” means—

- (a) any thing specified in Part 3 of Schedule 3C, other than—
- (i) any thing which is internal repression technology,
- (ii) any thing for the time being specified in Schedule 2 to the Export Control Order 2008, or
- (iii) any thing for the time being specified in Annex # of the Dual-Use Regulation, and
- (b) any tangible storage medium on which internal repression technology is recorded or from which it can be derived;]

[^{F12}“internal repression technology” means any thing which is described in Part 3 of Schedule 3C as software or technology;]

[^{F19}“luxury goods” means any thing specified in Schedule 3A, other than any thing for the time being specified in—

- (a) [^{F20}Schedule 2] to the Export Control Order 2008,
- (b) Annex 1 of the Dual-Use Regulation, or
- (c) Schedule 2A;]

[^{F12}“maritime goods” and “maritime technology” mean respectively any goods and technology specified in Chapter 4 (Navigation Equipment) and Chapter 5 (Radio-Communication Equipment) of Annex 1 of the Merchant Shipping Notice 1874 but not including any thing in those Chapters for the time being specified in—

- (a) [^{F21}Schedule 2] to the Export Control Order 2008,
- (b) Annex I to the Dual Use Regulation, or
- (c) Schedule 2A;]

[^{F12}“medical device” means—

- (a) a medical device within the meaning given in regulation 2 of the Medical Devices Regulations 2002 in so far as those Regulations apply to England, Wales and Scotland, and
- (b) a medical device within the meaning given in—
- (i) article 2 of Regulation (EU) 2017/745 of the European Parliament and of the Council of 5 April 2017 on medical devices, amending [Directive 2001/83/EC](#), Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009 and repealing Council Directives [90/385/EEC](#) and [93/42/EEC](#), and
- (ii) article 2 of Regulation (EU) 2017/746 of the European Parliament and of the Council of 5 April 2017 on in vitro diagnostic medical devices and repealing [Directive 98/79/EC](#) and Commission [Decision 2010/227/EU](#),

in so far as those Regulations apply to Northern Ireland;]

“military goods” means—

- (a) any thing for the time being specified in Schedule 2 to the Export Control Order 2008^{M1}, other than any thing which is military technology, and
- (b) any tangible storage medium on which military technology is recorded or from which it can be derived;

“military technology” means any thing for the time being specified in Schedule 2 to the Export Control Order 2008 which is described as software or technology;

[^{F2}“oil and oil products” means any thing specified in Schedule 3F;]

[^{F19}“oil refining goods” means—

- (a) any thing specified in Schedule 2D, other than—
 - (i) any thing which is oil refining technology, or
 - (ii) any thing for the time being specified in—
 - (aa) Schedule [^{F22}2] to the Export Control Order 2008,
 - (bb) Annex 1 of the Dual-Use Regulation,
 - (cc) Schedule 2A, or
 - (dd) Part 2 of Schedule 3, and
- (b) any tangible storage medium on which oil refining technology is recorded or from which it can be derived;]

[^{F19}“oil refining technology” means any thing described in Schedule 2D as software or technology, other than any thing for the time being specified in —

- (a) Schedule [^{F23}2] to the Export Control Order 2008,
- (b) Annex 1 of the Dual-Use Regulation, or
- (c) Schedule 2A;]

^{F24} ...

[^{F19}“quantum computing and advanced materials goods” means—

- (a) any thing specified in Schedule 2E, other than—
 - (i) any thing which is quantum computing and advanced materials technology, or
 - (ii) any thing for the time being specified in—
 - (aa) Schedule 2^{F25}... to the Export Control Order 2008,
 - (bb) Annex 1 of the Dual-Use Regulation,
 - (cc) Schedule 2A,
 - (dd) Schedule 2C,
 - (ee) Schedule 2D, or
 - (ff) Part 2 of Schedule 3, and
- (b) any tangible storage medium on which quantum computing and advanced materials technology is recorded or from which it can be derived;]

[^{F19}“quantum computing and advanced materials technology” means any thing described in Schedule 2E as software or technology, other than anything for the time being specified in—

- (a) Schedule 2^{F26}... to the Export Control Order 2008,
- (b) Annex 1 of the Dual-Use Regulation,
- (c) Schedule 2A,

- (d) Schedule 2C, or
- (e) Schedule 2D.]

[^{F27}restricted goods” means—

- (a) critical-industry goods;
- (b) dual-use goods;
- (c) military goods;
- (d) [^{F28}aviation and space goods;]
- (e) [^{F29}oil refining goods;]
- (f) [^{F29}quantum computing and advanced materials goods;]
- (g) [^{F30}defence and security goods;
- (h) maritime goods;]

“restricted technology” means—

- (a) critical-industry technology;
- (b) dual-use technology;
- (c) military technology;
- (d) [^{F31}aviation and space technology;]
- (e) [^{F32}oil refining technology;]
- (f) [^{F32}quantum computing and advanced materials technology;]
- (g) [^{F33}defence and security technology;
- (h) maritime technology;]

[^{F16}“Russia’s vulnerable goods” means any thing specified in Schedule 3I other than any thing for the time being specified in—

- (a) Schedule 2 ^{F34}... to the Export Control Order 2008,
- (b) Annex 1 of the Dual-Use Regulation, or
- (c) Schedule 2A;]

“technical assistance”, in relation to goods or technology, means—

- (a) technical support relating to the repair, development, production, assembly, testing, use or maintenance of the goods or technology, or
- (b) any other technical service relating to the goods or technology;

^{F35} ...

[^{F12}“technology relating to chemical and biological weapons” means any thing specified as technology or software in Part 4 of Schedule 3C [^{F36}(except any thing for the time being specified in Schedule 2 to the Export Control Order 2008 or in Annex 1 of the Dual-Use Regulation)], other than technology which is—

- (a) the minimum necessary for—
 - (i) the installation, operation, maintenance and repair of any goods which are not subject to a prohibition under this Part, or
 - (ii) patent applications,
- (b) in the public domain,
- (c) a medical device, or

(d) used for basic scientific research;]

“transfer” has the meaning given in paragraph 37 of Schedule 1 to the Act.

(2) For the purposes of this Part, a person is to be regarded as “connected with” Russia if the person is—

- (a) an individual who is, or an association or combination of individuals who are, ordinarily resident in Russia,
- (b) an individual who is, or an association or combination of individuals who are, located in Russia,
- (c) a person, other than an individual, which is incorporated or constituted under the law of Russia, or
- (d) a person, other than an individual, which is domiciled in Russia.

(3) For the purposes of this Part, a person is to be regarded as “connected with” [^{F37}non-government controlled Ukrainian territory] if the person is—

- (a) an individual who is, or an association or combination of individuals who are, ordinarily resident in [^{F37}non-government controlled Ukrainian territory],
- (b) an individual who is, or an association or combination of individuals who are, located in [^{F37}non-government controlled Ukrainian territory], or
- (c) a person, other than an individual, which has its registered office, central administration or principal place of business located in [^{F37}non-government controlled Ukrainian territory].

(4) Paragraphs 32 to 36 of Schedule 1 to the Act (trade sanctions) apply for the purpose of interpreting expressions in this Part.

[^{F38}(4A) The definition of “goods relating to chemical and biological weapons” does not apply to anything specified in Part 4 of Schedule 3C—

- (a) which is—
 - (i) a pharmaceutical formulation designed for human administration in the treatment of a medical condition; and
 - (ii) pre-packaged for distribution as a medicinal product; or
- (b) which is a medical device.

(4B) For the purpose of the definition of “interception and monitoring goods” in paragraph (1) “a relevant Part 2 item” means any thing described in Part 2 of Schedule 3C, other than—

- (a) any thing which is interception and monitoring technology, or
- (b) any thing for the time being specified in—
 - (i) Schedule 2 to the Export Control Order 2008, or
 - (ii) Annex # of the Dual-Use Regulation.

(4C) The definition of “interception and monitoring technology” does not apply to software which is—

- (a) generally available to the public, or
- (b) in the public domain.

(4D) For the purposes of this Part, the following terms have the meaning given to them in the Dual-Use Regulation—

- “basic scientific research”;
- “in the public domain”.]

(5) In this Part, any reference to the United Kingdom includes a reference to the territorial sea.

Textual Amendments

- F1** Words in reg. 21 inserted (8.3.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 6) Regulations 2022 (S.I. 2022/241), regs. 1(2), **5(a)**
- F2** Words in reg. 21(1) inserted (21.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 14) Regulations 2022 (S.I. 2022/850), regs. 1(2), **3**
- F3** Words in reg. 21 inserted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **3(a)** (with reg. 11)
- F4** Words in reg. 21(1) omitted (15.12.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(4)(5)(a)**
- F5** Word in reg. 21(1) omitted (15.7.2022) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(3)(a)** (with reg. 13)
- F6** Word in reg. 21(1) substituted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(3)(b)** (with reg. 13)
- F7** Words in reg. 21(1) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(3)(c)** (with reg. 13)
- F8** Words in reg. 21(1) omitted (15.12.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(4)(5)(b)**
- F9** Word in reg. 21(1) omitted (15.7.2022) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(4)(a)** (with reg. 13)
- F10** Word in reg. 21(1) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(4)(b)** (with reg. 13)
- F11** Words in reg. 21(1) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(4)(c)** (with reg. 13)
- F12** Words in reg. 21(1) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(2)** (with reg. 13)
- F13** Words in reg. 21(1) substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), regs. 1(2)(b), **4(2)**
- F14** Words in reg. 21(1) omitted (15.12.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(4)(5)(c)**
- F15** Words in reg. 21(1) inserted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), regs. 1(2)(b), **4(3)**
- F16** Words in reg. 21(1) inserted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), regs. 1(2)(b), **4(4)**
- F17** Comma in reg. 21(1) substituted for semicolon (15.12.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(2)(a)**
- F18** Words in reg. 21(1) inserted (15.12.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(2)(b)**
- F19** Words in reg. 21(1) inserted (14.4.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 8) Regulations 2022 (S.I. 2022/452), regs. 1(2), **3(a)**
- F20** Words in reg. 21(1) substituted (15.12.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(6)**
- F21** Words in reg. 21(1) substituted (15.12.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(7)**
- F22** Word in reg. 21(1) substituted (15.12.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(8)(a)**
- F23** Word in reg. 21(1) substituted (15.12.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(8)(b)**
- F24** Words in reg. 21(1) omitted (29.10.2022) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 1**

- F25** Words in reg. 21(1) omitted (15.12.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(4)(5)(d)**
- F26** Words in reg. 21(1) omitted (15.12.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(4)(5)(e)**
- F27** Words in reg. 21 inserted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **3(b)** (with reg. 11)
- F28** Words in reg. 21 inserted (8.3.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 6) Regulations 2022 (S.I. 2022/241), regs. 1(2), **5(b)**
- F29** Words in reg. 21(1) inserted (14.4.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 8) Regulations 2022 (S.I. 2022/452), regs. 1(2), **3(b)**
- F30** Words in reg. 21(1) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(5)** (with reg. 13)
- F31** Words in reg. 21 inserted (8.3.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 6) Regulations 2022 (S.I. 2022/241), regs. 1(2), **5(c)**
- F32** Words in reg. 21(1) inserted (14.4.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 8) Regulations 2022 (S.I. 2022/452), regs. 1(2), **3(c)**
- F33** Words in reg. 21(1) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(6)** (with reg. 13)
- F34** Words in reg. 21(1) omitted (15.12.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(4)(5)(f)**
- F35** Words in reg. 21(1) omitted (31.12.2020 immediately after IP completion day) by virtue of The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(3)**; S.I. 2020/1514, reg. 4
- F36** Words in reg. 21(1) inserted (15.12.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 4) Regulations 2023 (S.I. 2023/1364), regs. 1(3), **4(3)**
- F37** Words in reg. 21(3) substituted (30.3.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 7) Regulations 2022 (S.I. 2022/395), regs. 1(2), **13**
- F38** Reg. 21(4A)-(4D) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **3(7)** (with reg. 13)

Commencement Information

- I1** Reg. 21 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

Marginal Citations

- M1** S.I. 2008/3231. Schedule 2 was substituted by S.I. 2017/85 and subsequently amended by S.I. 2017/697 and S.I. 2018/165. There are other instruments which amend other parts of the Order.

^{F39} Definition of interception and monitoring services

21A.—(1) For the purposes of this Part, “interception and monitoring services” means any service that has as its object or effect the interception of a communication in the course of its transmission by means of a telecommunication system.

(2) A person intercepts a communication in the course of its transmission by means of a telecommunication system if, and only if—

- (a) the person does a relevant act in relation to the system; and
- (b) the effect of the relevant act is to make any content of the communication available, at a relevant time, to a person who is not the sender or intended recipient of the communication.

(3) In paragraph (2) a “relevant act”, in relation to a telecommunication system, means—

- (a) modifying, or interfering with, the system or its operation;

- (b) monitoring transmissions made by means of the system;
 - (c) monitoring transmissions made by wireless telegraphy to or from apparatus that is part of the system.
- (4) In paragraph (2), a “relevant time”, in relation to a communication transmitted by means of a telecommunication system, means—
- (a) any time while the communication is being transmitted; and
 - (b) any time when the communication is stored in or by the system (whether before or after its transmission).
- (5) For the purpose of paragraph (2), the cases in which any content of a communication is to be taken to be made available to a person at a relevant time include any case in which any of the communication is diverted or recorded at a relevant time so as to make the content of the communication available to a person after that time.
- (6) In paragraph (3), references to modifying a telecommunication system include references to attaching any apparatus to, or otherwise modifying or interfering with—
- (a) any part of the system; or
 - (b) any wireless telegraphy apparatus used for making transmissions to or from apparatus that is part of the system.
- (7) For the purposes of this regulation, the following definitions also apply—
- “apparatus” includes any equipment, machinery or device (whether physical or logical) and any wire or cable;
- “communication”, for the purpose of a telecommunication system, includes—
- (a) anything comprising speech, music, sounds, visual images or data of any description; and
 - (b) signals serving for the impartation of anything between persons, between a person and a thing or between things, for the actuation or control of any apparatus;
- “content”, in relation to a communication and a telecommunication system, means any element of the communication, or any data attached to or logically associated with the communication, which reveals anything of what might reasonably be considered to be the meaning (if any) of the communication, but—
- (a) any meaning arising from the fact of the communication or from any data relating to the transmission of the communication is to be disregarded; and
 - (b) anything which is systems data is not content;
- “systems data” means any data that enables or facilitates, or identifies or describes anything connected with enabling or facilitating, the functioning of a telecommunication system (including any apparatus forming part of the system);
- “a telecommunication system” means a system (including the apparatus comprised in it) that exists for the purpose of facilitating the transmission of communications by any means involving the use of electrical or electromagnetic energy;
- “wireless telegraphy” and “wireless telegraphy apparatus” have the same meaning as in sections 116 and 117 of the Wireless Telegraphy Act 2006.]

Textual Amendments

F39 Reg. 21A inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), 4 (with reg. 13)

CHAPTER 2

[^{F40}Restricted goods, restricted technology] and related activities

Textual Amendments

- F40** Words in Pt. 5 Ch. 2 heading substituted (1.3.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2022 \(S.I. 2022/195\)](#), regs. 1(2), **4(1)** (with reg. 11)

Export of [^{F41}restricted goods]

22.—(1) The export of [^{F41}restricted goods] to, or for use in, Russia is prohibited.

[^{F42}(1A) The export of maritime goods for the placing on board of a Russian-flagged vessel is prohibited.]

(2) [^{F43}Paragraphs (1) and (1A) are] subject to Part 7 (Exceptions and licences).

Textual Amendments

- F41** Words in reg. 22 substituted (1.3.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2022 \(S.I. 2022/195\)](#), regs. 1(2), **4(2)(a)** (with reg. 11)
- F42** Reg. 22(1A) inserted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), **5(1)(a)** (with reg. 13)
- F43** Words in reg. 22(2) substituted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), **5(1)(b)** (with reg. 13)

Commencement Information

- I2** Reg. 22 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see reg. 1(2)

Import of arms and related materiel

23.—(1) The import of arms and related materiel which are consigned from Russia is prohibited.

(2) The import of arms and related materiel which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

(4) In this regulation “arms and related materiel” means—

- (a) military goods, and
- (b) any thing which falls within chapter 93 of the Goods Classification Table, other than military goods.

(5) For the purposes of the definition of “arms and related materiel”, whether a thing “falls within chapter 93 of the Goods Classification Table” is to be interpreted in accordance with paragraph 1 of Schedule 3.

Commencement Information

- I3** Reg. 23 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see reg. 1(2)

Supply and delivery of ^{F44}restricted goods]

- 24.—(1) A person must not—
- (a) directly or indirectly supply or deliver ^{F45}restricted goods] from a third country to a place in Russia;
 - (b) directly or indirectly supply or deliver military goods from a place in Russia to a third country.
 - ^{F46}(c) directly or indirectly supply or deliver maritime goods from a third country for the placing on board of a Russian-flagged vessel.]
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for Russia;
 - (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery was from a place in Russia, whether directly or indirectly.
 - ^{F47}(c) it is a defence for a person charged with the offence of contravening paragraph (1)(c) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery was for the placing on board of a Russian-flagged vessel, whether directly or indirectly.]
- (4) In this regulation “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

Textual Amendments

- F44** Words in reg. 24 heading substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(2)(b)** (with reg. 11)
- F45** Words in reg. 24(1)(a) substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(2)(b)** (with reg. 11)
- F46** Reg. 24(1)(c) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **5(2)(a)** (with reg. 13)
- F47** Reg. 24(3)(c) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **5(2)(b)** (with reg. 13)

Commencement Information

- I4** Reg. 24 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

Making available or acquiring ^{F48}restricted goods] and ^{F49}restricted technology]

- 25.—(1) A person must not—
- (a) directly or indirectly make ^{F50}restricted goods] or ^{F51}restricted technology] available to a person connected with Russia;
 - (b) directly or indirectly make ^{F52}restricted goods] or ^{F53}restricted technology] available for use in Russia;
 - (c) directly or indirectly acquire military goods or military technology from a person connected with Russia;

- ^{F54}(d)
- (e) directly or indirectly acquire military goods or military technology located in Russia.
- [^{F55}(f) directly or indirectly make maritime goods or maritime technology available for the placing on board of a Russian-flagged vessel.]
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) or (c) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods or technology were for use in Russia;
- ^{F56}(c)
- (d) it is a defence for a person charged with the offence of contravening paragraph (1)(e) to show that the person did not know and had no reasonable cause to suspect that the goods or technology were located in Russia.
- [^{F57}(e) it is a defence for a person charged with the offence of contravening paragraph (1)(f) to show that the person did not know and had no reasonable cause to suspect that the goods or technology were to be made available for the placing on board of a Russian-flagged vessel.]

Textual Amendments

- F48** Words in reg. 25 heading substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(2)(c)** (with reg. 11)
- F49** Words in reg. 25 heading substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(3)(a)** (with reg. 11)
- F50** Words in reg. 25(1)(a) substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(2)(c)** (with reg. 11)
- F51** Words in reg. 25(1)(a) substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(3)(a)** (with reg. 11)
- F52** Words in reg. 25(1)(b) substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(2)(c)** (with reg. 11)
- F53** Words in reg. 25(1)(b) substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(3)(a)** (with reg. 11)
- F54** Reg. 25(1)(d) omitted (31.12.2020 immediately after IP completion day) by virtue of The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(4)(a)**; S.I. 2020/1514, reg. 4
- F55** Reg. 25(1)(f) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **5(3)(a)** (with reg. 13)
- F56** Reg. 25(3)(c) omitted (31.12.2020 immediately after IP completion day) by virtue of The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(4)(b)**; S.I. 2020/1514, reg. 4
- F57** Reg. 25(3)(e) inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **5(3)(b)** (with reg. 13)

Commencement Information

- I5** Reg. 25 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

Transfer of [^{F58}restricted technology]

26.—(1) A person must not—

- (a) transfer [^{F59}restricted technology] to a place in Russia;
- (b) transfer [^{F60}restricted technology] to a person connected with Russia;
- (c) transfer military technology to persons outside the United Kingdom or to a place outside the United Kingdom, where the transfer is from a place in Russia.

[^{F61}(d) transfer maritime technology to a Russian-flagged vessel.]

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the transfer was to a place in Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (c) it is a defence for a person charged with the offence of contravening paragraph (1)(c) to show that the person did not know and had no reasonable cause to suspect that the transfer was from a place in Russia.

[^{F62}(d) it is a defence for a person charged with the offence of contravening paragraph (1)(d) to show that the person did not know and had no reasonable cause to suspect that the transfer was to a Russian-flagged vessel.]

Textual Amendments

- F58** Words in [reg. 26](#) heading substituted (1.3.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2022 \(S.I. 2022/195\)](#), regs. 1(2), [4\(3\)\(b\)](#) (with [reg. 11](#))
- F59** Words in [reg. 26\(1\)\(a\)](#) substituted (1.3.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2022 \(S.I. 2022/195\)](#), regs. 1(2), [4\(3\)\(b\)](#) (with [reg. 11](#))
- F60** Words in [reg. 26\(1\)\(b\)](#) substituted (1.3.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2022 \(S.I. 2022/195\)](#), regs. 1(2), [4\(3\)\(b\)](#) (with [reg. 11](#))
- F61** [Reg. 26\(1\)\(d\)](#) inserted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), [5\(4\)\(a\)](#) (with [reg. 13](#))
- F62** [Reg. 26\(3\)\(d\)](#) inserted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), [5\(4\)\(b\)](#) (with [reg. 13](#))

Commencement Information

- I6** [Reg. 26](#) in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

Technical assistance relating to [^{F63}restricted goods] and [^{F64}restricted technology]

27.—(1) A person must not directly or indirectly provide technical assistance relating to [^{F63}restricted goods] or [^{F64}restricted technology]—

- (a) to a person connected with Russia, or
- (b) for use in Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods or technology were for use in Russia.

Textual Amendments

- F63** Words in regs. 27-29 substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), 4(2)(d) (with reg. 11)
- F64** Words in regs. 27-29 substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), 4(3)(c) (with reg. 11)

Commencement Information

- I7** Reg. 27 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Financial services and funds relating to [^{F63}restricted goods] and [^{F64}restricted technology]

28.—(1) A person must not directly or indirectly provide, to a person connected with Russia, financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of [^{F63}restricted goods],
- (b) the direct or indirect supply or delivery of [^{F63}restricted goods],
- (c) directly or indirectly making [^{F63}restricted goods] or [^{F64}restricted technology] available to a person,
- (d) the transfer of [^{F64}restricted technology], or
- (e) the direct or indirect provision of technical assistance relating to [^{F63}restricted goods] or [^{F64}restricted technology].

(2) A person must not directly or indirectly make funds available to a person connected with Russia in pursuance of or in connection with an arrangement mentioned in paragraph (1).

(3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of [^{F63}restricted goods] to, or for use in, Russia;
- (b) the direct or indirect supply or delivery of [^{F63}restricted goods] to a place in Russia;
- (c) directly or indirectly making [^{F63}restricted goods] or [^{F64}restricted technology] available—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia;
- (d) the transfer of [^{F64}restricted technology]—
 - (i) to a person connected with Russia, or
 - (ii) to a place in Russia; or
- (e) the direct or indirect provision of technical assistance relating to [^{F63}restricted goods] or [^{F64}restricted technology]—

- (i) to a person connected with Russia, or
- (ii) for use in Russia.

^{F65}(4)

^{F66}(5)

(6) Paragraphs (1) to [^{F67}(3)] are subject to Part 7 (Exceptions and licences).

(7) A person who contravenes a prohibition in any of paragraphs (1) [^{F68}to (3)] commits an offence, but—

- (a) it is a defence for a person charged with an offence of contravening paragraph (1) [^{F69}or (2)] (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph [^{F70}.]

^{F71}(c)

Textual Amendments

- F63** Words in regs. 27-29 substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(2)(d)** (with reg. 11)
- F64** Words in regs. 27-29 substituted (1.3.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/195), regs. 1(2), **4(3)(c)** (with reg. 11)
- F65** Reg. 28(4) omitted (31.12.2020 immediately after IP completion day) by virtue of The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(5)(a)**; S.I. 2020/1514, reg. 4
- F66** Reg. 28(5) omitted (31.12.2020 immediately after IP completion day) by virtue of The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(5)(a)**; S.I. 2020/1514, reg. 4
- F67** Word in reg. 28(6) substituted (31.12.2020 immediately after IP completion day) by The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(5)(b)**; S.I. 2020/1514, reg. 4
- F68** Words in reg. 28(7) substituted (31.12.2020 immediately after IP completion day) by The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(5)(c)(i)**; S.I. 2020/1514, reg. 4
- F69** Words in reg. 28(7)(a) substituted (31.12.2020 immediately after IP completion day) by The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(5)(c)(ii)**; S.I. 2020/1514, reg. 4
- F70** Full stop in reg. 28(7)(b) substituted for semicolon (31.12.2020 immediately after IP completion day) by The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(5)(c)(iii)**; S.I. 2020/1514, reg. 4
- F71** Reg. 28(7)(c) omitted (31.12.2020 immediately after IP completion day) by virtue of The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 2) Regulations 2020 (S.I. 2020/590), regs. 1(2), **10(5)(c)(iv)**; S.I. 2020/1514, reg. 4

Commencement Information

- I8** Reg. 28 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

Brokering services: non-UK activity relating to [^{F63}restricted goods] and [^{F64}restricted technology]

29.—(1) A person must not directly or indirectly provide brokering services in relation to an arrangement (“arrangement A”) whose object or effect is—

- (a) the direct or indirect supply or delivery of [^{F63}restricted goods] from a third country to a place in Russia;
- (b) directly or indirectly making [^{F63}restricted goods] available in a third country for direct or indirect supply or delivery—
 - (i) to a person connected with Russia, or
 - (ii) to a place in Russia;
- (c) directly or indirectly making [^{F64}restricted technology] available in a third country for transfer—
 - (i) to a person connected with Russia, or
 - (ii) to a place in Russia;
- (d) the transfer of [^{F64}restricted technology] from a place in a third country—
 - (i) to a person connected with Russia, or
 - (ii) to a place in Russia;
- (e) the direct or indirect provision, in a non-UK country, of technical assistance relating to [^{F63}restricted goods] or [^{F64}restricted technology]—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia;
- (f) the direct or indirect provision, in a non-UK country, of financial services—
 - (i) to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 28(1), or
 - (ii) where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 28(3);
- (g) directly or indirectly making funds available, in a non-UK country, to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 28(1); or
- (h) the direct or indirect provision of funds from a non-UK country, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 28(3).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

(4) In this regulation—

“non-UK country” means a country that is not the United Kingdom;

“third country” means—

- (a) for the purposes of paragraph (1)(a) and (b), a country that is not the United Kingdom, the Isle of Man or Russia,

- (b) for the purposes of any other provision of paragraph (1), a country that is not the United Kingdom or Russia.

Textual Amendments

- F63** Words in regs. 27-29 substituted (1.3.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2022 \(S.I. 2022/195\)](#), regs. 1(2), **4(2)(d)** (with reg. 11)
- F64** Words in regs. 27-29 substituted (1.3.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2022 \(S.I. 2022/195\)](#), regs. 1(2), **4(3)(c)** (with reg. 11)

Commencement Information

- I9** Reg. 29 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see reg. 1(2)

[^{F72}Insurance and reinsurance services relating to aviation and space goods and aviation and space technology

29A.—(1) A person must not directly or indirectly provide insurance or reinsurance services relating to aviation and space goods or aviation and space technology—

- (a) to a person connected with Russia, or
- (b) for use in Russia.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods or technology were for use in Russia.]

Textual Amendments

- F72** [Reg. 29A](#) inserted (8.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 6\) Regulations 2022 \(S.I. 2022/241\)](#), regs. 1(2), **6**

Enabling or facilitating military activities

30.—(1) A person must not directly or indirectly provide—

- (a) technical assistance,
- (b) armed personnel,
- (c) financial services or funds, or
- (d) brokering services in relation to an arrangement whose object or effect is to provide, in a non-UK country, anything mentioned in sub-paragraphs (a) to (c),

where such provision enables or facilitates the conduct of military activities carried on or proposed to be carried on by the Russian military or any other military end-user who is a person connected with Russia.

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the provision as mentioned in paragraph (1) would enable or facilitate the conduct of military activities carried on or proposed to be carried on by the Russian military or any other military end-user who is a person connected with Russia.

(4) In this regulation—

“non-UK country” means a country that is not the United Kingdom;

“technical assistance” means the provision of technical support or any other technical service.

(5) Nothing in this regulation is to be taken to limit the meaning of any of the prohibitions contained in this Part.

Commencement Information

I10 Reg. 30 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, [Sch. 5 para. 1\(1\)](#)), see reg. 1(2)

[^{F73}CHAPTER 2A

Goods and technology relating to non-government controlled Ukrainian territory

Textual Amendments

F73 Pt. 5 Ch. 2A inserted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), 6 (with reg. 13)

Interpretation

30A. In this Chapter—

“relevant restricted goods” means only those restricted goods which are not infrastructure-related goods but which are military goods;

“relevant restricted technology” means only that restricted technology which is military technology.

[^{F74}Export of relevant restricted goods

30B.—(1) The export of relevant restricted goods to, or for use in, Crimea is prohibited.

(2) The export of relevant restricted goods to, or for use in, non-government controlled areas of the Donetsk, Kherson, Luhansk and Zaporizhzhia oblasts is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

(4) It is a defence for a person charged with the offence under section 68(1) (offences in relation to exportation of prohibited or restricted goods) of CEMA in relation to the prohibition in paragraph (2) to show that they did not know and had no reasonable cause to suspect that the export was, or would have been, to, or the goods were for use in, non-government controlled areas of the Donetsk, Kherson, Luhansk and Zaporizhzhia oblasts.]

Textual Amendments

F74 [Reg. 30B](#) substituted (20.6.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 2\) Regulations 2023 \(S.I. 2023/665\)](#), regs. 1(2), 5

Supply and delivery of relevant restricted goods

30C.—(1) A person must not directly or indirectly supply or deliver relevant restricted goods from a third country to a place in non-government controlled Ukrainian territory.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for non-government controlled Ukrainian territory.

(4) In this regulation, “third country” means a country that is not the United Kingdom or the Isle of Man.

Making available relevant restricted goods and relevant restricted technology

30D.—(1) A person must not—

- (a) directly or indirectly make relevant restricted goods or relevant restricted technology available to a person connected with non-government controlled Ukrainian territory; or
- (b) directly or indirectly make relevant restricted goods or relevant restricted technology available for use in non-government controlled Ukrainian territory.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with non-government controlled Ukrainian territory;
- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods or technology were for use in non-government controlled Ukrainian territory.

Transfer of relevant restricted technology

30E.—(1) A person must not—

- (a) transfer relevant restricted technology to a place in non-government controlled Ukrainian territory; or
- (b) transfer relevant restricted technology to a person connected with non-government controlled Ukrainian territory.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the transfer was to a place in non-government controlled Ukrainian territory;

- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with non-government controlled Ukrainian territory.

Technical assistance relating to relevant restricted goods and relevant restricted technology

30F.—(1) A person must not directly or indirectly provide technical assistance relating to relevant restricted goods or relevant restricted technology—

- (a) to a person connected with non-government controlled Ukrainian territory; or
 - (b) for use in non-government controlled Ukrainian territory.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with non-government controlled Ukrainian territory;
 - (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods or technology were for use in non-government controlled Ukrainian territory.

Financial services and funds relating to relevant restricted goods and relevant restricted technology

30G.—(1) A person must not directly or indirectly provide, to a person connected with non-government controlled Ukrainian territory, financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of relevant restricted goods;
 - (b) the direct or indirect supply or delivery of relevant restricted goods;
 - (c) directly or indirectly making relevant restricted goods or relevant restricted technology available to a person;
 - (d) the transfer of relevant restricted technology; or
 - (e) the direct or indirect provision of technical assistance relating to relevant restricted goods or relevant restricted technology.
- (2) A person must not directly or indirectly provide funds to a person connected with non-government controlled Ukrainian territory in pursuance of or in connection with an arrangement mentioned in paragraph (1).
- (3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—
- (a) the export of relevant restricted goods to, or for use in, non-government controlled Ukrainian territory;
 - (b) the direct or indirect supply or delivery of relevant restricted goods to a place in non-government controlled Ukrainian territory;
 - (c) directly or indirectly making relevant restricted goods or relevant restricted technology available—
 - (i) to a person connected with non-government controlled Ukrainian territory, or
 - (ii) for use in non-government controlled Ukrainian territory;
 - (d) the transfer of relevant restricted technology—

- (i) to a person connected with non-government controlled Ukrainian territory, or
- (ii) to a place in non-government controlled Ukrainian territory; or
- (e) the direct or indirect provision of technical assistance relating to relevant restricted goods or relevant restricted technology—
 - (i) to a person connected with non-government controlled Ukrainian territory, or
 - (ii) for use in non-government controlled Ukrainian territory.
- (4) Paragraphs (1) to (3) are subject to Part 7 (Exceptions and licences).
- (5) A person who contravenes a prohibition in any of paragraphs (1) to (3) commits an offence, but—
 - (a) it is a defence for a person charged with an offence of contravening paragraph (1) or (2) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with non-government controlled Ukrainian territory;
 - (b) it is a defence for a person charged with the offence of contravening paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Brokering services relating to relevant restricted goods and relevant restricted technology

30H.—(1) A person must not directly or indirectly provide brokering services to a person connected with non-government controlled Ukrainian territory in relation to an arrangement whose object or effect is—

- (a) the export of relevant restricted goods;
 - (b) the direct or indirect supply or delivery of relevant restricted goods;
 - (c) directly or indirectly making relevant restricted goods or relevant restricted technology available to a person;
 - (d) the transfer of relevant restricted technology; or
 - (e) the direct or indirect provision of technical assistance relating to relevant restricted goods or relevant restricted technology.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

^{F75}CHAPTER 3

Dual-use goods, dual-use technology and related activities

Textual Amendments

F75 Pt. 5 Ch. 3 omitted (1.3.2022) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2022 \(S.I. 2022/195\)](#), regs. 1(2), 5 (with reg. 11)

Interpretation of this Chapter

31.

Export of dual-use goods

32.

Supply and delivery of dual-use goods

33.

Making dual-use goods and dual-use technology available

34.

Transfer of dual-use technology

35.

Technical assistance relating to dual-use goods and dual-use technology

36.

Financial services and funds relating to dual-use goods and dual-use technology

37.

Brokering services: non-UK activity relating to dual-use goods and dual-use technology

38.

CHAPTER 4

Energy-related goods and related activities

Interpretation of this Chapter

39. For the purposes of this Chapter “Russia” includes Russia's exclusive economic zone and continental shelf (which terms are to be interpreted in accordance with the United Nations Convention on the Law of the Sea) ^{M2}.

Commencement Information

I11 Reg. 39 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Marginal Citations

M2 Command 8941.

Export of energy-related goods

40.—^{F76}(1) The export of energy-related goods to, or for use in, Russia is prohibited.
(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

Textual Amendments

F76 Reg. 40(1) substituted (21.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 14) Regulations 2022 (S.I. 2022/850), regs. 1(2), 4

Commencement Information

I12 Reg. 40 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

[^{F77}Supply and delivery of energy-related goods

41.—(1) A person must not directly or indirectly supply or deliver energy-related goods from a third country to a place in Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for Russia.

(4) In this regulation “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.]

Textual Amendments

F77 Reg. 41 substituted (21.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 14) Regulations 2022 (S.I. 2022/850), regs. 1(2), 5

Making energy-related goods available

42.—(1) A person must not directly or indirectly make energy-related goods available for use in Russia.

[^{F78}(1A) A person must not directly or indirectly make energy-related goods available to a person connected with Russia.]

(2) [^{F79}Paragraphs (1) and (1A) are] subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

[^{F80}(4) A person who contravenes a prohibition in paragraph (1A) commits an offence, but it is a defence for a person charged with that offence (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia.]

Textual Amendments

F78 Reg. 42(1A) inserted (21.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 14) Regulations 2022 (S.I. 2022/850), regs. 1(2), 6(1)

F79 Words in reg. 42(2) substituted (21.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 14) Regulations 2022 (S.I. 2022/850), regs. 1(2), 6(2)

F80 Reg. 42(4) inserted (21.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 14) Regulations 2022 (S.I. 2022/850), regs. 1(2), 6(3)

Commencement Information

I13 Reg. 42 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, [Sch. 5 para. 1\(1\)](#)), see reg. 1(2)

[^{F81}Technical assistance relating to energy-related goods

43.—(1) A person must not directly or indirectly provide technical assistance relating to energy-related goods—

- (a) to a person connected with Russia; or
 - (b) for use in Russia.
- (2) Paragraph (1) is subject to Part 7 (Exception and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.]

Textual Amendments

F81 Reg. 43 substituted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), 7

Financial services and funds relating to energy-related goods ^{F82}...

44.—(1) A person must not directly or indirectly provide, to a person connected with Russia, financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of energy-related goods,
- (b) the direct or indirect supply or delivery of energy-related goods,
- (c) directly or indirectly making energy-related goods available to a person, or
- (d) the direct or indirect provision of technical assistance relating to energy-related goods.

(2) A person must not directly or indirectly make funds available to a person connected with Russia in pursuance of or in connection with an arrangement mentioned in paragraph (1).

[^{F83}(3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of energy related goods to, or for use in, Russia;
- (b) the direct or indirect supply or delivery of energy related goods to a place in Russia;
- (c) directly or indirectly making energy related goods available—
 - (i) to a person connected with Russia; or
 - (ii) for use in Russia;
- (d) the direct or indirect provision of technical assistance relating to energy related goods—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia.]

- (4) Paragraphs (1) to (3) are subject to Part 7 (Exceptions and licences).
- (5) A person who contravenes a prohibition in any of paragraphs (1) to (3) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening a prohibition in paragraph (1) or (2) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (b) it is a defence for a person charged with an offence of contravening a prohibition in paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned that paragraph.

Textual Amendments

- F82** Words in [reg. 44](#) heading omitted (21.7.2022) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), [regs. 1\(2\)](#), [8\(a\)](#)
- F83** [Reg. 44\(3\)](#) substituted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), [regs. 1\(2\)](#), [8\(b\)](#)

Commencement Information

- I14** [Reg. 44](#) in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

Brokering services: non-UK activity relating to energy-related goods ^{F84} ...

45.—(1) A person (“P”) must not directly or indirectly provide brokering services in relation to an arrangement (“arrangement A”) whose object or effect is—

- (a) the direct or indirect supply or delivery of energy-related goods ^{F85} ... from a third country to a place in Russia;
- ^{F86}(b) directly or indirectly making energy-related goods available in a third country for direct or indirect supply or delivery—
 - (i) to a person connected with Russia, or
 - (ii) to a place in Russia;
- (c) the direct or indirect provision, in a non-UK country, of technical assistance relating to energy-related goods—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia;
- (d) the direct or indirect provision, in a non-UK country, of financial services—
 - (i) to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in [regulation 44\(1\)](#), or
 - (ii) where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in [regulation 44\(3\)](#);
- (e) directly or indirectly making funds available, in a non-UK country, to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in [regulation 44\(1\)](#); or

- (f) the direct or indirect provision of funds from a non-UK country, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 44(3).
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.
- (4) In this regulation—
- “non-UK country” means a country that is not the United Kingdom;
- “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

Textual Amendments

- F84** Words in [reg. 45 heading](#) omitted (21.7.2022) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), **9(a)**
- F85** Words in [reg. 45\(1\)\(a\)](#) omitted (21.7.2022) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), **9(b)**
- F86** [Reg. 45\(1\)\(b\)](#) substituted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), **9(c)**

Commencement Information

- I15** [Reg. 45](#) in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

Prohibition on providing other energy-related services

- 46.**—(1) A person must not provide, directly or indirectly, relevant energy services.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the person was providing relevant energy services.
- (4) In this regulation—
- [^{F87c}“relevant energy services” means specified services necessary for an oil or gas exploration or production project in Russia;]
- ^{F88}
...
- “specified services” means any of the following—
- drilling;
 - well testing;
 - logging and completion services;
 - supply of specialised floating vessels.

Textual Amendments

- F87** Words in [reg. 46\(4\)](#) substituted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), **10(a)**

F88 Words in reg. 46(4) omitted (21.7.2022) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) (No. 14) Regulations 2022 (S.I. 2022/850), regs. 1(2), **10(b)**

Commencement Information

I16 Reg. 46 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

[^{F89}CHAPTER 4A

Aircraft and ships

Textual Amendments

F89 Pt. 5 Ch. 4A inserted (30.3.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 7) Regulations 2022 (S.I. 2022/395), regs. 1(2), **14**

Technical assistance relating to aircraft and ships

46A.—(1) A person must not directly or indirectly provide to, or for the benefit of, a designated person technical assistance relating to—

- (a) an aircraft, or
- (b) a ship.

(2) [^{F90}Paragraph (1)(b)] does not apply to any technical assistance which is prohibited under regulation 27 (technical assistance relating to restricted goods and restricted technology).

(3) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(4) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening paragraph (1) (“P”) to show that P did not know and had no reasonable cause to suspect that the technical assistance was provided to, or for the benefit of, a designated person.

(5) In this regulation—

“aircraft” includes unmanned aircraft and aircraft capable of spaceflight activities;

“designated person” means a person designated under regulation 5 (power to designate persons) for the purposes of this regulation;

“ship” includes every description of vessel (including a hovercraft) used in navigation.]

Textual Amendments

F90 Words in reg. 46A(2) substituted (21.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 14) Regulations 2022 (S.I. 2022/850), regs. 1(2), **11**

[^{F91}CHAPTER 4B

Luxury goods

Textual Amendments

F91 Pt. 5 Ch. 4B inserted (14.4.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 8) Regulations 2022 (S.I. 2022/452), regs. 1(2), **4**

Luxury goods

- 46B.**—(1) The export of luxury goods to, or for use in, Russia is prohibited.
- (2) A person must not directly or indirectly—
- (a) supply or deliver luxury goods from a third country to a place in Russia;
 - (b) make luxury goods available to a person connected with Russia;
 - (c) make luxury goods available for use in Russia.
- (3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).
- (4) A person who contravenes a prohibition in paragraph (2) commits an offence, but—
- (a) it is a defence for a person charged with the offence of contravening paragraph (2)(a) to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for Russia;
 - (b) it is a defence for a person charged with the offence of contravening paragraph (2)(b) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (c) it is a defence for a person charged with the offence of contravening paragraph (2)(c) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.
- (5) In this regulation, “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

[^{F92}Technical assistance relating to luxury goods

- 46BA.**—(1) A person must not directly or indirectly provide technical assistance relating to luxury goods—
- (a) to a person connected with Russia, or
 - (b) for use in Russia.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the luxury goods were for use in Russia.

Textual Amendments

F92 Regs. 46BA-46BC inserted (15.12.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 4\) Regulations 2023 \(S.I. 2023/1364\)](#), regs. 1(3), 5

Financial services and funds relating to luxury goods

- 46BB.**—(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—
- (a) the export of luxury goods to, or for use in, Russia;
 - (b) the direct or indirect supply or delivery of luxury goods to a place in Russia;

- (c) directly or indirectly making luxury goods available—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia; or
- (d) the direct or indirect provision of technical assistance relating to luxury goods—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening that paragraph to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

F92 Regs. 46BA-46BC inserted (15.12.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 4\) Regulations 2023 \(S.I. 2023/1364\)](#), regs. 1(3), 5

Brokering services relating to luxury goods

46BC.—(1) A person must not directly or indirectly provide brokering services relating to luxury goods—

- (a) to a person connected with Russia; or
- (b) for use in Russia.

(2) A person must not directly or indirectly provide brokering services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of luxury goods to, or for use in, Russia;
- (b) the direct or indirect supply or delivery of luxury goods to a place in Russia;
- (c) directly or indirectly making luxury goods available—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia; or
- (d) the direct or indirect provision of technical assistance relating to luxury goods—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

(4) A person who contravenes a prohibition in any of paragraph (1) or (2) commits an offence, but—

- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the brokering services were provided to person who was connected with Russia;
- (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) (“P”) to show that P did not know and had no reasonable cause to suspect that the brokering services were provided in relation to goods which were for use in Russia;

- (c) it is a defence for a person charged with the offence of contravening paragraph (2) to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.]]

Textual Amendments

- F92** Regs. 46BA-46BC inserted (15.12.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 4\) Regulations 2023 \(S.I. 2023/1364\)](#), regs. 1(3), 5

[^{F93}CHAPTER 4C

Iron and steel products

Textual Amendments

- F93** Pt. 5 Ch. 4C inserted (14.4.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 8\) Regulations 2022 \(S.I. 2022/452\)](#), regs. 1(2), 4

[^{F94}Interpretation

46C. In this Chapter—

“iron and steel products” means any thing specified in Schedule 3B;

“third country” means a country that is not the United Kingdom, the Isle of Man or Russia.]

Textual Amendments

- F94** Reg. 46C substituted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), 7(2) (with reg. 13)

Import of iron and steel products

- 46D.**—(1) The import of iron and steel products which are consigned from Russia is prohibited.
 (2) The import of iron and steel products which originate in Russia is prohibited.
 (3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

Acquisition of iron and steel products

- 46E.**—(1) A person must not directly or indirectly acquire iron and steel products—
 (a) which originate in Russia;
 (b) which are located in Russia.
 (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
 (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
 (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the goods originated in Russia;

- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were located in Russia.

Supply and delivery of iron and steel products

46F.—(1) A person must not directly or indirectly supply or deliver iron and steel products from a place in Russia to a third country.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery was from a place in Russia, whether directly or indirectly.

(4) In this regulation, “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.]

[^{F95}Technical assistance relating to iron and steel products

46G.—(1) A person must not directly or indirectly provide technical assistance relating to—

- (a) the import of iron and steel products which—
 - (i) originate in Russia; or
 - (ii) are consigned from Russia;
- (b) the direct or indirect acquisition of iron and steel products which—
 - (i) originate in Russia; or
 - (ii) are located in Russia; or
- (c) the direct or indirect supply or delivery of iron and steel products from a place in Russia to a third country.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
- (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;
- (c) it is a defence for a person charged with an offence of contravening paragraph (1)(c), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to a supply or delivery described in that paragraph.

Textual Amendments

F95 Regs. 46G-46I inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), 7(3) (with reg. 13)

Financial services and funds relating to iron and steel products

46H.—(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of iron and steel products which—
 - (i) originate in Russia; or
 - (ii) are consigned from Russia;
- (b) the direct or indirect acquisition of iron and steel products which—
 - (i) originate in Russia; or
 - (ii) are located in Russia; or
- (c) the direct or indirect supply or delivery of iron and steel products from a place in Russia to a third country.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

F95 Regs. 46G-46I inserted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), **7(3)** (with reg. 13)

Brokering services relating to iron and steel products

46I.—(1) A person must not directly or indirectly provide brokering services in relation to any arrangements described in regulation 46H(1)(a) to (c).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

Textual Amendments

F95 Regs. 46G-46I inserted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), **7(3)** (with reg. 13)

[^{F96}CHAPTER 4CA

Iron and steel products processed in a third country ^{F97}...

Textual Amendments

F96 Pt. 5 Ch. 4CA inserted (30.9.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(3), **3(1)**

F97 Words in Pt. 5 Ch. 4CA heading omitted (15.12.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 4\) Regulations 2023 \(S.I. 2023/1364\)](#), regs. 1(3), **6(2)**

Interpretation

46IA.—(1) In this Chapter—

“iron or steel product” means any thing specified in [^{F98}Part 1, 2 or 3 of] Schedule 3B (Iron and steel products);

“relevant day” means 30th September 2023;

“relevant processed iron or steel product” means any iron or steel product which—

(a) has been processed in a third country; and

(b) which incorporates one or more iron or steel products originating in Russia;

“third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

(2) For the purposes of the definition of “relevant processed iron or steel product” in paragraph (1), an iron or steel product is processed where it is—

(a) altered;

(b) transformed in any way; or

(c) subjected to any other type of operation or process.

Textual Amendments

F98 Words in reg. 46IA(1) inserted (15.12.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 4\) Regulations 2023 \(S.I. 2023/1364\)](#), regs. 1(3), **6(3)**

Import of relevant processed iron or steel products

46IB.—(1) The import of a relevant processed iron or steel product, on or after the relevant day, is prohibited.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

Technical assistance relating to relevant processed iron or steel products

46IC.—(1) A person must not directly or indirectly provide technical assistance relating to the import of a relevant processed iron or steel product.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph.

Financial services and funds relating to relevant processed iron or steel products

46ID.—(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is the import of a relevant processed iron or steel product.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Brokering services relating to relevant processed iron or steel products

46IE.—(1) A person must not directly or indirectly provide brokering services in the pursuance of, or in connection, with any arrangement described in regulation 46ID.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

[^{F99}CHAPTER 4CB

Metals

Textual Amendments

F99 Pt. 5 Ch. 4CB inserted (15.12.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 4\) Regulations 2023 \(S.I. 2023/1364\)](#), regs. 1(3), 7

Interpretation

46IF. In this Chapter—

“metals” means any thing specified in Schedule 3BA;

“third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

Import of metals

46IG.—(1) The import of metals which are consigned from Russia is prohibited.

(2) The import of metals which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

Acquisition of metals

46IH.—(1) A person must not directly or indirectly acquire metals which—

(a) originate in Russia; or

(b) are located in Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

(a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the metals originated in Russia;

(b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the metals were located in Russia.

Supply and delivery of metals

46II.—(1) A person must not directly or indirectly supply or deliver metals from a place in Russia to a third country.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery was from a place in Russia, whether directly or indirectly.]

[^{F100}CHAPTER 4D

Interception and monitoring services

Textual Amendments

F100 Pt. 5 Chs. 4D-4G inserted (15.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 11\) Regulations 2022 \(S.I. 2022/792\)](#), regs. 1(2), 8 (with reg. 13)

Interpretation

46J. In this Chapter, “Government of Russia” has the meaning given in regulation 6(7);

Interception and monitoring services

46K.—(1) A person must not directly or indirectly provide interception and monitoring services to, or for the benefit of, the Government of Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person (“P”) charged with that offence to show that P did not know and had no reasonable cause to suspect that the services were provided to, or for the benefit of, the Government of Russia.

CHAPTER 4E

Banknotes

Banknotes

46L.—(1) The export of banknotes to, or for use in, Russia is prohibited.

(2) A person must not directly or indirectly—

- (a) supply or deliver banknotes to a person connected with Russia;
- (b) make banknotes available to a person connected with Russia; or
- (c) make banknotes available for use in Russia.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

(4) A person who contravenes a prohibition in paragraph (2) commits an offence.

(5) In this regulation, “banknotes” means—

- (a) sterling denominated banknotes issued by the Bank of England and banks in Scotland and Northern Ireland; and
- (b) banknotes denominated in any official currency of the European Union.

CHAPTER 4F

Jet fuel and fuel additives

Interpretation

46M. In this Chapter, “jet fuel and fuel additives” means the goods listed under that heading in Part 8 of Schedule 2A.

Jet fuel and fuel additives

- 46N.**—(1) The export of jet fuel and fuel additives to, or for use in, Russia is prohibited.
- (2) A person must not directly or indirectly—
- (a) supply or deliver jet fuel and fuel additives from a third country to a place in Russia;
 - (b) make jet fuel and fuel additives available to a person connected with Russia;
 - (c) make jet fuel and fuel additives available for use in Russia.
- (3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).
- (4) A person who contravenes a prohibition in paragraph (2) commits an offence, but—
- (a) it is a defence for a person charged with the offence of contravening paragraph (2)(a) to show that the person did not know and had no reasonable cause to suspect that the jet fuel and fuel additives were destined (or ultimately destined) for Russia;
 - (b) it is a defence for a person charged with the offence of contravening paragraph (2)(b) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (c) it is a defence for a person charged with the offence of contravening paragraph (2)(c) to show that the person did not know and had no reasonable cause to suspect that the jet fuel and fuel additives were for use in Russia.
- (5) In this regulation, “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

Technical assistance relating to jet fuel and fuel additives

- 46O.**—(1) A person must not directly or indirectly provide technical assistance relating to jet fuel and fuel additives—
- (a) to a person connected with Russia; or
 - (b) for use in Russia.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance was to be provided to a person connected with Russia;
 - (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to jet fuel and fuel additives for use in Russia.

Financial services and funds relating to jet fuel and fuel additives

46P.—(1) A person must not directly or indirectly provide, to a person connected with Russia, financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of jet fuel and fuel additives;
 - (b) the direct or indirect supply or delivery of jet fuel and fuel additives;
 - (c) directly or indirectly making jet fuel and fuel additives available to a person connected with Russia; or
 - (d) the direct or indirect provision of technical assistance relating to jet fuel and fuel additives.
- (2) A person must not directly or indirectly make funds available to a person connected with Russia in pursuance of or in connection with an arrangement mentioned in paragraph (1).
- (3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—
- (a) the export of jet fuel and fuel additives to, or for use in, Russia;
 - (b) the direct or indirect supply or delivery of jet fuel and fuel additives to a place in Russia;
 - (c) directly or indirectly making jet fuel and fuel additives available—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia; or
 - (d) the direct or indirect provision of technical assistance relating to jet fuel and fuel additives—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia.
- (4) Paragraphs (1) to (3) are subject to Part 7 (Exceptions and licences).
- (5) A person who contravenes a prohibition in any of paragraphs (1) to (3) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1) or (2) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (b) it is a defence for a person charged with the offence of contravening paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Brokering services relating to jet fuel and fuel additives

- 46Q.**—(1) A person must not directly or indirectly provide brokering services to a person connected with Russia in relation to an arrangement whose object or effect is—
- (a) the export of jet fuel and fuel additives;
 - (b) the direct or indirect supply or delivery of jet fuel and fuel additives;
 - (c) directly or indirectly making jet fuel and fuel additives available to a person connected with Russia; or
 - (d) the direct or indirect provision of technical assistance relating to jet fuel and fuel additives.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

CHAPTER 4G

[^{F101}Schedule 3D] Revenue generating goods

Textual Amendments

F101 Words in Pt. 5 Ch. 4G heading inserted (21.4.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **4(a)**

Interpretation

46R. In this Chapter—

“[^{F102}Schedule 3D] revenue generating goods” means any thing specified in Schedule 3D;

“third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

Textual Amendments

F102 Words in reg. 46R inserted (21.4.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **4(b)**

Import of [^{F103}Schedule 3D] revenue generating goods

46S.—(1) The import of [^{F103}Schedule 3D] revenue generating goods which are consigned from Russia is prohibited.

(2) The import of [^{F103}Schedule 3D] revenue generating goods which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

Textual Amendments

F103 Words in reg. 46S inserted (21.4.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **4(c)**

Acquisition of [^{F104}Schedule 3D] revenue generating goods

46T.—(1) A person must not directly or indirectly acquire [^{F104}Schedule 3D] revenue generating goods which—

(a) originate in Russia; or

(b) are located in Russia,

with the intention of those goods entering the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

(a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the goods originated in Russia;

- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were located in Russia.

Textual Amendments

F104 Words in [reg. 46T](#) inserted (21.4.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **4(d)**

Supply and delivery of revenue generating goods

^{F105}**46U.**

Textual Amendments

F105 [Reg. 46U](#) omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **4(e)**

Technical assistance relating to [^{F106}Schedule 3D] revenue generating goods

- 46V.**—(1) A person must not directly or indirectly provide technical assistance relating to—
- (a) the import of [^{F106}Schedule 3D] revenue generating goods which—
 - (i) originate in Russia, or
 - (ii) are consigned from Russia;
 - (b) the direct or indirect acquisition of [^{F106}Schedule 3D] revenue generating goods which—
 - (i) originate in Russia, or
 - (ii) are located in Russia,with the intention of those goods entering the United Kingdom;

^{F107}(c)

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
- (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;

^{F108}(c)

Textual Amendments

F106 Words in [reg. 46V](#) inserted (21.4.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **4(f)(i)**

F107 [Reg. 46V\(1\)\(c\)](#) omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **4(f)(ii)**

F108 Reg. 46V(3)(c) omitted (21.4.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **4(f)(ii)**

Financial services and funds relating to [F109Schedule 3D] revenue generating goods

46W.—(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of [F109Schedule 3D] revenue generating goods which—
 - (i) originate in Russia, or
 - (ii) are consigned from Russia; or
- (b) the direct or indirect acquisition of [F109Schedule 3D] revenue generating goods which—
 - (i) originate in Russia, or
 - (ii) are located in Russia,
 with the intention of those goods entering the United Kingdom;

F110(c)

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

F109 Words in reg. 46W inserted (21.4.2023) by The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **4(g)(i)**

F110 Reg. 46W(1)(c) omitted (21.4.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **4(g)(ii)**

Brokering services relating to [F111Schedule 3D] revenue generating goods

46X.—(1) A person must not directly or indirectly provide brokering services in relation to any arrangements described in regulation [F11246W(1)(a) or (b)].

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

Textual Amendments

F111 Words in reg. 46X heading inserted (21.4.2023) by The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **4(h)(i)**

F112 Words in reg. 46X(1) substituted (21.4.2023) by The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **4(h)(ii)**

[^{F113}CHAPTER 4GA

Schedule 3DA revenue generating goods

Textual Amendments

F113 Pt. 5 Ch. 4GA inserted (21.4.2023) by The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), 5

Interpretation

46XA. In this Chapter—

“Schedule 3DA revenue generating goods” means any thing specified in Schedule 3DA;

“third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

Import of Schedule 3DA revenue generating goods

46XB.—(1) The import of Schedule 3DA revenue generating goods which are consigned from Russia is prohibited.

(2) The import of Schedule 3DA revenue generating goods which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

Acquisition of Schedule 3DA revenue generating goods

46XC.—(1) A person must not directly or indirectly acquire Schedule 3DA revenue generating goods which—

(a) originate in Russia; or

(b) are located in Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

(a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the goods originated in Russia;

(b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were located in Russia.

Supply and delivery of Schedule 3DA revenue generating goods to a third country

46XD.—(1) A person must not directly or indirectly supply or deliver Schedule 3DA revenue generating goods from a place in Russia to a third country.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery was from a place in Russia, whether directly or indirectly.

Technical assistance relating to Schedule 3DA revenue generating goods

- 46XE.**—(1) A person must not directly or indirectly provide technical assistance relating to—
- (a) the import of Schedule 3DA revenue generating goods which—
 - (i) originate in Russia; or
 - (ii) are consigned from Russia;
 - (b) the direct or indirect acquisition of Schedule 3DA revenue generating goods which—
 - (i) originate in Russia; or
 - (ii) are located in Russia;
 - (c) the direct or indirect supply or delivery of Schedule 3DA revenue generating goods from a place in Russia to a third country.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
 - (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;
 - (c) it is a defence for a person charged with an offence of contravening paragraph (1)(c), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to a supply or delivery described in that paragraph.

Financial services and funds relating to Schedule 3DA revenue generating goods

- 46XF.**—(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—
- (a) the import of Schedule 3DA revenue generating goods which—
 - (i) originate in Russia; or
 - (ii) are consigned from Russia;
 - (b) the direct or indirect acquisition of Schedule 3DA revenue generating goods which—
 - (i) originate in Russia; or
 - (ii) are located in Russia;
 - (c) the direct or indirect supply or delivery of Schedule 3DA revenue generating goods from a place in Russia to a third country.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Brokering services relating to Schedule 3DA revenue generating goods

- 46XG.**—(1) A person must not directly or indirectly provide brokering services in relation to any arrangements described in regulation 46XF(1)(a) to (c).
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

[^{F114}Chapter 4H

[^{F115}G7 dependency and further goods]

Textual Amendments

F114 Pt. 5 Chs. 4H-4K inserted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), reg. 1(2), **12**

F115 Pt. 5 Ch. 4H heading substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(a)**

[^{F116}G7 dependency and further goods]

46Y.—(1) The export of [^{F117}G7 dependency and further goods] to, or for use in, Russia is prohibited.

- (2) A person must not directly or indirectly—
- (a) supply or deliver [^{F117}G7 dependency and further goods] from a third country to a place in Russia;
 - (b) make [^{F117}G7 dependency and further goods] available to a person connected with Russia;
 - (c) make [^{F117}G7 dependency and further goods] available for use in Russia.
- (3) Paragraphs (1) and (2) are subject to Part 7 (exceptions and licences).
- (4) A person who contravenes a prohibition in paragraph (2) commits an offence, but—
- (a) it is a defence for a person charged with the offence of contravening paragraph (2)(a) to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for Russia;
 - (b) it is a defence for a person charged with the offence of contravening paragraph (2)(b) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (c) it is a defence for a person charged with the offence of contravening paragraph (2)(c) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

(5) In this regulation “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

Textual Amendments

F116 Words in [reg. 46Y](#) heading substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(b)**

F117 Words in [reg. 46Y](#) substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(b)**

Technical assistance relating to [F118G7 dependency and further goods]

46Z.—(1) A person must not directly or indirectly provide technical assistance relating to [F119G7 dependency and further goods] —

- (a) to a person connected with Russia; or
 - (b) for use in Russia.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

Textual Amendments

F118 Words in [reg. 46Z](#) heading substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), [reg. 1\(2\)\(b\)](#), [Sch. 3 para. 10\(1\)\(2\)\(c\)](#)

F119 Words in [reg. 46Z\(1\)](#) substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), [reg. 1\(2\)\(b\)](#), [Sch. 3 para. 10\(1\)\(2\)\(c\)](#)

Financial services and funds relating to [F120G7 dependency and further goods]

46Z1.—(1) A person must not directly or indirectly provide, to a person connected with Russia, financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of [F121G7 dependency and further goods];
 - (b) the direct or indirect supply or delivery of [F121G7 dependency and further goods];
 - (c) directly or indirectly making [F121G7 dependency and further goods] available to a person; or
 - (d) the direct or indirect provision of technical assistance relating to [F121G7 dependency and further goods].
- (2) A person must not directly or indirectly make funds available to a person connected with Russia in pursuance of or in connection with an arrangement mentioned in paragraph (1).
- (3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—
- (a) the export of [F121G7 dependency and further goods] to, or for use in, Russia;
 - (b) the direct or indirect supply or delivery of [F121G7 dependency and further goods] to a place in Russia;
 - (c) directly or indirectly making [F121G7 dependency and further goods] available—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia; or
 - (d) the direct or indirect provision of technical assistance relating to [F121G7 dependency and further goods] —
 - (i) to a person connected with Russia, or

- (ii) for use in Russia.
- (4) Paragraphs (1) to (3) are subject to Part 7 (Exceptions and licences).
- (5) A person who contravenes a prohibition in any of paragraphs (1) to (3) commits an offence, but—
 - (a) it is a defence for a person charged with an offence of contravening paragraph (1) or (2) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
 - (b) it is a defence for a person charged with the offence of contravening paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

- F120** Words in reg. 46Z1 heading substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(d)**
- F121** Words in reg. 46Z1 substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(d)**

Brokering services relating to ^{F122}G7 dependency and further goods]

46Z2.—(1) A person must not directly or indirectly provide brokering services to a person connected with Russia in pursuance of or in connection with an arrangement (“arrangement A”) whose object or effect is—

- (a) the export of [^{F123}G7 dependency and further goods];
- (b) the direct or indirect supply or delivery of [^{F123}G7 dependency and further goods];
- (c) directly or indirectly making [^{F123}G7 dependency and further goods] available to a person;
- (d) the direct or indirect provision of technical assistance relating to [^{F123}G7 dependency and further goods];
- (e) the direct or indirect provision, in a non-UK country, of financial services—
 - (i) to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(1), or
 - (ii) where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(3);
- (f) directly or indirectly making funds available, in a non-UK country, to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(1); or
- (g) the direct or indirect provision of funds from a non-UK country, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(3).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

[
^{F124}(4) In this regulation, “non-UK country” means a country which is not the United Kingdom.]

Textual Amendments

- F122** Words in reg. 46Z2 heading substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(e)**
- F123** Words in reg. 46Z2 substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(e)**
- F124** Reg. 46Z2(4) inserted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 2**

CHAPTER 4I

Oil and Oil Products

Meaning of “relevant day”

46Z3. In this Chapter, “relevant day” means [^{F125}5th December 2022].

Textual Amendments

- F125** Words in reg. 46Z3 substituted (5.12.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 16) Regulations 2022 (S.I. 2022/1122), regs. 1(2), **3**

Import of oil and oil products

46Z4.—(1) The import of oil and oil products, on or after the relevant day, which are consigned from Russia is prohibited.

(2) The import of oil and oil products, on or after the relevant day, which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

Acquisition of oil and oil products

46Z5.—(1) A person must not, on or after the relevant day, directly or indirectly acquire oil and oil products—

- (a) which originate in Russia; or
- (b) which are located in Russia,

with the intention of those goods entering the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the goods originated in Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were located in Russia.

Supply and delivery of oil and oil products

^{F126}**46Z6.**

Textual Amendments

F126 Reg. 46Z6 omitted (21.4.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **6(1)**

Technical assistance relating to oil and oil products

46Z7.—^{F127}(1) A person must not, on or after the relevant day, directly or indirectly provide technical assistance relating to—

- (a) the import of oil and oil products which—
 - (i) originate in Russia, or
 - (ii) are consigned from Russia;
- (b) the direct or indirect acquisition of oil and oil products which—
 - (i) originate in Russia, or
 - (ii) are located in Russia,with the intention of those goods entering the United Kingdom;

^{F128}(c)

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes any of the prohibitions in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening—

- (a) paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
- (b) paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;

^{F129}(c)

Textual Amendments

F127 Reg. 46Z7(1) substituted (16.12.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 17) Regulations 2022 (S.I. 2022/1331), reg. 1(2)(b), **Sch. 4 para. 1**

F128 Reg. 46Z7(1)(c) omitted (21.4.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **6(2)**

F129 Reg. 46Z7(3)(c) omitted (21.4.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **6(2)**

Financial services and funds relating to oil and oil products

46Z8.—^{F130}(1) A person must not, on or after the relevant day, directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of oil and oil products which—
 - (i) originate in Russia, or

- (ii) are consigned from Russia;
- (b) the direct or indirect acquisition of oil and oil products which—
- (i) originate in Russia, or
- (ii) are located in Russia,
- with the intention of those goods entering the United Kingdom;

^{F131}(c)]

[^{F132}(2)] [^{F133}Paragraph (1) is] are subject to Part 7 (Exceptions and licences).

[^{F134}(3)] A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

- F130** Reg. 46Z8(1) substituted (16.12.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 17) Regulations 2022 (S.I. 2022/1331), reg. 1(2)(b), **Sch. 4 para. 2**
- F131** Reg. 46Z8(1)(c) omitted (21.4.2023) by virtue of The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **6(3)**
- F132** Reg. 46Z8(3) renumbered as reg. 46Z8(2) (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 3(a)**
- F133** Words in reg. 46Z8(2) substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 3(b)**
- F134** Reg 46Z8(4) renumbered as reg. 46Z8(3) (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 3(c)**

Brokering services relating to oil and oil products

46Z9.—(1) A person must not, on or after the relevant day, directly or indirectly provide brokering services in relation to any arrangements described in regulation [^{F135}46Z8(1)(a) or (b)].

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

Textual Amendments

- F135** Words in reg. 46Z9(1) substituted (21.4.2023) by The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 (S.I. 2023/440), regs. 1(2), **6(4)**

[^{F136}CHAPTER 4IA

Maritime transportation of certain oil and oil products

Textual Amendments

F136 Pt. 5 Ch. 4IA inserted (5.12.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 16) Regulations 2022 (S.I. 2022/1122), regs. 1(2), 4

Interpretation

46Z9A.—(1) In this Chapter—

“2709 oil and oil products” means those oil and oil products—

- (a) falling within commodity code 2709; and
- (b) which originate in or are consigned from Russia;

“2710 oil and oil products” means those oil and oil products—

- (a) falling within commodity code 2710; and
- (b) which originate in or are consigned from Russia;

“first relevant day” means 5th December 2022;

“second relevant day” means 5th February 2023;

“ship” includes every description of vessel (including a hovercraft) used in navigation, except the naval, military or air-force ships of any country;

“third country” means any country other than the United Kingdom, the Isle of Man or Russia.

(2) Paragraph 1 of Schedule 3 applies for determining whether oil and oil products fall within the commodity codes specified in paragraph (1).

Maritime transportation of certain oil and oil products to and between third countries

46Z9B.—(1) A person must not directly or indirectly, on or after the first relevant day, supply or deliver by ship, 2709 oil and oil products—

- (a) from a place in Russia to a third country; or
- (b) from one third country to another third country.

(2) A person must not directly or indirectly, on or after the second relevant day, supply or deliver by ship, 2710 oil and oil products—

- (a) from a place in Russia to a third country; or
- (b) from one third country to another third country.

(3) For the purposes of paragraphs (1) and (2), “supply or deliver by ship” includes any transfer of the goods concerned between ships on which those goods are being supplied or delivered as specified in those paragraphs.

(4) For the purposes of paragraphs (1) and (2), a person supplying or delivering the goods concerned by ship includes a person who owns, controls, charters or operates a ship—

- (a) on which those goods are being carried; or
- (b) from or to which those goods are being transferred.

(5) For the purposes of paragraph (4), whether a person—

- (a) owns a ship is to be determined in accordance with regulation 57I(1)(a);

- (b) controls a ship is to be determined in accordance with regulation 57I(1)(b);
- (c) has chartered a ship is to be determined in accordance with regulation 57E(3)(c).
- (6) Regulation 57I(2) does not apply for the purposes of paragraph (5)(b).
- (7) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).
- (8) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening that prohibition to show that the person did not know and had no reasonable cause to suspect that the supply or delivery related to oil and oil products—
 - (a) which fell within commodity code 2709; or
 - (b) which were consigned from or originated in Russia.
- (9) A person who contravenes a prohibition in paragraph (2) commits an offence, but it is a defence for a person charged with an offence of contravening that prohibition to show that the person did not know and had no reasonable cause to suspect that the supply or delivery related to oil and oil products—
 - (a) which fell within commodity code 2710; or
 - (b) which were consigned from or originated in Russia.

Financial services and funds relating to maritime transportation of certain oil and oil products

- 46Z9C.**—(1) A person must not directly or indirectly, on or after the first relevant day, provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is the supply or delivery by ship of 2709 oil and oil products—
 - (a) from a place in Russia to a third country; or
 - (b) from one third country to another third country.
- (2) A person must not directly or indirectly, on or after the second relevant day, provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is the supply or delivery by ship of 2710 oil and oil products—
 - (a) from a place in Russia to a third country; or
 - (b) from one third country to another third country.
- (3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).
- (4) A person who contravenes a prohibition in paragraph (1) commits an offence but it is a defence for a person charged with an offence of contravening that prohibition to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with the supply or delivery of oil and oil products—
 - (a) which fell within commodity code 2709; or
 - (b) which were consigned from or originated in Russia.
- (5) A person who contravenes a prohibition in paragraph (2) commits an offence but it is a defence for a person charged with an offence of contravening that prohibition to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with the supply or delivery of oil and oil products—
 - (a) which fell within commodity code 2710; or
 - (b) which were consigned from or originated in Russia.

Brokering services relating to maritime transportation of certain oil and oil products

46Z9D.—(1) A person must not directly or indirectly, on or after the first relevant day, provide brokering services in relation to any arrangements described in regulation 46Z9C(1).

(2) A person must not directly or indirectly, on or after the second relevant day, provide brokering services in relation to any arrangements described in regulation 46Z9C(2).

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

(4) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement described in that paragraph.

(5) A person who contravenes a prohibition in paragraph (2) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement described in that paragraph.]

CHAPTER 4J

Gold

Meaning of “relevant day”

46Z10. In this Chapter, “relevant day” means the day on which this Chapter comes into force.

Prohibition on the import of gold from Russia

46Z11.—(1) The import of gold [^{F137}originating in] Russia is prohibited, where that gold has been exported from Russia on or after the relevant day.

(2) For the purposes of these Regulations, gold has been exported from Russia when—

(a) it has completed the applicable export formalities; and

(b) where the gold was transported by—

(i) land, it has left Russian territory;

(ii) sea, the ship on which it was transported has departed a port in Russia for a destination outside of Russia;

(iii) air, the aircraft on which it was transported has departed an airport in Russia for a destination outside of Russia.

(3) Paragraph (1) is subject to Part 7 (Exceptions and licences).

Textual Amendments

F137 Words in [reg. 46Z11\(1\)](#) substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), [reg. 1\(2\)\(b\)](#), [Sch. 3 para. 4](#)

Acquisition of gold from Russia

46Z12.—(1) A person must not directly or indirectly acquire gold which on or after the relevant day—

(a) originated in Russia; and

(b) is located in Russia,

with the intention of those goods entering the United Kingdom.

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
 - (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the gold originated in Russia;
 - (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the gold was located in Russia.

Supply and delivery of gold from Russia

^{F138} **46Z13.**

Textual Amendments
F138 Reg. 46Z13 omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), 7(1)

Technical assistance relating to gold

- 46Z14.**—(1) A person must not directly or indirectly provide technical assistance relating to—
- (a) the import of gold which on or after the relevant day—
 - (i) originated in Russia; or
 - (ii) is consigned from Russia;
 - (b) the direct or indirect acquisition of gold which on or after the relevant day—
 - (i) originated in Russia; and
 - (ii) is located in Russia,
 with the intention of that gold entering the United Kingdom;

^{F139}(c)

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening —
 - (a) paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
 - (b) paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;

^{F140}(c)

Textual Amendments
F139 Reg. 46Z14(1)(c) omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), 7(2)
F140 Reg. 46Z14(3)(c) omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), 7(2)

Financial services and funds relating to gold

46Z15.—^{F141}(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of gold which on or after the relevant day—
 - (i) originated in Russia, or
 - (ii) is consigned from Russia;
- (b) the direct or indirect acquisition of gold which on or after the relevant day—
 - (i) originated in Russia, and
 - (ii) is located in Russia,with the intention of that gold entering the United Kingdom;

^{F142}(c)]

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

- F141** Reg. 46Z15(1) substituted (16.12.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), reg. 1(2)(b), **Sch. 4 para. 3**
- F142** Reg. 46Z15(1)(c) omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **7(3)**

Brokering services relating to gold

46Z16.—(1) A person must not directly or indirectly provide brokering services in relation to any arrangements described in regulation [^{F143}46Z15(1)(a) or (b)].

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

Textual Amendments

- F143** Words in reg. 46Z16(1) substituted (21.4.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **7(4)**

[^{F144}CHAPTER 4JA

Gold jewellery and relevant processed gold

Textual Amendments

F144 Pt. 5 Ch. 4JA inserted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), regs. 1(2)(b), 5

Interpretation

46Z16A.—(1) In this Chapter—

“relevant day” means the day on which this Chapter comes into force;

“relevant processed gold” means gold which—

- (a) has been processed in a third country; and
- (b) incorporates gold that, on or after 21st July 2022—
 - (i) originated in Russia, and
 - (ii) has been exported from Russia;

“third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

(2) For the purposes of this Chapter, a thing has been exported from Russia when—

- (a) it has completed the applicable export formalities; and
- (b) where the thing was transported by—
 - (i) land, it has left Russian territory;
 - (ii) sea, the ship on which it was transported has departed a port in Russia for a destination outside of Russia;
 - (iii) air, the aircraft on which it was transported has departed an airport in Russia for a destination outside of Russia.

(3) For the purposes of this Chapter, gold is processed where it is—

- (a) altered;
- (b) transformed in any way; or
- (c) subjected to any other type of operation or process.

Prohibition on the import of gold jewellery from Russia

46Z16B.—(1) The import of gold jewellery originating in Russia is prohibited where the gold jewellery has been exported from Russia on or after the relevant day.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

Prohibition on the import of relevant processed gold

46Z16C.—(1) The import of relevant processed gold is prohibited.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

Acquisition of gold jewellery from Russia

46Z16D.—(1) A person must not directly or indirectly acquire gold jewellery which—

- (a) originates in Russia; and
 - (b) is located in Russia,
- with the intention of the gold jewellery entering the United Kingdom.
- (2) A person must not directly or indirectly acquire gold jewellery which—
- (a) originates in Russia; and
 - (b) on or after the relevant day, has been exported from Russia,
- with the intention of the gold jewellery entering the United Kingdom.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

(4) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening that paragraph to show that the person did not know and had no reasonable cause to suspect that the gold jewellery—

- (a) originated in Russia; or
- (b) was located in Russia.

(5) A person who contravenes a prohibition in paragraph (2) commits an offence, but it is a defence for a person charged with the offence of contravening that paragraph to show that the person did not know and had no reasonable cause to suspect that the gold jewellery—

- (a) originated in Russia; or
- (b) had been exported from Russia on or after the relevant day.

Technical assistance relating to gold jewellery

46Z16E.—^{F145}(1) A person must not directly or indirectly provide technical assistance relating to—

- (a) the import of gold jewellery which on or after the relevant day—
 - (i) originated in Russia, or
 - (ii) is exported from Russia;
- (b) the direct or indirect acquisition of gold jewellery which—
 - (i) originated in Russia, or
 - (ii) is located in Russia or, on or after the relevant day, has been exported from Russia, with the intention of that gold jewellery entering the United Kingdom.]

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening—

- (a) paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that sub-paragraph;
- (b) paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that sub-paragraph.

Textual Amendments

F145 Reg. 46Z16E(1) substituted (16.12.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), reg. 1(2)(b), **Sch. 4 para. 4**

Technical assistance relating to relevant processed gold

46Z16F.—(1) A person must not directly or indirectly provide technical assistance relating to the import of relevant processed gold.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph.

Financial services and funds relating to gold jewellery

46Z16G.—^{F146}(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of gold jewellery which on or after the relevant day—
 - (i) originated in Russia, or
 - (ii) is exported from Russia;
- (b) the direct or indirect acquisition of gold which on or after the relevant day—
 - (i) originated in Russia, or
 - (ii) is located in Russia,

with the intention of that gold jewellery entering the United Kingdom.]

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

F146 Reg. 46Z16G(1) substituted (16.12.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), reg. 1(2)(b), [Sch. 4 para. 5](#)

Financial services and funds relating to relevant processed gold

46Z16H.—(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is the import of relevant processed gold.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Brokering services relating to gold jewellery and relevant processed gold

46Z16I.—(1) A person must not directly or indirectly provide brokering services in the pursuance of, or in connection, with any arrangements described in regulations 46Z16G(1) and 46Z16H(1).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

[^{F147}CHAPTER 4JB

Textual Amendments

F147 Pt. 5 Ch. 4JB inserted (1.1.2024) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 5\) Regulations 2023 \(S.I. 2023/1367\)](#), regs. 1(2), 3

Interpretation

46Z16J. In this Chapter—

“diamonds” means any thing specified in Part 2 of Schedule 3GA;

“diamond jewellery” means any thing specified in Part 3 of Schedule 3GA;

“third country” means a country which is not the United Kingdom, the Isle of Man or Russia.

Import of diamonds and diamond jewellery

46Z16K.—(1) The import of diamonds and diamond jewellery which are consigned from Russia is prohibited.

(2) The import of diamonds and diamond jewellery which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

Acquisition of diamonds and diamond jewellery

46Z16L.—(1) A person must not directly or indirectly acquire diamonds or diamond jewellery which—

(a) originate in Russia; or

(b) are located in Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

(a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the goods originated in Russia;

(b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were located in Russia.

Supply and delivery of diamonds and diamond jewellery

46Z16M.—(1) A person must not directly or indirectly supply or deliver diamonds or diamond jewellery from a place in Russia to a third country.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery was from a place in Russia, whether directly or indirectly.

Technical assistance relating to diamonds and diamond jewellery

46Z16N.—(1) A person must not directly or indirectly provide technical assistance relating to—

(a) the import of diamonds or diamond jewellery which—

- (i) originate in Russia, or
- (ii) are consigned from Russia;

(b) the direct or indirect acquisition of diamonds or diamond jewellery which—

- (i) originate in Russia, or
- (ii) are located in Russia; or

(c) the direct or indirect supply or delivery of diamonds or diamond jewellery from a place in Russia to a third country.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening—

- (a) paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
- (b) paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;
- (c) paragraph (1)(c), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to a supply or delivery described in that paragraph.

Financial services and funds relating to diamonds and diamond jewellery

46Z16O.—(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

(a) the import of diamonds or diamond jewellery which—

- (i) originate in Russia, or
- (ii) are consigned from Russia;

(b) the direct or indirect acquisition of diamonds or diamond jewellery which—

- (i) originate in Russia, or
- (ii) are located in Russia; or

(c) the direct or indirect supply or delivery of diamonds or diamond jewellery from a place in Russia to a third country.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening that paragraph to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Brokering services relating to diamonds and diamond jewellery

46Z16P.—(1) A person must not directly or indirectly provide brokering services in relation to any arrangements described in regulation 46Z16O(1).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening that paragraph to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

[^{F148}CHAPTER 4JC

Certain diamonds processed in a third country

Textual Amendments

F148 Pt. 5 Ch. 4JC inserted (1.3.2024) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2024 \(S.I. 2024/218\)](#), regs. 1(2), 2

Interpretation

46Z16Q.—(1) In this Chapter—

“diamonds” means any thing falling within—

- (a) the following commodity codes—
 - (i) 7102 10 (unsorted diamonds);
 - (ii) 7102 39 (non-industrial diamonds, other than unworked or simply sawn, cleaved or bruted); and
- (b) both commodity code ex 7102 31 and the description “non-industrial diamonds, simply sawn, cleaved or bruted”;

“relevant day” means—

- (a) 1st March 2024 in relation to any diamonds which are equal to or larger than 1 carat;
- (b) 1st September 2024 in relation to any diamonds which are equal to or larger than 0.5 carats;

“relevant processed diamonds” means diamonds which—

- (a) have been processed in a third country; and
- (b) originate in Russia;

“third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

(2) Paragraph 1 of Schedule 3 applies for the purposes of interpreting the definition of diamonds in paragraph (1).

(3) For the purposes of this Chapter, diamonds are processed where they are—

- (a) altered;

- (b) transformed in any way; or
- (c) subjected to any other type of operation or process.

Import of relevant processed diamonds

46Z16R.—(1) The import of relevant processed diamonds, on or after the relevant day, is prohibited.

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

Technical assistance relating to relevant processed diamonds

46Z16S.—(1) A person must not on or after the relevant day directly or indirectly provide technical assistance relating to the import of relevant processed diamonds.

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph.

Financial services and funds relating to relevant processed diamonds

46Z16T.—(1) A person must not on or after the relevant day directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is the import of relevant processed diamonds.

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Brokering services relating to relevant processed diamonds

46Z16U.—(1) A person must not on or after the relevant day directly or indirectly provide brokering services in relation to any arrangements described in regulation 46Z16T(1).

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

CHAPTER 4K

Coal and Coal Products

Meaning of relevant day

46Z17. In this Chapter, “relevant day” means 10th August 2022.

Import of coal and coal products

46Z18.—(1) The import of coal and coal products, on or after the relevant day, which are consigned from Russia is prohibited.

(2) The import of coal and coal products, on or after the relevant day, which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

Acquisition of coal and coal products

46Z19.—(1) A person must not directly or indirectly, on or after the relevant day, acquire coal and coal products—

- (a) which originate in Russia; or
- (b) which are located in Russia,

with the intention of those goods entering the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the goods originated in Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were located in Russia.

Supply and delivery of coal and coal products

^{F149}**46Z20.**

Textual Amendments
F149 Reg. 46Z20 omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **8(1)**

Technical assistance relating to coal and coal products

46Z21.—^{F150}(1) A person must not, on or after the relevant day, directly or indirectly provide technical assistance relating to—

- (a) the import of coal and coal products which—
 - (i) originate in Russia, or
 - (ii) are consigned from Russia;
- (b) the direct or indirect acquisition of coal and coal products which—
 - (i) originate in Russia, or
 - (ii) are located in Russia,

with the intention of those goods entering the United Kingdom;

^{F151}(c)]

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening—

- (a) paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
- (b) paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;

^{F152}(c)

Textual Amendments

- F150** Reg. 46Z21(1) substituted (16.12.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), reg. 1(2)(b), **Sch. 4 para. 6**
- F151** Reg. 46Z21(1)(c) omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **8(2)**
- F152** Reg. 46Z21(3)(c) omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **8(2)**

Financial services and funds relating to coal and coal products

46Z22.—^{F153}(1) A person must not, on or after the relevant day, directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of coal and coal products which—
 - (i) originate in Russia, or
 - (ii) are consigned from Russia;
- (b) the direct or indirect acquisition of coal and coal products which—
 - (i) originate in Russia, or
 - (ii) are located in Russia,

with the intention of those goods entering the United Kingdom;

^{F154}(c)

(2) Paragraphs (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

- F153** Reg. 46Z22(1) substituted (16.12.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), reg. 1(2)(b), **Sch. 4 para. 7**
- F154** Reg. 46Z22(1)(c) omitted (21.4.2023) by virtue of [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **8(3)**

Brokering services relating to coal and coal products

46Z23.—(1) A person must not, on or after the relevant day, directly or indirectly provide brokering services in relation to any arrangements described in regulation [^{F155}46Z22(1)(a) or (b)].

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.]

Textual Amendments

F155 Words in [reg. 46Z23\(1\)](#) substituted (21.4.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(S.I. 2023/440\)](#), regs. 1(2), **8(4)**

[^{F156}CHAPTER 4L

Liquefied natural gas

Textual Amendments

F156 [Pt. 5 Chs. 4L, 4M](#) inserted (1.1.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), regs. 1(2)(a), **6**

Interpretation

46Z24.—(1) In this Chapter, “liquefied natural gas” means liquefied natural gas falling within commodity code 2711 11 00.

(2) Paragraph 1 of Schedule 3 applies for the purposes of interpreting the definition in paragraph (1).

Import of liquefied natural gas

46Z25.—(1) The import of liquefied natural gas which is consigned from Russia is prohibited.

(2) The import of liquefied natural gas which originates in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

Acquisition of liquefied natural gas

46Z26.—(1) A person must not directly or indirectly acquire liquefied natural gas—

(a) which originates in Russia; or

(b) which is located in Russia,

with the intention of the liquefied natural gas entering the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

(a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) to show that the person did not know and had no reasonable cause to suspect that the liquefied natural gas originated in Russia;

(b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the liquefied natural gas was located in Russia.

Technical assistance relating to liquefied natural gas

46Z27.—^{F157}(1) A person must not directly or indirectly provide technical assistance relating to—

- (a) the import of liquefied natural gas which—
 - (i) originates in Russia, or
 - (ii) is consigned from Russia;
- (b) the direct or indirect acquisition of liquefied natural gas which—
 - (i) originates in Russia, or
 - (ii) is located in Russia,

with the intention of the liquefied natural gas entering the United Kingdom.]

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes any of the prohibitions in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening—

- (a) paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
- (b) paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph.

Textual Amendments

F157 Reg. 46Z27(1) substituted (1.1.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), reg. 1(2)(a), **Sch. 4 para. 9**

Financial services and funds relating to liquefied natural gas

46Z28.—^{F158}(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of liquefied natural gas which—
 - (i) originates in Russia, or
 - (ii) is consigned from Russia;
- (b) the direct or indirect acquisition of liquefied natural gas which—
 - (i) originates in Russia, or
 - (ii) is located in Russia,

with the intention of the liquefied natural gas entering the United Kingdom.]

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

F158 Reg. 46Z28(1) substituted (1.1.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), reg. 1(2)(a), **Sch. 4 para. 10**

Brokering services relating to liquefied natural gas

46Z29.—(1) A person must not directly or indirectly provide brokering services in relation to any arrangements described in regulation 46Z28(1)(a) and (b).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

CHAPTER 4M

Russia's vulnerable goods

Russia's vulnerable goods

46Z30.—(1) The export of Russia's vulnerable goods to, or for use in, Russia is prohibited.

(2) A person must not directly or indirectly—

- (a) supply or deliver Russia's vulnerable goods from a third country to a place in Russia;
- (b) make Russia's vulnerable goods available to a person connected with Russia;
- (c) make Russia's vulnerable goods available for use in Russia.

(3) Paragraphs (1) and (2) are subject to Part 7 (exceptions and licences).

(4) A person who contravenes a prohibition in paragraph (2) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (2)(a) to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (2)(b) ("P") to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (c) it is a defence for a person charged with the offence of contravening paragraph (2)(c) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

(5) In this regulation "third country" means a country that is not the United Kingdom, the Isle of Man or Russia.

Technical assistance relating to Russia's vulnerable goods

46Z31.—(1) A person must not directly or indirectly provide technical assistance relating to Russia's vulnerable goods—

- (a) to a person connected with Russia; or
- (b) for use in Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) ("P") to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

Financial services and funds relating to Russia's vulnerable goods

46Z32.—(1) A person must not directly or indirectly provide, to a person connected with Russia, financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of Russia's vulnerable goods;
- (b) the direct or indirect supply or delivery of Russia's vulnerable goods;
- (c) directly or indirectly making Russia's vulnerable goods available to a person; or
- (d) the direct or indirect provision of technical assistance relating to Russia's vulnerable goods.

(2) A person must not directly or indirectly make funds available to a person connected with Russia in pursuance of or in connection with an arrangement mentioned in paragraph (1).

(3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of Russia's vulnerable goods to, or for use in, Russia;
- (c) the direct or indirect supply or delivery of Russia's vulnerable goods to a place in Russia;
- (c) directly or indirectly making Russia's vulnerable goods available—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia; or
- (d) the direct or indirect provision of technical assistance relating to Russia's vulnerable goods—
 - (i) to a person connected with Russia, or
 - (ii) for use in Russia.

(4) Paragraphs (1) to (3) are subject to Part 7 (Exceptions and licences).

(5) A person who contravenes a prohibition in any of paragraphs (1) to (3) commits an offence, but—

- (a) it is a defence for a person charged with an offence of contravening paragraph (1) or (2) ("P") to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (a) it is a defence for a person charged with the offence of contravening paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Brokering services relating to Russia's vulnerable goods

46Z33.—(1) A person must not directly or indirectly provide brokering services to a person connected with Russia in pursuance of or in connection with an arrangement ("arrangement A") whose object or effect is—

- (a) the export of Russia's vulnerable goods;
- (b) the direct or indirect supply or delivery of Russia's vulnerable goods;
- (c) directly or indirectly making Russia's vulnerable goods available to a person;
- (d) the direct or indirect provision of technical assistance relating to Russia's vulnerable goods;
- (e) the direct or indirect provision, in a non-UK country, of financial services—

- (i) to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z32(1), or
 - (ii) where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z32(3);
 - (f) directly or indirectly making funds available, in a non-UK country, to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z32(1); or
 - (g) the direct or indirect provision of funds from a non-UK country, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z32(3).
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.
- (4) In this regulation, “non-UK country” means a country other than the United Kingdom.]

CHAPTER 5

Exports and imports, and related activities, in relation to [^{F159}non-government controlled Ukrainian territory]

Textual Amendments

F159 Words in Pt. 5 Ch. 5 heading substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), regs. 1(2), **15(a)**

Imports from [^{F160}non-government controlled Ukrainian territory]

47.—(1) The import of goods which originate in [^{F160}non-government controlled Ukrainian territory] is prohibited.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

Textual Amendments

F160 Words in reg. 47 substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), regs. 1(2), **15(b)(i)**

Commencement Information

I17 Reg. 47 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, [Sch. 5 para. 1\(1\)](#)), see reg. 1(2)

Export of infrastructure-related goods to [^{F161}non-government controlled Ukrainian territory]

[^{F162}48.—(1) The export of infrastructure-related goods to, or for use in, Crimea is prohibited.

(2) The export of infrastructure-related goods to, or for use in, non-government controlled areas of the Donetsk Kherson, Luhansk and Zaporizhzhia oblasts is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

(4) It is a defence for a person charged with the offence under section 68(1) (offences in relation to exportation of prohibited or restricted goods) of CEMA in relation to the prohibition in paragraph (2) to show that they did not know and had no reasonable cause to suspect that the export was, or would have been, to, or the goods were for use in, non-government controlled areas of the Donetsk, Kherson, Luhansk and Zaporizhzhia oblasts.]

Textual Amendments

F161 Words in [reg. 48](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), regs. 1(2), **15(b)(ii)**

F162 [Reg. 48](#) substituted (20.6.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 2\) Regulations 2023 \(S.I. 2023/665\)](#), regs. 1(2), **6**

Supply and delivery of infrastructure-related goods

49.—(1) A person must not directly or indirectly supply or deliver infrastructure-related goods from a third country to a place in [^{F163}non-government controlled Ukrainian territory].

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for [^{F163}non-government controlled Ukrainian territory].

(4) In this regulation, “third country” means a country that is not the United Kingdom, the Isle of Man or [^{F163}non-government controlled Ukrainian territory].

Textual Amendments

F163 Words in [reg. 49](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), regs. 1(2), **15(b)(iii)**

Commencement Information

I18 [Reg. 49](#) in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

Making infrastructure-related goods available

50.—(1) A person must not—

- (a) directly or indirectly make infrastructure-related goods available to a person connected with [^{F164}non-government controlled Ukrainian territory];
- (b) directly or indirectly make infrastructure-related goods available for use in [^{F164}non-government controlled Ukrainian territory].

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with [F164 non-government controlled Ukrainian territory];
- (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in [F164 non-government controlled Ukrainian territory].

Textual Amendments

F164 Words in [reg. 50](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), [regs. 1\(2\)](#), [15\(b\)\(iv\)](#)

Commencement Information

I19 [Reg. 50](#) in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

Technical assistance relating to infrastructure-related goods

51.—(1) A person must not directly or indirectly provide technical assistance relating to infrastructure-related goods—

- (a) to a person connected with [F165 non-government controlled Ukrainian territory], or
 - (b) for use in [F165 non-government controlled Ukrainian territory].
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with the offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with [F165 non-government controlled Ukrainian territory];
 - (b) it is a defence for a person charged with the offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in [F165 non-government controlled Ukrainian territory].

Textual Amendments

F165 Words in [reg. 51](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), [regs. 1\(2\)](#), [15\(b\)\(v\)](#)

Commencement Information

I20 [Reg. 51](#) in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

Financial services and funds relating to infrastructure-related goods etc.

52.—(1) A person must not directly or indirectly provide, to a person connected with [F166 non-government controlled Ukrainian territory], financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of infrastructure-related goods,
- (b) the direct or indirect supply or delivery of infrastructure-related goods,

- (c) directly or indirectly making infrastructure-related goods available to a person, or
 - (d) the direct or indirect provision of technical assistance relating to infrastructure-related goods.
- (2) A person must not directly or indirectly make funds available to a person connected with [F166non-government controlled Ukrainian territory] in pursuance of or in connection with an arrangement mentioned in paragraph (1).
- (3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—
- (a) the import of goods which originate in [F166non-government controlled Ukrainian territory];
 - (b) the export of infrastructure-related goods to, or for use in, [F166non-government controlled Ukrainian territory],
 - (c) the direct or indirect supply or delivery of infrastructure-related goods to a place in [F166non-government controlled Ukrainian territory],
 - (d) directly or indirectly making infrastructure-related goods available—
 - (i) to a person connected with [F166non-government controlled Ukrainian territory], or
 - (ii) for use in [F166non-government controlled Ukrainian territory],
 - (e) the direct or indirect provision of technical assistance relating to infrastructure-related goods—
 - (i) to a person connected with [F166non-government controlled Ukrainian territory], or
 - (ii) for use in [F166non-government controlled Ukrainian territory].
- (4) Paragraphs (1) to (3) are subject to Part 7 (Exceptions and licences).
- (5) A person who contravenes a prohibition in any of paragraphs (1) to (3) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1) or (2) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with [F166non-government controlled Ukrainian territory];
 - (b) it is a defence for a person charged with an offence of contravening a prohibition in paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

Textual Amendments

F166 Words in [reg. 52](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), [regs. 1\(2\)](#), [15\(b\)\(vi\)](#)

Commencement Information

I21 [Reg. 52](#) in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

Brokering services: non-UK activity relating to infrastructure-related goods and goods from [F167 non-government controlled Ukrainian territory]

53.—(1) A person must not directly or indirectly provide brokering services in relation to an arrangement (“arrangement A”) whose object or effect is—

- (a) the import of goods which originate in [F167 non-government controlled Ukrainian territory];
- (b) the direct or indirect supply or delivery of infrastructure-related goods from a third country to a place in [F167 non-government controlled Ukrainian territory],
- (c) directly or indirectly making infrastructure-related goods available in a third country for direct or indirect supply or delivery—
 - (i) to a person connected with [F167 non-government controlled Ukrainian territory], or
 - (ii) to a place in [F167 non-government controlled Ukrainian territory],
- (d) the direct or indirect provision, in a non-UK country, of technical assistance relating to infrastructure-related goods—
 - (i) to a person connected with [F167 non-government controlled Ukrainian territory], or
 - (ii) for use in [F167 non-government controlled Ukrainian territory],
- (e) the direct or indirect provision, in a non-UK country, of financial services—
 - (i) to a person connected with [F167 non-government controlled Ukrainian territory], where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 52(1), or
 - (ii) where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 52(3) in relation to infrastructure-related goods,
- (f) directly or indirectly making funds available, in a non-UK country, to a person connected with [F167 non-government controlled Ukrainian territory], where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 52(1), or
- (g) the direct or indirect provision of funds from a non-UK country, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 52(3) in relation to infrastructure-related goods.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

(4) In this regulation—

“non-UK country” means a country that is not the United Kingdom;

“third country” means a country that is not the United Kingdom, the Isle of Man or [F167 non-government controlled Ukrainian territory].

Textual Amendments

F167 Words in [reg. 53](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), [regs. 1\(2\), 15\(b\)\(vii\)](#)

Commencement Information

I22 Reg. 53 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

^{F168}Application of prohibitions and requirements in Chapter 2 of Part 5 to non-government controlled Ukrainian territory

53A.—[

^{F169}(1)] Where it is specified in Schedule 2A, 3 or 3C or in any table in those Schedules that this regulation applies in relation to an item, any prohibition or requirement in Chapter 2 of this Part which applies to Russia in relation to that item also applies to non-government controlled Ukrainian territory.

[

^{F170}(2) Paragraph (3) applies where the person is charged with the offence under section 68(1) (offences in relation to exportation of prohibited or restricted goods) of CEMA in relation to exportation of goods to, or for use in, non-government controlled areas of the Donetsk, Kherson, Luhansk and Zaporizhzhia oblasts which is or would be contrary to the prohibition in regulation 22(1) (export of restricted goods), as it has effect by virtue of this regulation.

(3) It is a defence for a person charged with the offence mentioned in paragraph (2) to show that the person did not know and had no reasonable cause to suspect that the export was, or would have been, to, or the goods were for use in, non-government controlled areas of the Donetsk, Kherson, Luhansk and Zaporizhzhia oblasts.]]

Textual Amendments

F168 Reg. 53A inserted (15.7.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 11) Regulations 2022 (S.I. 2022/792), regs. 1(2), **9** (with reg. 13)

F169 Reg. 53A renumbered as reg. 53A(1) (20.6.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 2) Regulations 2023 (S.I. 2023/665), regs. 1(2), **7(a)**

F170 Reg. 53A(2)(3) inserted (20.6.2023) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 2) Regulations 2023 (S.I. 2023/665), regs. 1(2), **7(b)**

CHAPTER 6

Other services relating to ^{F171}non-government controlled Ukrainian territory]

Textual Amendments

F171 Words in Pt. 5 Ch. 6 heading substituted (30.3.2022 at 5.00 p.m.) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 7) Regulations 2022 (S.I. 2022/395), regs. 1(2), **16(a)**

Prohibition on providing certain services relating to ^{F172}non-government controlled Ukrainian territory]

54.—(1) A person must not provide—

- (a) services relating to a relevant infrastructure sector in ^{F173}non-government controlled Ukrainian territory]; or
- (b) services relating to tourism in ^{F173}non-government controlled Ukrainian territory].

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes the prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with the offence in paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that P was providing services relating to a relevant infrastructure sector in [^{F174}non-government controlled Ukrainian territory];
 - (b) it is a defence for a person charged with the offence in paragraph (1)(b) (“P”) to show that P did not know and had no reasonable cause to suspect that P was providing services relating to tourism in [^{F174}non-government controlled Ukrainian territory].
- (4) In this regulation—
- “services relating to a relevant infrastructure sector in [^{F175}non-government controlled Ukrainian territory]” means technical assistance, brokering, construction or engineering services directly relating to infrastructure in [^{F175}non-government controlled Ukrainian territory] in any of the following sectors—
- (a) transport;
 - (b) telecommunications;
 - (c) energy;
 - (d) the prospection, exploration and production of oil, gas and mineral resources;
- “technical assistance” means the provision of technical support or any other technical service.

Textual Amendments

- F172** Words in [reg. 54](#) heading substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), [regs. 1\(2\)](#), **16(b)(i)**
- F173** Words in [reg. 54\(1\)](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), [regs. 1\(2\)](#), **16(b)(ii)**
- F174** Words in [reg. 54\(3\)](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), [regs. 1\(2\)](#), **16(b)(ii)**
- F175** Words in [reg. 54\(4\)](#) substituted (30.3.2022 at 5.00 p.m.) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 7\) Regulations 2022 \(S.I. 2022/395\)](#), [regs. 1\(2\)](#), **16(b)(ii)**

Commencement Information

- I23** [Reg. 54](#) in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

[^{F176}CHAPTER 6A

Internet services

Textual Amendments

- F176** [Pt. 5 Ch. 6A](#) inserted (29.4.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 9\) Regulations 2022 \(S.I. 2022/477\)](#), [regs. 1\(2\)](#), **5**

Preventing provision of internet services to or for the benefit of designated persons

54A.—(1) A person who provides a social media service must take reasonable steps to prevent content that is generated directly on the service, or uploaded to or shared on the service, by a designated person being encountered by a user of the service in the United Kingdom.

(2) A person who provides an internet access service must take reasonable steps to prevent a user of the service in the United Kingdom from accessing, by means of that service, an internet service provided by a designated person.

(3) A person who provides an application store through which an application for an internet service may be downloaded or otherwise accessed must take reasonable steps to prevent a user of the application store in the United Kingdom from downloading or otherwise accessing, by means of that application store, an internet service provided by a designated person.

(4) A person who fails to comply with the requirement in paragraph (1), paragraph (2) or paragraph (3) commits an offence.

(5) In this regulation—

“content” means anything communicated by means of an internet service, whether publicly or privately, including written material or messages, oral communications, photographs, videos, visual images, music and data of any description;

“designated person” means a person who is designated under regulation 5 (power to designate persons) for the purposes of this regulation;

“encounter”, in relation to content, means read, view, hear or otherwise experience content;

“internet access service” means a service that provides access to virtually all (or just some) of the end points of the internet;

“internet service” means a service that is made available by means of the internet;

“social media service” has the meaning given by section 43(3) of the Finance Act 2020.]

[^{F177}CHAPTER 6B

Professional and Business Services

Textual Amendments

F177 Pt. 5 Ch. 6B inserted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), **13**

Interpretation of this Chapter

54B. In this Chapter—

- [^{F178}(a) “accounting services” has the meaning given in paragraph 2 of Schedule 3J;
- (b) “advertising services” has the meaning given in paragraph 3 of Schedule 3J;
- (c) “architectural services” has the meaning given in paragraph 4 of Schedule 3J;
- (d) “auditing services” has the meaning given in paragraph 5 of Schedule 3J;
- (e) “business and management consulting services” has the meaning given in paragraph 6 of Schedule 3J;
- (f) “engineering services” has the meaning given in paragraph 7 of Schedule 3J;
- (g) “IT consultancy and design services” has the meaning given in paragraph 8 of Schedule 3J;
- [^{F179}(ga) “legal advisory services” has the meaning given in paragraph 8A of Schedule 3J;]
- (h) “public relations services” has the meaning given in paragraph 9 of Schedule 3J.]

Textual Amendments

- F178** Reg. 54B(a)-(h) substituted for reg. 54B(a)-(c) (16.12.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), regs. 1(2)(b), **9**
- F179** Reg. 54B(ga) inserted (30.6.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2023 \(S.I. 2023/713\)](#), regs. 1(2), **3(1)**

Professional and business services

- 54C.**—(1) A person must not directly or indirectly provide, to a person connected with Russia—
- [^{F180}(a) accounting services,
- (b) advertising services,
- (c) architectural services,
- (d) auditing services,
- (e) business and management consulting services,
- (f) engineering services,
- (g) IT consultancy and design services, or
- (h) public relations services.]
- (2) Paragraph (1) is subject to Part 7 (exceptions and licences).
- (3) A person who contravenes any of the prohibitions in paragraph (1) (“P”) commits an offence, but it is a defence for P to show that P did not know and had no reasonable cause to suspect that the person to whom the services were provided was connected with Russia.

Textual Amendments

- F180** Reg. 54C(1)(a)-(h) substituted for reg. 54C(1)(a)-(c) (16.12.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 17\) Regulations 2022 \(S.I. 2022/1331\)](#), regs. 1(2)(b), **10**

[^{F181}Legal advisory services

- 54D.**—(1) A person must not directly or indirectly provide legal advisory services to any person who is not a United Kingdom person in relation to, or in connection with, any activity (“the relevant activity”) which satisfies the condition in paragraph (2), whether or not those services are provided to a person in the United Kingdom.
- (2) The condition is that the relevant activity would—
- (a) be prohibited under any of regulations 11 to 18C of Part 3 (Finance), Chapters 2 to 6 or Chapter 6B of Part 5 (Trade) if—
- (i) the relevant activity was done by a United Kingdom person, or
- (ii) the relevant activity was taking place in the United Kingdom, or
- (b) contravene regulation 19 or 55 if—
- (i) the relevant activity was done by a United Kingdom person, or
- (ii) the relevant activity was taking place in the United Kingdom.
- (3) Paragraph (1) is subject to Part 7 (exceptions and licences).

(4) A person who contravenes any of the prohibitions in paragraph (1) (“P”) commits an offence, but it is a defence for P to show that P did not know and had no reasonable cause to suspect that the relevant activity satisfied the condition in paragraph (2).]]

Textual Amendments

F181 Reg. 54D inserted (30.6.2023) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 3\) Regulations 2023 \(S.I. 2023/713\)](#), regs. 1(2), **3(2)**

CHAPTER 7

Further provision

Circumventing etc. prohibitions

55.—(1) A person must not intentionally participate in activities knowing that the object or effect of them is, whether directly or indirectly—

- (a) to circumvent any of the prohibitions in [^{F182}Chapters 2 to 6 and Chapter 6B of this Part], or
 - (b) to enable or facilitate the contravention of any such prohibition.
- (2) A person who contravenes a prohibition in paragraph (1) commits an offence.

Textual Amendments

F182 Words in [reg. 55\(1\)\(a\)](#) substituted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), **14**

Commencement Information

I24 Reg. 55 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see reg. 1(2)

Defences

56.—(1) Paragraph (2) applies where a person relies on a defence under any of [^{F183}Chapters 2 to 6 and Chapter 6B of this Part].

(2) If evidence is adduced which is sufficient to raise an issue with respect to the defence, the court must assume that the defence is satisfied unless the prosecution proves beyond reasonable doubt that it is not.

Textual Amendments

F183 Words in [reg. 56](#) substituted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), **15**

Commencement Information

I25 Reg. 56 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see reg. 1(2)

Changes to legislation:

There are currently no known outstanding effects for the The Russia (Sanctions) (EU Exit) Regulations 2019, PART 5.