
STATUTORY INSTRUMENTS

2019 No. 982

The Occupational Pension Schemes (Investment and Disclosure) (Amendment) Regulations 2019

Amendment of the Occupational and Personal Pension Schemes (Disclosure of Information) Regulations 2013

3.—(1) The Occupational and Personal Pension Schemes (Disclosure of Information) Regulations 2013 are amended as follows.

(2) In regulation 4(1) (application of these Regulations)(1) after “25 and, where” insert “the scheme falls within section 35 (investment principles) of the 1995 Act or”.

(3) In regulation 29A (publishing charges and transaction charges and other relevant information)(2)—

(a) after paragraph (1) insert—

“(1A) Where section 35 of the 1995 Act applies to a scheme which does not fall within paragraph (1), the information specified in paragraph (2B)(3) must be made publicly available free of charge on a website in accordance with this regulation.”; and

(b) after paragraph (2A)(4) insert—

“(2B) The specified information is—

(a) the latest statement of investment principles governing decisions about investments prepared for the scheme under section 35 of the 1995 Act; and

(b) the information which must be included in the most recent document prepared under regulation 12(5) in accordance with paragraph 30(ca)(6) of Schedule 3.”.

(4) In paragraph 30 of Schedule 3 (information to be given on request), after sub-paragraph (c), insert—

“(ca) a statement which must—

(i) set out how, and the extent to which, in the opinion of the trustees, the policy required under regulation 2(3)(c) of the Occupational Pension Schemes (Investment) Regulations 2005 has been followed during the year, and

(ii) describe the voting behaviour by, or on behalf of, trustees (including the most significant votes cast by trustees or on their behalf) during the year and state any use of the services of a proxy voter during that year.”.

(1) Regulation 4 was amended by [S.I. 2015/482](#) and [2018/233](#).

(2) Regulation 29A was inserted by [S.I. 2018/233](#).

(3) Paragraph (2B) is inserted by regulation 3(3)(b) of these Regulations.

(4) Paragraph (2A) is inserted as from 1st October 2019 by [S.I. 2018/988](#).

(5) Regulation 12 was amended by [S.I. 2018/988](#).

(6) Paragraph 30(ca) was inserted by regulation 3(4) of these Regulations.