

SCHEDULE

Application and modification of primary legislation

Modification to section 206 (financial penalties)

19. Section 206 (financial penalties)(1) applies as if, in subsection (1) for “an authorised person” there was substituted “a registered person”.

(1) Section 206 was amended by section 10 of the Financial Services Act 2010 and paragraph 12(2)(a) and (b) of Schedule 9 to the Financial Services Act 2012.