STATUTORY INSTRUMENTS

# 2023 No. 712

# The Relevant Licensee Nuclear Company Administration (England and Wales) Rules 2023

# PART 13

# Provisions of General Effect

# CHAPTER 1

# Delivery of Documents

# Application

144.—(1) This Chapter applies where a document is required under the 1986 Act or these Rules to be delivered, filed, forwarded, furnished, given, sent or submitted by any person unless the 1986 Act, a rule or an order of the court makes different provision including one requiring service of the document.

(2) But this Chapter does not apply to the delivery of documents to the registrar of companies.

# Personal delivery of documents

145. A document is delivered if it is personally delivered in accordance with the rules for personal service in CPR Part 6(1).

# **Delivery of documents by post (or document exchange)**

**146.** A document is delivered if it is sent by post (or document exchange) in accordance with the rules for such service in CPR Part 6 and sending by such means has effect as specified in those rules.

# Delivery of documents to authorised recipients

**147.** Where under the 1986 Act or these Rules a document is to be delivered to a person, it may be delivered instead to any other person authorised in writing to accept delivery on behalf of the first-mentioned person.

# Delivery of documents to joint nuclear administrators

**148.** Delivery of a document to one of joint nuclear administrators is to be treated as delivery to them all.

<sup>(1)</sup> Part 6 was amended by S.I. 2008/2178, 2009/2092, 2009/3131, 2009/3390, 2011/88, 2011/1979, 2014/2948, 2015/1644, 2019/521, 2020/942, 2021/117, 2022/783 and 2023/105.

#### **Electronic delivery of documents**

**149.**—(1) A document is delivered if it is sent by electronic means and each of the following conditions apply.

- (2) The conditions are that the intended recipient of the document has—
  - (a) given actual or deemed consent for the electronic delivery of the document;
  - (b) not revoked that consent before the document is sent;
  - (c) provided an electronic address for the delivery of the document.
- (3) Consent may relate to a specific case or generally.

(4) For the purposes of paragraph (2)(a) an intended recipient is deemed to have consented to the electronic delivery of a document by the nuclear administrator where the intended recipient and the relevant licensee nuclear company had customarily communicated with each other by electronic means before the proceedings commenced.

(5) Unless the contrary is shown, a document is to be treated as delivered by electronic means to an electronic address where the sender can produce a copy of the electronic communication which—

- (a) contains the document, and
- (b) shows the time and date the communication was sent and the electronic address to which it was sent.

(6) Unless the contrary is shown, a document sent electronically is treated as delivered to the electronic address to which it is sent at 9.00 a.m. on the next business day after it was sent.

#### Electronic delivery of documents to the court

**150.**—(1) A document may not be delivered to a court by electronic means unless this is expressly permitted by the CPR, a practice direction, or these Rules.

(2) A document delivered by electronic means is to be treated as delivered to the court at the time it is recorded by the court as having been received or otherwise as the CPR, a practice direction or these Rules provide.

#### Electronic delivery of notices to enforcement officers, etc.

**151.** Where anything in the 1986 Act or these Rules provides for the delivery of a notice to an enforcement officer or enforcement agent, it may be delivered by electronic means to a person who has been authorised to receive such a notice on behalf of a specified enforcement officer or specified enforcement agent or on behalf of enforcement officers or enforcement agents generally.

#### Electronic delivery by nuclear administrators

**152.**—(1) Where a nuclear administrator delivers a document by electronic means, the document must contain, or be accompanied by, a statement that the recipient may request a hard copy of the document and a telephone number, email address and postal address that may be used to make that request.

(2) A nuclear administrator who receives such a request must deliver a hard copy of the document to the recipient free of charge within five business days of receipt of the request.

#### Use of website by nuclear administrator to deliver a particular document

153.—(1) This rule applies for the purposes of section 246B of the 1986 Act(2).

(2) A nuclear administrator who is required to deliver a document to any person may, except where personal delivery is required, satisfy that requirement by delivering a notice to that person which contains the following—

- (a) a statement that the document is available for viewing and downloading on a website;
- (b) the website's address and any password necessary to view and download the document;
- (c) a statement that the person to whom the notice is delivered may request a hard copy of the document with a telephone number, email address and postal address which may be used to make that request.

(3) A nuclear administrator who receives such a request must deliver a hard copy of the document to the recipient free of charge within five business days of receipt of the request.

- (4) A document to which a notice under paragraph (2) relates must—
  - (a) remain available on the website until two months after the end of the relevant licensee nuclear company administration proceedings or the discharge of the last person to hold office as nuclear administrator in those proceedings;
  - (b) be in a format that enables it to be downloaded within a reasonable time of an electronic request being made for it to be downloaded.

(5) A document which is delivered to a person by means of a website in accordance with this rule is deemed to have been delivered—

- (a) when the document is first made available on the website, or
- (b) when the notice under paragraph (2) is delivered to that person, if that is later.

#### General use of website to deliver documents

**154.**—(1) A nuclear administrator may deliver a notice to each person to whom a document will be required to be delivered in the relevant licensee nuclear company administration proceedings which contains the following—

- (a) a statement that future documents in the proceedings (other than those mentioned in paragraph (2)) will be made available for viewing and downloading on a website without notice to the recipient and that the nuclear administrator will not be obliged to deliver any such documents to the recipient of the notice unless it is requested by that person;
- (b) a telephone number, email address and postal address which may be used to make a request for a hard copy of a document;
- (c) a statement that the recipient of the notice may at any time request a hard copy of any or all of the following—
  - (i) all documents currently available for viewing on the website;
  - (ii) all future documents which may be made available there;
- (d) the address of the website, and any password required to view and download a relevant document from that site.
- (2) A statement under paragraph (1)(a) does not apply to the following documents—
  - (a) a document for which personal delivery is required;
  - (b) a notice under rule 69;

<sup>(2)</sup> Section 246B was inserted by S.I. 2010/18. It was amended by the Corporate Insolvency and Governance Act 2020, Schedule 3, paragraph 18.

(c) a document which is not delivered generally.

(3) A document is delivered generally if it is delivered to some or all of the following classes of persons—

- (a) members;
- (b) contributories;
- (c) creditors;
- (d) any class of members, contributories or creditors.

(4) A nuclear administrator who has delivered a notice under paragraph (1) is under no obligation—

- (a) to notify a person to whom the notice has been delivered when a document to which the notice applies has been made available on the website, or
- (b) to deliver a hard copy of such a document unless a request is received under paragraph (1)(c).
- (5) A nuclear administrator who receives such a request—
  - (a) in respect of a document which is already available on the website must deliver a hard copy of the document to the recipient free of charge within five business days of receipt of the request, and
  - (b) in respect of all future documents must deliver each such document in accordance with the requirements for delivery of such a document in the 1986 Act and these Rules.
- (6) A document to which a statement under paragraph (1)(a) applies must—
  - (a) remain available on the website until two months after the end of the relevant licensee nuclear company administration proceedings or the discharge of the last person to hold office as nuclear administrator in those proceedings;
  - (b) must be in such a format as to enable it to be downloaded within a reasonable time of an electronic request being made for it to be downloaded.

(7) A document which is delivered to a person by means of a website in accordance with this rule, is deemed to have been delivered—

- (a) when the relevant document was first made available on the website, or
- (b) if later, when the notice under paragraph (1) was delivered to that person.

(8) Paragraph (7) does not apply in respect of a person who has made a request under paragraph (1) (c)(ii) for hard copies of all future documents.

#### **Proof of delivery of documents**

**155.**—(1) A certificate complying with this rule is proof that a document has been duly delivered to the recipient in accordance with this Chapter unless the contrary is shown.

(2) A certificate must state the method of delivery and the date of the sending, posting or delivery (as the case may be).

(3) In the case of the nuclear administrator, the certificate must be given by—

- (a) the nuclear administrator,
- (b) the nuclear administrator's solicitor, or
- (c) a partner or an employee of either of them.

(4) In the case of a person other than the nuclear administrator, the certificate must be given by that person and must state—

(a) that the document was delivered by that person, or

- (b) that another person (named in the certificate) was instructed to deliver it.
- (5) A certificate under this rule may be endorsed on a copy of the document to which it relates.

# CHAPTER 2

#### Form and Content of Documents

#### **Requirement for writing and form of documents**

**156.**—(1) A notice or statement must be in writing unless the 1986 Act or these Rules provide otherwise.

(2) A document in electronic form must be capable of being—

- (a) read by the recipient in electronic form, and
- (b) reproduced by the recipient in hard copy form.

# Authentication

**157.**—(1) A document in hard copy form is sufficiently authenticated if it is signed.

- (2) If a document is authenticated by the signature of an individual on behalf of-
  - (a) a body of persons, the document must also state the position of that individual in relation to the body;
  - (b) a body corporate of which the individual is the sole member, the document must also state that fact.
- (3) A document in electronic form is sufficiently authenticated—
  - (a) if the identity of the sender is confirmed in a manner specified by the recipient, or
  - (b) where no such manner has been specified by the recipient, if the communication contains or is accompanied by a statement of the identity of the sender and the recipient has no reason to doubt the truth of that statement.

# CHAPTER 3

## Service of Documents

#### Application

**158.**—(1) This Chapter sets out the requirements for service where a document is required to be served.

(2) Service is to be carried out in accordance with CPR Part 6 as that Part applies to either a "claim form" or a "document other than the claim form", except where this Chapter provides otherwise or the court otherwise directs.

(3) If for any reason it is impracticable to effect service as provided for in paragraph (2) then service may be effected in such other manner as the court may direct.

(4) For the purposes of the application by this Chapter of CPR Part 6—

- (a) the following documents are to be treated as a "claim form"—
  - (i) an application commencing relevant licensee nuclear company administration proceedings;
  - (ii) an application within relevant licensee nuclear company administration proceedings against a respondent;
- (b) any other document is to be treated as a "document other than the claim form".

(5) CPR Part 6 applies to the service of documents outside the jurisdiction with such modifications as the court may direct.

## Service of relevant licensee nuclear company administration application

**159.**—(1) An application to the court for a RLNC administration order must be served by delivering the documents as follows—

- (a) on the relevant licensee nuclear company at its registered office;
- (b) on any other person at that person's proper address.

(2) A person's proper address is any which that person has previously notified as the address for service, but if the person has not notified such an address then the documents may be served at that person's usual or last known address.

#### Service on joint nuclear administrators

**160.** Service of a document on one of joint nuclear administrators is to be treated as service on all of them.

#### Service of orders staying proceedings

**161.**—(1) This rule applies where the court makes an order staying an action, execution or other legal process against the property of the relevant licensee nuclear company.

(2) The applicant must serve the order.

(3) The order may be served within the jurisdiction by serving a sealed copy at the address for service of—

(a) the claimant, or

(b) another party having the carriage of the proceedings to be stayed.

# **Certificate of service**

**162.**—(1) The service of an application must be verified by a certificate of service.

(2) The certificate of service must-

- (a) identify the application;
- (b) specify-
  - (i) the name and registered number of the relevant licensee nuclear company;
  - (ii) the address of the registered office of the relevant licensee nuclear company;
  - (iii) the name of the applicant;
  - (iv) the court in which the application was made and the court reference number;
  - (v) the date of the application;
  - (vi) whether the copy served was a sealed copy;
  - (vii) the person served;

(viii) the manner of service and the date of service;

(c) be verified by a statement of truth.

(3) Where the court has directed that service be effected in a particular manner, the certificate must be accompanied by a sealed copy of the order directing such manner of service.

# CHAPTER 4

# Gazette Notices

## Contents of notices to be gazetted under the 1986 Act or these Rules

**163.**—(1) Where under the 1986 Act or these Rules a notice is gazetted, in addition to any content specifically required by the 1986 Act or any other provision of these Rules, the content of such a notice must be as set out in this Chapter.

- (2) All notices must specify so far as it is applicable in relation to the particular notice—
  - (a) the name and postal address of the nuclear administrator;
  - (b) the capacity in which the nuclear administrator is acting and the date of appointment;
  - (c) either an email address, or a telephone number, through which the nuclear administrator may be contacted;
  - (d) the name of any person other than the nuclear administrator (if any) who may be contacted regarding the proceedings;
  - (e) the nuclear administrator's IP number;
  - (f) the court name and any number assigned to the proceedings by the court;
  - (g) the registered name of the relevant licensee nuclear company;
  - (h) the relevant licensee nuclear company's registered number;
  - (i) the relevant licensee nuclear company's registered office;
  - (j) any principal trading address of the relevant licensee nuclear company if this is different from its registered office;
  - (k) any name under which the relevant licensee nuclear company was registered in the 12 months before the date on which the relevant licensee nuclear company entered relevant licensee nuclear company administration;
  - (l) any name or style (other than the relevant licensee nuclear company's registered name) under which—
    - (i) the relevant licensee nuclear company carried on business, and
    - (ii) any debt owed to a creditor was incurred.

# **Omission of unobtainable information**

**164.** Information required under this Chapter to be included in a notice to be gazetted may be omitted if it is not reasonably practicable to obtain it.

#### The Gazette: general

**165.**—(1) A copy of the Gazette containing any notice required by the 1986 Act or these Rules to be gazetted is evidence of any facts stated in the notice.

(2) Where the 1986 Act or these Rules require an order of the court to be gazetted, a copy of the Gazette containing the notice may be produced in any proceedings as conclusive evidence that the order was made on the date specified in the notice.

(3) Where an order of the court which is gazetted has been varied, or any matter has been erroneously or inaccurately gazetted, the person whose responsibility it was to gazette the order or other matter must as soon as is reasonably practicable cause the variation to be gazetted or a further entry to be made in the Gazette for the purpose of correcting the error or inaccuracy.

# CHAPTER 5

#### Notices Advertised Otherwise than in the Gazette

## Notices otherwise advertised under the 1986 Act or these Rules

**166.**—(1) Where under the 1986 Act or these Rules a notice may be advertised otherwise than in the Gazette, in addition to any content specifically required by the 1986 Act or any other provision of these Rules, the content of such a notice must be as set out in this Chapter.

- (2) All notices must specify insofar as it is applicable in relation to the particular notice—
  - (a) the name and postal address of nuclear administrator;
  - (b) the capacity in which the nuclear administrator is acting;
  - (c) either an email address, or a telephone number, through which the nuclear administrator may be contacted;
  - (d) the registered name of the relevant licensee nuclear company;
  - (e) the relevant licensee nuclear company's registered number;
  - (f) any name under which the relevant licensee nuclear company was registered in the 12 months before the date on which the relevant licensee nuclear company entered relevant licensee nuclear company administration;
  - (g) any name or style (other than the relevant licensee nuclear company's registered name) under which—
    - (i) the relevant licensee nuclear company carried on business, and
    - (ii) any debt owed to a creditor was incurred

#### Non-Gazette notices: other provisions

**167.**—(1) Information which this Chapter requires to be specified in a notice must be included in an advertisement of that notice in a way that is clear and comprehensible.

(2) Information required under this Chapter to be included in a notice may be omitted if it is not reasonably practicable to obtain it.

# CHAPTER 6

## Documents Delivered to the Registrar of Companies

# Application

**168.** Where under the 1986 Act or these Rules a document is to be delivered to the registrar of companies, in addition to any content specifically required by the 1986 Act or any other provision of these Rules, the document must contain the contents set out in this Chapter.

# Information to be contained in all documents delivered to the registrar

169. A document to be delivered to the registrar of companies must-

- (a) specify-
  - (i) the registered name of the relevant licensee nuclear company;
  - (ii) its registered number;
  - (iii) the nature of the document;
  - (iv) the provision of the 1986 Act or the rule under which the document is delivered;

- (v) the date of the document;
- (vi) the name and postal address of the person delivering the document;
- (vii) the capacity in which that person is acting in respect of the relevant licensee nuclear company;
- (b) be authenticated by the person delivering the document.

# Documents relating to the office of nuclear administrators

170. A document relating to the office of the nuclear administrator must also specify-

- (a) the name of the nuclear administrator;
- (b) the date of the event of which notice is delivered or of the notice (as applicable);
- (c) where the document relates to an appointment, the court making the appointment;
- (d) where the document relates to the termination of an appointment, the reason for that termination;
- (e) the postal address of the nuclear administrator.

# Documents relating to other documents

171. A document relating to another document must also specify-

- (a) the nature of the other document;
- (b) the date of the other document;
- (c) where the other document relates to a period of time, the period of time to which it relates.

# Documents relating to court orders

172. A document relating to a court order must also specify—

- (a) the nature of the court order;
- (b) the date of the order.

# **Reports of meetings**

173. A document relating to a report of a meeting must also specify—

- (a) the purpose of the meeting, including the provision of the 1986 Act or the rule under which it was convened;
- (b) the venue fixed for the meeting;
- (c) whether a required quorum was present for the meeting to take place;
- (d) if the meeting took place, the outcome of the meeting (including any resolutions passed at the meeting).

# Documents relating to other events

174. A document relating to any other event must also specify—

- (a) the nature of the event, including the provision of the 1986 Act or the rule under which it took place;
- (b) the date on which the event occurred.

#### Documents of more than one type

175. A document of more than one type must satisfy the requirements which apply to each.

#### Documents delivered to other persons at the same time

**176.**—(1) Where under the 1986 Act or these Rules a document is to be delivered to another person at the same time that it is to be delivered to the registrar of companies, that requirement may be satisfied by delivering to that other person a copy of the document delivered to the registrar.

(2) Paragraph (1) does not apply where the document delivered to the registrar of companies is incomplete.

# CHAPTER 7

Inspection of Documents and the Provision of Information

### Confidentiality of documents: grounds for refusing inspection

177.—(1) Paragraph (2) applies where in relevant licensee nuclear company administration proceedings the nuclear administrator considers that a document forming part of the records of those proceedings—

- (a) should be treated as confidential, or
- (b) is of such a nature that its disclosure would be prejudicial to the conduct of the proceedings or might reasonably be expected to lead to violence against any person.

(2) The nuclear administrator may decline to allow the document to be inspected by a person who would otherwise be entitled to inspect it.

(3) Where under this rule the nuclear administrator determines to decline to allow inspection of a document, the person wishing to inspect it may apply to the court for that determination to be overruled and the court may either overrule it altogether or sustain it subject to such conditions (if any) as it thinks just.

#### **Right to copies of documents**

**178.** Where the 1986 Act or these Rules give a person the right to inspect documents, that person has a right to be supplied on request with copies of those documents, on payment—

- (a) in the case of documents on the court file, of the fee chargeable under any order made under section 92 of the Courts Act 2003;
- (b) in any other case, of the standard fee.

#### Charges for copies of documents

**179.** Except where prohibited by these Rules, the nuclear administrator is entitled to require the payment of the standard fee for copies of documents requested by a creditor, member or contributory.

#### **Right to list of creditors**

**180.**—(1) A creditor has the right to require the nuclear administrator to provide a list of the names and addresses of the creditors and the amounts of their respective debts unless paragraph (4) applies.

- (2) The nuclear administrator on being required to provide the list under paragraph (1)—
  - (a) must deliver it to the person requiring the list as soon as reasonably practicable, and
  - (b) may charge the standard fee for a hard copy.

(3) The name and address of any creditor may be omitted from the list provided under paragraph (2) where the nuclear administrator is of the view that its disclosure would be prejudicial to the conduct of the proceedings or might reasonably be expected to lead to violence against any person, provided that—

- (a) the amount of the debt in question is shown in the list, and
- (b) a statement is included in the list that the name and address of the creditor has been omitted in respect of that debt.

(4) Paragraph (1) does not apply where a statement of affairs has been delivered to the registrar of companies.

#### **CHAPTER 8**

Time

#### **Calculation of time periods**

**181.**—(1) The provisions of CPR rule 2.8 (time)( $\mathbf{3}$ ), with the exception of paragraph (4) of that rule, apply for the calculation of periods expressed in days in the relevant legislation and these Rules.

(2) The calculation of the beginning and end of a period expressed in months is to be determined as follows—

(a) if the beginning of the period is specified—

- (i) the month in which the period ends is the specified number of months after the month in which it begins, and
- (ii) the date in the month on which the period ends is—
  - (aa) the day before the date corresponding to the date in the month on which it begins, or
  - (bb) if there is no such date in the month on which it ends, the last day of that month;
- (b) if the end of the period is specified—
  - (i) the month in which the period begins is the specified number of months before the month in which it ends, and
  - (ii) the date in the month on which the period begins is—
    - (aa) the day after the date corresponding to the date in the month on which it ends, or
    - (bb) if there is no such date in the month in which it begins, the last day of that month.

(3) The provisions of CPR rule 3.1(2)(a) (the court's general powers of management) apply so as to enable the court to extend or shorten the time for compliance with anything required or authorised to be done by these Rules.

(4) Paragraph (3) is subject to any time limits expressly stated in the relevant legislation and to any specific powers in the relevant legislation or these Rules to extend or shorten the time for compliance.

- (5) In this rule, "relevant legislation" means—
  - (a) the 1986 Act;

<sup>(3)</sup> CPR rule 2.8 was amended by S.I. 2009/3390 and 2022/783.

(b) sections 154 to 171 of, and Schedules 20 and 21 to, the 2004 Act(4).

<sup>(4)</sup> Section 54 was amended by the Energy Act (c. 32), sections 48(2) and 156(2). Section 55 was amended by the Energy Act (c. 32), sections 48(3) and 156(2). Section 170 was amended by the Energy Act 2011 (c. 16), sections 100(3) and 121(3) and the Nuclear Energy (Financing) Act 2022 (c. 15), sections 37(2) and 44(1)(c)(2)(c).