
WELSH STATUTORY INSTRUMENTS

2002 No. 919 (W.107)

**SOCIAL CARE, WALES
CHILDREN AND YOUNG PERSONS, WALES
PUBLIC HEALTH, WALES**

The Registration of Social Care and Independent
Health Care (Wales) Regulations 2002

Made - - - - - *28th March 2002*

Coming into force *1st April 2002*

THE REGISTRATION OF SOCIAL CARE AND INDEPENDENT
HEALTH CARE (WALES) REGULATIONS 2002

PART I—
GENERAL

1. Citation, commencement and application
2. Interpretation

PART II—

APPLICATIONS FOR REGISTRATION UNDER
PART II OF THE CARE STANDARDS ACT 2000

3. Application of regulations 4 to 15
4. Information and documents to be provided by an applicant
5. Convictions
6. Interview
7. Notice of changes
8. Information as to staff engaged after application made

PART III—

CERTIFICATES OF REGISTRATION

9. Contents of certificate
10. Return of certificate
11. Offence

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

PART IV—

CONDITIONS AND REPORTS

12. Application for variation or removal of a condition
13. Report as to financial viability

PART V—

CANCELLATION OF REGISTRATION

14. Cancellation of registration
15. Application for cancellation of registration

PART VI—

REGISTRATION UNDER PART XA OF THE CHILDREN ACT 1989

16. Application of regulation 17 and 18
17. Information and documents to be provided by an applicant for registration under Part XA of the 1989 Act
18. Certificates of Registration under Schedule 9A to the 1989 Act
Signature

SCHEDULE 1 INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION AS A PERSON WHO CARRIES ON AN ESTABLISHMENT

PART I

1. **Information about the applicant**
2. Where the applicant is an organisation— (a) the name of...
3. In every case— (a) a reference from a bank expressing...

PART II

4. **Information about the establishment**
5. The description of establishment specified in section 4(8)(a) of the...
6. The statement of purpose of the establishment.
7. A statement as to the accommodation, facilities and services which...
8. The date on which the establishment was established or is...
9. Details of the scale of charges payable by the service...
10. In respect of the premises to be used by an...
11. In respect of the premises to be used by an...
12. A statement as to the security arrangements, including arrangements for...
13. The name and address of any other establishment of a...
14. Whether any other business is or will be carried on...
15. **Information about staff**

PART III

16. **Further information about staff**

SCHEDULE 2 DOCUMENTS TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION AS A PERSON WHO CARRIES ON AN ESTABLISHMENT

1. **Documents concerning applicant**
2. Certificates or other suitable evidence relating to the responsible person's...

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

3. (1) Subject to sub-paragraph (2), a report by a general...
 4. The following documents in relation to the responsible person—
 5. Where the applicant is an organisation, copies of the last...
 6. Where the organisation is a subsidiary of a holding company,...
 7. The last annual accounts (if any) of the establishment.
 8. Except where the applicant is a local authority or NHS...
 9. A certificate of insurance for the applicant in respect of...
 10. ***Criminal record certificates in respect of staff***
- SCHEDULE 3 INFORMATION AND DOCUMENTS TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION AS THE MANAGER OF AN ESTABLISHMENT
- PART I
1. ***Information***
 2. Details of the applicant’s professional or technical qualifications, and experience...
 3. Details of the applicant’s professional training relevant to carrying on...
 4. Details of the applicant’s employment history, including the name and...
 5. Details of any business the applicant carries on or manages...
 6. The name and addresses of two referees—
 7. The name, address, telephone number, facsimile number, and electronic mail...
 8. Where any certificate or information on any matters referred to...
- PART II
9. ***Documents***
 10. Certificates or other suitable evidence relating to the applicant’s professional...
 11. (1) Subject to sub-paragraph (2), a report by a general...
 12. A criminal record certificate— (a) which has been issued to...
 13. An enhanced criminal record certificate— (a) which has been issued...
- SCHEDULE 4 INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION IN RESPECT OF A CARE HOME
1. In this Schedule “service user” means any person accommodated in...
 2. Details of the accommodation available for— (a) service users; and...
 3. Whether it is proposed to provide nursing at the care...
 4. Whether it is proposed to provide at the care home...
 5. The maximum number of service users for whom the care...
- SCHEDULE 5 INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION IN RESPECT OF A CHILDREN'S HOME
1. The following details about the children who are intended to...
 2. The organisational structure of the children’s home.
 3. The facilities and services to be provided within the children’s...
 4. The arrangements for protecting and promoting the health of any...
 5. The fire precautions and emergency procedures.
 6. The arrangements to allow children to follow religious observance.
 7. The arrangements for contact between a child and his or...
 8. Details as to the use of restraint and discipline, the...
 9. The procedure for dealing with any unauthorised absence of a...
 10. The arrangements for allowing children in the children’s home to...

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

11. The arrangements for the education of any child accommodated.
12. The arrangements for dealing with reviews of the placement plans...
- SCHEDULE 6 INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION IN RESPECT OF AN INDEPENDENT HOSPITAL OR INDEPENDENT CLINIC
1. The nature of the services to be provided including, in...
2. The equipment and facilities to be provided.
3. The number of patient beds to be provided.
4. The anticipated number of patients to be treated annually.
5. The arrangements made for the supply of blood and blood...
6. The arrangements made for the provision of pathology and radiology...
7. The number of registered medical practitioners who are to be...
- SCHEDULE 7 INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION AS A CHILD MINDER OR AS A PROVIDER OF DAY CARE
- PART I
1. Information about the applicant
2. Where the applicant is an organisation— (a) the name of...
3. In every case— (a) a reference from a bank expressing...
- PART II
4. **Further information**
5. A description of the premises, and the facilities provided or...
6. The proposed hours for which the applicant wishes to register...
7. The statement of purpose.
8. A description of the area in which the premises are...
9. In respect of the premises , a statement as to...
10. A statement as to the security arrangements, including arrangements for...
11. Whether any other business or activity is or will be...
12. **Information about staff**
- PART III
13. **Further information about staff**
- SCHEDULE 8 DOCUMENTS TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION AS A CHILD MINDER OR AS A PROVIDER OF DAY CARE
1. **Documents concerning applicant**
2. Certificates or other suitable evidence relating to the responsible person's...
3. (1) Subject to sub-paragraph (2), a report by a general...
4. The following documents in relation to the responsible person—
5. Where the applicant is an organisation , copies of the...
6. Where the organisation is a subsidiary of a holding company,...
7. The last annual accounts (if any).
8. A reference from a bank expressing an opinion as to...
9. A certificate of insurance for the applicant in respect of...
10. **Criminal record certificates in respect of staff**
- Explanatory Note