

## SCHEDULE 3

### OTHER CONTRACTUAL TERMS

## PART 10

### MISCELLANEOUS

#### Evidence of exemption under the Act

**78.**—(1) Subject to sub-paragraph (2), the contractor will ensure that it requests, in respect of a person who makes a declaration relating to exemption under paragraph 1(1) of Schedule 12ZA(1) to the Act evidence in support of that declaration.

(2) The contractor will ensure that—

- (a) a note of the type of evidence submitted is made; or
- (b) in the case where no evidence is submitted, a note of that fact is made.

(3) Sub-paragraphs (1) and (2) do not apply where the contractor is satisfied that the person in respect of whom the declaration is made is under the age of 18 years.

#### Commencement Information

**I1** Sch. 3 para. 78 in force at 1.3.2006, see [reg. 1\(1\)](#)

#### Clinical governance arrangements

**79.**—(1) The contractor will comply with such clinical governance arrangements as the Local Health Board may establish in respect of contractors providing services under a contract.

(2) The contractor will nominate a person who manages services under the contract to have responsibility for ensuring compliance with clinical governance arrangements.

(3) In this paragraph, “clinical governance arrangements” means arrangements through which the contractor endeavours to continuously improve the quality of its services and safeguard high standards of care by creating an environment in which clinical excellence can flourish.

#### Commencement Information

**I2** Sch. 3 para. 79 in force at 1.3.2006, see [reg. 1\(1\)](#)

#### Quality assurance system

**80.**—(1) The contractor will establish, and operate a practice based quality assurance system which is applicable to all the persons specified in sub-paragraph (2).

(2) The specified persons are—

- (a) any dental practitioner who performs services under the contract;
- (b) any other person employed or engaged by the contractor to perform or assist in the performance of services under the contract.

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(1) Section 12ZA is inserted into the Act by section 183(2) of the 2003 Act.

**Changes to legislation:** There are outstanding changes not yet made by the legislation.gov.uk editorial team to The National Health Service (General Dental Services Contracts) (Wales) Regulations 2006. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

(3) A contractor will ensure that in respect of its practice based quality assurance system, it has nominated a person (who need not be connected with the contractor’s practice) to be responsible for operating that system.

(4) In this paragraph, “a practice based quality assurance system” means one which comprises a system to ensure that—

- (a) effective measures of infection control are used;
- (b) all legal requirements relating to health and safety in the workplace are satisfied;
- (c) all legal requirements relating to radiological protection are satisfied; and
- (d) any requirements of the General Dental Council in respect of the continuing professional development of dental practitioners are satisfied.

**Commencement Information**

**I3** Sch. 3 para. 80 in force at 1.3.2006, see [reg. 1\(1\)](#)

**Insurance: negligent performance**

**81.**—(1) The contractor will at all times hold adequate insurance against liability arising from negligent performance of clinical services under the contract.

(2) The contractor will not sub-contract its obligations to provide clinical services under the contract unless it has satisfied itself that the sub-contractor holds adequate insurance against liability arising from negligent performance of such services.

(3) In this paragraph—

- (a) “insurance” means a contract of insurance or other arrangement made for the purpose of indemnifying the contractor; and
- (b) a contractor will be regarded as holding insurance if it is held by an employee of its in connection with clinical services which that employee provides under the contract or, as the case may be, sub-contract.

**Commencement Information**

**I4** Sch. 3 para. 81 in force at 1.3.2006, see [reg. 1\(1\)](#)

**Public liability insurance**

**82.**—(1) The contractor will at all times hold adequate public liability insurance in relation to liabilities to third parties arising under or in connection with the contract which are not covered by the insurance referred to in paragraph 81(1).

(2) In this paragraph, “insurance” has the same meaning as in paragraph 81.

**Commencement Information**

**I5** Sch. 3 para. 82 in force at 1.3.2006, see [reg. 1\(1\)](#)

## Gifts

**83.**—(1) The contractor will keep a register of gifts which are given to any of the persons specified in sub-paragraph (2) by or on behalf of—

- (a) a patient;
- (b) a relative of a patient; or
- (c) any person who provides or wishes to provide services to the contractor or its patients in connection with the contract,

and have, in its reasonable opinion, an individual value of more than £100.00.

(2) The persons referred to in sub-paragraph (1) are—

- (a) the contractor;
- (b) where the contract is with two or more individuals practising in partnership, any partner;
- (c) where the contract is with a dental corporation a director, chief executive or secretary of the corporation;
- (d) any person employed by the contractor for the purposes of the contract;
- (e) any dental practitioner engaged by the contractor for the purposes of the contract;
- (f) any spouse or civil partner of a contractor (where the contractor is an individual dental practitioner) or of a person specified in paragraphs (b) to (e); or
- (g) any person whose relationship with the contractor (where the contractor is an individual dental practitioner) or with a person specified in paragraphs (b) to (e) has the characteristics of the relationship between husband and wife or civil partners.

(3) Sub-paragraph (1) does not apply where—

- (a) there are reasonable grounds for believing that the gift is unconnected with services provided or to be provided by the contractor;
- (b) the contractor is not aware of the gift; or
- (c) in a case falling within sub-paragraph (1)(c), the contractor is not aware that the donor wishes to provide services to the contractor.

(4) The contractor will take reasonable steps to ensure that it is informed of gifts which fall within sub-paragraph (1) and which are given to the persons specified in sub-paragraph (2)(b) to (2)(g).

(5) The register referred to in sub-paragraph (1) will include the following information—

- (a) the name of the donor;
- (b) in a case where the donor is a patient, the patient's National Health Service number or, if the number is not known, his or her address;
- (c) in any other case, the address of the donor;
- (d) the nature of the gift;
- (e) the estimated value of the gift; and
- (f) the name of the person or persons who received the gift.

(6) The contractor will make the register available to the Local Health Board on request.

### Commencement Information

**16** Sch. 3 para. 83 in force at 1.3.2006, see [reg. 1\(1\)](#)

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## Compliance with legislation and guidance

84. The contractor will—
- (a) comply with all relevant legislation; and
  - (b) have regard to all relevant guidance issued by the Local Health Board, or the Assembly.

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### Commencement Information

17 Sch. 3 para. 84 in force at 1.3.2006, see [reg. 1\(1\)](#)

## Third party rights

85. The contract will not create any right enforceable by any person not a party to it.

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### Commencement Information

18 Sch. 3 para. 85 in force at 1.3.2006, see [reg. 1\(1\)](#)

## Signing of documents

86.—(1) In addition to any other requirement that may relate to the documents specified in sub-paragraph (2), whether in these Regulations or otherwise, the contractor will ensure such documents include—

- (a) the name and clinical profession of the professional who signed the document;
  - (b) the name of the contractor on whose behalf it is signed.
- (2) The reference to documents in sub-paragraph (1) include—
- (a) forms that are required to be completed as a consequence of these Regulations, where such forms require a signature;
  - (b) prescription forms; and
  - (c) any other clinical document.

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### Commencement Information

19 Sch. 3 para. 86 in force at 1.3.2006, see [reg. 1\(1\)](#)

**Changes to legislation:**

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**Changes and effects yet to be applied to :**

- Regulations words substituted by [S.I. 2022/634 Sch. para. 1\(1\)\(3\)](#)

**Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:**

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 2 para. 1(3) inserted by [S.I. 2014/872 reg. 2](#)
- Sch. 3 para. 71(6) inserted by [S.I. 2013/235 Sch. 2 para. 96\(4\)\(d\)](#)
- Sch. 3 para. 81(3)(aa) inserted by [S.I. 2014/1887 Sch. 2 para. 10\(d\)](#)
- Sch. 3 Pt. 5A inserted by [S.I. 2011/704 Sch. 2 para. 4\(2\)\(b\)](#)
- Sch. 3 para. 13B inserted by [S.I. 2016/90 reg. 11](#)
- Sch. 3 para. 38(3)-(6) inserted by [S.I. 2017/1040 reg. 3\(4\)](#)
- Sch. 3 para. 16A inserted by [S.I. 2019/917 reg. 5\(2\)](#)
- Sch. 3 para. 51(1)(a)(iii)(iv) substituted for Sch. 3 para. 51(1)(a)(iii) by [S.I. 2011/704 Sch. 2 para. 4\(2\)\(c\)\(iii\)\(bb\)](#)
- Sch. 3 para. 39(1)(f) words omitted by [S.I. 2017/1040 reg. 3\(2\)\(b\)](#)
- reg. 4(7) inserted by [S.I. 2013/235 Sch. 2 para. 96\(3\)](#)